



APPROVED BY

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# SWIFT-RUS

## Formats and Usage Guidelines

V e r s i o n 2 0 1 4 - R U S A

This edition is prepared by the Russian National  
SWIFT Association

SWIFT-RUS Version 2014 - RUSA

# SWIFT-RUS

Usage Guidelines for SWIFT standards for financial messages exchange in securities market with consideration of requirements and particular features of the Russian market

V e r s i o n 2 0 1 4 - R U S A

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# 1 INTRODUCTION

The purpose of creating the present document is to extend the scope of opportunities of Russian SWIFT Users, their correspondents and counterparties for using SWIFT network for securities transactions in Russian stock market.

SWIFT-RUS guidelines are prepared by National Securities Market Practice Group of the Russian National SWIFT Association.

SWIFT-RUS guidelines Release 10, fully comply with ISO messages standards stipulated in SWIFT User Handbook, while taking into account the features peculiar to securities transactions in the Russian securities market, following the specifics of the securities transactions and the requirements of the regulator of the securities and payment markets – Bank of Russia.

In order to achieve this goal the National Market Practice Group for elaboration of SWIFT standards usage guidelines for Category 5 messages by the Russian National SWIFT Association defined additional rules for creating SWIFT messages related to securities transactions. With the help of such rules application of unified technology becomes possible that results in automation of messages processing for securities market transactions with taking into consideration the requirements of regulating authorities, numerous peculiar traits and matching individual practices of different financial institutions involved in exchange of Category 5 messages. The document is based on ISO 15022 standard, which is de facto international standard for electronic messages in securities markets. The authors of the document recommend to stipulate the usage of this standard in bilateral arrangements between securities market participants.

The present document should be used together with appropriate volumes of SWIFT User Handbook translated into Russian by the Russian National SWIFT Association.

This release of SWIFT-RUSA standards becomes effective by the approval of the Russian National SWIFT Association Steering Committee since the implementation of Standards version 2014 (in December 2014).

## **Main changes of RUSA**

Changes:

1. Related to the introduction of a new version of the standard in 2014 - SWIFT User Handbook:

- The introduction of new types of codes of corporate actions (BMET, changing the definition of certain types of CA (CONS, INTR, NOOF MRGR RHTS etc),
- The introduction of additional qualifiers and codes for the certain fields,
- Adding fields in the specification of messages, changing the audited rules to certain messages etc.

2. As a result of reviewing existing legislation

- And changing the process of distribution on securities.

3. Related to bringing the practice of certain types of CA (PRII, REDM, INTR) in the Russian market in line with the recommendations of an Securities Market Practice Group (SMPG), with the publication of new versions of SMPG documents for global market practice and changes to the document including templates messages for certain types of CA.

# 1. General clauses

## 1.1 Message formats

All financial messages exchanged through SWIFT network should conform to the formatting rules of the respective message types.

Format specifications for messages in the present Guide are based on the same scheme and the same notations as in the volumes of Standards User Handbook set.

In accordance with the decision of Securities Market Practice Group (SMPG) each message should contain the following information:

Field :20C::SEME//Reference (1.8.6).

Field :98A::SETT//Settlement Date (1.8.23).

Field :98A::TRAD//Trade Date (1.8.23).

Field :35B::Identification of the Financial Instrument specifying ISIN code (1.8.13).

Field :36B::Quantity of the Financial Instrument to be settled (1.8.14).

Field :97A: Account number and account type of the party involved in transaction (1.8.22).

Field :95a: DEAG// or :95a::REAG// Delivering Agent or Receiving Agent (it is recommended to use BIC code) (1.8.21).

Field :95a::SELL// or :95a::BUYR//client Delivering Agent or Receiving Agent (it is recommended to use BIC code) (1.8.21).

Field :95P::PSET//Place of Settlement (it is recommended to use BIC code) (1.8.21).

Field :19A::SETT// Currency code and cash amount paid (or to be paid) in exchange for the securities in the instructions to receive/delivery versus payment (1.8.5).

Message Type (MTnnn) indicates the content of the message and allows the routing of messages within the organization (the depository, Corporate actions, etc.) without analyzing the contents of the message .

### 1.1.1. MT Field Specifications

This section describes rules which apply to the fields of a message. Description of the field, may contain some or all of the following:

#### **FORMAT**

Specifies the field formats which are allowed for the field.

#### **PRESENCE**

Indicates if the field is mandatory, optional or conditional in its sequence.

#### **QUALIFIER**

Lists the qualifiers which may be used for the field. An explanation for reading the qualifier table may be found in the “Category 5 – Securities Markets - Message Usage Guidelines”.

## **DEFINITION**

Specifies the definition of the field in the message type.

## **CODES**

Lists all codes available for use in the field. If there is more than one subfield for which codes are defined, each separate code list will be identified with a CODES heading. When a list of codes is validated by the network, the error code will be specified.

## **NETWORK VALIDATED RULES**

Specifies rules that are validated on the network, that is, rules for which an error code is defined. Generally, rules specified in this section affect only the field in which they appear. In some cases, rules which are validated at the message level, i.e., rules which affect more than one field, are repeated in this section. This is the case when the rule does not affect the presence of the field, but information within several fields (eg, a currency which must be the same for more than one field in the message).

## **USAGE RULES**

Specifies rules that are not validated on the network, that is, rules for which no error code is defined, but are nevertheless mandatory for the correct usage of the field.

This field may contain recommendations of NSMPG regarding the usage of this field, which are not validated on the network and are not mandatory for the correct usage of the message. They are intended to ensure most efficient operation with the message when conducting an operation on the securities market.

## **MARKET PRACTICES RULES**

Specifies rules that are published by the Working Group (SMPG). The document describes the basic rules of market practices and business processes that use the specified field. The absence of market practices section in the Rules does not indicate that there is no practice for the considered field. The presence of this section is an indication that / that this practice is widespread. However, users should keep in mind that in addition to the global common market practice may exist additional rules which are specific to a particular country, which should be observed when applying the field. For more detailed information on the rules of market practices (SMPG) please visit [www.smpg.info](http://www.smpg.info).

## **EXAMPLES**

Provides one or more examples of the field as it will be formatted/used.

### **1.1.2. Message Type Format Specifications**

Format specifications are the formatting rules for the settlement instructions, confirmations, reports and settlement status advices. This information is provided in table form for each message type:

## **GENERAL INFORMATION**

Scope of application of the message type.

## **FORMAT SPECIFICATIONS**

Rules for the layout of the message type.

This information is provided in table form as the following:

Status	Tag	Detailed Field Name	Content/Options	Qualifier	№ clause in RUS	№ SWIFT User Handbook
--------	-----	---------------------	-----------------	-----------	-----------------	-----------------------

Where

**Status** – indicates if the field is:

- **M** – Mandatory
- **O** – Optional.

The status **M** for fields in optional (sub)sequences means that the field must be present if the (sub)sequence is present and at least one field is filled in the (sub)sequence, otherwise it is not used.

**Tag** is the field identification.

**Detailed Field Name** is the detailed name of the field tag, for this message type.

**Content/Options** provides permitted field length and characteristics. For information concerning field structure, notation and character restrictions, please see volume “Standards MT General Information”.

**Qualifier** specifies the qualifier to be used in the field.

**№ of section in RUS** is the section number in the RUS which contains field specification

**№ SWIFT User Handbook** identifies the number of the field in the Field Specifications for the message type in current release of the SWIFT User Handbook..

## **EXAMPLES AND SCHEMES**

Examples of message usage on the national securities market taking into account recommendations developed by NSMPG and corresponding schemes for securities movements.

In the message of any type it is possible to use only those fields and only those options which are provided by the format a message type.

## **1.2. ISO Identification Codes**

### **1.2.1. Bank Identifier Code (BIC) of the International Organization for Standardization (ISO)**

For SWIFT Users’ identification Bank Identifier Code (BIC) can be used. It is assigned in accordance with ISO standard.

#### **FORMAT**

4!a2!a2!c[3!c], where

- Bank code 4!a
- Country code 2!a
- Location code 2!c
- Branch code [3!c]

Country code is based on ISO 3166 international standard.



BIC is used in the Header of a financial message to identify its Sender and Receiver and in the text of a financial message to identify a settlement party (field 95) involved in securities transactions. For further information see Option P description.

### **1.2.2. International Securities Identification Number (ISIN) assigned by International Organization for Standardization (ISO)**

SWIFT Users can use unified international identification codes ISIN (International Securities Identification Number) for identifying securities involved in transactions in the stock market. These codes are assigned by a designated ISO Registration Authority – ANNA – in compliance with ISO 6166 international standard.

The structure of ISIN is the following:

#### **FORMAT:**

2!a10!c, where

- Country Code 2!a
- Security Identification Number assigned by designated authority 10!c

#### **EXAMPLE:**

:35B:ISIN RU0009046585

### **1.2.3. Currency Codes assigned by International Organization for Standardization (ISO)**

#### **FORMAT:**

3!a

The currency code consists of two letters of country code ISO standart and the following third letter, indicating the specific type of currency or money. For the Russian ruble it looks like the **RUB**.

The currency code must be valid ISO code (verified by the network).

## **1.3. Allowed character set and transliteration**

The character set allowed by S.W.I.F.T. to be used in text fields of the messages included in the present Guide is the following:

a b c d e f g h i j k l m n o p q r s t u v w x y z

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z

0 1 2 3 4 5 6 7 8 9

/ - ? : ( ) . , ' +

☐Cr☐ (☐carriage return☐) ☐Lf☐ (☐line feed☐) ☐Space☐

As the allowed character set does not contain Cyrillic characters, conversion from Cyrillic to Latin letters should be done for the purpose of financial messaging in accordance with the unified transliteration table and the rules stipulated further in this document.

The rules and transliteration table included in this section ensure the conversion from Cyrillic to Latin characters. All the messages, created by the Sender in compliance with SWIFT-RUS recommendations, that possibly contain Cyrillic letters and/or are meant to be processed by the Receiver in Russian language, must be converted.

During transliteration the upper/lower case of Cyrillic characters does not matter: all Cyrillic characters are considered as UPPER case symbols.

Transliteration should be reversible, i.e., the information before direct transliteration and after reverse transliteration must be identical (on both – Sender's and Receiver's - sides), through which the maximum authenticity of transmitted information is guaranteed. This rule has the following exceptions: special mode of conversion of symbol «“» (double quotes) described in section 1.3.2 and impossibility of preserving the original case (register) of Cyrillic characters.

### **1.3.1. Transliteration Indicator**

To notify the Receiver of the necessity of reverse transliteration of the whole line or its part the Sender puts transliteration indicator in the field immediately before the text that should be converted (that contains Cyrillic characters) and also after the text.

The transliteration indicator in SWIFT-RUS is apostrophe «'». This indicator is used to notify of the start/stop of transliteration and means, that the following characters of the line in the field must be converted in accordance with the rules and the table included in the present document (during the first/odd entry) or must not be converted (during the second/even entry).

The transliteration indicator may be omitted in the end of the text under transliteration if the field does not contain more characters.

### **1.3.2. Transliteration Rules**

Each character of the Russian alphabet should be transliterated by one appropriate character of the Latin alphabet.

In order to enlarge the Latin character set and to avoid the field size extension UPPER and lower case characters are used according to the Table of correspondence indicated below.

Service symbols are quoted unchanged except for the apostrophe («'»).

In the process of direct conversion each symbol of apostrophe («'») matches with a series of two symbols of apostrophe («''») independently from the position of Cyrillic or Latin characters – on its right or left side.

Taking into account frequent usage of the symbol of double quotes («"») and for the purpose of its integration in the allowed character set it is considered as equivalent to the symbol of apostrophe and should be converted accordingly. In the result of reverse conversion on the Receiver's side the symbol of double quotation in the Sender's original text will correspond to the symbol of apostrophe in the received text.

The fields and subfields of the message's Text block should be converted.

The following information should not be converted:

- The service part of the message (Header, Trailers, Field Tags, Field options, colons used as Field Tags separators, symbols of <Carriage return>, <Line feed>, apostrophe etc.);
- Information in the Reference field (field 20) and in the Related reference field (field 21);
- Fields containing numbers, code words or other codes according to SWIFT standards;
- Fields identifying Settlement parties with option P;
- Qualifiers;
- Code words in between two slashes.

If SWIFT-RUS transliteration is used, the following fact should be taken into account: the length of the field of the message to be sent through SWIFT network and to be transliterated together with all the service symbols of apostrophe must comply to the maximum field length in accordance with SWIFT standards.

### 1.3.3. Transliteration Table

Reference text	Transliteration	Reconstructed transliterated text – reverse transliteration (Cyrillic)	Comments
A	A	A	
Б	B	Б	
B	V	B	
Г	G	Г	
Д	D	Д	
E	E	E	
Ё	o	Ё	Latin lower case
Ж	J	Ж	
З	Z	З	
И	I	И	
Й	i	Й	Latin lower case
К	K	К	
Л	L	Л	
М	M	М	
Н	N	Н	
О	O	О	
П	P	П	
Р	R	Р	
С	S	С	
Т	T	Т	
У	U	У	
Ф	F	Ф	

X	H	X	
Ц	C		
Ч	c	Ч	Latin lower case
Ш	Q	Ш	
Щ	q	Щ	Latin lower case
Ъ	x	Ъ	Latin lower case
Ы	Y	Ы	
Ь	X	Ь	
Э	e	Э	Latin lower case
Ю	u	Ю	Latin lower case
Я	a	Я	Latin lower case
‘		‘	Apostrophe in the transliterated text is a sign of switching keyboard RUS/ENG-ENG/RUS
‘	j	‘	Latin lower case
”	j	‘	Latin lower case
’	j	‘	Latin lower case
˘	j	‘	Latin lower case
’	"		Apostrophe is converted into two apostrophes.
“	”	“	Double quotes are converted into two apostrophes.
0	0	0	
1	1	1	
2	2	2	
3	3	3	
4	4	4	
5	5	5	
6	6	6	
7	7	7	
8	8	8	
9	9	9	
(	(	(	
)	)	)	
?	?	?	
+	+	+	
,	,	.	
/	/	/	
-	-	-	Hyphen
.	.	.	Dot mark
:	:	:	Colon

№	n	№	Latin lower case
#	n	№	Latin lower case
%	p	%	Latin lower case
&	d	&	Latin lower case
Space	<Space>	Space	
!	b	!	Latin lower case
\$	s	\$	Latin lower case
;	v	;	Latin lower case
\	/		
	/		
-	z	-	Latin lower case
=	r	=	Latin lower case
<	(	(	
>	)	)	
[	(	(	
]	)	)	
{	(	(	
}	)	)	
«	m	”	Latin lower case
»	m	”	Latin lower case
“	m	”	Latin lower case
”	m	”	Latin lower case
*	f	*	Latin lower case
@	f	*	Latin lower case
^	f	*	Latin lower case
~	f	*	Latin lower case

Note.

1. The apostrophe contained in the original text, transliterated with letter j in lowercase. Apostrophe in transliterated text is a sign of switching the keyboard.

2. The braces are contained in the source text, transliterated with parentheses, which in reverse transliteration are not converted. An exception is the use of braces in the code of the type of currency transactions in the payment (see. The exception to the rules of transliteration ).

### EXAMPLE 1:

The original text at the Sender's of the message side (before transliteration): 25 positions

Компания "Alliance" (ЗАО)

The text in SWIFT message (after transliteration): 30 positions

'KOMPANIa '''Alliance'' ('ZAO)

The text at the Receiver's side (after reverse transliteration): 25 positions

КОМПАНИЯ 'Alliance' (ЗАО)

#### **EXAMPLE 2:**

The original text at the Sender's of the message side (before transliteration): 5 positions

O'Key

The text in SWIFT message (after transliteration): 6 positions

O''Key

The text at the Receiver's of the message side (after reverse transliteration): 5 positions

O'Key

### **1.4. Indicator of SWIFT-RUS standards release**

SWIFT –RUS standards include the notion of «standard indicator» which is used together with transliteration indicator to define the release of the present document used by the Sender of the message.

This indicator is mandatory for all message types and is put in block 3 of the User Header Block in the field 113. Its format is the following: 4!x.

The standards release indicator for the version SWIFT-RUS10 is **RUSA**.

### **1.5. Message length**

The maximum message length for Category 5 in compliance with ISO 15022 standard is 10000 characters.

### **1.6. Message structure**

#### **1.6.1. Principles of message text creation**

The text of an ISO 15022 message conforms to a defined block structure. Each block consists of fields containing logically interrelated data. Main blocks may include nested blocks.

Each block starts and ends with predefined service fields that contain the name of the block:

- :16R: - start of block
- :16S: - end of block

Depending on the syntaxes rules blocks containing data of the same type may be repeated several times in the text of a message.

#### **1.6.2. Message Field Types**

The text of a message consists of two types of fields: discrete fields and generic fields.

## **DISCRETE FIELD**

Has only one business meaning for all message types.

### **FORMAT:**

:2!n[1!a]:data, where:

- : - mandatory colon
- :2!n – numeric character
- [1!a] – optional alphabetic character (latin capital letter)
- data – field content
- : - mandatory colon

### **Examples of discrete fields:**

:16R:GENL	start of block
:16S:GENL	end of block
:35B:	identification of the Financial Instrument
:23G:NEWM	Function of the Message
:28E:1/ONLY :	Page Number/Continuation Indicator

## **GENERIC FIELD**

Is used to describe groups of business data that are common throughout the messages, eg, dates, amounts, settlement parties' details. They are then made unique (eg, trade date or settlement date) by the addition of a Qualifier, which gives a specific business meaning to the Field.

## **QUALIFIER**

Is a code word of fixed length which is separated from the Field Tag by a colon «:» and from the Field Data – by double slash.

### **FORMAT**

Generic field: :2!n1!a::4!c/[8c]/<data>, where:

- : - mandatory colon
- :2!n – numeric character
- :1!a: - optional alphabetic character (latin capital letter)
- : - mandatory colon
- : - second colon, generic field character
- :4!c – qualifier
- [8c] - Data Source Scheme
- <data content> – field content.

Field number and option are of the same format as for discrete field.

Generic field character indicates generic field (second colon following the field number and option).

### **EXAMPLE:1.**

:97A::4!c//35x                      account number  
:97A::SAFE//12345                    qualifier SAFE – safekeeping account  
:97A::CASH//67890                    qualifier CASH – cash account,

### **EXAMPLE:2.**

:98A::4!c//8!c                      date  
:98A::TRAD//20020810                qualifier TRAD – trade date  
:98A::SETT//20020814                qualifier SETT – settlement date (according to terms and conditions of the contract).

## **1.6.3. Rules of message fields structuring**

### **STRUCTURE**

Each field is identified by a tag which consists of two digits followed by a letter option.

Field structure consists of a colon «:», followed by a tag, followed by a colon «:» and the field content.

### **CONTENT**

Content and character restrictions applied to it:

For all fields , the following basic rules are applied:

The length of the field and the type of characters defined in the descriptions of certain types of messages .

Unless specifically stated otherwise, the field must contain all stated subfields :

- In the sequence indicated
- Without separating characters (except for " / " and " // ", where it is required) .

Brackets limiting format of any subfield indicate that it is optional in this field. For example, if the format of the field is - 16x[/4x], it must necessarily contain up to 16 characters set X , and the following 4 character set X, preceded by a slash "/", are optional and therefore may not be available .

Field must not start with a Carriage Return or Line Feed (<Cr><Lf>).

Field must not be entirely composed of blank characters and must consist of at least one meaningful character.

Hyphen character “-” is not allowed as the first character of the line.

Within discrete field content a colon «:» never must be used as the first character of a line.

Combination of <Cr><Lf> characters always indicate the ending of text.

Fields are separated by a ‘Field Separator within Text’ (<Cr><Lf>).

Field content may be composed of one or several components of fixed or variable length. Subfield may be mandatory or optional.

The first field in a message is preceded by a ‘Start of Text’ (<Cr><Lf>) and the last field in a message is followed by an ‘End of Text’ (<Cr><Lf>-).



## 1.7. Data Types

### LENGTH

nn	Maximum length
nn!	Fixed length
nn-nn	Minimum and maximum length
nn*nn	Maximum number of lines times maximum line length

### TYPES OF CHARACTERS

n	numeric (0-9) only
a	alphabetic capital letters (A-Z)
c	alpha-numeric capital letters (upper case) and digits only
x	any character of the X permitted set
e	blank or space
d	The integer part must contain at least one digit. A decimal comma is mandatory and is included in the maximum length.. Punctuation marks or blanks, are not permitted within the integer part or decimal part.

### BRACKETS

[ ]	Optional subfield
{ }	Block separator

### “X” PERMITTED CHARACTER SET

a-z A-Z 0-9 - / : ( ) . , ‘ + ? <CrLf> <Space>

### CODE WORDS AND QUALIFIERS

Upper case alphabetic letters and digits only.

## 1.8. Fields Specifications

The following general rules apply to all fields:

The field length and type of characters are specified in the field specifications for individual message types.

Unless otherwise stated, all specified subfields must be present.

Brackets, [ ], around the format of a particular subfield, indicate that the subfield is optional within that field. For example, if the format for a field is ‘16x[/4x]’, up to 16 characters must be present. The following 4 characters, preceded by a slash ‘/’, are optional, and therefore may not be present in the field.

A field format may be shown on two or more lines, if the field contains several lines the field format should contain number of lines and the maximum allowable number of characters with “\*”: 10\*35x, 6\*35x, 4\*35x etc.

### EXAMPLE:

:95Q::4!c//4\*35x, i.e.,

:95Q::BUYR//HONGKONG SECURITIES

EXCHANGE SQUARE 3  
CENTRAL HONG KONG  
or  
:95P::4!c//4!a2!a2!c[3!c], i.e.,  
:95P::DEAG//SBOSUS3N

### 1.8.1. Field 11A Currency

#### **FORMAT**

Option A	:4!c//3!a	(Qualifier) (Currency Code)
Qualifier	4!c	
Currency Code	3!a	

#### **PRESENCE**

Optional

#### **QUALIFIER**

ACCT	Currency of Account	Base currency of the account.
DENO	Currency of Denomination	Currency in which a financial instrument is currently denominated
FXIB	Currency to Buy	Account servicer is instructed to buy the indicated currency after the receipt of cash proceeds.
FXIS	Currency to Sell	Account servicer is instructed to sell the indicated currency in order to obtain the necessary currency to fund the transaction within this instruction message.
OPTN	Currency Option	Currency in which the cash disbursed from an interest or dividend payment is offered.

#### **DEFINITION**

The field contains information about the currency code. This field is used both for the settlements and reports on the settlements, and for Corporate Action messages.

#### **NETWORK VALIDATED RULES**

Currency Code must be a valid ISO 4217 currency code (Network Validated Rules).

#### **EXAMPLES**

:11A::DENO//EUR	currency of denomination of financial instrument
:11A::OPTN//USD	cash payment is done in US dollars

## 1.8.2. Field 12a Type of Financial Instrument

Option A	:4!c/[8c]/30x	Qualifier)(Data Source Scheme)(Instrument Code or Description)
Option B	:4!c/[8c]/4!c	(Qualifier) (Data Source Scheme) (Instrument Type Code)
Option C	:4!c//6!c	(Qualifier) (CFI code)

where

- Qualifier 4!c
- Data Source Scheme [8c]
- Instrument Type Code 30x
- Instrument code or
- Description 4!c
- CFI code 6!c

### **PRESENCE**

Optional

### **DEFINITION**

The field can be used in both in settlements messages and settlements reconciliation, as well as in Corporate Action messages:

The fields indicates the following information:

- Classification Type of the financial instrument / qualifier CLAS /,
- Option Style /OPST/,
- Option Type /OPTI/.

Classification type

Classification type of the financial instrument, for example, ISO Classification of Financial instrument (CFI).

Option Style\_Specifies how an option can be exercised (American or European).

Option Type

Specifies whether it is a call option or a put option.

### **USAGE RULES**

In option B if Data Source Scheme is not present, Instrument Type Code must contain one of the following codes:

Option style – AMER (American Style) or EURO (European Style).

Option type – CALL (Call Option) or PUTO (Put Option).

When option C is used, CFI code must contain a valid ISO CFI code.

### **EXAMPLES**

:12A::CLAS/ECLR/BOND Classification Type of financial instrument code is indicated with Euroclear Data Source Scheme.

:12B::OPST//AMER	Specifies how an option can be exercised
:12C::CLAS//ESVUFR	CFI code classification type

### 1.8.3. Field 13a Number Identification

#### FORMAT

Option A	:4!c//3!c	(Qualifier) (Number ID)
Option B	:4!c/[8c]/30x	(Qualifier) (Data Source Scheme) (Number)
Option J	:4!c//5!c	(Qualifier) (Extended Number ID)
Option K	:4!c//3!c/15d	(Qualifier) (Number ID) (Quantity)

where

- Qualifier 4!c
- Number ID 3!c
- Extended Number ID 5!c
- Number - XML message identifier 30x
- Quantity 15d (including decimal comma)

#### PRESENCE

Optional

#### QUALIFIER

The field can be used in both in settlements messages and settlements reconciliation, as well as in Corporate Action messages:

It is recommended to use the following qualifiers:

CAON	CA Option Number	Number identifying the available corporate action options assigned by account servicing institution (used in the Corporate Action messages).
COUP	Coupon Number	Number of the next coupon attached/associated with a security.
LINK	Linked Message	Message type number/message identifier of the message referenced in the linkage sequence.
LOTS	Lot Number	Number identifying a lot constituting the financial instrument.
POOL	Pool Number	Number identifying a group of underlying assets assigned by the issuer of a factored security.
STAT	Statement Number	Sequential number assigned to the statement.
VERN	Version Number	Version number of the option contract or tranche of the related financial instrument.

Possibility of using a specific code is determined in the message specification.

## **DEFINITION**

This field specifies the message type number of the related message as well as the sequential number of the report, assigned by the sender.

## **NETWORK VALIDATED RULES**

Extended number identification is only used in change reports (DELT code). Reference number in Option B must not start or end with a slash «/» and not contain two consecutive slashes «//».

“Quantity” subfield (option K) should contain at least one number. Decimal comma is mandatory and included in maximum extended length.

## **USAGE RULES**

With qualifier LINK Number ID must contain:

Together with option A in subfield “Number ID” the number of message type (MT) linked FIN message should be indicated.

Together with option B in “Number” subfield the message identifier of XML linked message should be indicated.

## **EXAMPLES**

:13A::LINK//540 Message type number of the linked message

:13A::STAT//364 Statement Number of the report

:13A::CAON//002 Corporate Action Option Number

### **1.8.4. Field 17B Flag**

## **FORMAT**

Option B :4!c//1!a (Qualifier) (Flag)

where

- Qualifier 4!c
- Flag 1!a

## **PRESENCE**

Optional

## **QUALIFIER**

It is recommended to use the following qualifiers:

ACTI	Activity Flag	Indicates whether holdings or transactions are reported in this statement
AUDT	Audited statement	Indicates whether the statement is audited.
CALL	Callable Flag	Indicates whether the issuer has the right to repay the financial instrument prior to maturity (used in the Corporate Action messages).
CERT	Certification/ Breakdown Flag	Indicates whether certification/breakdown is required. (providing information about the owner of the securities)
CONS	Sub-safekeeping Statement	Indicates whether the statement reports holdings or transactions at sub-safekeeping

CHAN	Change Allowed Flag	account level. Indicates whether change of instruction is allowed.
DFLT	Default Processing Flag	Indicates whether the option, for example, currency option, will be selected by default if no instruction is provided by the account owner. (used in the Corporate Action messages)
FRNF	Floating Rate Note Flag	Indicates whether the interest rate of an interest bearing instrument is reset periodically. (used in the messages for corporate actions)
PUTT	Putable Flag	Indicates whether the holder has the right to ask for redemption of the financial instrument prior to final maturity (used in the Corporate Action messages).
RCHG	Charges Flag	Indicates whether charges apply to the holder, for instance redemption charges. (used in the Corporate Action messages)
STIN	Standing Instruction Flag	Indicates whether an account owner has placed a standing order to select this corporate action option. (used in the Corporate Action messages)
WTHD	Withdrawal Allowed Flag	Indicates whether withdrawal of instruction is allowed. (used in the Corporate Action messages)

### **DEFINITION**

This field specifies the flag of information availability in the report, such as the balances or transactions on the account, as well as the type of the statement.

Corporate Action messages may contain the following information: whether certification from the account holder is required (providing information about the owner of the securities), changes of the interest rate on interest-bearing financial instrument, whether the holder has the right to ask for redemption of the financial instrument prior to final maturity, whether the issuer has the right to repay the financial instrument prior to maturity.

### **CODES**

Subfield Flag must contain one of the following codes:

N	No
Y	Yes

### **USAGE RULES**

If the Activity Flag (field:17B::ACTI) indicates no information to be reported, that is, N, then subsequences Financial Instrument or Transactions must not be present in the message.. Otherwise, they are mandatory.

If the consolidated statement flag (field:17B::CONS) is set to «Y», then in each sequence of «Sub-safekeeping account» should be given the appropriate number of sub-accounts.

Qualifier AUDT is optional.

## **EXAMPLES**

:17B::ACTI//N	the report does not contain information
:17B::CONS//Y	consolidated statement contains information on sub-accounts
:17B::DFLT//Y	by-default option (if there are no instructions from the owner of the account)
:17B::RCHG//Y	commission is charges form the owner of the account

## **1.8.5. Field 19a Amount**

### **FORMAT**

Option A :4!c//[N]3!a15d (Qualifier) (Sign) (Currency code) (Amount)

where

- Qualifier 4!c
- Negative amount sign [N]
- ISO currency code 3!a
- Amount 15d

### **PRESENCE**

Optional

### **QUALIFIER**

It is recommended to use the following qualifiers in the messages on settlements and corporate actions:

ACRU	Accrued Interest Amount	Amount of interest that has been accrued in between coupon payment periods.
BOOK	Book Value	The cost of all securities. This is needed for tax purposes in some countries.
CHAR	Charges/Fees	Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.
COVA	Value of Collateral Held	Total value of the collateral (post-haircut) held by the exposed party prior to the collateral proposal.
DEAL	Trade Amount	Principal amount of a trade (price multiplied by quantity) or Principal amount of a trade (for second leg).
ENTL	Entitled Amount	Cash amount based on terms of corporate action event and balance of underlying securities, entitled to/from account owner (which may be positive or negative).
ESTT	Settled Amount	Amount effectively settled and which will be credited to/debited from the account owner's cash account. It may differ from the instructed settlement amount (SETT) based on market tolerance level.

HOLD	Holding Value	Value of an individual financial instrument holding within a safekeeping account.
LOCO	Local Tax	Amount of tax charged by the jurisdiction in which the financial instrument settles.
PSTA	Posting Quantity	Quantity of securities that have been posted (credit or debit) to safekeeping account.
REGF	Regulatory Amount	Amount of money charged by a regulatory authority, for example, Securities and Exchange fees in US.
SETT	Settlement Amount	Total amount of money to be paid or received in exchange for the financial instrument.
STAM	Stamp Duty	Amount of stamp duty.
STEX	Stock Exchange Tax	Amount of stock exchange tax.
TRAN	Transfer Tax	Amount of tax levied on a transfer of ownership of financial instrument.
TRAX	Transaction Tax	Amount of transaction tax.
VATA	Value-Added Tax	Amount of value added tax.
WITH	Withholding Tax	Amount of money that will be withheld by a tax authority.

### **DEFINITION**

This field specifies the total amount of funds effectively settled (ESTT) to be paid or received in exchange for the financial instrument. (SETT) .

In Corporate Action messages the field may specify the amount entitled to the owner (ENTL), the gross amount of funds (GRSS), Withholding Tax Amount (TAXR), etc.

### **USAGE RULES**

The maximum length is 15 characters, including a comma between the integer and fractional part.

The integer part of Amount must contain at least one digit. A decimal comma is mandatory and is included in the maximum length. Punctuation marks or blanks, are not permitted within the integer part or decimal part..

The field must not be empty or start with zero, only if zero is indicated in the integer part of the amount followed by a comma.

Sign N must be present only for the negative amount.

Currency Code must be a valid ISO currency code (validated on the network).

The field can be used in both in settlements messages and settlements reconciliation, as well as in Corporate Action messages:

Settlement Amount / qualifier SETT/,

Amount Effectively Settled / qualifier ESTT/,

Accrued Interest Amount / qualifier ACRU/,

Transaction Amount / qualifier DEAL/,

Executing Broker's Amount/ Commission / qualifiers EXEC, LOCO/,

Tax Amount / qualifier COAX, STAM, STEX, TRAN, TRAX, VATA, WITH etc./

Interest Amount / qualifier INTR/.



## **EXAMPLES**

:19A::SETT//USD1000

:19A::ESTT//USD1000

:19B::ENTL//RUB750000 Entitled Amount payable to the owner

:19B::GRSS//RUB1000000 gross cash amount

:19B::NETT//RUB750000 Net Cash Amount

:19B::TAXR//RUB180000 Withholding Tax Amount

### **1.8.6. Field 20C Sender's Reference (message)**

#### **FORMAT**

Option C :4!c//16x (Qualifier) (Reference)

where

- Qualifier 4!c
- Message reference 16x

#### **PRESENCE**

Mandatory

#### **QUALIFIER**

It is recommended to use the following qualifiers in the settlement and corporate action messages:

SEME - Reference assigned by the Sender to unambiguously identify the message.

#### **DEFINITION**

This field specifies reference of the message assigned by the Sender to unambiguously identify the message.

#### **NETWORK VALIDATED RULES**

Reference must not start or end with a slash «/» and not contain two consecutive slashes «//».

#### **USAGE RULES**

It is recommended to indicate unique reference to unambiguously identify the message.

#### **EXAMPLES**

:20C::SEME//INST1425

### **1.8.7. Field 20C Reference**

#### **FORMAT**

Option C :4!c//16x (Qualifier) (Reference)

where

- Qualifier 4!c

### **PRESENCE**

Presence of the fields (mandatory or optional) and a list of valid qualifiers are indicated in Format Specifications of a particular message, depending on the sequence /subsequence and the type of indicator.

### **QUALIFIER**

It is recommended to use the following qualifiers in settlements and Corporate Action messages:

COAF	Official Corporate Action Event Reference	Official and unique reference assigned by the official central body/ entity within each market at the beginning of a corporate action event.
CORP	Corporate Action Reference	Reference assigned by the account servicer to unambiguously identify a corporate action event. Should remain unchanged in all correspondence between the sender of the message and its recipient
PREV	Previous Message Reference	Message reference of the linked message which was previously sent.
RELA	Related Message Reference	Message reference of the linked message which was previously received.
SEME	Sender's Message Reference	Reference assigned by the Sender to unambiguously identify the message.

### **DEFINITION**

This field may contain the reference of the message linked with the current instruction, reference of the message being canceled, a reference of the corporate action notification or corporate action reference (the reference assigned by custody or Official Corporate Action Event Reference assigned by official body on the market).

Compared to the Message Sender Reference (SEME), which identifies a specific message, Corporate Action Reference refers to corporate actions and it should not be changed in all messages relating to a particular corporate action.

Corporate Action Reference (CORP) must be unique, and two different events should not be given the same CA references.

In accordance with the recommendations of Securities Market Practice Group (SMPG) Corporate Action Reference (CORP) must be unique to the combination of CA code and securities (ISIN).

If the issuer announces the CA in two or more securities, for example, the payment of dividends with similar conditions for ordinary and preference shares, each event must have a unique reference.

### **NETWORK VALIDATED RULES**

Reference must not start or end with a slash «/» and must not contain two consecutive slashes «//».

### **USAGE RULES**

To cancel a previously sent message, Function is CANC. Reference (field 20C) in the linkage sequence must contain the Sender's reference of the message to be cancelled. In

a cancellation request message a copy of at least the mandatory fields of the message to be cancelled must be present.

### **EXAMPLES**

:20C::RELA//INST1425

## **1.8.8. Field 22a Indicator**

### **FORMAT**

Option F :4!c/[8c]/4!c (Qualifier) (Data Source Scheme) (Indicator)

Option H :4!c//4!c (Qualifier) (Indicator)

where

- Qualifier 4!c
- Data Source Scheme [8c]
- Indicator 4!c

### **PRESENCE**

Presence of the fields (mandatory or optional) and a list of valid qualifiers are indicated in Format Specifications of a particular message, depending on the sequence /subsequence and the type of indicator.

### **QUALIFIER**

It is recommended to use the following qualifiers in the settlement and Corporate Action messages:

BENE	Beneficial Ownership Indicator	Specifies whether there is change of beneficial ownership.
BLOC	Block Trade Indicator	Specifies whether the settlement instruction is a block parent or child.
CAEP	Corporate Action Event Processing	Type of processing involved by a Corporate Action.
CAEV	Corporate Action Event Indicator	Specifies the type of corporate event.
CAMV	Mandatory/ Voluntary Indicator	Specifies whether the event is mandatory, mandatory with options or Voluntary and if the instruction is required.
CASY	Cash Settlement System Indicator	Specifies what cash settlement system is instructed to be used.
CCPT	CCP Eligibility Indicator	Specifies whether the settlement transaction is CCP (Central Counterparty) eligible.
CODE	Complete/Updates Indicator	Specifies whether the statement is complete or contains changes only. (used in statements – specifies whether the statement contains complete data or only changes since the previous statement)
CONV	Conversion Type Indicator	Specifies the conversion type instrument (used in corporate action messages)
CRDB	Credit/Debit Indicator	Specifies whether the value is a debit or credit.

DISF	Disposition of Fractions Indicator	Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.
ESTA	Corporate Action Event Stage	Stage in the corporate action event life cycle.
MICO	Method of Interest Computation Indicator	Specifies the computation method of (accrued) interest of the financial instrument.
NETT	Netting Eligibility Indicator	Specifies whether the settlement transaction is eligible for netting.
PAYM	Payment Indicator	Specifies whether the instruction is free or against payment.
REDE	Receive/Deliver Indicator	Specifies whether the transaction is a receipt or delivery of financial instruments.
REGT	Registration Indicator	Specifies whether registration should occur upon receipt.
REPT	Repurchase Type Indicator	Specifies the type of repurchase transaction.
REST	Restrictions	Specifies the regulatory restrictions applicable to a financial instrument.
RTGS	Securities Real-Time Gross Settlement Indicator	Specifies whether the settlement transaction is to be settled through an RTGS or a non RTGS system.
SETR	Type of Settlement Transaction Indicator	Specifies underlying information regarding the type of settlement transaction (REPO transaction, issuance, depositary receipts issuance, etc.
SETS	Settlement System/ Method Indicator	Specifies whether the settlement instruction is to be settled through the default or the alternate settlement system.
SFRE	Statement Frequency Indicator	Specifies frequency of a statement (used in the statements - to specify frequency of a statement / daily, monthly, etc.)
STAM	Stamp Duty Indicator	Specifies the stamp duty type or exemption reason applicable to the settlement instruction.
STBA	Statement Basis	Specifies the type of balances on which the statement is prepared. (used in statements – to specify the type of balances on which the statement is prepared – by trade date, by contractual date, or by effective settlement date)
STCO	Settlement Transaction Condition Indicator	Specifies the conditions under which the order/trade is to be settled. (used to specify additional special conditions)
STTY	Statement Type	Specifies the usage purpose of the statement of holding. (used in statements – to specify if the statement is custody or it contains market valuation of securities portfolio)
STST	Statement Structure Type Indicator	Specifies whether the statement is sorted by status or transaction.
TCPI	Tax Capacity Party Indicator	Specifies the tax role capacity of the instructing party.

## **DEFINITION**

This field contains additional indicator, e.g., type of securities, settlement conditions, type of settlement instruction etc.

## **USAGE RULES**

In SETDET sequence this field specifies the type of settlement transaction. Code SETR//TRAD is recommended to be used as default for all transaction types. Standard codes (OWNI, OWNE) may also be used if bilaterally agreed by the parties.

## **EXAMPLES**

:22F::CAMV//VOLU

:22F::CAEV//DVCA

## **1.8.9. Field 23G Function of the Message**

### **FORMAT**

Option G 4!c[/4!c] (Function) (Subfunction)

where

- Function of the message 4!c
- Subfunction of the message [/4!c]

### **PRESENCE**

Mandatory

### **DEFINITION**

This field identifies the function of the message.

### **CODES**

“Function” field may contain one of the following codes depending on the message type:

CANC	Cancellation Request	Message requesting the cancellation of a previously sent message.
PREA	Preadvice	Relates to a pre-advice, that is for matching purposes only. It can be used for matching but is not binding prior to the corresponding instructions from the account owner
ADDB	Additional Business Process	Message sent to notify an additional business process to a corporate action.
CANC	Cancellation Advice	Message to cancel a corporate action event previously announced by the account servicer or a previously sent Preliminary Advice of Payment message (:22F::ADDB//CAPA in sequence D).
NEWM	New	New message.
REPE	Eligible Balance Notification	Message reporting an eligible balance that may or may not include an entitlement calculation or a revised entitlement calculation. It may (optional) include calculation of payable

receivables or changes in calculation of payable receivables (used in the Corporate Action messages)

REPL	Replacement	Message replacing a previously sent message (used in Corporate Action messages)
RMDR	Reminder	Message sent as a reminder of an event taking place. (used in the Corporate Action messages to remind of necessity to send instructions on voluntary corporate action or with the right of choice)
WITH	Withdrawal	Message sent to void a previously sent notification due to the withdrawal of the event or offer by the issuer.
CAST	Cancellation Request Status	Message reporting on a cancellation request status.
EVST	Event Status	Message reporting on a corporate action event status.
INST	Instruction Status	Message reporting on an instruction status.

In case of “Subfunction” subfield one of the following codes should be applied:

CODU	Copy Duplicate	Message is a copy to a party other than the account owner/account servicer.
COPY	Copy	Message is a copy to a party other than the account owner/account servicer, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

### **USAGE RULES**

A message with the Function CANC is used:

- To request the cancellation of a previously sent messages MT540-MT543
- As a cancellation of previously sent messages MT544-MT547, MT535-MT537.

If the message functions if CANC, then field :20C: “Reference” in “Linkages” subsequence should contain the number of the cancelled message. In case of cancellation at least mandatory fields of the message must be present.

### **EXAMPLES**

:23G:NEWM

## **1.8.10. Field 24B Reason**

### **FORMAT**

Option G :4!c/[8c]/4!c (Qualifier) (Data Source Scheme) (Reason code)

where

- Qualifier 4!c
- Reason code 4!c

### **PRESENCE**

Mandatory in optional sequence

### **QUALIFIER**

It is recommended to use the following qualifiers in the messages for settlements and corporate actions:

CAND	Cancellation Reason	Specifies the reason why the instruction is cancelled.
CANP	Pending Cancellation Reason	Specifies the reason why a cancellation request sent for the related instruction is pending.
PACK	Acknowledgement Reason	Specifies additional information about the processed instruction.
PEND	Pending Reason	Specifies the reason why the instruction has a pending status.
REJT	Rejection Reason	Specifies the reason why the instruction/request has a rejected status.
NMAT	Unmatched Reason	Specifies the reason why the instruction has an unmatched status.
PENF	Pending/Failing Reason	Specifies the reason why the instruction has a failing settlement status.
PPRC	Pending Processing Reason	Specifies the reason why the instruction has a pending processing status.

### **DEFINITION**

The field specifies the cancellation reason, not matched reason, pending or pending failing reason of the previously received instructions

### **USAGE RULES**

The set of qualifiers and status codes permitted for this field must be bilaterally agreed between the parties:

### **EXAMPLES**

:24B::PEND//CLAC pending reason – insufficient number of securities of the counterparty  
 :24B::NMAT//CMIS unmatched reason – the instruction is not matched as the counterparty's instruction is missing.

## **1.8.11. Field 25D Status**

### **FORMAT**

Option D :4!c/[8c]/4!c (Qualifier) (Data Source Scheme) (Status code)

where

- Qualifier 4!c
- Status code 4!c

## **PRESENCE**

Mandatory in optional sequence

## **QUALIFIER**

It is recommended to use the following qualifiers in the messages for settlements and corporate actions:

CPRC	Cancellation Processing Status	Provides the status of a cancellation request.
EPRC	Corporate Action Event Processing Status	Provides the status of a corporate action or the status of a payment.
ESTA	Corporate Action Event Stage	Stage in the corporate action event life cycle.
INMH	Inferred Matching Status	Provides the matching status of an instruction as per the account servicer based on an allegation. At this time no matching took place on the market (at the CSD/ICSD).
IPRC	Instruction Processing Status	Provides the status of an instruction.
MTCH	Matching Status	Provides the matching status of the instruction.
SETT	Settlement Status	Provides the status of settlement of an instruction/financial instrument movement.
SPRC	Request for Statement/Status Advice Status	Provides the status of an MT 549 - Request for Statement/Status Advice.
TPRC	Processing Change Command Status	Provides the status of an MT 530 - Processing Change Command.

## **DEFINITION**

The field specifies the instruction processing, matching or settlement status of the instructions previously received by the Sender and related to delivery/receipt of the securities, request status on cancellation of the previously sent instructions, and in the corporate action messages may be given the status of the corporate action or status of the instruction for participation in the corporate action.

## **USAGE RULES**

The set of qualifiers and status codes permitted for this field must be bilaterally agreed by the parties.

## **EXAMPLES**

:25D::SETT//PEND	status of instruction - pending settlement
:25D::MTCH//NMAT	status of instruction – unmatched
:25D::CANC//CAND	status of the cancellation request – instruction is cancelled



## 1.8.12. Field 28E Page number/Continuation Indicator

### FORMAT

Option E      5n/4!c      (Page Tumber) (Continuation IIndicator)

where

- Page Number      5n
- Continuation Indicator      4!c

### PRESENCE

Mandatory

### DEFINITION

This field specifies the page number and indicates whether the page is the sole or the last one, either in the report, there are additional pages.

### QUALIFIER

The following codes are recommended to be present in “Continuation Indicator” subfield:

LAST	Last Page	Last page of a statement with more than one page.
MORE	Intermediate Page	Intermediate page of a statement which contains additional pages.
ONLY	Only Page	Only page of the statement.

### EXAMPLES

:28E:2/MORE	second page of the report, will be additional pages
:28E:4/LAST	the fourth and last page of the report
:28E:1/ONLY	the first and only page of the report

## 1.8.13. Field 35B Identification of the Financial Instrument

### FORMAT

Option B    [ISIN1!e12!c]      (Identification of Security)  
                  [4\*35x]      (Description of Security)

where

- ISIN – identification of Security through ISIN1!e12!c
- Description of Security 4\*35x

### PRESENCE

In the message specification for each field and the set of qualifiers it is specified are they mandatory or optional and this depends on the sequence/subsequence.

### DEFINITION

This field identifies the financial instrument and consists of two subfields: subfield for ISO ISIN and subfield for other identifiers of the security and its text description.

## **USAGE RULES**

The following order of securities identification within financial messages is recommended:  
When available, ISIN must be used.

When an ISIN identifier is not used it is strongly recommended that the financial instrument should be identified through national state registration number. In this case ISIN subfield is not present, and the identifier is indicated in the first line of the subfield for text information formatted as follows:

- **/RU/ <national state registration number>**

Indication of national state registration number is mandatory, if securities are delivered to or transferred through accounts open in a registrar. In the corporate action messages it is recommended additionally to ISIN to identify state registration number.

If the national state registration number does not allow to identify unambiguously a financial instrument, corporate identifier is recommended to be used with mandatory indication of financial institution which has assigned this identifier. The conditions of using such corporate identifier should be bilaterally agreed between the parties.

Corporate identifier is indicated in the first line of the subfield for text information formatted as follows:

- **/XX/CORP/4!c/<corporate identifier>**, where  
4!c – ISO BIC-4 of the financial institution which has assigned this identifier.

## **EXAMPLES**

Financial Instrument Identifier assigned by a financial institution with ISO BIC BANKRUMM is formatted as follows:  
:35B:/XX/CORP/BANK/1240987SH

If national state registration number and/or corporate identifier are not available financial instrument details can be provided in narrative form. The information included in the text subfield is bilaterally agreed and may contain the issuer's name, financial instrument type, series, issue number etc.

Financial Instrument Identifier ISIN or Proprietary code is considered as priority while the narrative description of a security may be ignored by the Depository if bilaterally not agreed otherwise.

For User's convenience additional information may be indicated in addition to ISIN, national state registration number or corporate identifier.

### **1.8.14. Field 36B Quantity**

#### **FORMAT**

Option B	:4!c//4!c/15d	(Qualifier) (Quantity Type Code) (Quantity)
Option C	:4!c//4!c	(Qualifier) (Quantity Code)
Option E	:4!c//4!c/[N]15d	(Qualifier) (Quantity Type Code) (Sign) (Quantity)

where

- Qualifier 4!c
- Quantity Type Code 4!c
- Sign [N]
- Quantity of Financial Instrument 15d
- Quantity Code 4!c

### **PRESENCE**

In the message specification for each field and the set of qualifiers it is specified are they mandatory or optional and this depends on the sequence/subsequence.

### **QUALIFIER**

It is recommended to use the following qualifiers in the messages for settlements and corporate actions:

ENTL	Entitled Quantity	Quantity of securities based on the terms of the corporate action event and balance of underlying securities entitled to the account owner. (This quantity can be positive or negative).
ESTT	Quantity of Financial Instrument Settled	Quantity of financial instrument effectively settled.
OWND	Quantity of Securities Owned	Quantity of securities belonging to the beneficial owner specified.
PSTA	Posting Quantity	Quantity of securities that have been posted (credit or debit) to safekeeping account.
PSTT	Quantity of Financial Instrument Previously Settled	Quantity of financial instrument previously settled.
QINS	Quantity of Securities Instructed	Quantity of underlying securities to which this instruction applies.
QREC	Quantity to Receive	Quantity of the benefits that the account owner wants to receive, for example, as a result of dividend reinvestment.
RSTT	Quantity of Financial Instrument Remaining to be Settled	Quantity of financial instrument remaining to be settled.

### **DEFINITION**

This field specifies the quantity of securities, which move in the operation of corporate action messages – quantity of accrued securities, quantity of securities which include instruction on the corporate action e.t.c. Quantity type is identified by qualifier.

### **USAGE RULES**

Quantity of securities is expressed in units, Quantity Type Code – UNIT. In certain cases quantity may be expressed as the face amount of the principal of a debt instrument, Quantity Type Code – FAMT (for instructions related to transactions with international securities in international Depository).

If the quantity contains decimals, fractional part must be preceded by decimal comma «,», any other punctuation marks or blanks, are not permitted within the integer part or

decimal part (for millions, thousands etc. The number of places following the decimal comma may not exceed the maximum field length (15 characters including separator).

The same restrictions are applied for the subfield «Quantity» and for the subfield «Amount».

The following options are valid:

**123,12,3 0,123 123456, 123,0 00123,**

The following options are not valid:

**123 12.3 .123 ,123 123456 123.456 123,456,**

If the quantity of financial instrument has to be indicated in simple fraction (eg, settlements related to corporate action), the following scheme is recommended:

In MT540-547.

The integer part of the amount is indicated in the field :36B: in standard format.

The fractional part of the amount is indicated in the text field :70E::FIAN in subsequence FIA with code word FRAC; numerator and denominator should be separated by slash “/”.

### **EXAMPLES**

:36B::SETT//UNIT/10000, quantity of financial instrument to be credited or debited,

:36B::ESTT//UNIT/5000, quantity of financial instrument, which has been effectively credited or debited.

Quantity of financial instrument - 100 2/3 - is indicated as follows:

:36B::SETT//UNIT/100,

:70E::FIAN//FRAC/2/3

The corporate actions instructions in option C in subfield “Quantity Type Code” may be specified the code identifying that the Instruction applies to the entire eligible balance of underlying securities, which will be on the account at the time of the corporate action:

QALL	All Securities	Instruction applies to the entire eligible balance of underlying securities.
------	----------------	--

### **EXAMPLES**

:36B::ENTL//UNIT/10000, quantity of financial instrument which are entitled to the owner of the account.

:36B::PSTA//UNIT/1600, quantity of financial instrument, which has been booked (debited or credited) on the safekeeping account.

:36B::QINS//UNIT/500, quantity of underlying securities, to which the corporate action instruction on corporate action applies.

:36B::QINS//QALL, Instructions are applicable to the entire eligible balance of the underlying securities.

## 1.8.15. Field 69a Period

### FORMAT

Option A	:4!c//8!n/8!n	(Qualifier) (Date) (Date)
Option B	:4!c//8!n6!n/8!n6!n	(Qualifier) (Date) (Time) (Date) (Time)
Option C	:4!c//8!n/4!c	(Qualifier) (Date) (Date Code)
Option D	:4!c//8!n6!n/4!c	(Qualifier) (Date) (Time) (Date Code)
Option E	:4!c//4!c/8!n	(Qualifier) (Date Code) (Date)
Option F	:4!c//4!c/8!n6!n	(Qualifier) (Date code) (Date) (Time)
Option J	:4!c//4!c	(Qualifier) (Date Code)

where

- Qualifier 4!c
- Period format YYYYMMDD/YYYYMMDD 8!n/8!n
- Period format YYYYMMDDHHMMCC/ YYYYMMDDHHMMCC 8!n6!n/8!n6!n
- Date Code 4!c

### PRESENCE

In the message specification for each field and the set of qualifiers it is specified are they mandatory or optional and this depends on the sequence/subsequence.

### QUALIFIER

It is recommended to use the following qualifiers in the messages for settlements and corporate actions:

BLOK	Blocking Period	Period during which the security is blocked. (used in Corporate Action messages)
BOCL	Book Closure Period	Period defining the last date on which shareholder registration will be accepted by the issuer and the date on which shareholder registration will resume. (used in Corporate Action messages)
CLCP	Claim Period	Period assigned by the court in a class action. It determines the client's eligible transactions that will be included in the class action and used to determine the resulting entitlement. (used in Corporate Action messages)
CSPD	Compulsory Purchase Period	Period during a take-over where any outstanding equity must be purchased by the take-over company.
INPE	Interest Period	Period during which the interest rate has been applied.

PRIC	Price Calculation Period	Period during which the price of a security is determined.
PWAL	Period of Action	Period during which the specified option, or all options of the event, remains valid, for example, offer period.
REVO	Revocability Period	Period during which the shareholder can revoke, change or withdraw its instruction. (used in Corporate Action messages)
STAT	Statement Period	Period on which the statement is reporting.
SUSP	Suspension of Privilege	Period during which the privilege is not available, for example, this can happen whenever a meeting takes place or whenever a coupon payment is due.
TRDP	Trading Period	Period during which intermediate or outturn securities are tradable in a secondary market. (used in Corporate Action messages)

### **DEFINITION**

This field specifies the accounting period in the statement (STAT).

In corporate actions messages in the field may be specified period of applying of the offer /PWAL/period of applying specified interest rate/INPE/, blocking period /BLOK/ e.t.c.

### **USAGE RULES**

Date must be a valid date expressed by eight digits, and date and time must be expressed by fourteen digits according to one of the appropriate ISO formats: YYYYMMDD or YYYYMMDDHHMMCC, where Y is year, M is month, D is date. Eg, 20060406 means the 6th of April 2006.

In C option subfield "Date code" may specify the following codes: /ONGO/, if the date is identified during the process (if options BUYA or SLLE are applied), /UKWN/, if the date is unknown, /OPEN/, if the date is not specified.

### **EXAMPLES**

:69A::STAT//20110406/20110406 - report is provided for transactions made on the 6<sup>th</sup> of April 2011

:69B::STAT//20110404000000/20110406235959 - report is provided for transactions made from the 4th to the 6th of April 2011

:69A::PWAL//20111223/20120208 - period of corporate action event

:69A::INPE//20110910/20120310 - period of applying specified interest rate

:69C::BLOK//20120621/ONGO - financial instruments are blocked starting from June 21, 2012

## **1.8.16. Field 70a Narrative**

### **FORMAT**

Option C :4!c//4\*35x (Qualifier) (Narrative)

Option D :4!c//86\*35x (Qualifier) (Narrative)

Option E :4!c//10\*35x (Qualifier) (Narrative)

Option G :4!c//10\*35z (Qualifier) (Narrative)

## **PRESENCE**

Optional

## **QUALIFIER**

It is recommended to use the following qualifiers in the messages for settlements and corporate actions:

DECL	Declaration Details	Provides declaration details narrative relative to the party.
FIAN	Financial Instrument Attribute Narrative	Provides additional information about the financial instrument in narrative form.
PACO	Party Narrative	Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.
REAS	Reason Narrative	Provides additional reason information.
REGI	Registration Details	Provides information required for the registration.
SPRO	Settlement Instruction Processing Narrative	Provides additional settlement processing information which can not be included within the structured fields of the message.
TRDE	Transaction Details Narrative	Provides additional details on the transaction which can not be included within the structured fields of the message.
WEBB	Web-site	Web-site, for additional information on the event, i.e. URL (for example HTTP for www).

## **DEFINITION**

The field contains qualifier and narrative subfield. The field may c additional information related to securities, trade details, party, transaction basis and other data, that is not associated with appropriate predefined fields in a message.

## **USAGE RULES**

If it is necessary to specify text information in Corporate Actions messages, the possibility of a foreign language and/or transliteration should be determined by mutual agreement of the parties.

In order to indicate the contact person, telephone number, e-mail address in all messages on corporate actions (MT564, MT565, MT566) it is recommended to use field 70E with qualifier PACO.

In corporate actions messages if necessary to specify links to the we-site it is recommended to use field 70E with qualifier WEBB. The field may contain any characters, which are included to the set of Z SWIFT characters. This set of characters includes characters from SWIFT X and Y set also @ \_ and #.

## **EXAMPLES**

:70E::PACO//CUSTODY DEPT  
PETROV IVAN

TEL 8 499 245 75 68  
EMAIL PETROV (AT) DEPO.RU

If in Corporate Action messages MT564 the field 70E contain narrative description of the information which is included in the message's structured fields and automated processing of this information is not required it is recommended to use qualifier /TXNR/.

In order to ensure straight through processing (STP) this field is recommended to be structured. For the moment there are the following codes:

- Contract types,
- Registration details, if the Place of Settlement is the Registrar,
- Account type of the registered person of the Delivering/Receiving Agent of the securities, if the Place of Settlement is the Registrar.

This information is recommended to be specified in narrative fields 70 in SETPRTY sequence with the qualifier DEAG/REAG with single slash "/" as separator between the code word and the data after it.

### **WEB-SITE**

When indicating the web-site a link to a specific page should be given, where additional information on CA can be found.

### **Details of documents – reason of transactions.**

#### **FORMAT**

:70E::DECL//TYPE/document's code[/NAME/document's name]/NUMB/ document's number/DATE/ document's date

If the document's name is different from the codes listed below, it should be explained in the subfield after the code /NAME/. In this case the code subfield should contain the code /OTHR/.

The document's date must be entered in the form YYYYMMDD.

In the absence of the document's number the code /DATE/ and the document's date should be entered immediately after the code word /NUMB/. The following indicators are NOT recommended to be used: "w/n", "without number".

In case of bilateral agreement information on the documents which authorize making an entry to depo account (document code, name, number, date), may be indicated in TRADDET sequence in field 70E with SPRO qualifier with code word /DECL/ also in structured format:

**:70E::SPRO//DECL//TYPE/4!c/[NAME/128x/]NUMB/35x/DATE/8!n**

#### **CODES**

The following codes are recommended to be used for definition of the document's type:

- BYSA contract of purchase and sale
- EXGA contract of exchange
- NOMA agreement of nominal holding
- INDA intra-depository agreement
- DEPA depository agreement
- NCBO client's instruction (used for instructions of securities' delivery/receipt when no transfer of property right occurs)
- PLGA pledge contract
- REPA REPO
- DSTA contract of deposit/storage (deposit agreement)



- TRSA trustee management contract
- ORDA agency contract
- GIFA gift agreement
- COLA contract of lending (credit agreement)
- COMC contract of commission
- AGTC agent contract
- JUST judicial decision
- ILST writ obligatory
- INHE Certificate of Right to Inheritance by Operation of Law
- CONT agreement of exercising control functions
- ISSA issuer account agreement
- OTHR narrative description of a different basis of transaction
- BROK brokerage agreement

One and the same field :70E: may contain several bases of transaction.

### **EXAMPLES**

:70E::DECL//TYPE/OTHR/NAME/'REQENIE ARBITRAJNOG  
O SUDA'/NUMB/25/DATE/20040914/TYPER/  
BYSA/NUMB//123-MD/DATE/20041014

Or

:70E::SPRO//DECL//TYPE/OTHR/NAME/'REQENIE ARBITR  
AJNOGO SUDA'/NUMB/25/DATE/20040914  
TYPE/BYSA/NUMB/123-MD/DATE/20041014

### **Registration details.**

#### **FORMAT**

:70D::REGI//TYPE/code[/NAME/name]/NUMB/[document's number]/DATE/ document's  
date/REGA/name of the authority or institution that issued the document

In registration details in compliance with regulating documents the following information should be specified: registration information (for juridical person) and information about the document confirming the person's identity (for individual person).

If the document's name is different from the codes listed below, it should be explained in the subfield after the code /NAME/. In this case the code subfield should contain the code /OTHR/.

In the absence of the document's number the code /DATE/ and the document's date should be entered immediately after the code word /NUMB/. The following indicators are NOT recommended to be used: "w/n", "without number". If the document's series is present it should be separated from the number by double slash.

One and the same field :70D: may contain data related to several documents.

In case of bilateral agreement information on the registration documents of the Receiver (document code, name, series/number, date, issuing organization), with code word /REGI/ may be indicated in TRADDET sequence in field 70E with SPRO qualifier with code word /REGI/ also in structured format:

:70E::SPRO//REGI/[BENT/4!c]TYPE/4!c[/NAME/128x/]NUMB/35x/DATE/8!n/REGA/128  
x

#### **CODES**

The following details can be specified:

- NUMB document's number
- DATE date of issuance, YYYYMMDD
- REGA institution's name
- TYPE 4!c document's type, where
- NAME narrative description of the document (for OTHR type)

The following codes are recommended to be used for definition of the document's type:

For individual persons:

- CCPT passport
- BIRT birth-certificate
- FCCP foreign passport
- OTHR other documents issued by the Ministry of Internal Affairs

For juridical persons:

- BKLC license of the Bank of Russia
- LICS licenses
- STIC registration certificate
- OGRN certificate of registration in the the Uniform State Register of Legal Entities of Russian Federation
- OTHR other

One and the same field :70D: may contain several bases of transaction.

Passport details of an individual should be provided in compliance with the following recommendations:

- only subfields after the codes /DATE/ and /REGA/ should be used;
- if several registration documents of an individual are present, passport details should be indicated first in the field :70D::REGI;
- for this document the subfield /NAME/ is not permitted to be present;
- passport series and number should be specified in the field :95S::ALTE (section 1.8.21).

### **EXAMPLE 1**

Indication of a registration certificate of a legal entity (before the 1<sup>st</sup> of July 2002) and a certificate of registration in the the Uniform State Register of Legal Entities of Russian Federation:

```
:70D::REGI//TYPE/STIC/NUMB/123.456/DATE/2001101
1/REGA/'MRP'/TYPE/OGRN/NUMB/1025501
341252/DATE/20030511/REGA/'MEJRAiON
NAa INSPEKCIa MNS
```

### **EXAMPLE 2**

Indication of details of a foreign passport of an individual person:

```
:70D::REGI//TYPE/FCCP/NUMB/99//1234567/
DATE/20040127/REGA/'UVD 653
```

Or

:70E::SPRO//REGI/TYPE/FCCP/NUMB/99//1234567/  
DATE/20040127/REGA/'UVD 653

### **EXAMPLE 3**

Indication of details of a passport of an individual:

:70D::REGI//TYPE/CCPT/NUMB//DATE/20030612/REGA/  
'OVD ZuZINO G.MOSKVY

The document's series and number are specified in the field :95S::ALTE (Example 1.8.21).

### **Account type**

#### **FORMAT**

:70C::PACO//OWNT/4!c, where  
4!c – account type code.

#### **CODES**

- OWNE owner account
- TRUS trustee manager account
- FNOM depository account of foreign nominee
- FTRS account of foreign custodian
- DEPR depository account of depository
- NOMI nominee account
- DEPO deposit account
- EMIS issuer account
- ISSR issuer (issuer account)
- OTHR other accounts set by the federal law – with possibility to specify the name of the account
- PLED pledgeholder account
- NDCD nominee account of the central depository
- TRUR undefined persons account
- FUNI “distributed investment units” account
- AFUN “additional investment units” account
- MORD “mortgage participation certificate” account
- AMOR “additional mortgage participation certificate” account

#### **EXAMPLE**

:70C::PACO//OWNT/OWNE  
:70C::PACO//OWNT/NOMI

### **1.8.17. Field 90a Price**

#### **FORMAT**

- |          |                  |  |
|----------|------------------|--|
| Option A | :4!c//4!c/15d    | (Qualifier) (Percentage Type Code) (Price)             |
| Option B | :4!c//4!c/3!a15d | (Qualifier) (Amount Type Code) (Currency code) (Price) |
| Option E | :4!c//4!c        | (Qualifier) (Price Code)                               |

Option F	:4!c//4!c/3!a15d/4!c/15d	(Qualifier) (Amount Type Code) (Currency code) (Price) (Quantity Type Code) (Quantity)
Option J	:4!c//4!c/3!a15d/3a!15d	(Qualifier) (Amount Type Code) (Currency Code) (Amount) (Currency Code) (Amount
Option K	:4!c//15d	(Qualifier) (Index Points)

where

- Qualifier 4!c
- Percentage Type Code 4!c
- Price 15d
- Amount Type Code 4!c
- ISO – Currency Code 3!a
- Price Code 4!c
- Amount 15d
- Quantity Type Code 4!c
- Quantity 15d
- Index Points 15d

### **PRESENCE**

Optional

### **QUALIFIER**

It is recommended to use the following qualifiers in the messages for settlements and corporate actions:

DEAL	Deal Price	Price of the traded financial instrument.
INDC	Indicative Price	Estimated price, for example, for valuation purposes.
MRKT	Market Price	Last reported/known price of a financial instrument in a market.

### **CODES**

In option A subfield “Percentage Type Code” must contain one of the following codes:

DISC	Discount	Price expressed as the number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
PREM	Premium	Price expressed as the number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
YIEL	Yield	Price expressed as a yield.

In option B subfield “Amount Type Code” must contain one of the following codes:

ACTU	Actual Amount	Price expressed as an amount of currency per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.
In option F subfield "Quantity Type Code" must contain one of the following codes:		
AMOR	Amortised Value	Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.
FAMT	Face Amount	Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.
UNIT	Unit Number	Quantity expressed as a number, for example, a number of shares.
In option F subfield "Price code" must contain the following code:		
UKWN	Unknown Price	Price is unknown by the sender or has not been established.

### **DEFINITION**

The field may contain information on market value of the financial instrument, price of execution /EXER/, price per product /OFFR/ etc. Used in the messages on the corporate actions.

### **USAGE RULES**

Currency code should be current ISO code (validated by the network).

### **EXAMPLES**

:90A::OFFR//PRCT/77,441745578	bid price as a percentage of par value
:90B::OFFR//ACTU/RUB0,0284	actual price of the offer
:90B::PRPP//ACTU/RUB0,5	price of reinvestment
:90E::OFFR//UKWN	bid price is unknown
:90F::OFFR//PLOT/USD100,/FAMT/1000	bid price per lot

## **1.8.18. Field 92a Rate**

### **FORMAT**

Option A	:4!c//[N]15d	(Qualifier) (Sign) (Rate)
Option B	:4!c//3!a/3!a/15d	(Qualifier) (First Currency Code) (Second Currency Code) (Rate)
Option C	:4!c//[8c]/24x	(Qualifier) (Data Source Scheme) (Rate Name)
Option D	:4!c//15d /15d	(Qualifier) (Quantity) (Quantity)
Option E	:4!c//4!c/[N]15d[4!c]	(Qualifier) (Rate type code) (Sign) (Rate) (Rate status)

Option F	:4!c//3!a15d	(Qualifier) (Currency Code) (Amount)
Option J	:4!c//[8c]/4!c/3!a15d[/4!c]	(Qualifier) (Data Source Scheme) (Rate Type Code) (Currency Code) (Amount) (Rate Status) (Qualifier) (Rate Type Code)
Option K	:4!c//4!c	(Qualifier) (Rate Type Code)
Option L	:4!c//3!a15d/3!a15d	(Qualifier) (First Currency Code) (Amount) (Second Currency Code) (Amount)
Option M	:4!c//3!a15d/15d	(Qualifier) (Currency Code) (Amount) (Quantity)
Option N	:4!c//15d/3!a15d	(Qualifier) (Quantity) (Currency Code) (Amount)

where

- Qualifier 4!c
- Sign [N]
- Rate 15d
- ISO – Currency Code 3!a
- Rate Name 24x
- Quantity 15d
- Amount 15d
- Rate Type Code 4!c
- Rate Status 4!c

### **PRESENCE**

Optional

### **QUALIFIER**

It is recommended to use the following qualifiers in the messages for settlements and corporate actions:

ADEX	Additional for Existing Securities	Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, for example, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.
ADSR	Additional for Subscribed Resultant Securities	Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.
EXCH	Exchange Rate	Exchange rate between the amount and the resulting amount.
NEWO	New to Old	Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, for example, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting

equities.

INTR	Interest Rate	Annual rate of a financial instrument.
NWFC	Next Factor	Rate expressed as a decimal between 0 and 1 that will be applicable as of the next factor date and defines the outstanding principal of the financial instrument (for factored securities).
NXRT	Next Interest Rate	Interest rate applicable to the next interest payment period in relation to variable rate instruments.
PRFC	Previous Factor	Rate expressed as a decimal between 0 and 1 that was applicable before the current factor and defines the outstanding principal of the financial instrument (for factored securities).
PRIC	Pricing Rate	Interest rate to be paid on the transaction amount, as agreed between the counterparties.
REPO	Repurchase Rate	Repurchase rate used to calculate the repurchase amount.
RSPR	Spread Rate	Margin over or under an index that determines the repurchase rate.

In option J if qualifier is "INTP" subfield "Rate Type Code" must contain one of the following codes:

SCHD	Scheduled	Rate of the scheduled payment.
USCD	Unscheduled	Rate of the unscheduled payment.

In option J if qualifier is "NETT" subfield "Rate Type Code" must contain one of the following codes:

INCO	Income Portion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
SOIC	Sundry/Other Income	Rate relating to the underlying security for which other income is paid.
TXBL	Taxable Portion	Rate relating to the underlying security for which tax is charged.
TXDF	Tax Deferred	Rate relating to the underlying security for which tax is deferred.
TXFR	Tax Free	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Unfranked

In option K if qualifier is other than "GRSS" or "INTP" and "Data Source Scheme" is not present, subfield "Rate Type Code" must contain the following code:

UKWN Unknown Rate

Rate is unknown by the sender or has not been established.

The rate is specified on the basis of reference or basic rate which are identified in option C in subfield "Rate Name" (for example, EONIA, EURIBOR, LIBOR, FEFUND, EURREPO) etc.

### **DEFINITION**

The field may contain information on annual interest rate, rate applicable to the corporate action, rate of exchanging new securities to the existing, exchange rate etc.

### **USAGE RULES**

Currency code should be valid ISO code (validated by the network).

If the value is negative the subfield "Sign" should be used.

Subfield "Rate Status" is "ACTU" by default.

Unless otherwise indicated in the definition, the rate should be expressed as a percentage but not decimals.

### **EXAMPLES**

:92A::INTR//11	annual interest rate
:92A::NWFC//0,1234567	next value of the factor which is used to calculate the unpaid principal amount of the financial instrument
:92B::EXCH//RUB/USD/0,03216406244	exchange rate
:92D::NEWO//3,/1,	3 new equities credited for every 1 underlying equity debited
:92F::INTP//USD55,	interest rate for the payment
:92J::GRSS//TXBL/EUR0,7	taxable underlying securities rate
:92K::RATE//UKWN	unknown rate
:92K::RATE//OPEN	unknown rate
:92L::NEWO//RUB100000,/RUB100000,	assimilation rate (CAEV//PARI)

## **1.8.19. Field 93a Balance**

### **FORMAT**

Option A	:4!c/[8c]/4!c	(Qualifier) (Data Source Scheme) (Balance Type Code)
Option B	:4!c//[8c]/4!c/[N]15d	(Qualifier) (Data Source Scheme) (Quantity type code) (Aign) (Balance)
Option C	:4!c//4!c/4!c/[N]15d	(Qualifier) (Quantity type code) (Balance type code) (Sign) (Balance)

where

- Qualifier 4!c
- Quantity type code 4!c
- Balance type code 4!c
- Negative Balance Sign [N]
- Balance 15d



## **PRESENCE**

Mandatory in optional sequence

## **QUALIFIER**

It is recommended to use the following qualifiers in the messages for settlements and corporate actions:

BLOK	Blocked	Balance of financial instruments that are blocked.
ELIG	Total Eligible for Corporate Action Balance	Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.
PEND	Pending Delivery	Balance of financial instruments that are pending delivery.
PENR	Pending Receipt	Balance of financial instruments that are pending receipt.
REGO	Out for Registration	Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).
SETT	Settlement Position Balance	Balance of securities representing only settled transactions; pending transactions not included.
SPOS	Street Position	Balance of financial instruments that remain registered in the name of the prior beneficial owner.
TRAD	Trade Date Position Balance	Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.
TRAN	In Transshipment	Balance of physical securities that are in the process of being transferred from one depository/agent to another.
UNAF	Unaffected Balance	Balance that has not been affected by the process run through the corporate event.

## **DEFINITION**

The field specifies the overall securities balance for the safekeeping account, the securities balance for sub-accounts and the availability of operations.

## **USAGE RULES**

Option B is used to specify the aggregate balance, i.e., overall quantity of securities for the sub-account. In this case the qualifier AGGR should be indicated in the first subfield.

Option C is used to segregate the sub-account's balance by status and availability for operations. The usage of permitted qualifiers should be bilaterally agreed between the parties.

**Quantity Type Code for the field must be UNIT.**

Balance Type Code AVAI is used if the financial instrument is available for any purpose, and NAVL is used, if the securities are unavailable for settlement.

The integer part of the Balance subfield must contain at least one digit. A decimal comma “,” is mandatory and is included in the maximum length.

ELIG - in accordance with the recommendations of the Securities Market Practice Group (SMPG) the balance is indicated in the Notice of corporate action (MT564), if the message is

sent after the record date (cut off), ie when the balance of securities of corporate action (MT564) which fall under CA is specified, and the assessments are to be made. If notification of corporate actions (MT564), is sent before the record date (cut off) , showing the balance of the securities in the account on the date of sending a message with SETT qualifier.

### **EXAMPLES**

:93B::AGGR//UNIT/5000,	securities aggregate balance in the indicated account
:93C::PEND//UNIT/NAVL/N1000,	balance of financial instruments with pending delivery status
:93C::PENR//UNIT/NAVL/250,	balance of financial instruments with pending receipt status
:93C::REGO//UNIT/NAVL/250,	balance of securities that are out for re-registration
:93C::BLOK//UNIT/NAVL/1500,	Balance of financial instruments that are blocked or unavailable for delivery
:93C::TAVI//UNIT/AVAI/2000,	total balance of all available securities
:93B::ELIG//UNIT/100000,00	balance of securities which fall within the scope of corporate action
:93B::CONB//UNIT/100000,00	confirmed securities balance

## **1.8.20. Field 94a Place**

### **FORMAT**

Option B	:4!c/[8c]/4!c/[30x]	(Qualifier) (Data Source Scheme) (Place code) (Narrative)
Option C	:4!c//2!a	(Qualifier) (Country code)
Option D	:4!c//[2!a]/35x	(Qualifier) (Country code) (Place)
Option F	:4!c//4!c /4!a2!a2!c[3!c]	(Qualifier) (Place code) (Identifier Code)
Option E	:4!c//10*35x	(Qualifier) (Address)
Option H	:4!c//4!a2!a2!c[3!c]	(Qualifier) (Identifier Code)

where

- Qualifier 4!c
- Data Source Scheme [8c]
- ISO-Country Code 2!a
- Text (address) 2\*35x
- Place Type Code 4!c
- Text (name) 35x
- Address 2\*35x

### **PRESENCE**

Optional

## **QUALIFIER**

It is recommended to use the following qualifiers in the messages for settlements and corporate actions:

CLEA	Place of Clearing	Place at which the trade is cleared (Central Counterparty).
SAFE	Place of Safekeeping	Location where the financial instruments are/will be safekept.
TRAD	Place of Trade	Place at which the order was traded, directly by the instructing party or not.

In option G in Corporate Action messages this field may contain place of meeting or new place of listing of the company:

MEET	Meeting Place	Place of the company's meeting for the scheduled meeting date.
MET2	Meeting Place 2	Place of the company's meeting for the scheduled second meeting date.
MET3	Meeting Place 3	Place of the company's meeting for the scheduled third meeting date.
NPLI	New Place of Incorporation	New company's place of incorporation.

## **DEFINITION**

The field specifies safekeeping data, listing, place of living, place of meeting e.t.c.

<b><u>Place of safekeeping-</u></b>	Location where the financial instruments or global certificate are/will be safekept. Place of safekeeping may be depository or registrar. Used in Corporate Action messages.
<b><u>Place of listing-</u></b>	Determines the market where financial instrument is listed. Used in the messages for corporate actions.
<b><u>Place of domicile-</u></b>	Place of domicile (qualifier /DOMI/) or non-domicile (qualifier /NDOM/) the owner of the securities. Used in Corporate Action messages.

## **USAGE RULES**

Country Code must be a valid ISO code (network validated).

Place of listing is to be identified by corresponding Market Identifier Code, MIC).

In option F Place Code can be specified:

CUST	Local Custodian
NCSD	National Central Securities Depository
ICSD	International Central Securities Depository
SHHE	Shares held Elsewhere e.t.c.

## **EXAMPLES**

:94F::SAFE//CUST/CITIGB2LMAG

:94F::SAFE//NCSD/DAKVDEFFXXX

To indicate that the securities are kept in registrar option B is to be used with REGISTRAR code:

:94B::SAFE//SHHE/REGISTRAR

:94C::DOMI//RU country of domicile of the securities owner

:94C::NDOM//DE owner is not domiciled in the states country

:94B::PLIS//EXCH/XMOS place of listing

:94G::MEET//5 Bank of America Center Auditorium  
123 North College place of meeting

## 1.8.21. Field 95a Party

### FORMAT

Option C	:4!c//2!a	(Qualifier) (Country Code)
Option P	:4!c//4!a2!a2!c[3!c]	(Qualifier) (Identifier Code)
Option Q	:4!c//4*35x	(Qualifier) (Name and Address)
Option R	:4!c//8!c /34x	(Qualifier) (Data Source Scheme) (Proprietary Code)
Option S	:4!c//[8c]/4c/2!a/30x	(Qualifier) (Data Source Scheme) (Type of ID) (Country code) (Alternate ID)

where

- Qualifier 4!c
- BIC 4!a2!a2!c[3!c]
- Name of the party 4\*35x
- Data Source Scheme 8c
- Proprietary Code 34x
- Type of identifier 4!c
- Country Code ISO 2!a
- Alternate ID 30x

Party identification options have the following meaning:

- Option P - ISO Bank Identifier Code (BIC) published in BIC Directory
- Option Q – Name and Address
- Option R – Proprietary Code of financial institution
- Option S – Alternate ID

In all cases the usage of any option is to be bilaterally agreed between the parties.

### PRESENCE

Mandatory

### QUALIFIER

It is recommended to use the following qualifiers in the messages for settlements and corporate actions:

ACOW Account Owner Party that owns the account.

Settlement parties involved in transactions with securities:

BUYR	Buyer	Party that receives the financial instrument.
DEAG	Delivering Agent	Delivering party that interacts with the place of settlement.
DECU	Deliverer's Custodian	Party that interacts with the delivering agent unless intermediaries exist.
DEI1	Deliverer's Intermediary 1	Party that interacts with the delivering agent.
DEI2	Deliverer's Intermediary 2	Party that interacts with the deliverer's intermediary 1.
PSET	Place of Settlement	Place of Settlement
REAG	Receiving Agent	Receiving party that interacts with the place of settlement.
RECU	Receiver's Custodian	Party that interacts with the receiving agent unless intermediaries exist.
REI1	Receiver's Intermediary 1	Party that interacts with the receiving agent.
REI2	Receiver's Intermediary 2	Party that interacts with the receiver's intermediary 1.
SELL	Seller	Party that delivers the financial instrument.
Cash parties:		
ACCW	Account With Institution	Financial institution that services the cash account of the beneficiary customer, if other than the Receiver.
ALTE	Alternate ID	Alternate identification for a party.
BENM	Beneficiary of Money	Ultimate party to be credited with the money.
DEBT	Debtor	Paying agent ordering customer or debtor.
PAYE	Paying Institution	Party from whose account the payment needs to be done, if different from the Sender's account.
INTM	Intermediary	Financial institution, through which the transfer to the organization servicing the account, is conducted.
Also in Corporate Action messages:		
ISAG	Issuer Agent	Party appointed to administer the event on behalf of the issuer company/offeree. The party may be contacted for more information about the event.
PAYA	Paying Agent	Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeree.
PSAG	Physical Securities Agent	A trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.
REGR	Registrar	Party or Agent responsible for securities register maintenance and services.

RESA	Reselling Agent	A broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.
SOLA	Solicitation Agent	A trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

### **DEFINITION**

The field contains identifier or text description of party involved in transaction. Qualifiers identifying the parties are used to specify their position in settlement chain and their relations with other parties involved in delivery and/or settlements.

Option P: ISO Bank Identifier Code (BIC)

### **FORMAT**

:95P::4!c//4!a2!a2!c[3!c], where

- Qualifier – 4!c
- BIC/BEI 4!a2!a2!c[3!c]

### **USAGE RULES**

Option **P** is used to identify financial institutions with assigned SWIFT code.

**NB!** SWIFT validates BIC

The subfield “BIC” contains ISO Bank Identifier Code (BIC).

It is recommended to identify Russian financial institutions with Option P in case if both the Sender and the Receiver of the message support the Directory of correspondence between ISO BICs and the names of settlement participants in the Russian Federation and should be bilaterally agreed between the parties.

Option P is recommended to be used:

- To identify the counterparty when financial instruments are to be transferred between safekeeping accounts opened at the same financial institution(operation type – “book transfer”);
- To identify the Place of Settlement (qualifier PSET);
- To identify parties when settlement is effected through international Depositories.

### **EXAMPLE**

:95P::PSET//DEPORUMM – Place of Settlement, i.e., financial institution where securities are transferred between accounts.

Option Q: Name and address of the financial institution

### **FORMAT**

:95Q::4!c//4\*35x, where

- Qualifier 4!c
- Name and Address 4\*35x

### **USAGE RULES**

Option Q can be used if it’s not possible to use Option P or if there’s a bilateral agreement between the parties permitting its use.

In case of internal transfers it is recommended to specify in this field the identifier assigned by settlement Depository, in case of transaction by the central depository – BIC code of the central depository or its qualifier should be identified. In case if the Place of Settlement for the transaction is the Registrar, code REGISTRAR can be indicated instead of the name and address of the concrete Registrar.

### **EXAMPLE**

:95Q::REAG//’ZAO ’’RAScoTNO-DEPOZITARNAa KOMPANIa’’

:95Q::PSET//REGISTRAR

:95P::PSET//NADCRUMM (central depository BIC code)

Option R – Proprietary Code of financial institution

### **FORMAT**

:95R::4!c/8c/34x, where

- Qualifier 4!c
- Data Source Scheme 8c
- Proprietary Code 34x

The subfield “Proprietary Code” specifies the local national code or market segment code to identify the party.

The subfield “Data Source Scheme” specifies the code permitted to be used on S.W.I.F.T. network.

This option may be used to identify the institution with a proprietary code, assigned by the market operator or depository. Data Source Scheme should be registered in SWIFT as applicable system for the given field. Currently Russian CSD code is registered as Data Source Scheme – NSDR.

When identifying the parties in CASHPTY sequence bank identification code assigned by Bank of Russia may be used, which is also registered as coding system (RUIC) for field 95a. In this case option R is used.

### **EXAMPLS**

:95R::REAG/NSDR/MC0008800000

:95R::ACCW/RUIC/044000325

Option S – Alternate ID

### **FORMAT**

:95S::4!c/[8c]/4c/2!a/30x

- Qualifier 4!c
- Data Source Scheme 8c
- Type of ID 4c
- Country Code 2!a
- Alternate ID 30x

The subfield “Alternate ID” contains the alternate identification of the party specified in the same sequence.

The subfield “Data Source Scheme” specifies the code permitted to be used on SWIFT network.

The subfield “Type of ID” specifies the alternate ID’s type which can be used to identify the party, e.g., non-resident’s registration number, passport number, tax-payer’s identification number, corporate identifier.

ARNU	Non-resident registration number	Non-resident registration number assigned by the authorities.
CCPT	Passport number	Passport number assigned by the authorities.
CHTY	Exemption identification number	Exemption identification number assigned by the authorities.
CORP	Corporate identification	Number assigned to a corporation.
DRLC	Driving license number	Driving license number.
FIIN	Foreign investor identification number	Foreign investor identification number (if it is different to non-resident registration number).
TXID	Tax payer identification number	Identification number assigned to a tax payer by tax authorities.

The subfield “Country Code” specifies the country where the applied party’s alternate ID is valid. This must be a valid ISO country code.

The subfield “Alternate ID” specifies the party’s alternate identification.

In the current standards release the field with this option is recommended to be used to specify passport number:

:95S::ALTE//CCPT/RU/passport number

The passport series (if present) should be separated from the passport number by double slash.

In case of bilateral agreement Personal Tax Payer ID may be identified:

:95S::ALTE//TXID/RU/ИИИ

**EXAMPLE1:**

:95S::ALTE//CCPT/RU/4505//123456 – Russian passport series and number

**EXAMPLE2:**

:95S::ALTE//TXID/RU/1234567890 – Tax Payer ID

**FORMAT**

:95C::4!c//2!a, where

- Qualifier 4!c
- Country Code 2!a.

**USAGE RULES**

Option C is not used at the Russian market for identifying the parties in the messages of 5<sup>th</sup> category.



## 1.8.22. Field 97a Account

### **FORMAT**

This field specifies the type and the account number of the party involved in the transaction.

Option A	:4!c/35x	(Qualifier) (Account Number)
Option B	:4!c/[8c]/4!c/35x	(Qualifier) (Data Source Scheme) (Account Type Code) (Account Number)
Option C	:4!c//4!c	(Qualifier) (Account Type Code)
Option E	:4!c//34x	(Qualifier) (International Bank Account Number -IBAN)

where

- Qualifier 4!c
- Data Source Scheme 8c
- Account Type Code 4!c
- Account Number 35x

### **PRESENCE**

Optional

### **QUALIFIER**

It is recommended to use the following qualifiers in settlements and Corporate Action messages:

CASH	Cash Account	Account in which cash is maintained.
SAFE	Safekeeping Account	Account where financial instruments are maintained.
REGI	Registrar Account	Account at the registrar where financial instruments are registered.

It is recommended to use the following qualifiers in the messages for corporate actions in option C subfield "Account Type Code":

GENR	General	Announcement applies to all safekeeping accounts that own underlying financial instrument. (Used for general or preliminary announcements).
------	---------	---

### **DEFINITION**

The account provided is the account of the party specified in this sequence.

### **USAGE RULES**

Option B is not used in the current standards version.

The field may contain qualifier SAFE for safekeeping account and CASH for cash account. REGI qualifier is not used.

If a financial instrument is transferred between accounts within a financial institution or through a correspondent institution the presence of account number is mandatory.

For cash account following procedure is recommended if the field contains the qualifier CASH:

- field specified in the sequence FIAC, if the safekeeping account and cash account are serviced by the same financial institution
- field specified in subsequence CSHPRTY, if the safekeeping account and cash account are serviced by different financial institutions.

If qualifier PSET is present in subsequence SETPRTY then field 97a::SAFE is not allowed in the same subsequence.

In corporate action messages in option C GENR code can be used - to show that announcement applies to all safekeeping accounts that own underlying financial instrument.

### **EXAMPLES**

:97A::SAFE//MS12345678      safekeeping account  
 :97A::CASH//500345321      cash account.  
 :97C::SAFE//GENR            all accounts with securities balances

For internal transfers between sections (sub-accounts) of safekeeping accounts it is recommended to specify the sections as follows:

Safekeeping account should be specified in Field :97a:

If the section (sub-account) code is present, it is recommended to specify it in the same field after the account number with the code word /KRZD/. Section code may be specified after two consecutive slashes without code word KRZD.

There's no restriction on the number of characters in the account number and the section (sub-account) code, but the overall quantity of [Sign] s in the field including the code /KRZD/ must not exceed 35.

### **EXAMPLES**

:97A::SAFE//MS9801147521/KRZD/31MC0009900000F00  
 or  
 :97A::SAFE//MS9801147521//31MC0009900000F00

## **1.8.23. Field 98a Date/Time**

### **FORMAT**

Option A	:4!c//8!n	(Qualifier) (Date)
Option B	:4!c/[8c]/4!c	(Qualifier) (Data Source Scheme) (Date Code)
Option C	:4!c//8!n6!n	(Qualifier) (Date) (Time)
Option E	:4!c//8!n6!n[,3n]/[N]2!n [2!n]]	(Qualifier) (Date) (Time) (Decimals) (UTC Indicator)
Option F	:4!c/[8c]/4!c6!n	(Qualifier) (Data Source Scheme) (Date Code) (Time)

where

- Qualifier                            4!c
- Date format                        YYYYMMDD 8!n
- Date format                        MMDD 4!n
- Date and Time format            YYYYMMDDHHMMCC 8!n6!n
- Date Code                           4!n

- Decimals [,.3n]
- UTC Indicator [/[N]2!n[2!n]]

### **PRESENCE**

Mandatory/optional depending on the type of the date

### **QUALIFIER**

In the messages for settlements and corporate actions usage of the following qualifiers is recommended:

ESET	Effective Settlement Date/ Time	Date/time at which a transaction effectively settled.
SETT	Settlement Date/ Time	Date/time at which the financial instruments are to be delivered or received.
TRAD	Trade Date/Time	Date/time at which the deal (rights) was agreed
PREP	Preparation Date	Date on which message was prepared.

In corporate actions messages the following qualifiers can be specified:

ANOU	Announcement Date/Time	Date/time at which the issuer announced that a corporate action event will occur.
EFFD	Effective Date/Time	Date/time at which an event is officially effective from the issuer's perspective.
EXPI	Expiry Date	Date/time at which an order expires or on which a privilege or offer terminates.
EXRQ	Execution Requested Date/ Time	Date/time at which the instructing party requests the instruction to be executed.
MEET	Meeting Date/Time	Date/time at which the bondholders' or shareholders' meeting will take place.
MKDT	Market Deadline Date/Time	Issuer or issuer's agent deadline to respond, with an election instruction, to an outstanding offer or privilege.
PAYD	Payment Date/ Time	Date/time at which the movement is due to take place (cash and/or securities).
POST	Posting Date/Time	Date of the posting (credit or debit) to the account.
RDDT	Response Deadline Date/Time	Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in an SLA.
RDTE	Record Date/Time	Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

**Receive/Delivery Free confirmation** (MT544-547) in TRADDET sequence with ESET qualifier is indicated in this field specifying settlement date and reporting of transactions in an organization that provides the report.

**Receive/Delivery Free confirmation** (MT544-547) in SETPRTY sequence where the party is indicated with PSET qualifier, the settlement date and place of settlement is indicated in this field with PROC qualifier.

**In Corporate Action messages on Russian securities ex-dividend date is not applicable** (for shares it is equal to the record date) as well as the qualifier:

XDTE	Ex-Dividend or Distribution Date/Time	Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.
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## **DEFINITION**

This field may specify the date on which message was prepared, the date on which the transaction was done, the date on which the trade was agreed, the date on which the message was processed in the Settlement institution or Depository etc.

- Date/time at which an order expires or on which a privilege or offer terminates /EXPI/,
- Date/time at which an event is officially effective from the issuer's perspective /EFFD/ etc.

In option B if "Data Source Scheme" subfield is not present, the subfield "Date Code" must contain one of the following codes:

ONGO	Ongoing Basis	Date is determined by "on going basis" process, for example "au fil de l'eau".
UKWN	Unknown Date	Date is unknown by the sender or has not been established.

## **USAGE RULES**

Date must be a valid date expressed by four or eight digits, and date and time must be expressed by fourteen digits according to one of the appropriate ISO formats: MMDD, YYYYMMDD or YYYYMMDDHHMMCC, where Y is year, M is month, D is date. Eg, 20040305 means the 5th of March 2004.

The information type is specified by the Qualifier.

Option A should be used to specify the settlement date and the trade date and option C – to specify the date on which the instruction was prepared/processed.

In case of bilateral agreement for indication settlement date and trade date option C can be used, herewith the tile may be disregarded.

In corporate action messages according to the qualifier options A and C can be used. Option C is recommended to use in cases when indication of time is critical for the reciver of the message (for example date and time of meeting) or in case of indication of deadline for rendering the instructions.

## **EXAMPLES**

:98A::SETT//20040310 expected settlement date indicated in the instruction  
:98A::ESET//20040310 date/time of settlement at the institution rendering transactions report  
:98A::TRAD//20040305 trade date  
:98C::PROC//20040305124500 instruction proceeding date/time. In SETPRTY sequence in reports where the party is indicated with PSET qualifier the field contains effective settlement date.  
:98E::PREP//20120206075642,600 date and time on which the message was prepared  
:98A::RDTE//20120309 holder of record date (in Corporate Action messages)  
:98A::MATU//20180310 maturity date (in Corporate Action messages)  
:98A::EXPI//20120301 date/time at which an order expires (in Corporate Action messages)

:98A::FRNR//20120309 Date on which the interest rate of an interest bearing financial instrument will be/was calculated (in Corporate Action messages)  
 :98C::RDDT//20120301160000 date/time at which the account servicer has set as the deadline to respond (in Corporate Action messages)  
 :98A::POST//20120214 date of the posting – making records  
 :98A::PAYD//UKWN date/time at which the movement is due to take place (cash and/or securities).is unknown (in Corporate Action messages)

## 1.8.24. Field 99a Number

### FORMAT

Option A :4!c//[N]3!n (Qualifier) (Sign) (Number)

where

- Qualifier 4!c
- Sign [N]
- Number [3!a]

### PRESENCE

Optional

### DEFINITION

This field may specify number of days used for calculating the accrued interest amount. (qualifier DAAC). Used in Corporate Action messages.

### USAGE RULES

With negative value subfield “Sign” should be used.

### EXAMPLES

:99A::DAAC//031

## 2. FORMATS OF SETTLEMENT INSTRUCTIONS

This group includes messages that are used to send instructions to the depositary / custodian or other organization serving the securities settlements account (delivery or receipt) with a simultaneous holding of cash settlements or without payment, and to cancel the previously sent instruction .

### 2.1 MT540 RECEIVE FREE

This message is sent by an account owner to an account servicer (account servicing institution). This message is used to:

- instruct the receipt of financial instruments free of payment, physically or by book-entry, from a specified party (the function of the message is NEWM)
- request the cancellation of a receive free of payment instruction previously sent by the account owner (the function of the message is CANC)
- pre-advise the account servicer of a forthcoming receive free of payment instruction (the function of the message is PREA).

## 2.1.1. Format Specifications

Status	Tag	Detailed Field Name	Content/Options	Qualifier	№ RUS	№ SWIFT User Handbook
<b>Mandatory Sequence A General Information</b>						
M	:16R:	Start of Block	GENL			1
M	:20C:	Sender's Message Reference	:4!c//16x	SEME	1.8.6	2
M	:23G:	Function of the Message	4!c/[4!c]		1.8.9	3
O	:98a:	Preparation Date/Time	A,C or E	PREP	1.8.23	4
<b>Optional Repetitive Subsequence A1 Linkages</b>						
M	:16R:	Start of Block	LINK			6
M	:20C:	Linked Message Reference	:4!c//16x	:4!c	1.8.7	9
M	:16S:	End of Block	LINK			11
<b>End of Subsequence A1 Linkages</b>						
M	:16S:	End of Block	GENL			12
<b>End of Sequence A General Information</b>						
<b>Mandatory Sequence B Trade Details</b>						
M	:16R:	Start of Block	TRADDET			13
M	:98a:	Settlement Date/Time	A,B or C	SETT	1.8.23	16
O	:98a:	Trade Date/Time	A,B or C	TRAD	1.8.23	16
M	:35B:	Identification of the Financial Instrument	[ISIN!e12!c][4*35x]		1.8.13	18
<b>Optional Subsequence B1 Financial Instrument Attributes (not considered)</b>						
M	:16S:	End of Block	TRADDET			37
<b>End of Sequence B Settlement Transaction Details</b>						
<b>Mandatory Repetitive Sequence C Financial Instrument/Account</b>						
M	:16R:	Start of Block	FIAC			38
M	:36B:	Quantity of Financial Instrument	:4!c//4!c/15d	SETT	1.8.14	39
O	:95a:	Party/Account Owner	P or R	ACOW	1.8.21	42
M	:97a:	Account	A or B	SAFE	1.8.22	43
M	:16S:	End of Block	FIAC			52
<b>End of Sequence C Financial Instrument/Account</b>						

**Optional Sequence D Two Leg Transaction Details (not considered)****Mandatory Sequence E Settlement Details**

M	:16R:	Start of Block	SETDET			62
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M	:22F:	Indicator	:4!c/[8c]/4!c	SETR	1.8.8	63
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**Mandatory Repetitive Subsequence E1 Settlement Parties**

M	:16R:	Start of Block	SETPRTY			64
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M	:95a:	Place of Settlement	C, P or Q	PSET	1.8.21	65 <sup>1</sup>
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M	:95a:	Delivering Agent	P, Q or R	DEAG	1.8.21	65
---	-------	------------------	-----------	------	--------	----

O	:95a:	Seller	P, Q or R	SELL	1.8.21	65
---	-------	--------	-----------	------	--------	----

O	:95a:	Buyer	P, Q or R	BUYR	1.8.21	65
---	-------	-------	-----------	------	--------	----

O	:97a:	Safekeeping Account	A or B	SAFE	1.8.22	66
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O	:70a:	Narrative	C, D or E	:4!c	1.8.16	69
---	-------	-----------	-----------	------	--------	----

M	:16S:	End of Block	SETPRTY			70
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**End of Subsequence E1 Settlement Parties****Optional Repetitive Subsequence E2 Cash Parties (not considered)****Optional Repetitive Subsequence E3 Amount (not considered)**

M	:16S:	End of Block	SETDET			81
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**End of Sequence E Settlement Details****Optional Repetitive Sequence F Other Parties (not considered)****2.1.2 Example of an instruction to receive securities as a result of internal movement within a Depository/Custodian****Example 1.**

This is an example of an instruction to receive securities into an account with a Depository/Custodian from another account with the same Depository/Custodian.

Instruction number – KL00412/A-861.

Requested Settlement date when the securities are to be moved – the 23rd of September 2004.

Trade date – the 16th of September 2004.

Financial Instrument is defined by the following details:

- ISIN RU0008959655
- Narrative description of RAO EeS securities (Russia) with transliteration indicator – ‘RAOEeS.

The rules of financial instrument’s indication are defined in section 1.8.13 of this document.

Quantity of financial instrument to be settled – 1500 units.

Account number for money transaction - 1111

Type of Settlement Transaction – settlement of a trade, indicator SETR//TRAD.

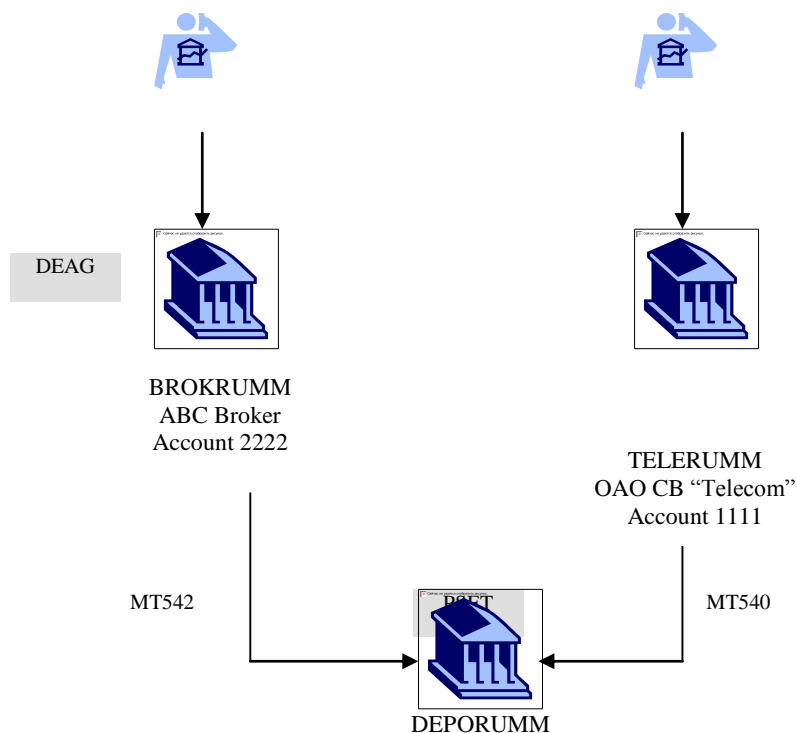
Name of the owner of the nominal holder’s safekeeping account from which the securities should be delivered – ABC Broker, (BIC BROKRUMM, account number 2222).

---

<sup>1</sup> In every SETPRTY repetitive subsequence only one party can be presented: either place of settlement or Delivering agent (except when additional option S “Alternative identification” is applied). Field 97A “Account number” is not applicable in subsequence with PSET qualifier.

Transaction basis is the contract of purchase and sale №45HII-1 dated the 16th of September 2004 and signed by the Seller and the Buyer. Russian letters in the contract number should be transliterated. Place of Settlement is a depository (BIC DEPORUMM) that services the accounts of the sender of the message OAO CB “Telecom” (account number 1111) and its agent ABC Broker (account number 2222, BIC BROKRUMM)

### Message flow.



Sender of MT540            TELERUMM  
Receiver of MT540        DEPORUMM

### Message structure.

```
:16R:GENL
:20C::SEME//KL00412/A-861
:23G:NEWM
:16S:GENL
:16R:TRADDET
:98A::SETT//20040923
:98A::TRAD//20040916
:35B:ISIN RU0008959655
^RAO EeS
:16S:TRADDET
:16R:FIAC
:36B::SETT//UNIT/1500,
:97A::SAFE//1111NM
:16S:FIAC
```



:16R:SETDET  
:22F::SETR//TRAD  
:16R:SETPRTY  
:95P::PSET//DEPORUMM  
:16S:SETPRTY  
:16R:SETPRTY  
:95P::DEAG//BROKRUMM  
:97A::SAFE//2222  
:70E::DECL//TYPE/BYSA/NUMB/45'NP-1'/DATE/20040916  
:16S:SETPRTY  
:16S:SETDET

### **2.1.3. Examples of instructions to receive securities from an account serviced by an external party**

#### **Example 1.**

This is an example of an instruction to receive securities into an account with Depository/Custodian from an account in the Registrar, in case if there is no separate account of the nominee of the central depository.

Instruction number – ANF-34/DF.

Settlement date when the securities are to be credited – the 23rd of September 2004.

Trade date – the 21st of September 2004.

Financial Instrument is defined by the following details:

- National state registration number 2-07-03-00345-A
- Corporate identifier 54327RT assigned by the Depository that services the Client's account
- Narrative description of ordinary shares of the third issue OAO "X-neft" with transliteration indicator – 'OAO "IKS-NEFTX" AO3.

Quantity of financial instrument to be settled – 8000 units.

Safekeeping account to be credited – 1111NM, account of the nominal holder OAO CB "Telecom".

Type of Settlement Transaction – securities movement with Change of Beneficial Ownership, indicator SETR//TRAD.

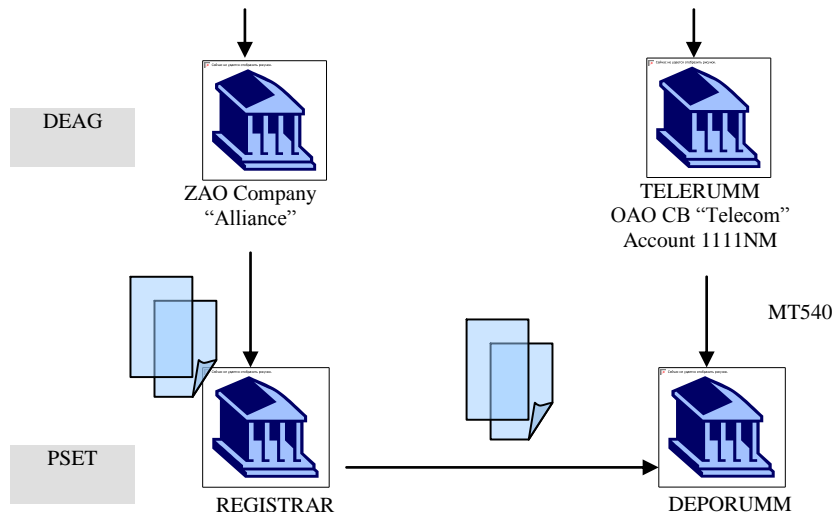
Place of Settlement is the institution which is keeping the Register, Place of Settlement code - REGISTRAR.

Name of the owner of the account in the registrar from which the securities are moved – ZAO Company "Alliance", its account number is not indicated in this example.

Type of the account with the Registrar to be debited – nominal holder's account.

Transaction basis is the depository contract №25 dated the 14th of September 2004 and the contract of purchase and sale №RT12345 dated the 21st of May 2004.

#### **Message flow.**



Place of Settlement is the registrar where the nominal holder ZAO Company "Alliance" has an account. OAO CB "Telecom" instructs the Depository (BIC DEPORUMM) that services its nominal holder's account №1111NM to receive securities from the account of ZAO Company "Alliance" in the registrar.

Sender of MT540 TELERUMM  
Receiver of MT540 DEPORUMM

### Message structure.

```
:16R:GENL
:20C::SEME//ANF-34/DF
:23G:NEWM
:16S:GENL
:16R:TRADDET
:98A::SETT//20040923
:98A::TRAD//20040921
:35B:/XX/CORP/DEPO/54327RT
/RU/2-07-03-00345-A
' OAO 'IKS-NEFTX' AO3
:16S:TRADDET
:16R:FIAC
:36B::SETT//UNIT/8000,
:97A::SAFE//1111NM
:16S:FIAC
:16R:SETDET
:22F::SETR//TRAD
:16R:SETPRTY
:95Q::PSET//REGISTRAR
:16S:SETPRTY
:16R:SETPRTY
:95Q::DEAG/'ZAO KOMPANIa''ALLIANCE''
:70E::DECL//TYPE/DEPA/NUMB/25/DATE/20040914
TYPE/BYSA/NUMB/RT12345/DATE/20040521
:70D::PART//OWNT/NOMI
:16S:SETPRTY
:16S:SETDET
```

### Example 2.

This is an example of an instruction to receive securities to an account with a Depository/Custodian without Change of Beneficial Ownership. The securities are debited from an account in the Registrar.  
Instruction number – RT12345GAZ.

Settlement date when the securities are to be moved – the 19th of May 2004.

Trade date – the 21st of September 2004.

Financial Instrument is defined by the following details:

- ISIN RU0009034268
- National state registration number 1-01-00029-A.

Narrative description of securities is not used in the current example.

The rules of financial instrument's indication are defined in section 1.8.5 of this document.

Quantity of financial instrument to be settled – 3000 units.

Safekeeping account to be credited – 1111NM.

Type of Settlement Transaction – securities movement without Change of Beneficial Ownership, indicator SETR//OWNE.

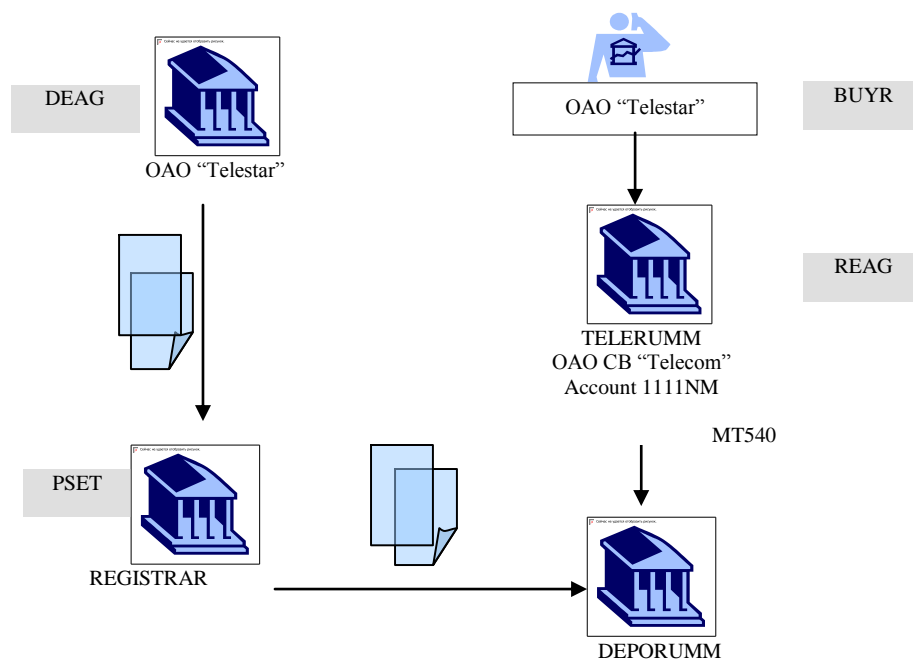
Place of Settlement is the institution which is the Registrar Holder, Place of Settlement code - REGISTRAR.

Name of the owner of the account in the registry from which the securities are moved – OAO "Telestar", its account number is not indicated in this example.

Type of the account with the Registrar to be debited – owner's account.

Transaction basis is the depository contract № 134 dated the 1st of February 2002.

### Message flow.



Place of Settlement is the Registrar where the owner OAO “Telestar” has an account. The bank OAO CB “Telecom” (BIC TELERUMM) instructs the Depository (BIC DEPORUMM) that services its nominal holder’s account №1111NM to receive securities from the account of OAO “Telestar” in the registrar.

Sender of MT540 TELERUMM

Receiver of MT540 DEPORUMM

**Message structure.**

:16R:GENL  
:20C::SEME//RT12345GAZ  
:23G:NEWM  
:16S:GENL  
:16R:TRADDET  
:98A::SETT//20040519  
:35B:ISIN RU0009034268  
/RU/1-01-00029-A  
:16S:TRADDET  
:16R:FIAC  
:36B::SETT//UNIT/3000,  
:97A::SAFE//1111NM  
:16S:FIAC  
:16R:SETDET  
:22F::SETR//OWNE  
:16R:SETPRTY  
:95Q::PSET//REGISTRAR  
:16S:SETPRTY  
:16R:SETPRTY  
:95Q::DEAG//‘OAO ‘TELESTAR’  
:70E::DECL//TYPE/DEPA/NUMB/134/DATE/20020201  
:70D::PART//OWNT/OWNE  
:16S:SETPRTY  
:16S:SETDET

## **2.2 MT541 RECEIVE AGAINST PAYMENT**

This message is sent by an account owner to an account servicer (account servicing institution). This message is used to:

- instruct the receipt of financial instruments against payment, physically or by book-entry, from a specified party (the function of the message is NEWM)
- request the cancellation of a receive against payment instruction previously sent by the account owner (the function of the message is CANC)
- pre-advise the account servicer of a forthcoming receive against payment instruction (the function of the message is PREA).

## 1.1.1 2.2.1. Format Specifications

Status	Tag	Detaild Field Name	Content/Options	Qualifier	№ RUS	№ SWIFT User Handbo ok
<b>Mandatory Sequence A General Information</b>						
M	:16R:	Start of Block	GENL			1
M	:20C:	Sender's Message Reference	:4!c//16x	SEME	1.8.6	2
M	:23G:	Function of the Message	4!c[/4!c]		1.8.9	3
O	:98a:	Preparation Date/Time	A,C or E	PREP	1.8.4	4
<b>Optional Repetitive Subsequence A1 Linkages</b>						
M	:16R:	Start of Block	LINK			6
M	:20C:	Linked Message Reference	:4!c//16x	:4!c	1.8.7	9
M	16S:	End of Block	LINK			11
<b>End of Subsequence A1 Linkages</b>						
M	:16S:	End of Block	GENL			12
<b>End of Sequence A General Information</b>						
<b>Mandatory Sequence B Trade Details</b>						
M	:16R:	Start of Block	TRADDET			13
M	:98a:	Settlement Date/Time	A,B or C	SETT	1.8.23	15
O	:98a:	Trade Date/Time	A,B or C	TRAD	1.8.23	15
M	:35B:	Identification of the Financial Instrument	[ISIN1!e12!c][4*35x]		1.8.13	18
<b>Optional Subsequence B1 Financial Instrument Attributes (not considered)</b>						
M	:16S:	End of Block	TRADDET			37
<b>End of Sequence B Settlement Transaction Details</b>						
M	:16R:	Start of Block	FIAC			38
M	:36B:	Quantity of Financial Instrument	:4!c//4!c/15d	SETT	1.8.14	39
<b>Mandatory Repetitive Sequence C Financial Instrument/Account</b>						
O	:95a:	Party/Account Owner	P or R	ACOW	1.8.21	42
M	:97a:	Safekeeping Account	A or B	SAFE	1.8.22	43
O	:97a:	Account	A or E	CASH	1.8.22	43
M	:16S:	End of Block	FIAC			52
<b>End of Sequence C Financial Instrument/Account</b>						
<b>Optional Sequence D Two Leg Transaction Details (not considered)</b>						
<b>Mandatory Sequence E Settlement Details</b>						

M	:16R:	Start of Block	SETDET			62
M	:22F:	Indicator	:4!c/[8c]/4!c	SETR	1.8.8	63
<b>Mandatory Repetitive Subsequence E1 Settlement Parties</b>						
M	:16R:	Start of Block	SETPRTY			64
M	:95a:	Place of Settlement	C, P or Q	PSET <sup>2</sup>	1.8.21	65
M	:95a:	Delivering Agent	P, Q or R	DEAG	1.8.21	65
O	:95a:	Party/Seller	P, Q or R	SELL	1.8.21	65
O	:95a:	Party/Buyer	P, Q or R	BUYR	1.8.21	65
O	:97a:	Safekeeping Account	A or B	SAFE	1.8.22	66
O	:70a:	Narrative	C, D or E	:4!c	1.8.16	69
M	:16S:	End of Block	SETPRTY			70
<b>End of Subsequence E1 Settlement Parties</b>						
<b>Optional Repetitive Subsequence E2 Cash Parties</b>						
M	:16R:	Start of Block	CSHPRTY			71
M	:95a:	Party	P, Q or R	:4!c	1.8.21	72
O	:97a:	Account	A or E	CASH	1.8.22	73
M	:16S:	End of Block	CSHPRTY			74
<b>End of Subsequence E2 Cash Parties</b>						
<b>Mandatory Repetitive Subsequence E3 Amount (not considered)</b>						
M	:16R:	Start of Block	AMT			76
M	:19A:	Amount	:4!c/[N]3!a15 d	SETT	1.8.5	78
O	:98a:	Value Date/Time	A or C	VALU	1.8.23	80
M	:16S:	End of Block	AMT			81
<b>End of Subsequence E3 Amount</b>						
M	:16S:	End of Block	SETDET			82
<b>End of Sequence E Settlement Details</b>						
<b>Optional Repetitive Sequence F Other Parties (not considered)</b>						

## 2.2.2. Example of an instruction to receive securities against payment within a Depository

This is an example of an instruction which can be used to receive securities as a result of internal transfer between accounts with a Depository/Custodian, while cash accounts are serviced by a settlement bank and there are appropriate bilateral agreements between the bank and the Depository.

This scheme can be used by a Depository not licensed for banking transactions.

Instruction number – TEN004-346A.

Settlement date when the securities are to be moved – the 23rd of September 2004.

Trade date – the 16th of September 2004.

Financial Instrument is defined by the following details:

- ISIN RU0009024277

<sup>2</sup> In every SETPRTY repetitive subsequence only one party can be presented: either place of settlement or Delivering agent (except when additional option S “Alternative identification” is applied). Field 97A “Account number” is not applicable in subsequence with PSET qualifier.

- Narrative description of NK Lukoil securities with transliteration indicator – ‘NK LUKOIL.

The rules of financial instrument’s indication are defined in section 1.8.13 of this document.

Quantity of financial instrument to be settled – 4000 units.

The Receiver of the securities is OAO CB “Telecom” (BIC TELERUMM); safekeeping account number to which the securities are to be credited – 1111NM, cash account number with the settlement bank to be debited – 001USB.

Type of Settlement Transaction – settlement of a trade, indicator SETR//TRAD.

Place of Settlement is the institution, that services the safekeeping accounts to which the securities are to be moved, BIC DEPORUMM.

Name of the owner of the account from which the securities are moved – ABC Broker, account number 2222, BIC BROKRUMM. This institution is also the Receiver of the cash amount.

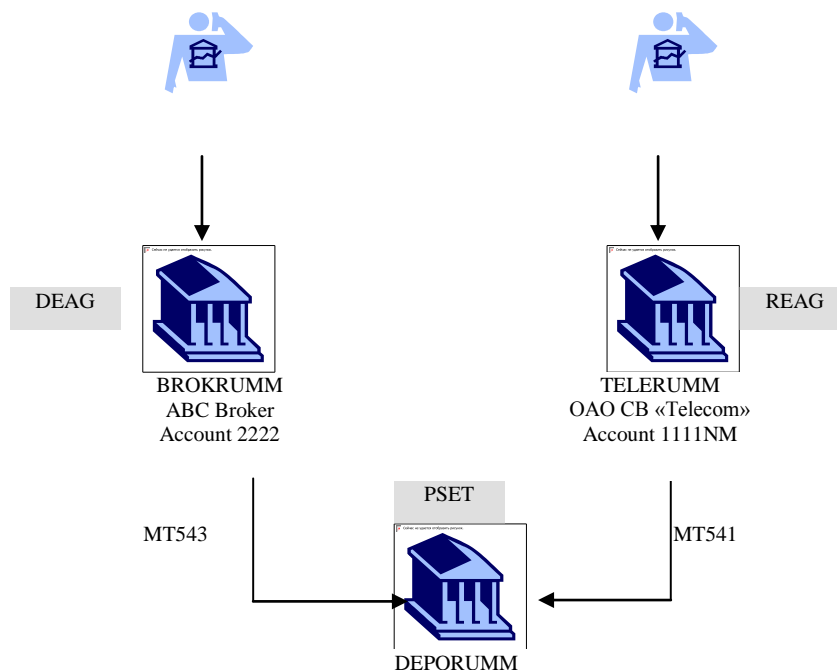
Transaction basis is the contract of purchase and sale №675/003 dated the 16th of September 2004.

Bank servicing the account of the Receiver of the cash amount is USBank, BIC BANKRUMM.

Receiver’s account number with this bank – 002USB.

Total cash amount to be paid for the securities – 100000 US dollars.

## Message flow.



Place of Settlement is the Depository (BIC DEPORUMM) that services the accounts of the message's Sender (OAO CB "Telecom", account number 1111NM) and its counterparty (ABC Broker, account number 2222, BIC BROKRUMM).

Sender of MT541 TELERUMM

Receiver of MT541 DEPORUMM

## Message structure.

```
:16R:GENL
:20C::SEME//TEN004-346A
:23G:NEWM
:16S:GENL
:16R:TRADDET
:98A::SETT//20040923
:98A::TRAD//20040916
:35B:ISIN RU0009024277
'NK LUKOiL
:16S:TRADDET
:16R:FIAC
:36B::SETT//UNIT/4000,
:97A::SAFE//1111NM
:97A::CASH//001USB
:16S:FIAC
:16R:SETDET
:22F::SETR//TRAD
:16R:SETPRTY
:95P::PSET//DEPORUMM
:16S:SETPRTY
:16R:SETPRTY
:95P::DEAG//BROKRUMM
:97A::SAFE//2222
:70E::DECL//TYPE/BYSA/NUMB/675/003/DATE/20040916
```



:16S:SETPRTY  
:16R:CSHPRTY  
:95P::ACCW//BANKRUMM  
:16S:CSHPRTY  
:16R:CSHPRTY  
:95P::BENM//BROKRUMM  
:97A::CASH//002USB  
:16S:CSHPRTY  
:16R:AMT  
:19A::SETT//USD100000,  
:16S:AMT  
:16S:SETDET

### **2.2.3. Example of an instruction to receive securities against payment within a Depository-Bank**

#### **Example 1.**

This is an example of an instruction which can be used to receive securities as a result of internal transfer between accounts with a Depository/Custodian, while cash accounts are serviced by the same financial institution.

This scheme can be used by a Depository-Bank.

Instruction number – AMT1234/541.

Settlement date when the securities are to be moved – the 13th of September 2004.

Trade date – the 6th of September 2004.

Financial Instrument is defined by the following details:

- ISIN RU0009034268
- National state registration number 1-01-00029-A
- Narrative description of OAO ‘GAZ’ securities with transliteration indicator – ‘OAO ‘GAZ’’.

The rules of financial instrument’s indication are defined in section 1.8.5 of this document.

Quantity of financial instrument to be settled – 5000 units.

Type of Settlement Transaction – settlement of a trade, indicator SETR//TRAD.

Place of Settlement is the USBank’s depository, BIC BANKRUMM, that services:

Seller’s and Buyer’s accounts to be credited/debited with securities;

Seller’s and Buyer’s cash accounts.

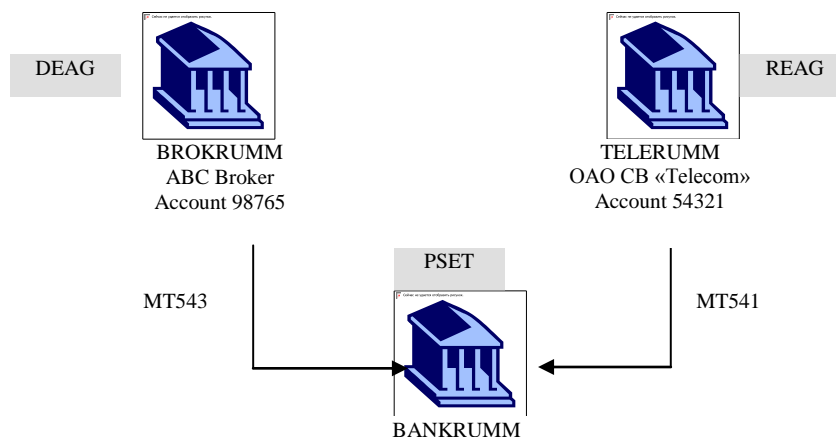
The Receiver of the securities is OAO CB “Telecom” (BIC TELERUMM); safekeeping account number with which the securities are to be received – 54321, cash account number to be debited – 001USB.

Name of the owner of the account from which the securities are moved – ABC Broker, safekeeping account number - 98765, BIC BROKRUMM. This institution is the Receiver of the cash amount, account number to be credited – 002USB.

Transaction basis is the contract of purchase and sale № 123/003 dated the 6th of September 2004.

Total cash amount to be paid for the securities – 52000 US dollars 48 cents.

## Message flow.



Place of Settlement is the Depository USBank (BIC BANKRUMM) that services the accounts of the message's Sender (OAO CB «Telecom», BIC TELERUMM) and its counterparty (ABC Broker, BIC BROKRUMM).

Sender of MT541 TELERUMM  
Receiver of MT541 BANKRUMM

## Message structure.

```
:16R:GENL
:20C::SEME//AMT1234/541
:23G:NEWM
:16S:GENL
:16R:TRADDET
:98A::SETT//20040913
:98A::TRAD//20040906
:35B:ISIN RU0009034268
/RU/1-01-00029-A
'OAO "GAZ"'
:16S:TRADDET
:16R:FIAC
:36B::SETT//UNIT/5000,
:97A::SAFE//54321
:97A::CASH//001USB
:16S:FIAC
:16R:SETDET
:22F::SETR//TRAD
:16R:SETPRTY
:95P::PSET//BANKRUMM
:16S:SETPRTY
:16R:SETPRTY
:95P::DEAG//BROKRUMM
:97A::SAFE//98765
:70E::DECL//TYPE/BYSA/NUMB/123/003/DATE/20040906
:16S:SETPRTY
```

:16R:CSHPRTY  
:95P::BENM//BROKRUMM  
:97A::CASH//002USB  
:16S:CSHPRTY  
:16R:AMT  
:19A::SETT//USD52000,48  
:16S:AMT  
:16S:SETDET

## 2.3 MT542 DELIVER FREE

This message is sent by an account owner to an account servicer (account servicing institution). This message is used to:

- instruct the delivery of financial instruments free of payment, physically or by book-entry, from a specified party (the function of the message is NEWM)
- request the cancellation of a deliver free of payment instruction previously sent by the account owner (the function of the message is CANC).

### 2.3.1. Format Specifications

Status	Tag	Detaild Field Name	Content/Options	Qualifier	№ RUS	№ SWIFT User Handb ook
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#### Mandatory Sequence A General Information

M	:16R	Start of Block	GENL			1
M	:20C:	Sender's Message Reference	:4!c//16x	SEME	1.8.6	2
M	:23G:	Function of the Message	4!c[/4!c]		1.8.9	3
O	:98a:	Preparation Date/Time	A,C or E	PREP	1.8.4	4

#### Optional Repetitive Subsequence A1 Linkages

M	:16R:	Start of Block	LINK			6
M	:20C:	Linked Message Reference	:4!c//16x	:4!c	1.8.7	9
M	16S:	End of Block	LINK			11

#### End of Subsequence A1 Linkages

M	:16S:	End of Block	GENL			12
---	-------	--------------	------	--	--	----

#### End of Sequence A General Information

#### Mandatory Sequence B Trade Details

M	:16R:	Start of Block	TRADDET			13
M	:98a:	Settlement Date/Time	A,B or C	SETT	1.8.23	15
O	:98a:	Trade Date/Time	A,B or C	TRA D	1.8.23	15
M	:35B:	Identification of the Financial Instrument	[ISIN1!e12!c][4*35x]		1.8.13	18

#### Optional Subsequence B1 Financial Instrument Attributes (not considered)

M	:16S:	End of Block	TRADDET			37
<b>End of Sequence B Settlement Transaction Details</b>						
<b>Mandatory Sequence C Financial Instrument/Account</b>						
M	:16R:	Start of Block	FIAC			38
M	:38B:	Quantity of the financial instrument	:4!c//4!c/15d	SETT	1.8.14	39
O	:95a:	Party/Account Owner	P or R	ACOW	1.8.12	42
M	:97a:	Safekeeping Account	A, B or E	SAFE	1.8.22	43
M	:16S:	End of Block	FIAC			52
<b>End of Sequence C Financial Instrument/Account</b>						
<b>Optional Sequence D Two Leg Transaction Details (not considered)</b>						
<b>Mandatory Sequence E Settlement Details</b>						
M	:16R:	Start of Block	SETDET			62
M	:22F:	Indicator	:4!c/[8c]/4!c	SETR	1.8.8	63
<b>Mandatory Repetitive Subsequence E1 Settlement Parties</b>						
M	:16R:	Start of Block	SETPRTY			64
M	:95a:	Place of Settlement	C, P or Q	PSET <sup>3</sup>	1.8.21	65
M	:95a:	Delivering Agent	P, Q or R	REAG	1.8.21	65
O	:95a:	Party/Seller	P, Q or R	SELL	1.8.21	65
O	:95a:	Party/Buyer	P, Q or R	BUYR	1.8.21	65
O	:97a:	Safekeeping Account	A or B	SAFE	1.8.22	66
O	:70a:	Narrative	C, D or E	:4!c	1.8.16	60
M	:16S:	End of Block	SETPRTY			70
<b>End of Subsequence E1 Settlement Parties</b>						
<b>Optional Repetitive Subsequence E2 Cash Parties (not considered)</b>						
<b>Mandatory Repetitive Subsequence E3 Amount</b>						
M	:16R:	Start of Block	AMT			76
M	:19A:	Amount	:4!c//[N]3!a15d	SETT	1.8.5	77
M	:16S:	End of Block	AMT			80
<b>End of Subsequence E3 Amount</b>						
M	:16S:	End of Block	SETDET			81
<b>End of Sequence E Settlement Details</b>						
<b>Optional Repetitive Sequence F Other Parties (not considered)</b>						

<sup>3</sup> In every SETPRTY repetitive subsequence only one party can be presented: either place of settlement or Delivering agent (except when additional option S "Alternative identification" is applied). Field 97A "Account number" is not applicable in subsequence with PSET qualifier.

### 2.3.2. Example of an instruction to deliver securities within a Depository/Custodian

This is an example of an instruction which can be used to deliver securities from an account with a Depository/Custodian, while the account to be credited with the securities is serviced by the same Depository/Custodian.

Instruction number – 234521/45NP-1.

Settlement date when the securities are to be moved – the 23rd of September 2004.

Trade date – the 16th of September 2004.

Financial Instrument is defined by the following details:

- ISIN RU0008959655
- Narrative description of RAO EeS securities (Russia) with transliteration indicator – ‘RAO EeS’.

Quantity of financial instrument to be settled – 1500 units.

Safekeeping account to be debited – 2222.

Type of Settlement Transaction – settlement of a trade, indicator SETR//TRAD.

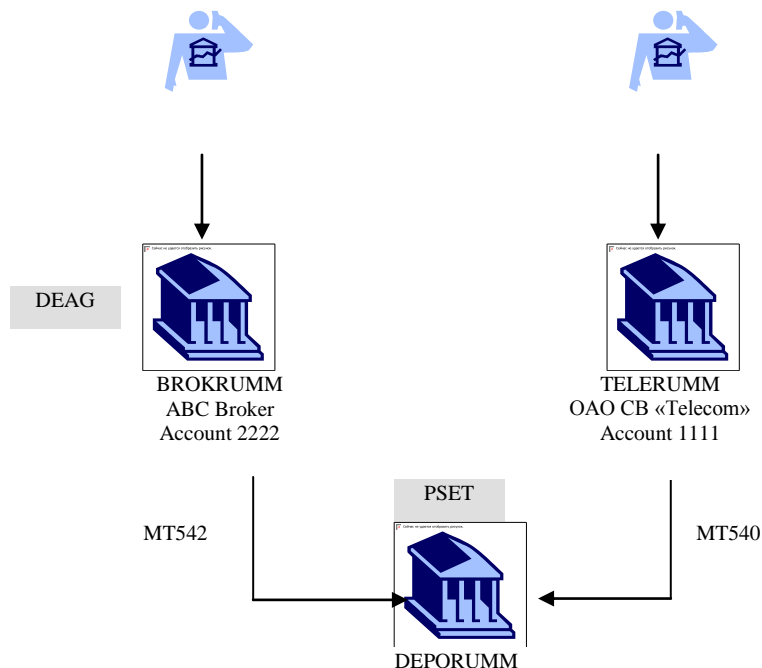
Place of Settlement is the institution that services the safekeeping accounts to be debited/credited with the securities, BIC DEPORUMM.

Name of the owner of the account to be credited with the securities - OAO CB “Telecom”, account number – 1111, BIC TELERUMM.

Transaction basis is the contract of purchase and sale №45HII-1 dated the 16th of September 2004. Russian letters in the contract number should be transliterated.

Place of Settlement is the Depository (BIC DEPORUMM) that services the accounts of the Sender of the message (ABC Broker, account number 2222, BIC BROKRUMM) and its counterparty (OAO CB “Telecom”, account number 1111, BIC TELERUMM).

#### Message flow.



Sender of MT542 BROKRUMM

Receiver of MT542          DEPORUMM

**Message structure.**

:16R:GENL  
:20C::SEME//234521/45NP-1  
:23G:NEWM  
:16S:GENL  
:16R:TRADDET  
:98A::SETT//20040423  
:98A::TRAD//20040416  
:35B:ISIN RU0098959655  
'RAO EeS  
:16S:TRADDET  
:16R:FIAC  
:36B::SETT//UNIT/1500,  
:97A::SAFE//2222  
:16S:FIAC  
:16R:SETDET  
:22F::SETR//TRAD  
:16R:SETPRTY  
:95P::PSET//DEPORUMM  
:16S:SETPRTY  
:16R:SETPRTY  
:95P::REAG//TELERUMM  
:97A::SAFE//1111  
:70E::DECL//TYPE/BYSA/NUMB/45'NP-1'/DATE/20040916  
:16S:SETPRTY  
:16S:SETDET

**2.3.3. Examples of instructions to deliver securities into an account serviced by a third party**

**Example 1.**

This is an example of an instruction which can be used for moving portfolio and for changing the nominal holder in the Registrar - for moving securities from an account with a Depository/Custodian to an account in a registry. This scheme is applied in case there is no separate account of the nominee of the central depository.

Instruction number – SAMP542/NOM.

Settlement date when the securities are to be moved – the 23rd of September 2004.

Trade date is not indicated.

Financial Instrument is defined by the following details:

- National state registration number 1-03-00161-A
- Corporate identifier 54327RT
- Narrative description of ordinary shares of the third issue OAO "Tatneft" with transliteration indicator – 'OAO 'TATNEFTX' AO3.

The rules of financial instrument's indication are defined in section 1.8.5 of this document.

Quantity of financial instrument to be settled – 8000 units.

Safekeeping account to be debited – 1111NM.

Type of Settlement Transaction – securities movement without Change of Beneficial Ownership, indicator SETR//OWNE.

Place of Settlement is the institution which is the Registrar keeping the registry, Place of Settlement code - REGISTRAR.

Name of the owner of the account in the registrar to which the securities are moved – ZAO Company “Alliance”, its account number is not indicated in this example.

Type of the account with the Registrar to be credited – nominal holder’s account.

Transaction basis is the depository contract №10 dated the 12th of March 2004.

Details of the party, in the name of which the securities are re-registered:

Number of the registration document /NUMB/ - 1025501341252

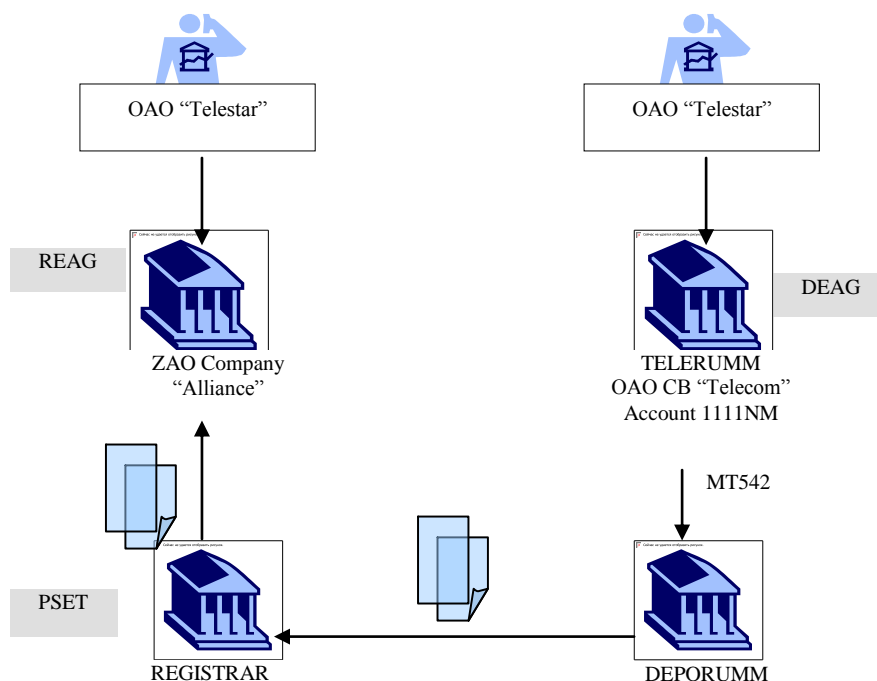
Date of registration document’s issuance /DATE/ - the 11th of May 2003

Institution that issued the registration document /REGA/ - Intra-district inspection of the Ministry of Internal Affairs of Russia № 25 for Moscow

Registration document code /TYPE/OGRN - certificate of making an entry in the Unified National Registrar of Juridical Persons.

Place of Settlement is the Registrar where the nominal holder ZAO Company “Alliance” has an account. OAO CB “Telecom”, which is the nominal holder of securities of OAO “Telestar”, instructs the Depository (BIC DEPORUMM) that services its nominal holder’s account №1111NM to move the securities to the account of ZAO Company “Alliance” in the registrar.

### Message flow.



Sender of MT542 TELERUMM

Receiver of MT542 DEPORUMM

### Message structure.

:16R:GENL

:20C::SEME//SAMP542/NOM

:23G:NEWM

:16S:GENL

:16R:TRADDET

:98A::SETT//20040923

:35B:/XX/CORP/DEPO/54327RT

/RU/1-03-00161-A



'OAO 'TATNEFTX' AO3  
 :16S:TRADDET  
 :16R:FIAC  
 :36B::SETT//UNIT/8000,  
 :97A::SAFE//1111NM  
 :16S:FIAC  
 :16R:SETDET  
 :22F::SETR//OWNE  
 :16R:SETPRTY  
 :95Q:PSET//REGISTRAR  
 :16S:SETPRTY  
 :16R:SETPRTY  
 :95Q::REAG//'ZAO KOMPANIa'' ALLIANCE''  
 :70E::DECL//TYPE/NOMA/NUMB/10/DATE/20040312  
 :70D::REGI//TYPE/OGRN/NUMB/1025501341252/DATE/2  
 0030511/REGA/'MEJRAiONNAa INSPEKCI  
 a MNS ROSSII' N.25 'PO G.MOSKVE  
 :70D::PART//OWNT/NOMI  
 :16S:SETPRTY  
 :16S:SETDET

### **Example 2.**

This is an example of an instruction to deliver securities from an account with a Depository/Custodian involving Change of Beneficial Ownership. Securities are credited to an account at the Registrar. This scheme is applied in case there is no separate account of the nominee of the central depository.

Instruction number – SAMP542/OWN.

Settlement date when the securities are to be moved – the 19th of September 2004.

Financial Instrument is defined by the following details:

- ISIN RU0009034268
- National state registration number 1-01-00029-A
- Narrative description of OAO "GAZ" securities with transliteration indicator – 'OAO 'GAZ'.

The rules of financial instrument's indication are defined in section 1.8.5 of this document.

Quantity of financial instrument to be settled – 3000 units.

Safekeeping account with the Depository/Custodian to be debited – 1111NM.

Type of Settlement Transaction – securities movement with Change of Beneficial Ownership, indicator - SETR//TRAD.

Place of Settlement is the institution which is the Register Holder, Place of Settlement code - REGISTRAR.

Name of the owner of the account in the registry to which the securities are moved – OAO "Telestar", its account number is not indicated in this example.

Type of the account at the Registrar to be credited – owner's account.

Amount to be paid for the securities – 10000 US dollars.

Transaction basis is:

the depository contract №134 dated the 1st of February 2002;

the contract of purchase and sale № RT12345 dated the 11th of September 2004.

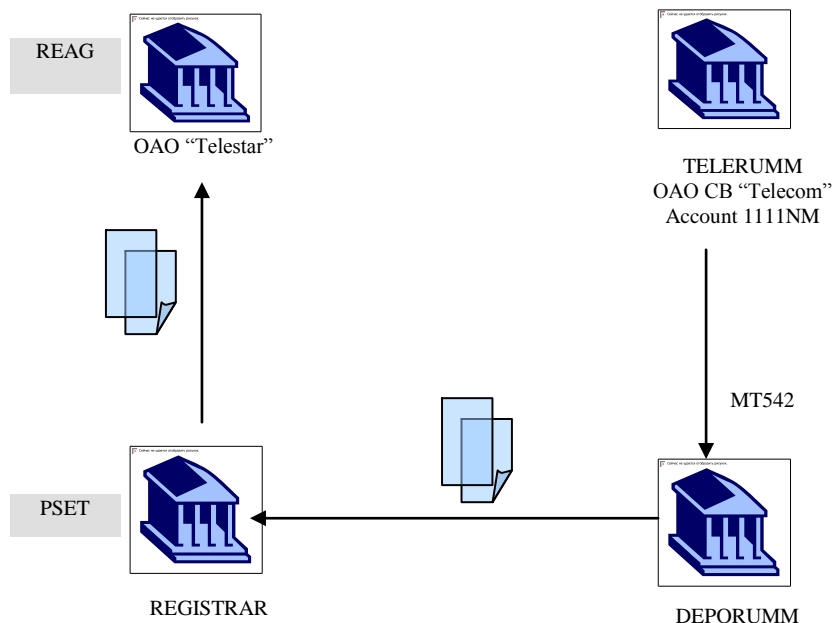
Details of the party, in the name of which the securities are re-registered:

Number of the registration document /NUMB/ - 011.208

Date of registration document's issuance /DATE/ - the 29th of October 1999

Institution that issued the registration document /REGA/ - Registration Chamber of Moscow  
Registration document code /TYPE/STIC – registration certificate.

### Message flow.



Place of Settlement is the Registrar where the owner OAO “Telestar” has an account. The bank OAO CB “Telecom” (BIC TELERUMM) instructs the Depository (BIC DEPORUMM) that services its nominal holder’s account №1111NM to move the securities to the account of the owner OAO “Telestar” in the registry.

Sender of MT542 TELERUMM

Receiver of MT542 DEPORUMM

### Message structure.

```
:16R:GENL
:20C::SEME//SAMP542/OWN
:23G:NEWM
:16S:GENL
:16R:TRADDET
:98A::SETT//20040919
:98A::TRAD//20040911
:35B:ISIN RU0009034268
/RU/1-01-00029-A
‘OAO ‘‘GAZ’’
:16S:TRADDET
:16R:FIAC
:36B::SETT//UNIT/3000,
:97A::SAFE//1111NM
:16S:FIAC
:16R:SETDET
:22F::SETR//TRAD
```

:16R:SETPRTY  
:95Q::PSET//REGISTRAR  
:16S:SETPRTY  
:16R:SETPRTY  
:95Q::REAG//‘OAO ‘TELESTAR’  
:70E::DECL//TYPE/DEPA/NUMB/134/DATE/20020201  
/TYPE/BYSA/NUMB/RT12345/DATE/20040911  
:70D::REGI//TYPE/STIC/NUMB/011.208/DATE/19991029/REGA/MRP  
:70D::PART//OWNT/OWNE  
:16S:SETPRTY  
:16R:AMT  
:19A::SETT//USD10000,  
:16S:AMT  
:16S:SETDET

### **Example 3.**

This is an example of an instruction to change the nominee in central depository – withdrawal of securities from the account in depository/custody to organization with account in central depository without transfer of ownership. This scheme is applied in case if there is nominee custody account of the central depository. In this case depository/custody account is in central depository.

Instruction number – SAMP542/NOM

Settlement date when the securities are to be moved – the 23d of September 2004.

Trade date is not indicated.

Financial Instrument is defined by the following details:

- ISIN RU0006944147
- National registration number /RU/ 2-03-00161-A
- Narrative description of OAO TATNEFT’ securities is indicated in clause 1.8.5 of the Recommendations.

Quantity of financial instrument to be settled – 8000 units.

Securities are moved from depository account – ML9901166571, subaccount - 000000000000000000.

Type of Settlement Transaction – securities movement without ownership transaction, indicator SETR//OWNE.

Place of Settlement is central depository, code of place of settlement - NADCRUMM.

Name of the institution which is the owner of the account in the central Depository where securities are transferred- ZAO “Alliance”, depository code of receiver counteragent in central depository – MC0045100000.

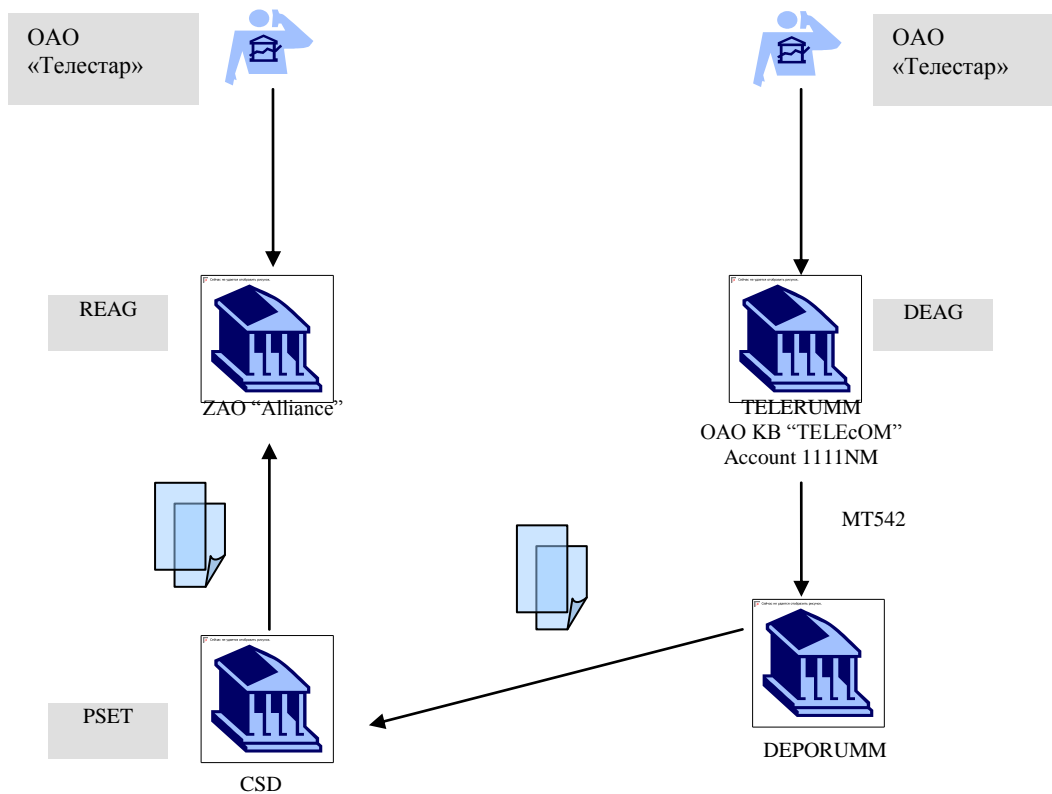
Credited account type in central depository – nominee account.

Credited account number – ML9901166571, credited subaccount – 000000000000000000.

Transaction basis is the depository contract № 10 dated the 12th of March 2004.

OAO KB “TELEcOM” is a nominee holder of securities of OAO “TELESTAR”, transfers to depository (BIC DEPORUMM), servicing nominee account №1111NM, instruction for transferring securities to nominee account of ZAO “Alliance” in central depository.

### **Message flow.**



Sender of MT542            TELERUMM  
Receiver of MT542        NADCRUMM

**Message structure.**

```
:16R:GENL
:20C::SEME//SAMP542/NOM
:23G:NEWM
:16S:GENL
:16R:TRADDET
:98A::SETT//20040923
:35B:ISIN RU0006944147
/RU/ 2-03-00161-A
:16S:TRADDET
:16R:FIAC
:36B::SETT//UNIT/8000,
:97A::SAFE//ML9901166571/KRZD/000000000000000000
:16S:FIAC
:16R:SETDET
:22F::SETR//OWNE
:16R:SETPRTY
:95P::PSET//NADCRUMM
:16S:SETPRTY
:16R:SETPRTY
:95r::REAG//NSDR/MC0045100000
:97A::SAFE//ML9901166571/KRZD/000000000000000000
:70E::DECL//TYPE/NOMA/NUMB/10/DATE/20040312
/TYPE/INDA/NUMB/543FD/DATE/20040212
:16S:SETPRTY
:16S:SETDET
```

Description and message flow related to matching instruction MT540 Receive Free sent to the correspondent Depository DEPORUMM are provided in Example 3 section 2.1.3 of the current Guide.

#### **Example 4**

This is an example of an instruction to deliver securities from an account with a Depository/Custodian involving Change of Beneficial Ownership.

This scheme is applied in case if there is no custody account of the nominee of central depository.

Securities are credited into an account at the Registrar.

Depository-custodian has an account at the Registrar. At the same time it has an account in other depositories (CENDRUMM), which in turn has an account at the Registrar.

Delivery of securities in this case can be made using as an Agent - Depository CENDRUMM. To specify a complete delivery chain and the need of using as an agent of the depository CENDRUMM in the depository notification in addition to as an Agent for the delivery will be listed depository CENDRUMM.

Instruction number – SAMP542/OWN.

Settlement date when the securities are to be moved – the 19th of September 2004.

Financial Instrument is defined by the following details:

- ISIN RU0009034268
- National state registration number 1-01-00029-A
- Narrative description of OAO “GAZ” securities with transliteration indicator – ‘OAO ‘GAZ’.

The rules of financial instrument’s indication are defined in section 1.8.5 of this document. Quantity of financial instrument to be settled – 3000 units.

Safekeeping account with the Depository/Custodian to be debited – 1111NM.

Type of Settlement Transaction – securities movement with Change of Beneficial Ownership, indicator - SETR//TRAD.

Place of Settlement is the institution which is the Register Holder, Place of Settlement code - REGISTRAR.

Name of the owner of the account in the registry to which the securities are moved – OAO “Telestar”, its account number is not indicated in this example.

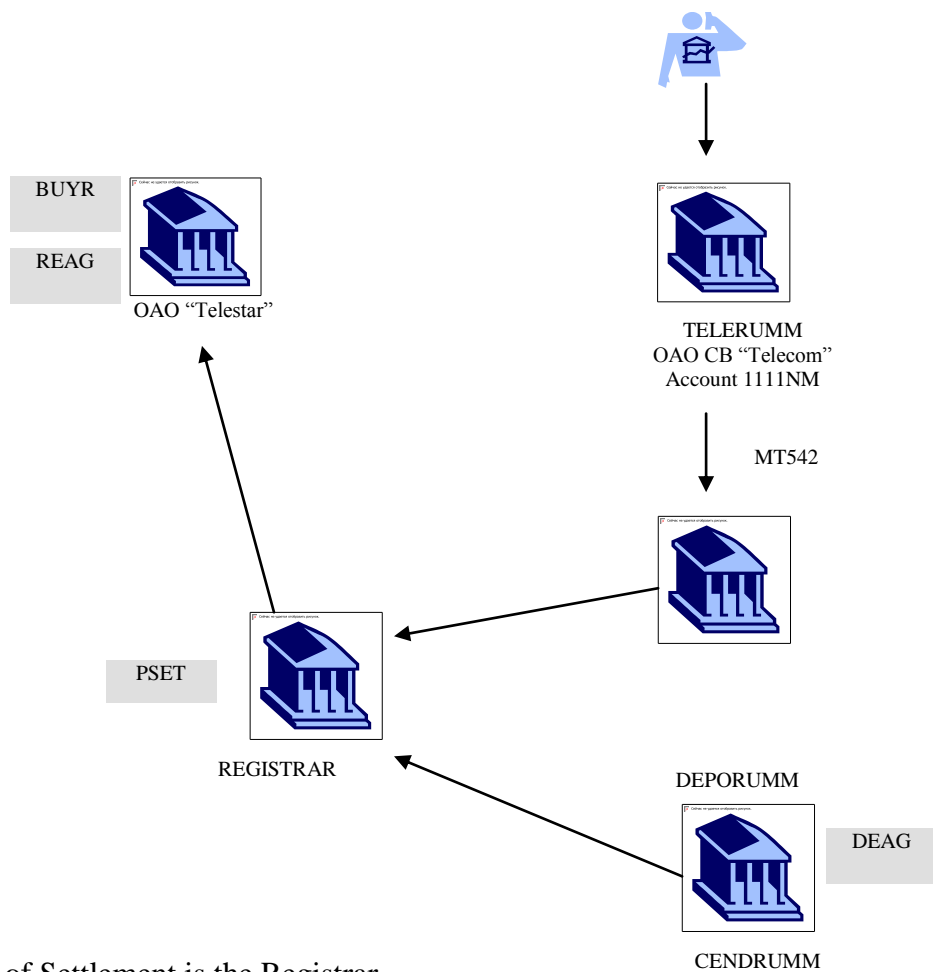
Type of the account at the Registrar to be credited – owner’s account.

Amount to be paid for the securities – 10000 US dollars.

Transaction basis are:

- the depository contract №134 dated the 1st of February 2002;
- the contract of purchase and sale № RT12345 dated the 11th of September 2004.
- Details of the party, in the name of which the securities are re-registered:
- Number of the registration document /NUMB/ - 011.208, date of registration document’s issuance /DATE/ - the 29th of October 1999, institution that issued the registration document /REGA/ - Registration Chamber of Moscow
- Registration document in USRLE (Unified State Register of Legal Entities), code /TYPE/OGRN/ – registration certificate, number of the registration document /NUMB/ - 1234505432152, date of issuance /DATE/- the 30th of September 2001, institution that issued the registration document /REGA/ - Interdistrict Tax Inspectorate MTA № 26, Moscow

#### **Message flow.**



Place of Settlement is the Registrar.

The bank OAO CB “Telecom” (BIC TELERUMM) instructs the Depository (BIC DEPORUMM) that services its nominal holder’s account №1111NM to move the securities to the account of the owner OAO “Telestar” in the registry.

In the depo instruction CENDRUMM Depository is specified as the delivery Agent (withdrawal of securities in the registrar will be executed from the CENDRUMM account).

Sender of MT542 TELERUMM

Receiver of MT542 DEPORUMM

**Message structure.**

```

:16R:GENL
:20C::SEME//SAMP542/OWN
:23G:NEWM
:16S:GENL
:16R:TRADDET
:98A::SETT//20040919
:98A::TRAD//20040911
:35B:ISIN RU0009034268
/RU/1-01-00029-A
‘OAO “GAZ”’
:16S:TRADDET
:16R:FIAC
:36B::SETT//UNIT/3000,
:97A::SAFE//1111NM
:16S:FIAC

```

:16R:SETDET  
:22F::SETR//TRAD  
:16R:SETPRTY  
:95Q::PSET//REGISTRAR  
:16S:SETPRTY  
:16R:SETPRTY  
:95P::DEAG//CENDRUMM  
:16S:SETPRTY  
:16R:SETPRTY  
:95Q::REAG//‘OAO ‘TELESTAR’  
:70E::DECL//TYPE/DEPA/NUMB/134/DATE/20020201  
PE/BYSA/NUMB/RT12345/DATE/20040911  
:70D::REGI//TYPE/STIC/NUMB/011.208/DATE/19991029/REGA/MRP  
TYPE/OGRN/NUMB/1234505432152/DATE/20  
011130/REGA/‘MEJRAiONNAa INSPEKCIa MNS ROSSII’ N.26’PO G.MOSKVE  
:70C::PACO//OWNT/OWNE  
:16S:SETPRTY  
:16R:SETPRTY  
:95Q::BUYR//‘OAO ‘TELESTAR’  
:16S:SETPRTY  
:16R:AMT  
:19A::SETT//USD10000,  
:16S:AMT  
:16S:SETDET

## 2.4. MT543 DELIVER AGAINST PAYMENT

This message is sent by an account owner to an account servicer (account servicing institution). This message is used to:

- instruct the delivery of financial instruments against payment, physically or by book-entry, from a specified party (the function of the message is NEWM)
- request the cancellation of a deliver against payment instruction previously sent by the account owner (the function of the message is CANC).

### 2.4.1. Format Specification

Status	Tag	Detaild Field Name	Content/Options	Qualifier	№ RUS	№ SWIFT User Handbook
<b>Mandatory Sequence A General Information</b>						
M	:16R:	Start of Block	GENL			1
M	:20C:	Sender's Message Reference	:4!c//16x	SEME	1.8.6	2
M	:23G:	Function of the Message	4!c[/4!c]		1.8.9	3
O	:98a:	Preparation Date/Time	A,C or E	PREP	1.8.4	4
<b>Optional Repetitive Subsequence A1 Linkages</b>						
M	:16R:	Start of Block	LINK			6
M	:20C:	Linked Message Reference	:4!c//16x	:4!c	1.8.7	9
M	16S:	End of Block	LINK			10
<b>End of Subsequence A1 Linkages</b>						
M	:16S:	End of Block	GENL			12
<b>End of Sequence A General Information</b>						
<b>Mandatory Sequence B Trade Details</b>						
M	:16R:	Start of Block	TRADDET			13
M	:98a:	Settlement Date/Time	A,B or C	SETT	1.8.23	15
O	:98a:	Trade Date/Time	A,B or C	TRAD	1.8.23	15
M	:35B:	Identification of the Financial Instrument	[ISIN1!e12!c][4*35x]		1.8.13	18
<b>Optional Subsequence B1 Financial Instrument Attributes (not considered)</b>						
M	:16S:	End of Block	TRADDET			37
<b>End of Sequence B Settlement Transaction Details</b>						
<b>Mandatory Repetitive Sequence C Financial Instrument/Account</b>						
M	:16R:	Start of Block	FIAC			38
M	:38B:	Quantity of the financial instrument	:4!c//4!c/15d	SETT	1.8.14	39



O	:95a:	Party/Account Owner	P or R	ACOW	1.8.21	42
M	:97a:	Safekeeping Account	A or B	SAFE	1.8.22	43
O	:97a:	Account/Cash account	A or E	CASH	1.8.22	43
M	:16S:	End of Block	FIAC			52
<b>End of Sequence C Financial Instrument/Account</b>						
<b>Optional Sequence D Two Leg Transaction Details (not considered)</b>						
<b>Mandatory Sequence E Settlement Details</b>						
M	:16R:	Start of Block	SETDET			62
M	:22F:	Indicator	:4!c/[8c]/4!c	SETR	1.8.8	63
<b>Mandatory Repetitive Subsequence E1 Settlement Parties</b>						
M	:16R:	Start of Block	SETPRTY			64
M	:95a:	Party/Place of Settlement	C, P or Q	PSET <sup>4</sup>	1.8.21	65
M	:95a:	Party/Receiving agent	P, Q or R	REAG	1.8.21	65
O	:95a:	Party/Seller	P, Q or R	SELL	1.8.21	65
O	:95a:	Party/Buyer	P, Q or R	BUYR	1.8.21	65
O	:97a:	Safekeeping Account	A or B	SAFE	1.8.22	66
O	:70a:	Narrative	C, D or E		1.8.16	69
M	:16S:	End of Block	SETPRTY			70
<b>End of Subsequence E1 Settlement Parties</b>						
<b>Optional Repetitive Subsequence E2 Cash Parties</b>						
M	:16R:	Start of Block	CSHPRTY			71
M	:95a:	Party	P, Q or R		1.8.21	72
O	:97a:	Account/Cash account	A or E	CASH	1.8.22	73
M	:16S:	End of Block	CSHPRTY			74
<b>End of Subsequence E2 Cash Parties</b>						
<b>Mandatory Repetitive Subsequence E3 Amount</b>						
M	:16R:	Start of Block	AMT			76
M	:19A:	Amount	:4!c//[N]3!a1 5d	SETT	1.8.5	78
O	:98a:	Value Date/Time	A or C	VALU	1.8.23	80
M	:16S:	End of Block	AMT			81
<b>End of Subsequence E3 Amount</b>						
M	:16S:	End of Block	SETDET			82
<b>End of Sequence E Settlement Details</b>						
<b>Optional Repetitive Sequence F Other Parties (not considered)</b>						

<sup>4</sup> In every SETPRTY repetitive subsequence only one party can be presented: either place of settlement or Delivering agent (except when additional option S "Alternative identification" is applied). Field 97A "Account number" is not applicable in subsequence with PSET qualifier.

## 2.4.2. Example of an instruction to deliver securities within a Depository/Custodian

This is an example of an instruction which can be used to deliver securities from an account with a Depository/Custodian, while the account to be credited with the securities is serviced by the same Depository/Custodian.

Instruction number – DVP040602/543.

Settlement date when the securities are to be moved – the 23rd of September 2004.

Trade date – the 16th of September 2004.

Financial Instrument is defined by the following details:

- ISIN RU0009024277
- Narrative description of NK Lukoil securities with transliteration indicator – ‘NK LUKOIL.

The rules of financial instrument’s indication are defined in section 1.8.5 of this document.

Quantity of financial instrument to be settled – 4000 units.

Safekeeping account to be debited – 2222.

Type of Settlement Transaction – settlement of a trade, indicator SETR//TRAD.

Place of Settlement is the institution that services the safekeeping accounts to be debited/credited with the securities, BIC DEPORUMM.

Name of the owner of the account to be credited with the securities – OAO CB “Telecom”, account number – 1111NM, BIC TELERUMM.

Transaction basis is the contract of purchase and sale №675/003 dated the 16th of September 2004.

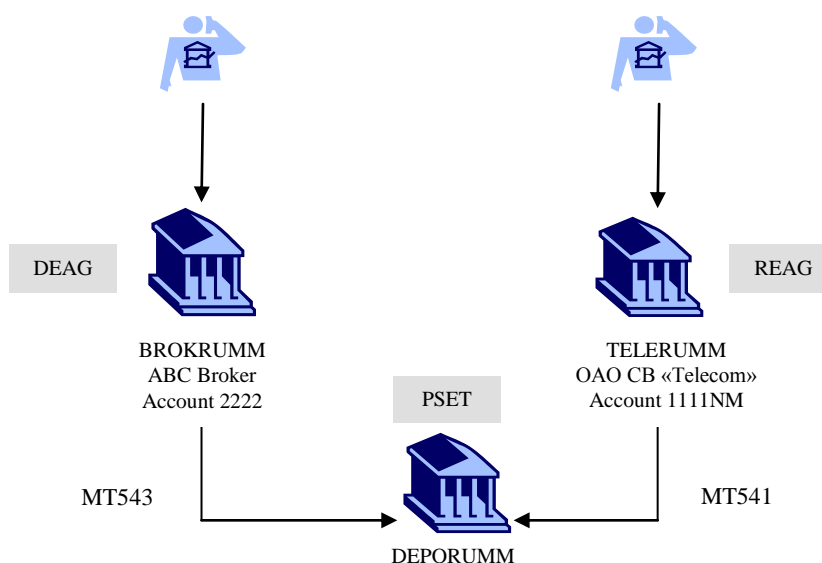
Receiving party – ANC Broker, BIC BROKRUMM

Bank servicing the account of the Receiver of the cash amount is USBank, BIC BANKRUMM.

Receiver’s cash account number with this bank – 002USB.

Total cash amount to be paid for the securities – 100000 US dollars.

### Message flow.



Place of Settlement is the Depository (BIC DEPORUMM) that services the accounts of the Sender of the message (ABC Broker, BIC BROKRUMM, account number 2222) and its counterparty (OAO CB "Telecom", BIC TELERUMM, account number 1111NM).

Sender of MT543 BROKRUMM

Receiver of MT543 DEPORUMM

**Message structure.**

:16R:GENL  
:20C::SEME//DVP040602/543  
:23G:NEWM  
:16S:GENL  
:16R:TRADDET  
:98A::SETT//20040923  
:98A::TRAD//20040916  
:35B:ISIN RU0009024277  
‘NK LUKOIL  
:16S:TRADDET  
:16R:FIAC  
:36B::SETT//UNIT/4000,  
:97A::SAFE//2222  
:97A::CASH//002USB  
:16S:FIAC  
:16R:SETDET  
:22F::SETR//TRAD  
:16R:SETPRTY  
:95P::PSET//DEPORUMM  
:16S:SETPRTY  
:16R:SETPRTY  
:95P::REAG//TELERUMM  
:97A::SAFE//1111NM  
:70E::DECL//TYPE/BYSA/NUMB/675/003/DATE/20040916  
:16S:SETPRTY  
:16R:CSHPRTY  
:95P::ACCW//BANKRUMM  
:16S:CSHPRTY  
:16R:AMT  
:19A::SETT//USD100000,  
:16S:AMT  
:16S:SETDET

### 3. FORMATS OF CONFIRMATIONS OF EXECUTION, STATEMENTS AND ADVICES RELATED TO SETTLEMENT INSTRUCTIONS' STATUS

This group includes messages that are used by depositaries / custodians or other organizations serving the account to confirm the execution of instructions to settle securities accounts (delivery or receipt) with simultaneous holding of cash payments or without payment, and to cancel the previously sent confirmation as well as messages that are used to provide information on the status of such instructions, statements of account balances, information on the execution of transactions for a certain period, as well as information about the status of the instructions and / or instructions on the unpaired instructions, executed by counterparties.

#### 3.1. MT544 RECEIVE FREE CONFIRMATION

This message is sent by an account servicer (account servicing institution) to an account owner or its designated agent. This message is used to:

- confirm the receipt of financial instruments free of payment, physically or by book-entry, from a specified party (the function of the message is NEWM)
- cancel a confirmation of a receipt free of payment previously sent by the account servicer (the function of the message is CANC)
- reverse a confirmation of a receipt free of payment previously sent by the account servicer (the function of the message is RVSL).

The message is also used to pre-confirm settlement or to confirm a partial settlement of a receipt of financial instruments free of payment.

#### 3.1.1. Format Specifications

Status	Tag	Detailed Field Name	Content/Options	Qualifier	Nº RUS	Nº SWIFT User Handbook
<b>Mandatory Sequence A General Information</b>						
M	:16R:	Start of Block	GENL			1
M	:20C:	Sender's Message Reference	:4!c//16x	SEME	1.8.6	2
M	:23G:	Function of the Message	4!c/[4!c]		1.8.9	3
O	:98a:	Preparation Date/Time	A or C	PREP	1.8.23	4
<b>Optional Repetitive Subsequence A1 Linkages</b>						
M	:16R:	Start of Block	LINK			6
M	:20C:	Linked Message Reference	:4!c//16x	RELA	1.8.7	9
M	16S:	End of Block	LINK			10

<b>End of Subsequence A1 Linkages</b>						
M	:16S:	End of Block	GENL			11
<b>End of Sequence A General Information</b>						
<b>Mandatory Sequence B Trade Details</b>						
M	:16R:	Start of Block	TRADDET			12
M	:98a:	Effective Settlement Date/Time	A,B or C	ESET	1.8.23	14
O	:98a:	Settlement Date/Time	A,B or C	SETT	1.8.23	14
O	:98a:	Trade Date/Time	A,B or C	TRAD	1.8.23	14
M	:35B:	Identification of the Financial Instrument	[ISIN!e12!c][4*35x]		1.8.13	17
<b>Optional Subsequence B1 Financial Instrument Attributes (not considered)</b>						
M	:16S:	End of Block	TRADDET			34
<b>End of Sequence B Settlement Transaction Details</b>						
<b>Mandatory Repetitive Sequence C Financial Instrument/Account</b>						
M	:16R:	Start of Block	FIAC			35
M	:36B:	Quantity of the financial instrument	:4!c//4!c/15d	SETT	1.8.14	36
O	:95a:	Party/Account Owner	P or R	ACOW	1.8.21	40
M	:97a:	Safekeeping Account	A or B	SAFE	1.8.22	41
M	:16S:	End of Block	FIAC			50
<b>End of Sequence C Financial Instrument/Account</b>						
<b>Optional Sequence D Two Leg Transaction Details (not considered)</b>						
<b>Mandatory Sequence E Settlement Details</b>						
M	:16R:	Start of Block	SETDET			60
M	:22F:	Indicator	:4!c/[8c]/4!c	SETR	1.8.8	61
<b>Mandatory Repetitive Subsequence E1 Settlement Parties</b>						
M	:16R:	Start of Block	SETPRTY			62
M	:95a:	Party/Place of Settlement	C, P or Q	PSET <sup>5</sup>	1.8.21	63
M	:95a:	Party/Delivering agent	P, Q or R	DEAG	1.8.21	63
O	:95a:	Party/Seller	P, Q or R	SELL	1.8.21	63
O	:95a:	Party/Buyer	P, Q or R	BUYR	1.8.21	63
O	:97a:	Safekeeping Account	A or B	SAFE	1.8.22	64
O	:70a:	Narrative	C, D or E	:4!c	1.8.16	67

<sup>5</sup> In every SETPRTY repetitive subsequence only one party can be presented: either place of settlement or Delivering agent (except when additional option S "Alternative identification" is applied). Field 97A "Account number" is not applicable in subsequence with PSET qualifier.

M	:16S:	End of Block	SETPRTY	68
<b>End of Subsequence E1 Settlement Parties</b>				
<b>Optional Repetitive Subsequence E2 Cash Parties (not considered)</b>				
<b>End of Subsequence E2 Cash Parties (not considered)</b>				
<b>Mandatory Repetitive Subsequence E3 Amount (not considered)</b>				
M	:16S:	End of Block	SETDET	81
<b>End of Sequence E Settlement Details</b>				
<b>Optional Repetitive Sequence F Other Parties (not considered)</b>				

### 3.1.2. Example of a Receive Free Confirmation of internal transfer within a Depository/Custodian

This is an example of a Receive Free Confirmation as a result of internal transfer into an account with a Depository/Custodian from another account with the same Depository/Custodian (the instruction is described in Example 1 section 2.3).

Confirmation number – 040730/CONF544-1.

Number of the instruction to be confirmed – KL00412/A-861.

Effective settlement date – the 23rd of September 2004.

Trade date – the 16th of September 2004.

Financial Instrument is defined by the following details:

- ISIN RU0008959655
- Narrative description of RAO EeS securities (Russia) with transliteration indicator – ‘RAOEeS.

Quantity of settled financial instrument – 1500 units.

Safekeeping account that was credited – 1111.

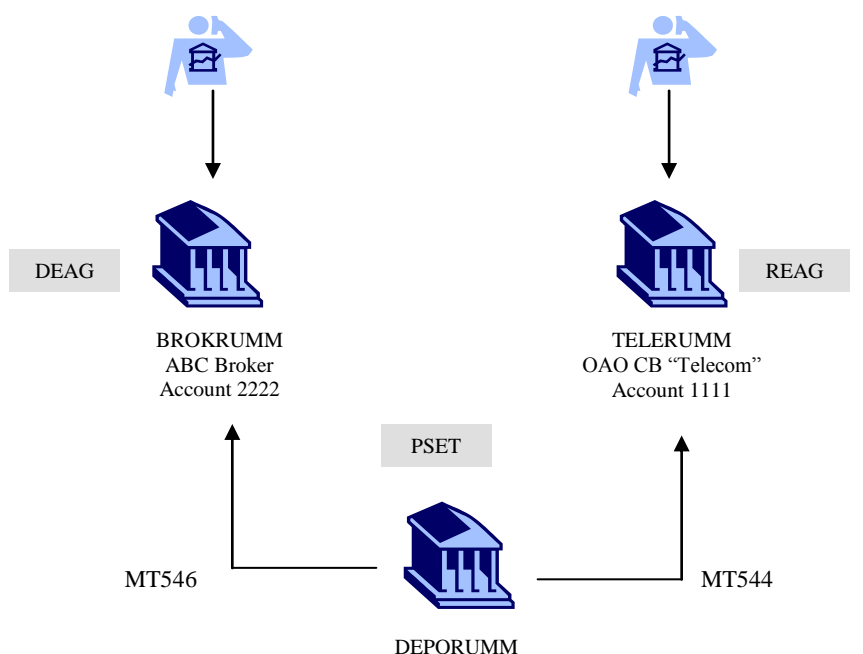
Type of Settlement Transaction – settlement of a trade, indicator SETR//TRAD.

Place of Settlement is the institution that services the accounts to which the securities have been credited/debited, BIC DEPORUMM.

Name of the owner of the account from which the securities have been moved – ABC Broker, account number 2222, BIC BROKRUMM.

Transaction basis is the contract of purchase and sale №45HII-1 dated the 16th of September 2004. Russian letters in the contract number should be transliterated.

#### Message flow.



Sender of MT544 DEPORUMM  
Receiver of MT544 TELERUMM

**Message structure.**

:16R:GENL  
:20C::SEME//040730/CONF544-1  
:23G:NEWM  
:16R:LINK  
:20C::RELA//KL00412/A-861  
:16S:LINK  
:16S:GENL  
:16R:TRADDET  
:98A::ESET//20040923  
:98A::TRAD//20040916  
:35B:ISIN RU0008959655  
'RAO EeS  
:16S:TRADDET  
:16R:FIAC  
:36B::ESTT//UNIT/1500,  
:97A::SAFE//1111  
:16S:FIAC  
:16R:SETDET  
:22F::SETR//TRAD  
:16R:SETPRTY  
:95P::PSET//DEPORUMM  
:16S:SETPRTY  
:16R:SETPRTY  
:95P::DEAG//BROKRUMM  
:97A::SAFE//2222  
:70E::DECL//TYPE/BYSA/NUMB/45'NP-1'/DATE/20040916  
:16S:SETPRTY  
:16S:SETDET

**3.1.3. Example of a Receive Free Confirmation from an account serviced by a third party**

This is an example of a Receive Free Confirmation of into an account with a Depository/Custodian from an account in the Registrar (the instruction is described in Example 1 section 2.4).

This scheme is applied in case if there is no custody account of the nominee of central depository.

Confirmation number – 040730/CONF544-2.

Number of the instruction to be confirmed – ANF-34/DF.

Posting Date of an entry in the registry (effective settlement date) – the 22nd of September 2004.

Settlement Date indicated in the Client's instruction – the 23rd of September 2004.

Financial Instrument is defined by the following details:

National state registration number 2-07-03-00345-A

Corporate identifier 54327RT assigned by the Depository that services the Client's account

Narrative description of ordinary shares of the third issue OAO “X-neft” with transliteration indicator – ‘OAO “IKS-NEFTX” AO3.

Quantity of settled financial instrument – 8000 units.

Safekeeping account to which the securities have been credited – 1111NM, account of OAO CB “Telecom”.

Type of Settlement Transaction – securities movement without Change of Beneficial Ownership, indicator SETR//OWNE.

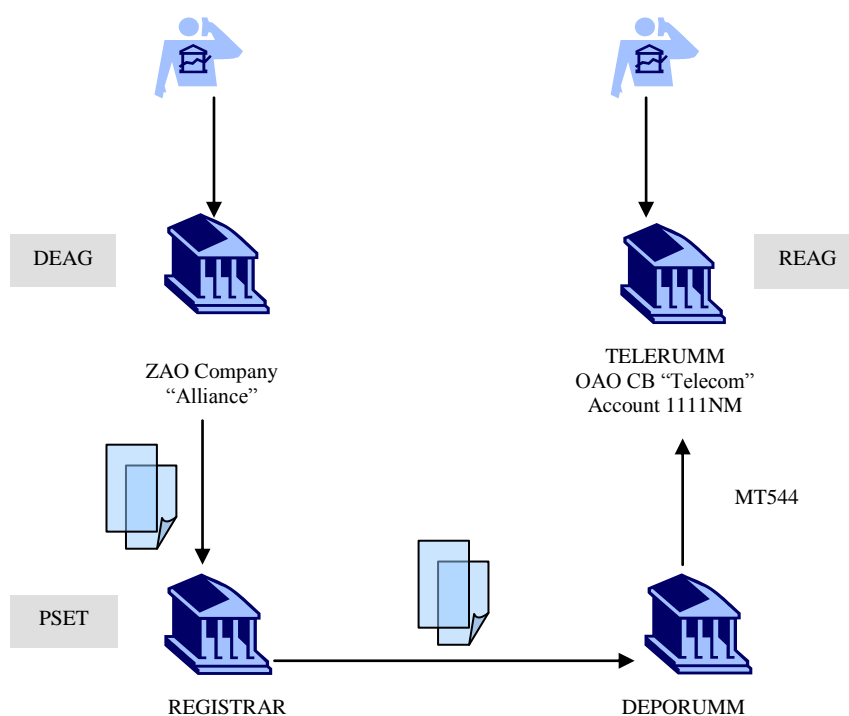
Place of Settlement is the institution which is the Register Holder, Place of Settlement code - REGISTRAR.

Name of the owner of the account in the registry from which the securities are moved – ZAO Company “Alliance”, its account number is not indicated in this example.

Type of the account with the Registrar to be debited – nominal holder’s account.

Transaction basis is the depository contract №25 dated the 14<sup>th</sup> of September 2004.

### Message flow.



Sender of MT544 DEPORUMM

Receiver of MT544 TELERUMM

### Message structure.

:16R:GENL  
:20C::SEME//040730/CONF544-2  
:23G:NEWM  
:16R:LINK  
:20C::RELA//ANF-34/DF  
:16S:LINK  
:16S:GENL  
:16R:TRADDET  
:98A::ESET//20040922  
:98A::SETT//20040923



:98A::TRAD//20040921  
:35B:/XX/CORP/DEPO/54327RT  
/RU/2-07-03-00345-A  
'OAO 'IKS-NEFTX' AO3  
:16S:TRADDET  
:16R:FIAC  
:36B::ESTT//UNIT/8000,  
:97A::SAFE//1111NM  
:16S:FIAC  
:16R:SETDET  
:22F::SETR//OWNE  
:16R:SETPRTY  
:95Q::PSET//REGISTRAR  
:16S:SETPRTY  
:16R:SETPRTY  
:95Q::DEAG//'ZAO KOMPANIa''ALLIANCE''  
:70E::DECL//TYPE/OTHR/DEPA/NUMB/25/DATE/20040914  
TYPE/BYSA/NUMB/RT12345/DATE/20040521  
:70D::PART//OWNT/NOMI  
:16S:SETPRTY  
:16S:SETDET

## 3.2. MT545 RECEIVE AGAINST PAYMENT CONFIRMATION

This message is sent by an account servicer (account servicing institution) to an account owner or its designated agent. This message is used to:

- confirm the receipt of financial instruments against payment, physically or by book-entry, from a specified party (the function of the message is NEWM)
- cancel a confirmation of a receipt against payment previously sent by the account servicer (the function of the message is CANC)
- reverse a confirmation of a receipt against payment previously sent by the account servicer (the function of the message is RVSL).

The message is also used to pre-confirm settlement or to confirm a partial settlement of a receipt of financial instruments against payment.

### 3.2.1. Format Specifications

Status	Tag	Detailed Field Name	Content/Options	Qualifier	№ RUS	№ SWIFT User Handbook
<b>Mandatory Sequence A General Information</b>						
M	:16R	Start of Block	GENL			1
M	:20C:	Sender's Message Reference	:4!c//16x	SEME	1.8.6	2
M	:23G:	Function of the Message	4!c[/4!c]		1.8.9	3
O	:98a:	Preparation Date/Time	A or C	PREP	1.8.23	4
<b>Optional Repetitive Subsequence A1 Linkages</b>						
M	:16R:	Start of Block	LINK			6
M	:20C:	Linked Message Reference	:4!c//16x	RELA	1.8.7	9
M	16S:	End of Block	LINK			10
<b>End of Subsequence A1 Linkages</b>						
M	:16S:	End of Block	GENL			11
<b>End of Sequence A General Information</b>						
<b>Mandatory Sequence B Trade Details</b>						
M	:16R:	Start of Block	TRADDET			12
M	:98a:	Effective Settlement Date/Time	A,B or C	ESET	1.8.23	14
O	:98a:	Settlement Date/Time	A,B or C	SETT	1.8.23	14
O	:98a:	Trade Date/Time	A,B or C	TRAD	1.8.23	14

M	:35B:	Identification of the Financial Instrument	[ISIN1!e12!c][4*35x]		1.8.13	17
<b>Optional Subsequence B1 Financial Instrument Attributes (not considered)</b>						
M	:16S:	End of Block	TRADDET			34
<b>End of Sequence B Settlement Transaction Details</b>						
<b>Mandatory Repetitive Sequence C Financial Instrument/Account</b>						
M	:16R:	Start of Block	FIAC			35
M	:36B:	Quantity of the financial instrument	:4!c//4!c/15d	ESTT	1.8.14	36
O	:95a:	Party/Account Owner	P or R	ACOW	1.8.21	39
M	:97a:	Safekeeping Account	A or B	SAFE	1.8.22	40
M	:16S:	End of Block	FIAC			42
<b>End of Sequence C Financial Instrument/Account</b>						
<b>Optional Sequence D Two Leg Transaction Details (not considered)</b>						
<b>Mandatory Sequence E Settlement Details</b>						
M	:16R:	Start of Block	SETDET			60
M	:22F:	Indicator	:4!c/[8c]/4!c	SETR	1.8.8	61
<b>Mandatory Repetitive Subsequence E1 Settlement Parties</b>						
M	:16R:	Start of Block	SETPRTY			62
M	:95a:	Party/Place of Settlement	C, P or Q	PSET <sup>6</sup>	1.8.21	63
M	:95a:	Party/Delivering agent	P, Q or R	DEAG	1.8.21	63
O	:95a:	Party/Seller	P, Q or R	SELL	1.8.21	63
O	:95a:	Party/Buyer	P, Q or R	BUYR	1.8.21	63
O	:97a:	Safekeeping Account	A or B	SAFE	1.8.22	64
O	:70a:	Narrative	C, D or E	:4!c	1.8.16	67
M	:16S:	End of Block	SETPRTY			68
<b>End of Subsequence E1 Settlement Parties</b>						
<b>Optional Repetitive Subsequence E2 Cash Parties</b>						
M	:16R:	Start of Block	CSHPRTY			69
M	:95a:	Party	P, Q or R	:4!c	1.8.21	70
O	:97a:	Account/Cash account	A or E	CASH	1.8.22	71
M	:16S:	End of Block	CSHPRTY			74
<b>End of Subsequence E2 Cash Parties</b>						
<b>Mandatory Repetitive Subsequence E3 Amount</b>						
M	:16R:	Start of Block	AMT			75

<sup>6</sup> In every SETPRTY repetitive subsequence only one party can be presented: either place of settlement or Delivering agent (except when additional option S "Alternative identification" is applied). Field 97A "Account number" is not applicable in subsequence with PSET qualifier.

M	:19A:	Amount	:4!c//[N]3!a15 d	ESTT	1.8.5	77
M	:16S:	End of Block	AMT			80
<b>End of Subsequence E3 Amount</b>						
M	:16S:	End of Block	SETDET			81
<b>End of Sequence E Settlement Details</b>						
<b>Optional Repetitive Sequence F Other Parties (not considered)</b>						

### **3.2.2. Example of a Receive Against Payment Confirmation within a Depository/Custodian**

This is an example of a Receive Against Payment Confirmation as a result of internal transfer into an account at a Depository/Custodian from another account at the same Depository/Custodian (the instruction is described in section 2.2.2).

Confirmation number – 040730/CONF545-1.

Number of the instruction to be confirmed – TEN004-346A.

Effective settlement date – the 23rd of September 2004.

Trade date – the 16th of September 2004.

Financial Instrument is defined by the following details:

- ISIN RU0009024277
- Narrative description of NK Lukoil securities with transliteration indicator – ‘NK LUKOIL.

The rules of financial instrument’s indication are defined in section 1.8.13 of this document.

Quantity of settled financial instrument - 4000 units.

Safekeeping account to which the securities have been credited – 1111NM, cash account number that has been debited – 001USB.

Type of Settlement Transaction – settlement of a trade, indicator SETR//TRAD.

Place of Settlement is the institution that services the safekeeping accounts, BIC DEPORUMM.

Name of the owner of the account from which the securities are moved – ABC Broker, account number - 2222, BIC BROKRUMM.

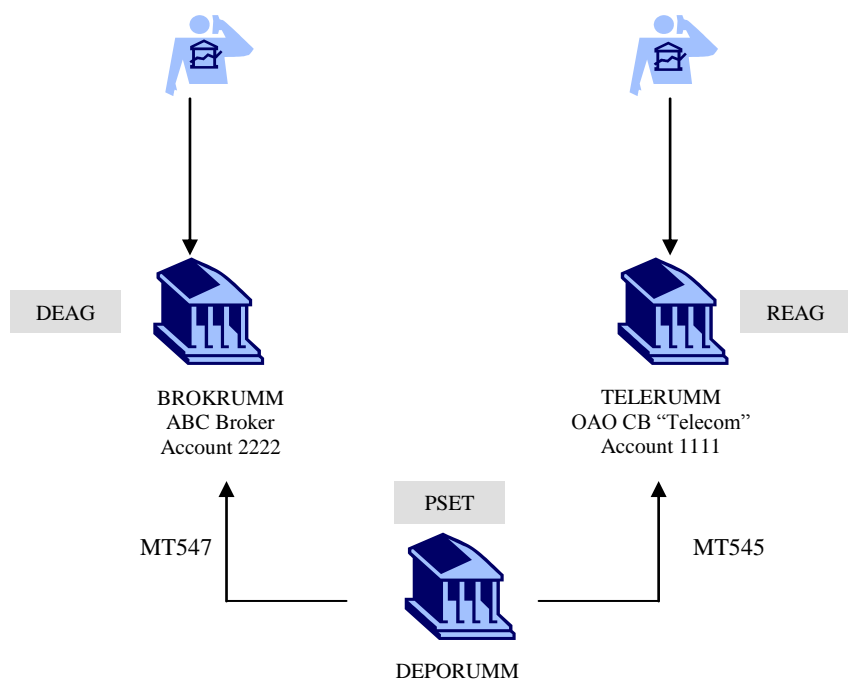
Transaction basis is the contract of purchase and sale №675/003 dated the 16th of September 2004.

Bank servicing the account of the Receiver of the cash amount is USBank, BIC BANKRUMM.

Receiver’s cash account number with this bank – 002USB.

Total cash amount paid for the securities – 100000 US dollars.

### **Message flow.**



Sender of MT545 DEPORUMM  
Receiver of MT545 TELERUMM

**Message structure.**

```
:16R:GENL
:20C::SEME//040730/CONF545-1
:23G:NEWM
:16R:LINK
:20C::RELA//TEN004-346A
:16S:LINK
:16S:GENL
:16R:TRADDET
:98A::ESET//20040923
:98A::TRAD//20040916
:35B:ISIN RU0009024277
'NK LUKOiL
:16S:TRADDET
:16R:FIAC
:36B::ESTT//UNIT/4000,
:97A::SAFE//1111NM
:97A::CASH//001USB
:16S:FIAC
:16R:SETDET
:22F::SETR//TRAD
:16R:SETPRTY
:95P::PSET//DEPORUMM
:16S:SETPRTY
:16R:SETPRTY
:95P::DEAG//BROKRUMM
:97A::SAFE//2222
:70E::DECL//TYPE/BYSA/NUMB/675/003/DATE/20040916
:16S:SETPRTY
```

:16R:CSHPRTY  
:95P::ACCW//BANKRUMM  
:16S:CSHPRTY  
:16R:CSHPRTY  
:95P::BENM//BROKRUMM  
:97A::CASH//002USB  
:16R:AMT  
:19A::ESTT//USD100000,  
:16S:AMT  
:16S:SETDET

### 3.3. MT546 DELIVER FREE CONFIRMATION

This message is sent by an account servicer (account servicing institution) to an account owner or its designated agent. This message is used to:

- confirm the delivery of financial instruments free of payment, physically or by book-entry, from a specified party (the function of the message is NEWM)
- cancel a confirmation of a delivery free of payment previously sent by the account servicer (the function of the message is CANC)
- reverse a confirmation of a delivery free of payment previously sent by the account servicer (the function of the message is RVSL).

The message is also used to pre-confirm settlement or to confirm a partial settlement of a delivery of financial instruments free of payment.

#### 3.3.1. Format Specifications

Status	Tag	Detailed Field Name	Content/Options	Qualifier	№ RUS	№ SWIFT User Handbook
<b>Mandatory Sequence A General Information</b>						
M	:16R	Start of Block	GENL			1
M	:20C:	Sender's Message Reference	:4!c//16x	SEME	1.8.6	2
M	:23G:	Function of the Message	4!c/[4!c]		1.8.9	3
O	:98a:	Preparation Date/Time	A or C	PREP	1.8.23	4
<b>Optional Repetitive Subsequence A1 Linkages</b>						
M	:16R:	Start of Block	LINK			6
M	:20C:	Linked Message Reference	:4!c//16x	RELA	1.8.7	9
M	16S:	End of Block	LINK			10
<b>End of Subsequence A1 Linkages</b>						
M	:16S:	End of Block	GENL			11
<b>End of Sequence A General Information</b>						
<b>Mandatory Sequence B Trade Details</b>						
M	:16R:	Start of Block	TRADDET			12
M	:98a:	Effective Settlement Date/Time	A,B or C	ESET	1.8.23	14
O	:98a:	Settlement Date/Time	A,B or C	SETT	1.8.23	14
O	:98a:	Trade Date/Time	A,B or C	TRAD	1.8.23	14

M	:35B:	Identification of the Financial Instrument	[ISIN1!e12!c][4*35x]		1.8.13	17
<b>Optional Subsequence B1 Financial Instrument Attributes (not considered)</b>						
M	:16S:	End of Block	TRADDET			34
<b>End of Sequence B Settlement Transaction Details</b>						
<b>Mandatory Repetitive Sequence C Financial Instrument/Account</b>						
M	:16R:	Start of Block	FIAC			35
M	:36B:	Quantity of the financial instrument	:4!c//4!c/15d	ESTT	1.8.14	36
O	:95a:	Party/Account Owner	P or R	ACOW	1.8.21	39
M	:97a:	Safekeeping Account	A or B	SAFE	1.8.22	40
M	:16S:	End of Block	FIAC			42
<b>End of Sequence C Financial Instrument/Account</b>						
<b>Optional Sequence D Two Leg Transaction Details (not considered)</b>						
<b>Mandatory Sequence E Settlement Details</b>						
M	:16R:	Start of Block	SETDET			60
M	:22F:	Indicator	:4!c/[8c]/4!c	SETR	1.8.8	61
<b>Mandatory Repetitive Subsequence E1 Settlement Parties</b>						
M	:16R:	Start of Block	SETPRTY			62
M	:96a:	Party/Place of Settlement	C, P or Q	PSET <sup>7</sup>	1.8.21	63
M	:95a:	Party/Receiving Agent	P, Q or R	REAG	1.8.21	63
	:95a:	Party/Seller	P, Q or R	SELL	1.8.21	63
	:95a:	Party/Buyer	P, Q or R	BUYR	1.8.21	63
O	:97a:	Safekeeping Account	A or B	SAFE	1.8.22	64
O	:70a:	Narrative	E,C or D		1.8.16	67
M	:16S:	End of Block	SETPRTY			68
<b>End of Subsequence E1 Settlement Parties</b>						
<b>Optional Repetitive Subsequence E2 Cash Parties (not considered)</b>						
<b>Mandatory Repetitive Subsequence E3 Amount (not considered)</b>						
M	:16S:	End of Block	SETDET			68
<b>End of Sequence E Settlement Details</b>						
<b>Optional Repetitive Sequence F Other Parties (not considered)</b>						

<sup>7</sup> In every SETPRTY repetitive subsequence only one party can be presented: either place of settlement or Delivering agent (except when additional option S "Alternative identification" is applied). Field 97A "Account number" is not applicable in subsequence with PSET qualifier.



### 3.3.2. Example of a Deliver Free Confirmation of transfer from account with a Depository/Custodian

This is an example of a Deliver Free Confirmation as a result of transfer from an account with a Depository/Custodian, while the account to which the securities are credited is serviced by the same Depository/Custodian (the instruction is described in section 2.3.2). Confirmation number – 040730/CONF546-1.

Number of the instruction to be confirmed – 234521/45NP-1.

Effective settlement date – the 23rd of September 2004.

Trade date – the 16th of September 2004.

Financial Instrument is defined by the following details:

- ISIN RU0008959655
- Narrative description of RAO EeS securities (Russia) with transliteration indicator – ‘RAO EeS’.

Quantity of settled financial instrument – 1500 units.

Safekeeping account from which the securities have been debited – 2222.

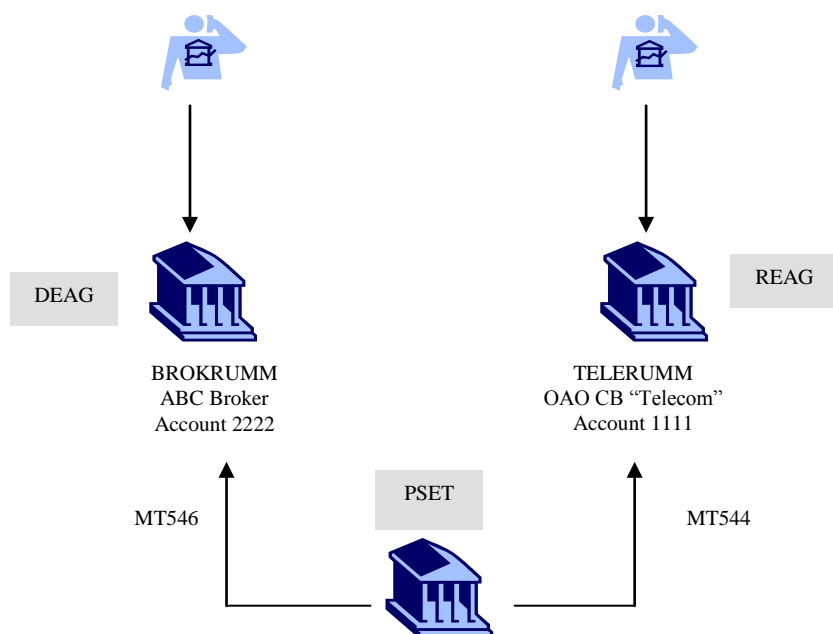
Type of Settlement Transaction – settlement of a trade, indicator SETR//TRAD.

Place of Settlement is the institution that services the safekeeping accounts to be debited/credited with the securities, BIC DEPORUMM.

Name of the owner of the account to which the securities have been credited – OAO CB “Telecom”, account number – 1111, BIC TELERUMM.

Transaction basis is the contract of purchase and sale №45HII-1 dated the 16th of September 2004. Russian letters in the contract number should be transliterated.

#### Message flow.



Sender of MT546 DEPORUMM

Receiver of MT546 BROKRUMM

#### Message structure.

:16R:GENL

:20C::SEME//040730/CONF546-1  
 :23G:NEWM  
 :16R:LINK  
 :20C::RELA//234521/45NP-1  
 :16S:LINK  
 :16S:GENL  
 :16R:TRADDET  
 :98A::ESET//20040923  
 :98A::TRAD//20040916  
 :35B:ISIN RU0008959655  
 ‘RAO EeS  
 :16S:TRADDET  
 :16R:FIAC  
 :36B::ESTT//UNIT/1500,  
 :97A::SAFE//2222  
 :16S:FIAC  
 :16R:SETDET  
 :22F::SETR//TRAD  
 :16R:SETPRTY  
 :95P::PSET//DEPORUMM  
 :16S:SETPRTY  
 :16R:SETPRTY  
 :95P::REAG//TELERUMM  
 :97A::SAFE//1111  
 :70E::DECL//TYPE/BYSA/NUMB/45’NP-1’/DATE/20040916  
 :16S:SETPRTY  
 :16S:SETDET

### **3.3.3. Example of a Deliver Free Confirmation of transfer to an account serviced by an external party**

This is an example of a Deliver Free Confirmation of transfer from an account with a Depository/Custodian involving Change of Beneficial Ownership. This scheme is applied in case if there is no custody account of the nominee of central depository. Securities are delivered to an institution’s account opened at the Registrar (the instruction is described in Example 2 section 2.3.3).

Confirmation number – 040730/CONF546-2.

Number of the instruction to be confirmed – SAMP542/OWN.

Date of making an entry in the registry (effective settlement date) – the 20th of September 2004.

Date of book-entry in the Depository/Custodian – the 23rd of September 2004.

Financial Instrument is defined by the following details:

- ISIN RU0009034268
- National state registration number 1-01-00029-A
- Narrative description of OAO “GAZ” securities with transliteration indicator – ‘OAO ‘GAZ’.

Quantity of settled financial instrument – 3000 units.

Safekeeping account with the Depository/Custodian which has been debited – 1111NM.

Type of Settlement Transaction – securities movement with Change of Beneficial Ownership, indicator SETR//TRAD.

Place of Settlement is the institution which is the Register Holder, Place of Settlement code - REGISTRAR.

Name of the owner of the account in the registry to which the securities have been delivered – OAO “Telestar”, its account number is not indicated in this example.

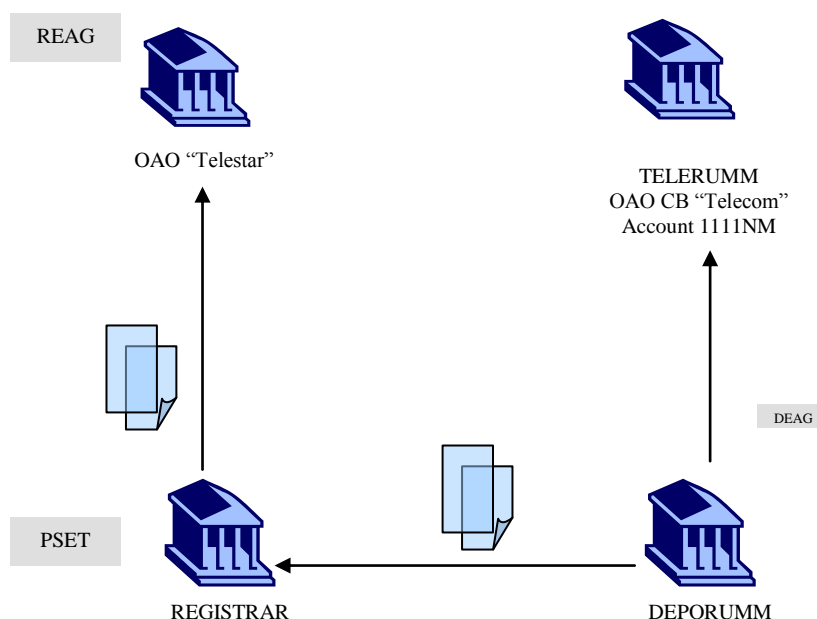
Transaction basis is:

- the depository contract №134 dated the 1st of February 2002
- the contract of purchase and sale №RT12345 dated the 11th of September 2004.

The confirmation does not contain any details of the party to the name of which the securities are re-registered.

Type of the account with the Registrar to be credited – owner’s account.

### Message flow.



Sender of MT546 DEPORUMM  
Receiver of MT546 TELERUMM

### Message structure.

```
:16R:GENL
:20C::SEME//040730/CONF546-2
:23G:NEWM
:16R:LINK
:20C::RELA//SAMP542/OWN
:16S:LINK
:16S:GENL
:16R:TRADDET
:98A::ESET//20040920
:98A::SETT//20040923
:35B:ISIN RU0009034268
/RU/1-01-00029-A
'AO "GAZ"
:16S:TRADDET
```

:16R:FIAC  
:36B::ESTT//UNIT/3000,  
:97A::SAFE//1111NM  
:16S:FIAC  
:16R:SETDET  
:22F::SETR//TRAD  
:16R:SETPRTY  
:95Q::PSET//REGISTRAR  
:16S:SETPRTY  
:16R:SETPRTY  
:95Q::REAG//‘OAO ‘TELESTAR’  
:70E::DECL//TYPE/DEPA/NUMB/134/DATE/20020201  
/TYPE/BYSA/NUMB/RT12345/DATE/20040911  
:70D::PART//OWNT/OWNE  
:16S:SETPRTY  
:16S:SETDET

### 3.4. MT547 DELIVER AGAINST PAYMENT CONFIRMATION

This message is sent by an account servicer (account servicing institution) to an account owner or its designated agent. This message is used to:

- confirm the delivery of financial instruments against payment, physically or by book-entry, from a specified party (the function of the message is NEWM)
- cancel a confirmation of a delivery against payment previously sent by the account servicer (the function of the message is CANC)
- reverse a confirmation of a delivery against payment previously sent by the account servicer (the function of the message is RVSL).

The message is also used to pre-confirm settlement or to confirm a partial settlement of a delivery of financial instruments against payment.

#### 3.4.1. Format Specifications

Status	Tag	Detailed Field Name	Content/Options	Qualifier	№ RUS	№ SWIFT User Handbook
<b>Mandatory Sequence A General Information</b>						
M	:16R:	Start of Block	GENL			1
M	:20C:	Sender's Message Reference	:4!c//16x	SEME	1.8.6	2
M	:23G:	Function of the Message	4!c/[4!c]		1.8.9	3
O	:98a:	Preparation Date/Time	A or C	PREP	1.8.23	4
<b>Optional Repetitive Subsequence A1 Linkages</b>						
M	:16R:	Start of Block	LINK			6
M	:20C:	Linked Message Reference	:4!c//16x	RELA	1.8.7	9
M	16S:	End of Block	LINK			10
<b>End of Subsequence A1 Linkages</b>						
M	:16S:	End of Block	GENL			11
<b>End of Sequence A General Information</b>						
<b>Mandatory Sequence B Trade Details</b>						
M	:16R:	Start of Block	TRADDET			12
M	:98a:	Effective Settlement Date/Time	A,B or C	ESET	1.8.23	14
O	:98a:	Settlement Date/Time	A,B or C	SETT	1.8.23	14
O	:98a:	Trade Date/Time	A,B or C	TRAD	1.8.23	14

M	:35B:	Identification of the Financial Instrument	[ISIN1!e12!c][4*35x]		1.8.13	17
<b>Optional Subsequence B1 Financial Instrument Attributes (not considered)</b>						
M	:16S:	End of Block	TRADDET			34
<b>End of Sequence B Settlement Transaction Details</b>						
<b>Mandatory Repetitive Sequence C Financial Instrument/Account</b>						
M	:16R:	Start of Block	FIAC			35
M	:36B:	Quantity of the financial instrument	:4!c//4!c/15d	SETT	1.8.14	36
O	:95a:	Party/Account Owner	P or R	ACOW	1.8.21	39
M	:97a:	Safekeeping Account	A or B	SAFE	1.8.22	40
M	:16S:	End of Block	FIAC			42
<b>End of Sequence C Financial Instrument/Account</b>						
<b>Optional Sequence D Two Leg Transaction Details (not considered)</b>						
<b>Mandatory Sequence E Settlement Details</b>						
M	:16R:	Start of Block	SETDET			60
M	:22F:	Indicator	:4!c/[8c]/4!c	SETR	1.8.8	61
<b>Mandatory Repetitive Subsequence E1 Settlement Parties</b>						
M	:16R:	Start of Block	SETPRTY			63
M	:95a:	Party/Place of Settlement	C, P or Q	PSET <sup>8</sup>	1.8.21	63
M	:95a:	Party/Receiving agent	P, Q or R	REAG	1.8.21	63
O	:95a:	Party/Seller	P, Q or R	SELL	1.8.21	63
O	:95a:	Party/Buyer	P, Q or R	BUYR	1.8.21	63
O	:97a:	Safekeeping Account	A or B	SAFE	1.8.22	64
O	:70a:	Narrative	C, D or E	:4!c	1.8.16	67
M	:16S:	End of Block	SETPRTY			68
<b>End of Subsequence E1 Settlement Parties</b>						
<b>Optional Repetitive Subsequence E2 Cash Parties</b>						
M	:16R:	Start of Block	CSHPRTY			69
M	:95a:	Party	P, Q or R	:4!c	1.8.21	70
O	:97a:	Account/Cash account	A or E	CASH	1.8.22	71

<sup>8</sup> In every SETPRTY repetitive subsequence only one party can be presented: either place of settlement or Delivering agent (except when additional option S "Alternative identification" is applied). Field 97A "Account number" is not applicable in subsequence with PSET qualifier.

M	:16S:	End of Block	CSHPRTY			74
<b>End of Subsequence E2 Cash Parties</b>						
<b>Mandatory Repetitive Subsequence E3 Amount</b>						
M	:16R:	Start of Block	AMT			75
M	:19A:	Amount	:4!c//[N]3!a15 d	ESTT	1.8.5	77
M	:16S:	End of Block	AMT			80
<b>End of Subsequence E3 Amount</b>						
M	:16S:	End of Block	SETDET			81
<b>End of Sequence E Settlement Details</b>						
<b>Optional Repetitive Sequence F Other Parties (not considered)</b>						

### 3.4.2. Example of a Deliver Against Payment Confirmation of transfer from account within a Depository/Custodian

This is an example of a Deliver Against Payment Confirmation of transfer from an account with a Depository/Custodian, while the account to which the securities are credited is serviced by the same Depository/Custodian (the instruction is described in section 2.4.2). Confirmation number – 040730/CONF547-1.

Number of the instruction to be confirmed – DVP040602/543.

Effective settlement date – the 23rd of September 2004.

Trade date – the 16th of September 2004.

Financial Instrument is defined by the following details:

- ISIN RU0009024277
- Narrative description of NK Lukoil securities with transliteration indicator – ‘NK LUKOIL.

The rules of financial instrument’s indication are defined in section 1.8.13 of this document.

Quantity of settled financial instrument – 4000 units.

Safekeeping account from which the securities have been debited – 2222.

Type of Settlement Transaction – settlement of a trade, indicator SETR//TRAD.

Place of Settlement is the institution that services the safekeeping accounts to be debited/credited with the securities, BIC DEPORUMM.

Name of the owner of the account which has been credited with the securities – OAO CB “Telecom”, account number – 1111NM, BIC TELERUMM.

Transaction basis is the contract of purchase and sale №675/003 dated the 16th of September 2004.

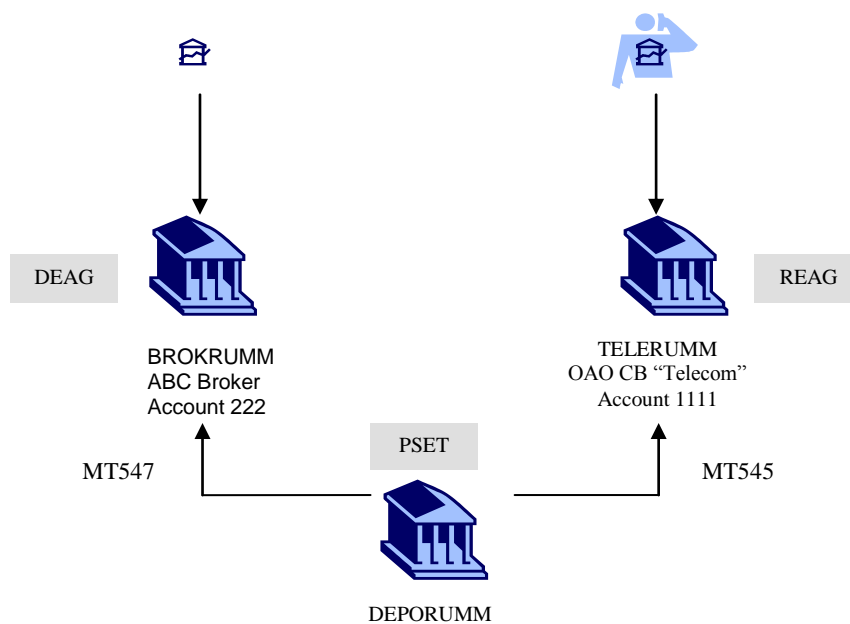
Bank servicing the account of the Receiver of the cash amount is USBank, BIC BANKRUMM.

Receiver’s cash account number with this bank – 002USB.

Total cash amount received for the securities – 100000 US dollars.

#### Message flow.





Sender of MT547 DEPORUMM  
Receiver of MT547 BROKRUMM

**Message structure.**

```
:16R:GENL
:20C::SEME//040730/CONF547-1
:23G:NEWM
:16R:LINK
:20C::RELA//DVP040602/543
:16S:LINK
:16S:GENL
:16R:TRADDET
:98A::ESET//20040923
:98A::TRAD//20040916
:35B:ISIN RU0009024277
‘NK LUKOIL
:16S:TRADDET
:16R:FIAC
:36B::ESTT//UNIT/4000,
:97A::SAFE//2222
:16S:FIAC
:16R:SETDET
:22F::SETR//TRAD
:16R:SETPRTY
:95P::PSET//DEPORUMM
:16S:SETPRTY
:16R:SETPRTY
:95P::REAG//TELERUMM
:97A::SAFE//1111NM
:70E::DECL//TYPE/BYSA/NUMB/675/003/DATE/20040916
:16S:SETPRTY
:16R:CSHPRTY
:95P::ACCW//BANKRUMM
:16S:CSHPRTY
:16R:CSHPRTY
```



:95P::BENM//BROKRUMM  
:97A::CASH//002USB  
:16S:CSHPRTY  
:16R:AMT  
:19A::ESTT//USD100000,  
:16S:AMT  
:16S:SETDET

### 3.5. MT548 SETTLEMENT STATUS AND PROCESSING ADVICE

This message is sent by an account servicer (account servicing institution) to an account owner. This message can be used to:

- to confirm the acceptance of an instruction for further processing by the Depository/Custodian or to advise the rejection of the instructionIn
- to advise the current status of a settlement instruction in the account servicing institution (matching status, settlement status) or to advise the pending/failing of matching, settlement, cancellation etc. with indication of reason if possible.

#### 3.5.1. Format Specifications

Status	Tag	Detailed Field Name	Content/Options	Qualifier	N <sup>o</sup> RUS	N <sup>o</sup> SWIFT User Handbook
<b>Mandatory Sequence A General Information</b>						
M	:16R	Start of Block	GENL			1
M	:20C:	Sender's Message Reference	:4!c//16x	SEME	1.8.6	2
M	:23G:	Function of the Message	4!c[/4!c]		1.8.9	3
O	:98a:	Preparation Date/Time	A,C or E	PREP	1.8.23	4
<b>Optional Repetitive Subsequence A1 Linkages</b>						
M	:16R:	Start of Block	LINK			5
M	:20C:	Linked Message Reference	:4!c//16x	RELA	1.8.7	7
M	:16S:	End of Block	LINK			8
<b>End of Subsequence A1 Linkages</b>						
<b>Mandatory Repetitive Subsequence A2 Status</b>						
M	:16R:	Start of Block	STAT			9
M	:25D:	Status	:4!c/[8c]/4!c		1.8.11	10
<b>Optional Repetitive Subsequence A2a Reason</b>						
M	:16R:	Start of Block	REAS			11
M	:24B:	Reason	:4!c/[8c]/4!c		1.8.10	12
O	:70D:	Reason Narrative	:4!c//6*35x	REAS	1.8.16	13
M	:16S:	End of Block	REAS			14
<b>End of Subsequence A2a Reason</b>						
M	:16S:	End of Block	STAT			15
<b>End of Subsequence A2 Status</b>						

M	:16S:	End of Block	GENL			16
<b>End of Sequence A General Information</b>						
<b>Mandatory Sequence B Trade Details</b>						
M	:16R:	Start of Block	SETTRAN			17
M	:35B:	Identificatio n of the Financial Instrument	[ISIN1!e12!c ][4*35x]		1.8.13	19
M	:36B:	Quantity of the financial instrument	:4!c//4!c/15d	SETT	1.8.14	20
O	:19A:	Amount	:4!c//[N]3!a1 5d	SETT	1.8.5	21
M	:97a:	Safekeeping Account	A or B	SAFE	1.8.22	23
M	:22F:	Type of Settlement Transaction Indicator	:4!c/[8c]/4!c	SETR	1.8.8	24
M	:22H:	Payment Indicator	:4!c//4!c	PAYM	1.8.8	24
M	:22H:	Receive/ Deliver Indicator	:4!c//4!c	REDE	1.8.8	24
O	:98a:	Settlement Date/Time	A,B or C	SETT	1.8.23	25
<b>Optional Subsequence B1 Financial Instrument Attributes</b>						
M	:16R:	Start of Block	SETPRTY			27
M	:95a:	Party/Place of Settlement	P, Q or R	PSET <sup>9</sup>	1.8.21	28
M	:95a:	Party	C, S, P, R or Q	:4!c	1.8.21	28
O	:97a:	Safekeeping Account	A or B	SAFE	1.8.22	29
M	:16S:	End of Block	SETPRTY			31
<b>End of Subsequence B1 Settlement Parties</b>						
M	:16S:	End of Block	SETTRAN			32
<b>End of Sequence B Settlement Transaction Details</b>						
<b>Optional Sequence C Additional Information (not considered)</b>						

<sup>9</sup> In every SETPRTY repetitive subsequence only one party can be presented: either place of settlement or Delivering agent (except when additional option S "Alternative identification" is applied). Field 97A "Account number" is not applicable in subsequence with PSET qualifier.

### 3.5.2. Example of MT548 - advice (receipt) of an instruction acceptance for further processing

#### Example 1.

This is an example of an advice sent by the Depository/Custodian to the account owner institution (OAO CB “Telecom”, BIC TELERUMM) with confirmation of acceptance of its previously sent instruction for further processing in the Depository/Custodian (the instruction is described in Example 1 section 2.1.2).

Number of the instruction to which the advice is related – KL00412/A-861.

Preparation date and time of the advice – the 23rd of September 2004, 12:06:17.

Instruction processing status IPRC – PACK, acknowledged/accepted for further processing.

This advice contains the main details of the settlement instruction: type, safekeeping account number, quantity of securities, expected settlement date, account number and name of the counterparty.

Sender of MT548 DEPORUMM

Receiver of MT548 TELERUMM

#### Message structure:

```
:16R:GENL
:20C::SEME//040923/548-00012
:23G:INST
:98C::PREP//20040923120617
:16R:LINK
:13A::LINK//540
:20C::RELA//KL00412/A-861
:16S:LINK
:16R:STAT
:25D::IPRC//PACK
:16S:STAT
:16S:GENL
:16R:SETTRAN
:35B:ISIN RU0008959655
‘RAO EeS
:36B::SETT//UNIT/1500,
:97A::SAFE//1111
:22H::REDE//RECE
:22H::PAYM//FREE
:22F::SETR//TRAD
:98A::SETT//20040923
:16R:SETPRTY
:95P::PSET//DEPORUMM
:16S:SETPRTY
:16R:SETPRTY
:95P::DEAG//BROKRUMM
:97A::SAFE//2222
:16S:SETPRTY
:16S:SETTRAN
```

### 3.5.3. Example of MT548 – rejection of an instruction for further processing

#### Example 1.

This is an example of an advice sent by the Depository/Custodian to the account owner institution (ABC Broker, BIC BROKRUMM) informing that its previously sent instruction has been rejected by the Depository/Custodian (the instruction is described in section 2.3.2). Rejection reason – unrecognized securities identification.

The Depository/Custodian may indicate additional text description of the rejection reason if there is an appropriate bilateral agreement.

Number of the instruction to which the advice is related – 234521/45NP-1.

Preparation date and time of the advice – the 23rd of September 2004, 11:56:34.

Instruction processing status IPRC – REJT, rejected for further processing.

Rejection reason code – DSEC, unrecognized or invalid financial instrument identification (the instruction contains incorrect ISIN: RU0098959655 instead of RU0008959655).

This advice contains the main details of the settlement instruction: type, safekeeping account number, quantity of securities, expected settlement date, account number and name of the counterparty.

Sender of MT548 DEPORUMM

Receiver of MT548 BROKRUMM

#### Message structure:

```
:16R:GENL
:20C::SEME//040423/548-0004
:23G:INST
:98C::PREP//20040923115634
:16R:LINK
:13A::LINK//542
:20C::RELA//234521/45NP-1
:16S:LINK
:16R:STAT
:25D::IPRC//REJT
:16R:REAS
:24B::REJT//DSEC
:70D::REAS//Unrecognized or invalid financial instrument identification
:16S:REAS
:16S:STAT
:16S:GENL
:16R:SETTRAN
:35B:ISIN RU0098959655
'RAO EeS
:36B::SETT//UNIT/1500,
:97A::SAFE//2222
:22H::REDE//DELI
:22H::PAYM//FREE
:22F::SETR//TRAD
:98A::SETT//20040923
:16R:SETPRTY
:95P::PSET//DEPORUMM
:16S:SETPRTY
:16R:SETPRTY
```

:95P::REAG//TELERUMM  
:97A::SAFE//1111  
:16S:SETPRTY  
:16S:SETTRAN

### **3.5.4. Examples of advices of the current matching/ settlement status of an instruction in the Depository/Custodian**

#### **Example 1.**

This is an example of an advice sent by the Depository/Custodian to the account owner institution (OAO CB “Telecom”, BIC TELERUMM) informing that its previously sent instruction has been matched (the instruction is described in Example 1 section 2.1.2). Number of the instruction to which the advice is related – KL00412/A-861.

Preparation date and time of the advice – the 23rd of September 2004, 14:01:13.

Matching status MTCH – MACH, the instruction has been matched.

This advice contains the main details of the settlement instruction: type, safekeeping account number, quantity of securities, expected settlement date, account number and name of the counterparty.

Sender of MT548 DEPORUMM  
Receiver of MT548 TELERUMM

#### **Message structure:**

:16R:GENL  
:20C::SEME//040923/548-00089  
:23G:INST  
:98C::PREP//20040923140113  
:16R:LINK  
:13A::LINK//540  
:20C::RELA//KL00412/A-861  
:16S:LINK  
:16R:STAT  
:25D::MTCH//MACH  
:16S:STAT  
:16S:GENL  
:16R:SETTRAN  
:35B:ISIN RU0008959655  
'RAO EeS  
:36B::SETT//UNIT/1500,  
:97A::SAFE//1111  
:22H::REDE//RECE  
:22H::PAYM//FREE  
:22F::SETR//TRAD  
:98A::SETT//20040923  
:16R:SETPRTY  
:95P::PSET// DEPORUMM  
:16S:SETPRTY  
:16R:SETPRTY  
:95P::DEAG//BROKRUMM  
:97A::SAFE//2222  
:16S:SETPRTY  
:16S:SETTRAN

**Example 2.**

This is an example of an advice sent by the Depository/Custodian to the account owner institution (OAO CB “Telecom”, BIC TELERUMM) informing that its previously sent instruction has not been matched (the instruction is described in section 2.2.2).

Number of the instruction to which the advice is related – TEN004-346A.

Preparation date and time of the advice – the 23rd of September 2004, 15:05:32.

Matching status MTCH – NMAT, the instruction has not been matched.

Reason code – DQUA, quantity of the securities does not match with the quantity in the counterparty’s instruction.

This advice contains the main details of the settlement instruction: type, safekeeping account number, quantity of securities, settlement amount, expected settlement date, account number and name of the counterparty.

Sender of MT548 DEPORUMM

Receiver of MT548 TELERUMM

**Message structure:**

:16R:GENL  
:20C::SEME//040923/548-00150  
:23G:INST  
:98C::PREP//20040923150532  
:16R:LINK  
:13A::LINK//541  
:20C::RELA//TEN004-346A  
:16S:LINK  
:16R:STAT  
:25D::MTCH//NMAT  
:16R:REAS  
:24B::NMAT//DQUA  
:16S:REAS  
:16S:STAT  
:16S:GENL  
:16R:SETTRAN  
:35B:ISIN RU0009024277  
^NK LUKOIL  
:36B::SETT//UNIT/4000,  
:19A::SETT//USD100000,  
:97A::SAFE//1111NM  
:22H::REDE//RECE  
:22H::PAYM//APMT  
:22F::SETR//TRAD  
:98A::SETT//20040923  
:16R:SETPRTY  
:95P::PSET//DEPORUMM  
:16S:SETPRTY  
:16R:SETPRTY  
:95P::DEAG//BROKRUMM  
:97A::SAFE//2222  
:16S:SETPRTY  
:16S:SETTRAN

**Example 3.**

This is an example of an advice sent by the Depository/Custodian to the account owner institution (ABC Broker, BIC BROKRUMM) informing that settlement of its previously sent instruction is pending.

The instruction is described in section 2.4.2.

Number of the instruction to which the advice is related – DVP040602/543.

Preparation date and time of the advice – the 23rd of September 2004, 16:10:54.

Settlement status SETT – PEND, the instruction settlement is pending.

Reason code – LACK, insufficient deliverable securities in the account.

This advice contains the main details of the settlement instruction: type, safekeeping account number, quantity of securities, settlement amount, expected settlement date, account number and name of the counterparty.

Sender of MT548 DEPORUMM

Receiver of MT548 BROKRUMM

**Message structure:**

:16R:GENL  
:20C::SEME//040923/548-01237  
:23G:INST  
:98C::PREP//20040923161054  
:16R:LINK  
:13A::LINK//543  
:20C::RELA//DVP040602/543  
:16S:LINK  
:16R:STAT  
:25D::SETT//PEND  
:16R:REAS  
:24B::PEND//LACK  
:16S:REAS  
:16S:STAT  
:16S:GENL  
:16R:SETTRAN  
:35B:ISIN RU0009024277  
'NK LUKOiL  
:36B::SETT//UNIT/4000,  
:19A::SETT//USD100000,  
:97A::SAFE//2222  
:22H::REDE//DELI  
:22H::PAYM//APMT  
:22F::SETR//TRAD  
:98A::SETT//20040923  
:16R:SETPRTY  
:95P::PSET//DEPORUMM  
:16S:SETPRTY  
:16R:SETPRTY  
:95P::REAG//TELERUMM  
:97A::SAFE//1111NM  
:16S:SETPRTY  
:16S:SETTRAN



### 3.6.MT549 REQUEST FOR STATEMENT/STATUS ADVICE

This message is sent by an account owner to an account servicer (account servicing institution). This message can be used to:

- to request statement of holdings in the account serviced by the Depository/Custodian
- to request current intra-system processing status advice of an instruction (or several instructions) in the Depository/Custodian.

#### 3.6.1. Format Specifications

Status	Tag	Detailed Field Name	Content/Options	Qualifier	№ RUS	№ SWIFT User Handbook
<b>Mandatory Sequence A General Information</b>						
M	:16R	Start of Block	GENL			1
M	:20C:	Sender's Message Reference	:4!c//16x	SEME	1.8.6	2
M	:23G:	Function of the Message	4!c/[4!c]		1.8.9	3
O	:98a:	Statement Date/Time	A or C	STAT	1.8.23	4
O	:69a:	Statement Period	A or B	STAT	1.8.15	5
M	:13A:	Requested Message Type	:4!c//3!c	REQU	1.8.3	6
M	:97a:	Account/ Safekeeping account	A or B	SAFE	1.8.22	7
O	:95a:	Party/ Account Owner	P or R	ACOW	1.8.21	8
O	:22F:	Indicator/ Complete/Updates Indicator	:4!c/[8c]/4!c	CODE	1.8.11	9
O	:22F:	Indicator/ Statement Frequency Indicator	:4!c/[8c]/4!c	SFRE	1.8.11	9
O	:22F:	Indicator/ Statement Type Indicator	:4!c/[8c]/4!c	STTY	1.8.11	9
O	:22F:	Indicator/ Statement Basis	:4!c/[8c]/4!c	STBA	1.8.11	9
M	:16S:	End of Block	GENL			14

**End of Sequence A General Information****Optional Repetitive Sequence B Statement by Status/Reason and/or by Financial Instrument**

M	:1	Start of Block	BYSTAREA			15
	6					
	R:					
O	:2	Status	:4!c/[8c]/4!c	:4!c	1.8.11	16
	5					
	D					
O	:2	Reason	:4!c/[8c]/4!c	:4!c	1.8.10	17
	4					
	B:					
O	:3	Identification of	[ISIN1!e12!c][		1.8.13	18
	5	the Financial	4*35x]			
	B	Instrument				
M	:1	End of Block	BYSTAREA			19
	6S					
	:					

**End of Sequence B Statement by Status/Reason and/or by Financial Instrument****Optional Repetitive Sequence C By Instruction Reference**

M	:1	Start of Block	REF			20
	6					
	R:					
M	:2	Reference	:4!c//16x	:4!c	1.8.7	21
	0					
	C					
M	:1	End of Block	REF			22
	6S					
	:					

**End of Sequence C By Instruction Reference****Optional Sequence D Additional Information****3.6.2. Request for Statement****Example 1.**

This is an example of a Request for Statement sent by the account owner (ABC Broker, BIC BROKRUMM) to the Depository/Custodian to request the statement of holdings (MT535) for the account 2222.

Date on which the statement is requested – the 9th of July 2004.

Sender of MT549 - BROKRUMM

Receiver of MT549 – DEPORUMM

**Message structure:**

```
:16R:GENL
:20C::SEME//12345/000123
:98A::STAT//20040709
:13A::REQU//535
:97A::SAFE//2222
:16S:GENL
```

**Example 2.**

This is an example of a Request for Statement sent by the account owner (OAO CB “Telecom”, BIC TELERUMM) to the Depository/Custodian to request the statement of transactions (MT536) for the period from the 14th till the 16th of June 2004 for the account 1111.

Sender of MT549 - TELERUMM  
Receiver of MT549 – DEPORUMM

Message structure:

:16R:GENL  
:20C::SEME//123  
:69A::STAT//20040614/20040616  
:13A::REQU//536  
:97A::SAFE//1111  
:16S:GENL

**Example 3.**

This is an example of a Request for Statement sent by the account owner (OAO CB “Telecom”, BIC TELERUMM) to the Depository/Custodian to request the statement of holdings (MT535) for the safekeeping account.

The statement is requested for two financial instruments:

RAO EeS (Russia) and NK Lukoil.

Date on which the statement is requested – the 15th of June 2004.

Sender of MT549 - TELERUMM  
Receiver of MT549 – DEPORUMM

**Message structure:**

:16R:GENL  
:20C::SEME//123  
:98A::STAT//20040615  
:13A::REQU//535  
:97A::SAFE//1111  
:16S:GENL  
:16R:BYSTAREA  
:35B:ISIN RU0008959655  
'RAO EeS  
:35B:ISIN RU0009024277  
'NK LUKOIL  
:16S:BYSTAREA

**Example 4.**

This is an example of a Request for Statement sent by the account owner (ABC Broker, BIC BROKRUMM) to the Depository/Custodian to request the statement of pending transactions for the account 2222.

The statement is requested for operations with pending settlement status.

Date on which the statement is requested – the 23rd of September 2004.

Sender of MT549 - BROKRUMM  
Receiver of MT549 – DEPORUMM

**Message structure:**

:16R:GENL  
:20C::SEME//12345/000123  
:98A::STAT//20040923  
:13A::REQU//537  
:97A::SAFE//2222  
:16S:GENL  
:16R:BYSTAREA  
:25D::SETT//PEND  
:16S:BYSTAREA

### **3.6.3. Request for Status Advice of an instruction in the Depository/Custodian**

#### **Example 1.**

This is an example of a Request for Status Advice sent by the account owner (ABC Broker, BIC BROKRUMM) to the Depository/Custodian to request status advice of MT548 instruction.

The instruction was sent to the Depository/Custodian on the 23rd of September 2004 (section 2.4.2), instruction number – DVP040602/543.  
Safekeeping account number – 2222.

Sender of MT549 - BROKRUMM  
Receiver of MT549 – DEPORUMM

#### **Message structure:**

:16R:GENL  
:20C::SEME//0001QWER-12  
:98A::STAT//20040923  
:13A::REQU//548  
:97A::SAFE//2222  
:16S:GENL  
:16R:REF  
:20C::PREV//DVP040602/543  
:16S:REF

### **3.6.4. Cancellation of the Request for Status Advice of an instruction in the Depository/Custodian**

#### **Example 1.**

This is an example of a cancellation of a Request for Status Advice sent by the account owner (ABC Broker, BIC BROKRUMM) to the Depository/Custodian to request cancellation of previously sent Request for Status Advice of an instruction (MT548).  
The instruction was sent to the Depository/Custodian on the 23rd of September 2004 (section 2.4.2), instruction number – DVP040602/543.  
Number of the Request of Status Advice to be cancelled – 0001 QWER-12 (described in section 3.6.3).  
Safekeeping account number – 2222.

Sender of MT549 - BROKRUMM  
Receiver of MT549 - DEPORUMM

**Message structure:**

:16R:GENL  
:20C::SEME//0001QWER-12  
:23G:CANC  
:13A::REQU//548  
:97A::SAFE//2222  
:16R:LINK  
:13A::LINK//549  
:20C::PREV//0001QWER-12  
:16S:LINK  
:16S:GENL  
:16R:REF  
:20C::PREV//DVP040602/543  
:16S:REF

### 3.7.MT535 STATEMENT OF HOLDINGS

This message is sent by an account servicer (account servicing institution) to an account owner or its designated agent.

This message is used to report, at a specified moment of time, the quantity and identification of financial instruments which the account servicer holds for the account owner.

The statement is used to reconcile the books of the account owner and the account servicer for the specified safekeeping account or sub-safekeeping account.

This message may also be used to respond to a request for statement/status advice, i.e., the MT 549 Request for Statement/Status Advice and to report that there are no holdings in the account.

#### 3.7.1. Format Specifications

Status	Tag	Detailed Field Name	Content/Options	Qualifier	№ RUS	№ SWIFT User Handbook
<b>Mandatory Sequence A General Information</b>						
M	:16R	Start of Block	GENL			1
M	:28E:	Page Number/Continuation Indicator	5n/4!c		1.8.12	2
O	:13a:	Statement Number	A or J		1.8.3	3
M	:20C:	Sender's Message Reference	:4!c//16x	SEME	1.8.6	4
M	:23G:	Function of the Message	4!c/[4!c]		1.8.9	5
O	:98a:	Preparation Date/Time	A or C	PREP	1.8.23	6
M	:98a:	Statement Date/Time	A or C	STAT	1.8.23	6
O	:22F:	Indicator/Complete/Updates Indicator	:4!c/[8c]/4!c	CODE	1.8.8	7
O	:22F:	Indicator/Statement Frequency Indicator	:4!c/[8c]/4!c	SFRE	1.8.8	7
O	:22F:	Indicator/Statement Type	:4!c/[8c]/4!c	STTY	1.8.8	7
O	:22F:	Indicator/Statement Basis	:4!c/[8c]/4!c	STBA	1.8.8	7
<b>Optional Repetitive Subsequence A1 Linkages (not considered)</b>						
O	:95a:	Party/ Account Owner	P or R	ACOW		12

M	:97a:	Account/ Safekeeping account	A or B	SAFE	1.8.21	13
M	:17B:	Flag/Activity flag	:4!c//1!a	ACTI	1.8.22	14
M	:17B:	Flag/Sub- safekeeping Statement	:4!c//1!a	CONS	1.8.4	14
M	:16S:	End of Block	GENL			15
<b>End of Sequence A General Information</b>						
<b>Optional Repetitive Sequence B Sub-safekeeping account</b>						
M	:16R:	Start of Block	SUBSAFE			16
O	:95a:	Party/ Account Owner	P or R	ACOW	1.8.21	18
M	:97a:	Account/ Safekeeping account	A or B	SAFE	1.8.22	19
M	:17B:	Flag/Activity flag	:4!c//1!a	ACTI	1.8.4	20
<b>Optional Repetitive Subsequence B1 Financial Instrument</b>						
M	:16R:	Start of Block	FIN			21
O	:35B:	Identification of the Financial Instrument	[ISIN1!e12!c][ 4*35x]		1.8.13	22
<b>Optional Subsequence B1a Financial Instrument Attributes</b>						
M	:93B:	Balance/Aggre gate Balance	:4!c/[8c]/4!c/[ N]15d	AGGR	1.8.19	41
O	:93B:	Available Balance	:4!c/[8c]/4!c/[ N]15d	AVAI	1.8.19	41
O	:93B:	Not Available Balance	:4!c/[8c]/4!c/[ N]15d	NAVL	1.8.19	41
<b>Optional Repetitive Subsequence B1b Sub-Balance (not considered)</b>						
<b>Optional Repetitive Subsequence B1b Quantity Breakdown (not considered)</b>						
M	:16S:	End of Block	FIN			72
<b>End of Subsequence B1 Financial Instrument</b>						
M	:16S:	End of Block	SUBSAFE			73
<b>End of Sequence B Sub-safekeeping account</b>						
<b>Optional Repetitive Sequence C Additional Information (not considered)</b>						

### 3.7.2. Examples of MT535 Statement of Holdings

#### Example 1.

This is an example of a Statement of Holdings sent by the Depository (BIC DEPORUMM) to the account owner (OAO CB “Telecom”, BIC TELERUMM) to report on the securities held in the account 1111NM on the reported date – the 23rd of September 2004.

Statement number – REP5351111NM2309.

Preparation date and time of the statement – the 24th of September 2004, 06:00.

The statement reports holdings reflecting situation at the end of operation day (the 23rd of September 2004) .

The statement is daily (indicator DAIL), it gives complete information on the quantity and status of securities held in the safekeeping account based on the end of operation day status (indicator COMP); it is a depository statement (indicator CUST).

The statement in this example is reporting on three securities held in the safekeeping account:

- OAO Tatneft, ordinary shares of the third issue (total quantity of the securities in the safekeeping account – 88000, total quantity available for operations – 8000, blocked in the process of settlement - 80000);
- NK Lukoil (total quantity of the securities in the safekeeping account – 100000, total quantity available for operations – 100000);
- OAO 'GAZ' (total quantity of the securities in the safekeeping account – 175000, quantity of securities awaiting re-registration – 75000, total quantity available for operations – 100000).

Sender of MT535 – DEPORUMM

Receiver of MT535 – TELERUMM

**Message structure:**

```
:16R:GENL
:28E:1/ONLY
:20C::SEME//REP5351111NM2309
:23G:NEWM
:98C::PREP//20040924060000
:98A::STAT//20040923
:22F::SFRE//DAIL
:22F::CODE//COMP
:22F::STTY//CUST
:97A::SAFE//1111NM
:17B::ACTI//Y
:17B::CONS//Y
:16S:GENL
:16R:SUBSAFE
:97A::SAFE//1111NM
:17B::ACTI//Y
:16R:FIN
:35B:ISIN RU0009033591
/XX/CORP/DEPO/54327RT
/RU/1-03-00161-A
'OAO "TATNEFTX" AO3
:93B::AGGR//UNIT/88000,
:16R:SUBBAL
:93C::BLOC//UNIT/NAVL/80000,
:16S:SUBBAL
:16R:SUBBAL
:93C::TAVI//UNIT/AVAI/8000,
:16S:SUBBAL
:16S:FIN
:16R:FIN
:35B:ISIN RU0009024277
/XX/CORP/DEPO/56734LU
/RU/1-01-00077-A
'NK LUKOIL
```



:93B::AGGR//UNIT/100000,  
:16R:SUBBAL  
:93C::TAVI//UNIT/AVAI/100000,  
:16S:SUBBAL  
:16S:FIN  
:16R:FIN  
:35B:ISIN RU0009034268  
/XX/CORP/DEPO/56734GZ  
/RU/1-01-00029-A  
'OAO "GAZ"  
:93B::AGGR//UNIT/175000,  
:16R:SUBBAL  
:93C::REGO//UNIT/NAVL/75000,  
:16S:SUBBAL  
:16R:SUBBAL  
:93C::TAVI//UNIT/AVAI/100000,  
:16S:SUBBAL  
:16S:FIN  
:16S:SUBSAFE

### **Example 2.**

This is an example of a Statement of Holdings sent by the Depository (BIC DEPORUMM) to the account owner (ABC Broker, BIC BROKRUMM) to report on the securities held in the account 2222 on the reported date – the 6th of June 2004.

The statement is reporting only on the total quantity of the securities held in the Client's account. The statement does not contain information on the current status of these securities. Statement number – REP53522220606.

Preparation date and time of the statement – the 7th of June 2004, 06:05.

The statement reports holdings reflecting situation at the end of operation day (the 6th of June 2004).

The statement is daily (indicator DAIL), it gives complete information on the quantity of securities held in the safekeeping account based on the end of operation day status (indicator COMP); it is a depository statement (indicator CUST).

The statement in this example is reporting on three securities held in two sections (subaccounts) of the safekeeping account 2222 - .222AC and 2222AB:

- OAO “X-neft”, ordinary shares of the third issue (total quantity of the securities in the safekeeping account – 55000);
- RAO EeS, ordinary shares (total quantity of the securities in the safekeeping account – 4000);

OAO ‘GAZ’ are held on 2222AB subaccount, total quantity of the securities in the safekeeping account – 37400.

Sender of MT535 – DEPORUMM  
Receiver of MT535 – BROKRUMM

### **Message structure:**

:16R:GENL  
:28E:1/ONLY  
:20C::SEME// REP53522220606  
:23G:NEWM  
:98C::PREP//200400607060500

:98A::STAT//20040606  
:22F::SFRE//DAIL  
:22F::CODE//COMP  
:22F::STTY//CUST  
:97A::SAFE//2222  
:17B::ACTI//Y  
:17B::CONS//Y  
:16S:GENL  
:16R:SUBSAFE  
:97A::SAFE//2222  
:17B::ACTI//Y  
:16R:FIN  
:35B:ISIN RU0006733556  
/XX/CORP/DEPO/54327RT  
/RU/2-07-03-00345-A  
'OAO "IKS-NEFTX" AO3  
:93B::AGGR//UNIT/55000,  
:16S:FIN  
:16R:FIN  
:35B:ISIN RU0008959655  
/XX/CORP/DEPO/65432ES  
/RU/1-01-00034-A  
'RAO EeS  
:93B::AGGR//UNIT/4000,  
:16S:FIN  
:16R:FIN  
:35B:ISIN RU0009034268  
/XX/CORP/DEPO/56734GZ  
/RU/1-01-00029-A  
'OAO "GAZ"  
:93B::AGGR//UNIT/37400,  
:16S:FIN  
:16S:SUBSAFE

### 3.8.MT536 STATEMENT OF TRANSACTIONS

This message is sent by an account servicer (account servicing institution) to an account owner or its designated agent.

This message is used to provide the details of increases and decreases of holdings which occurred during a specified period, for all or selected securities in the specified safekeeping account or sub-safekeeping account which the account servicer holds for the account owner. This message may also be used to respond to a request for statement/status advice, i.e., the MT 549 Request for Statement/Status Advice and to report that there are no transactions for the specified period of time:

- for the operational day (indicator DAIL)
- for the month (indicator MNTH)
- for the year (indicator YEAR)
- for the week (indicator WEEK).

#### 3.8.1. Format Specifications

Status	Tag	Detailed Field Name	Content/Options	Qualifier	Nº RUS	Nº SWIFT User Handbook
<b>Mandatory Sequence A General Information</b>						
M	:16R:	Start of Block	GENL			1
M	:28E:	Page Number/Continuation Indicator	5n/4!c		1.8.12	2
O	:13a:	Statement Number	A or J	STAT	1.8.3	3
M	:20C:	Sender's Message Reference	:4!c//16x	SEME	1.8.6	4
M	:23G:	Function of the Message	4!c/[4!c]		1.8.9	5
O	:98a:	Statement Date/Time	A or C	PREP	1.8.23	6
O	:69a:	Statement Period	A or C	STAT	1.8.15	7
O	:22F:	Indicator/Complete/Updates Indicator	:4!c/[8c]/4!c	CODE	1.8.8	8
O	:22F:	Indicator/Statement Frequency Indicator	:4!c/[8c]/4!c	SFRE	1.8.8	8
<b>Optional Repetitive Subsequence A1 Linkages (not considered)</b>						
O	:95a:	Party/ Account Owner	P or R	ACOW	1.8.21	13

M	:97a:	Account/ Safekeeping account	A or B	SAFE	1.8.22	14
M	:17B:	Flag/Activity flag	:4!c//1!a	ACTI	1.8.4	15
M	:17B:	Flag/Sub- safekeeping Statement	:4!c//1!a	CONS	1.8.4	15
M	:16S:	End of Block	GENL			16
<b>End of Sequence A General Information</b>						
<b>Optional Repetitive Sequence B Sub-safekeeping account</b>						
M	:16R:	Start of Block	SUBSAFE			17
O	:95a:	Party/ Account Owner	P or R	ACOW	1.8.21	18
M	:97a:	Account/ Safekeeping account	A or B	SAFE	1.8.22	19
M	:17B:	Flag/Activity flag	:4!c//1!a	ACTI	1.8.4	21
<b>Optional Repetitive Subsequence B1 Financial Instrument</b>						
M	:16R:	Start of Block	FIN			22
O	:35B	Identification of the Financial Instrument	[ISIN1!e12!c][ 4*35x]		1.8.13	23
<b>Mandatory Repetitive Subsequence B1a Transaction</b>						
M	:16R:	Start of Block	TRAN			28
<b>Mandatory Repetitive Subsequence B1a1 Linkages</b>						
M	:16R:	Start of Block	LINK			29
M	:20C:	Related Message Reference	:4!c//16x	RELA	1.8.7	31
M	:16S:	End of Block	LINK			32
<b>End of Subsequence B1a1 Linkages</b>						
<b>Optional Subsequence B1a2 Transaction Details</b>						
M	:16R:	Start of Block	TRANSDet			33
M	:36B:	Posting Quantity	:4!c//4!c/[N]15 d	PSTA	1.8.14	35
O	:19A:	Posting Amount	:4!c//[N]3!a15 d	PSTA	1.8.5	37
M	:22F:	Transaction indicator	:4!c/[8c]/4!c	TRAN	1.8.8	38
M	:22H:	Payment indicator	:4!c//4!c	PAYM	1.8.8	38
M	:22H:	Receive/Deliv ery indicator	:4!c//4!c	REDE	1.8.8	38
M	:22F:	Type of Settlement Transaction Indicator	:4!c/[8c]/4!c	SETR	1.8.8	38

M	:98a:	Effective Settlement Date/Time	A or C	ESET	1.8.23	39
O	:98a:	Settlement Date/Time	A,B or C	SETT	1.8.23	40
O	:70E	Transaction Details Narrative	:4!c//10*35x	TRDE	1.8.16	41
<b>Optional Repetitive Subsequence B1a2A Settlement Parties</b>						
M	:16R:	Start of Block	SETPRTY			42
M	:95a:	Party	C,P,Q or R		1.8.21	43 <sup>10</sup>
O	:97a:	Account/Safekeeping account	A or B	SAFE	1.8.22	44
M	:16S:	End of Block	SETPRTY			46
<b>End of Subsequence B1a2A Settlement Parties</b>						
M	:16S:	End of Block	TRANSDDET			47
<b>End of Subsequence B1a2 Transaction Details</b>						
M	:16S:	End of Block	TRAN			48
<b>End of Subsequence B1a Transaction</b>						
M	:16S:	End of Block	FIN			49
<b>End of Subsequence B1 Financial Instrument</b>						
M	:16S:	End of Block	SUBSAFE			50
<b>End of Sequence B Sub-safekeeping account</b>						
<b>Optional Repetitive Sequence C Additional Information (not considered)</b>						

### 3.8.2. Examples of MT536 Statement of Transactions

#### Example 1.

This is an example of a Statement of Transactions sent by the Depository (BIC DEPORUMM) to the account owner (OAO CB “Telecom”, BIC TELERUMM) with details of the transactions for the Client’s account 111NM for the 23rd of September 2004.

The statement is reporting on three transactions:

Instruction to receive securities from an institution’s account opened at the Registrar (Example 1 section 2.1.3)

Receive against payment instruction (Example 1 section 2.2.2)

Instruction to deliver securities from an account with a Depository/Custodian to an institution’s account in the registry (Example 2 section 2.3.3).

#### Example 2.

Statement number – REP1111NM2309.

Preparation date and time of the statement – the 24th of September 2004, 07:00.

The statement reports transactions on 23rd of September 2004.

The statement is daily (indicator DAIL), it gives complete information on the transactions for the specified period (indicator COMP).

This statement contains the main details of the type of settlement transaction, instruction number, settlement transaction indicators, quantity of settled securities, settlement amount, effective settlement date, account number and name of the counterparty.

<sup>10</sup> In every SETPRTY repetitive subsequence only one party can be presented: either place of settlement or Delivering agent (except when additional option S “Alternative identification” is applied). Field 97A “Account number” is not applicable in subsequence with PSET qualifier.

Sender of MT536 DEPORUMM  
Receiver of MT536 TELERUMM

**Message structure:**

:16R:GENL  
:28E:1/ONLY  
:20C::SEME//REP1111NM2309  
:23G:NEWM  
:98C::PREP//20040924070000  
:69A::STAT//20040923/20040923  
:22F::SFRE//DAIL  
:22F::CODE//COMP  
:97A::SAFE//1111NM  
:17B::ACTI//Y  
:17B::CONS//Y  
:16S:GENL  
:16R:SUBSAFE  
:97A::SAFE//1111NM  
:17B::ACTI//Y  
:16R:FIN  
:35B:ISIN RU0006733556  
/XX/CORP/DEPO/54327RT  
/RU/2-07-03-00345-A  
'OAO "IKS-NEFTX" AO3  
:16R:TRAN  
:16R:LINK  
:13A::LINK//540  
:20C::RELA//ANF-34/DF  
:16S:LINK  
:16R:TRANSDET  
:36B::PSTA//UNIT/8000,  
:22F::TRAN//SETT  
:22H::REDE//RECE  
:22H::PAYM//FREE  
:22F::SETR//OWNE  
:98A::ESET//20040923  
:16R:SETPRTY  
:95Q::PSET//REGISTRAR  
:16S:SETPRTY  
:16R:SETPRTY  
:95Q::DEAG//"ZAO KOMPANIa" Alliance"  
:16S:SETPRTY  
:16S:TRANSDET  
:16S:TRAN  
:16S:FIN  
:16R:FIN  
:35B:ISIN RU0009024277  
/XX/CORP/DEPO/56734LU  
/RU/1-01-00077-A  
'NK LUKOIL  
:16R:TRAN

:16R:LINK  
:13A::LINK//541  
:20C::RELA//TEN004-346A  
:16S:LINK  
:16R:TRANSDDET  
:36B::PSTA//UNIT/4000,  
:19A::PSTA//USD100000,  
:22F::TRAN//SETT  
:22H::REDE//RECE  
:22H::PAYM//APMT  
:22F::SETR//TRAD  
:98A::ESET//20040923  
:98A::TRAD//20040916  
:16R:SETPRTY  
:95P::PSET//DEPORUMM  
:16S:SETPRTY  
:16R:SETPRTY  
:95P::DEAG//BROKRUMM  
:16S:SETPRTY  
:16S:TRANSDDET  
:16S:TRAN  
:16S:FIN  
:16R:FIN  
:35B:ISIN RU0009034268  
/XX/CORP/DEPO/56734GZ  
/RU/1-01-00029-A  
'OAO "GAZ"  
:16R:TRAN  
:16R:LINK  
:13A::LINK//542  
:20C::RELA//SAMP542/OWN  
:16S:LINK  
:16R:TRANSDDET  
:36B::PSTA//UNIT/3000,  
:19A::PSTA//USD10000,  
:22F::TRAN//SETT  
:22H::REDE//DELI  
:22H::PAYM//FREE  
:22F::SETR//TRAD  
:98A::ESET//20040920  
:98A::SETT//20040923  
:98A::TRAD//20040911  
:16R:SETPRTY  
:95Q::PSET//REGISTRAR  
:16S:SETPRTY  
:16R:SETPRTY  
:95Q::REAG//'OAO "TELESTAR"  
:16S:SETPRTY  
:16S:TRANSDDET  
:16S:TRAN  
:16S:FIN  
:16S:SUBSAFE

### 3.9.MT537 STATEMENT OF PENDING TRANSACTIONS

This message is sent by an account servicer (account servicing institution) to an account owner or its designated agent. This message is used to provide, as at a specified time, the details of pending increases and decreases of holdings, for all or selected securities in a specified safekeeping account, for all or selected reasons why the transaction is pending. The statement may also include future settlement or forward transactions which have become binding on the account owner.

This message may also be used to respond to a request for statement/status advice, that is, the MT 549 Request for Statement/Status Advice and to report that there are no pending transactions.

#### 3.9.1. Format Specifications

Status	Tag	Detailed Field Name	Content/Options	Qualifier	№ RUS	№ SWIFT User Handbook
<b>Mandatory Sequence A General Information</b>						
M	:16R:	Start of Block	GENL			1
M	:28E:	Page Number/Continuation Indicator	5n/4!c		1.8.12	2
O	:13a:	Statement Number	A or J	STAT	1.8.3	3
M	:20C:	Sender's Message Reference	:4!c//16x	SEME	1.8.6	4
M	:23G:	Function of the Message	4!c/[4!c]		1.8.9	5
O	:98a:	Statement Date/Time	A or C	STAT	1.8.23	6
O	:22F:	Indicator/Complete/Updates Indicator	:4!c/[8c]/4!c	CODE	1.8.8	7
O	:22F:	Indicator/Statement Frequency Indicator	:4!c/[8c]/4!c	SFRE	1.8.8	7
M	:22H:	Indicator/Statement structure type	:4!c/[8c]/4!c	STST	1.8.8	7
<b>Optional Repetitive Subsequence A1 Linkages (not considered)</b>						
O	:95a:	Party/ Account Owner	P or R	ACOW	1.8.21	12
M	:97a:	Account/ Safekeeping account	A or B	SAFE	1.8.12	13
M	:17B:	Flag/Activity flag	:4!c//1!a	ACTI	1.8.4	14



M	:16S:	End of Block	GENL			15
<b>End of Sequence A General Information</b>						
<b>Optional Repetitive Sequence B Status</b>						
M	:16R:	Start of Block	STAT			16
M	:25D:	Status	:4!c/[8c]/4!c		1.8.11	17
<b>Optional Repetitive Subsequence B1 Reason</b>						
M	:16R:	Start of Block	REAS			18
M	:24B:	Reason	:4!c/[8c]/4!c		1.8.10	19
O	:70D:	Narrative/ Reason narrative	:4!c//6*35x	REAS	1.8.16	20
M	:16S:	End of Block	REAS			21
<b>End of Subsequence B1 Reason</b>						
<b>Mandatory Repetitive Subsequence B2 Transaction</b>						
M	:16R:	Start of Block	TRAN			22
<b>Mandatory Repetitive Subsequence B2a Linkages</b>						
M	:16R:	Start of Block	LINK			23
M	:20C:	Related Message Reference	:4!c//16x	RELA	1.8.7	25
M	:16S:	End of Block	LINK			26
<b>End of Subsequence B2a Linkages</b>						
<b>Optional Subsequence B2b Transaction Details</b>						
M	:16R:	Start of Block	TRANSDET			27
M	:35B:	Identification of the Financial Instrument	[ISIN!e12!c] [4*35x]		1.8.13	29
M	:36B:	Posting Quantity	:4!c//4!c/[N]15 d	PSTA	1.8.14	30
O	:19A:	Posting Amount	:4!c//[N]3!a15 d	PSTA	1.8.5	31
M	:22F:	Transaction indicator	:4!c/[8c]/4!c	TRAN	1.8.8	32
M	:22H:	Payment indicator	:4!c//4!c	PAYM	1.8.8	32
M	:22H:	Delivery/Recei pt indicator	:4!c//4!c	REDE	1.8.8	32
M	:22F:	Type of Settlement Transaction Indicator	:4!c/[8c]/4!c	SETR	1.8.8	32
M	:98a:	Settlement Date/Time	A,B or C	SETT	1.8.23	33
O	:70E	Transaction Details Narrative	:4!c//10*35x	TRDE	1.8.16	34
<b>Optional Repetitive Subsequence B2b1 Settlement Parties</b>						
M	:16R:	Start of Block	SETPRTY			35

M	:95a:	Party	C,P,Q or R		1.8.21	36 <sup>11</sup>
O	:97a:	Account/Safek eeping account	A or B	SAFE	1.8.22	37
M	:16S:	End of Block	SETPRTY			39
<b>End of Subsequence B2b1 Settlement Parties</b>						
M	:16S:	End of Block	TRANSDET			40
<b>End of Subsequence B2b Transaction Details</b>						
M	:16S:	End of Block	TRAN			41
<b>End of Subsequence B2 Transaction</b>						
M	:16S:	End of Block	STAT			42
<b>End of Sequence B Status</b>						
<b>Optional Repetitive Sequence C Transactions</b>						
M	:16R:	Start of Block	TRANS			43
<b>Mandatory Repetitive Subsequence C1 Linkages</b>						
M	:16R:	Start of Block	LINK			44
M	:20C:	Related Message Reference	:4!c//16x	RELA	1.8.7	46
M	:16S:	End of Block	LINK			47
<b>End of Subsequence C1 Linkages</b>						
<b>Optional Subsequence C2 Transaction Details</b>						
M	:16R:	Start of Block	TRANSDET			48
M	:35B:	Identification of the Financial Instrument	[ISIN!e12!c] [4*35x]		1.8.13	50
M	:36B:	Posting Quantity	:4!c//4!c/[N]15 d	PSTA	1.8.14	51
O	:19A:	Posting Amount	:4!c//[N]3!a15 d	PSTA	1.8.5	52
M	:22F:	Transaction indicator	:4!c/[8c]/4!c	TRAN	1.8.8	53
M	:22H:	Payment indicator	:4!c//4!c	PAYM	1.8.8	53
M	:22H:	Delivery/Recei pt indicator	:4!c//4!c	REDE	1.8.8	53
M	:22F:	Type of Settlement Transaction Indicator	:4!c/[8c]/4!c	SETR	1.8.8	53
M	:98a:	Settlement Date/Time	A,B or C	SETT	1.8.23	54
O	:70E	Transaction Details Narrative	:4!c//10*35x	TRDE	1.8.16	55
<b>Optional Repetitive Subsequence C2a Settlement Parties</b>						
M	:16R	Start of Block	SETPRTY			56
M	:95a:	Party	C,P,Q or R		1.8.21	57

<sup>11</sup> In every SETPRTY repetitive subsequence only one party can be presented: either place of settlement or Delivering agent (except when additional option S "Alternative identification" is applied). Field 97A "Account number" is not applicable in subsequence with PSET qualifier.

O	:97a:	Account/Safekeeping account	A or B	SAFE	1.8.22	58
M	:16S:	End of Block	SETPRTY			60
<b>End of Subsequence C2a Settlement Parties</b>						
M	:16S:	End of Block	TRANSDET			61
<b>End of Subsequence C2 Transaction Details</b>						
<b>Optional Repetitive Subsequence C3 Status</b>						
M	:16R	Start of Block	STAT			62
M	:25D:	Status	:4!c/[8c]/4!c		1.8.11	63
<b>Optional Repetitive Subsequence C3a Reason</b>						
M	:16R:	Start of Block	REAS			64
M	:24B:	Reason	:4!c/[8c]/4!c		1.8.10	65
M	:70D:	Reason Narrative	:4!c//6*35x	REAS	1.8.16	66
M	:16S:	End of Block	REAS			67
<b>End of Subsequence C3a Reason</b>						
M	:16S:	End of Block	STAT			68
<b>End of Subsequence C3 Status</b>						
M	:16S:	End of Block	TRANS			69
<b>End of Sequence C Transactions</b>						
<b>Optional Sequence D Additional Information (not considered)</b>						

### 3.9.2. Examples of Statement of Pending Transactions

#### Example 1.

This is an example of a Statement of Pending Transactions sent by the Depository (BIC DEPORUMM) to the account owner (ABC Broker, BIC BROKRUMM) to report on pending transactions for the account 2222.

The statement is prepared by the Depository as response to the Client's request for statement MT549 (described in Example 4 section 3.6.2 of this document) and reports on the unsettled Client's instruction (described in Example 3 section 3.5.4 of this document).

Statement number – REP53722222NM309.

Preparation date and time of the statement – the 23rd of September 2004, 17:00.

The statement reports pending transactions at the 23rd of September 2004.

The statement is being sent in response to a request of the Receiver (indicator ADHO), it gives complete information on the transactions for the specified period (indicator COMP).

The statement is reporting per statuses (indicator STAT) and contains details of the transactions with pending settlement status (status SETT//PEND), reason code – LACK, insufficient deliverable securities in the Client's account (code PEND//LACK).

The statement contains the main details of the settlement instruction, safekeeping account number, quantity of securities, settlement amount, expected settlement date, account number and name of the counterparty.

Sender of MT537 DEPORUMM

Receiver of MT537 BROKRUMM

#### Message structure:

```
:16R:GENL
:28E:1/ONLY
:20C::SEME//REP53722222NM2309
:23G:NEWM
:98C::PREP//20040923170500
```

:98A::STAT//20040923  
:22H::STST//STAT  
:22F::SFRE//ADHO  
:22F::CODE//COMP  
:16R:LINK  
:13A::LINK//549  
:20C::RELA//12345/000123  
:16S:LINK  
:97A::SAFE//2222  
:17B::ACTI//Y  
:16S:GENL  
:16R:STAT  
:25D::SETT//PEND  
:16R:REAS  
:24B::PEND//LACK  
:16S:REAS  
:16R:TRAN  
:16R:LINK  
:13A::LINK//543  
:20C::RELA//DVP040602/543  
:16S:LINK  
:16R:TRANSDET  
:35B:ISIN RU0009024277  
NK LUKOiL  
:36B::PSTA//UNIT/4000,  
:19A::PSTA//USD100000,  
:22F::TRAN//SETT  
:22H::REDE//DELI  
:22H::PAYM//APMT  
:22F::SETR//TRAD  
:98A::SETT//20040923  
:16R:SETPRTY  
:95P::PSET//DEPORUMM  
:16S:SETPRTY  
:16R:SETPRTY  
:95P::REAG//TELERUMM  
:97A::SAFE//1111NM  
:16S:SETPRTY  
:16S:TRANSDET  
:16S:TRAN  
:16S:STAT

### 3.10. MT 578 SETTLEMENT ALLEGEMENT

This message is sent by an account servicer (account servicing institution) to an account owner or its designated agent. This message is used to:

- advise the account owner that a counterparty has alleged an instruction against account owner's account at the account servicer and the account servicer could not find the corresponding instruction of the account owner (the function of the message is NEWM).
- request the cancellation of a previously sent allegation because of a mistake or because the alleging party cancelled instruction (the function of the message is CANC).
- Acknowledge that a previously sent allegation is no longer outstanding (the function of the message is REMO).

#### 3.10.1. Format Specifications

Status	Tag	Detailed Field Name	Content/Options	Qualifier	No RUS	No SWIFT User Handbook
<b>Mandatory Sequence A General Information</b>						
M	:16R:	Start of Block	GENL			1
M	:20C:	Sender's Message Reference	:4!c//16x	SEME	1.8.6	2
M	:23G:	Function of the Message	4!c/[4!c]		1.8.9	3
O	:98a:	Preparation Date/Time	A or C	PREP	1.8.23	4
<b>Optional Repetitive Subsequence A1 Linkages</b>						
M	:16R:	Start of Block	LINK			5
M	:20C:	Linked Message Reference	:4!c//16x	RELA	1.8.7	8
M	:16S:	End of Block	LINK			9
<b>End of Subsequence A1 Linkages</b>						
M	:16S:	End of Block	GENL			10
<b>End of Sequence A General Information</b>						
<b>Mandatory Sequence B Trade Details</b>						
M	:16R:	Start of Block	TRADDET			11
M	:98a:	Settlement Date/Time	A,B or C	SETT	1.8.23	13
O	:98a:	Trade Date/Time	A,B or C	TRAD	1.8.23	13
M	:35B:	Identification of the Financial Instrument	[ISIN1!e12!c][4*35x]		1.8.13	16
<b>Optional Subsequence B1 Financial Instrument Attributes (not considered)</b>						
M	:22H:	Payment indicator	:4!c//4!c	PAYM	1.8.8	31

M	:22H:	Receipt/Delivery Indicator	:4!c//4!c	REDE	1.8.8	31
M	:16S:	End of Block	TRADDET			32
<b>End of Sequence B Settlement Transaction Details</b>						
<b>Mandatory Repetitive Sequence C Financial Instrument/Account</b>						
M	:16R:	Start of Block	FIAC			33
M	:36B:	Quantity of Financial Instrument	:4!c//4!c/15d	SETT	1.8.14	34
O	:95a:	Party/Account Owner	P or R	ACOW	1.8.21	36
M	:97a:	Account/Safekeeping account	A or B	SAFE	1.8.22	37
M	:16S:	End of Block	FIAC			46
<b>End of Sequence C Financial Instrument/Account</b>						
<b>Optional Sequence D Two Leg Transaction Details (not considered)</b>						
<b>Mandatory Sequence E Settlement Details</b>						
M	:16R:	Start of Block	SETDET			56
M	:22F:	Indicator of the operation type	:4!c/[8c]/4!c	SETR	1.8.8	57
<b>Mandatory Repetitive Subsequence E1 Settlement Parties</b>						
M	:16R:	Start of Block	SETPRTY			58
M	:95a:	Place of Settlement	C, P or Q	PSET <sup>12</sup>	1.8.21	59
M	:95a:	Party	S, P, Q or R		1.8.21	59
O	:97a:	Safekeeping Account	A or B	SAFE	1.8.22	60
O	:70a:	Narrative	C, D or E		1.8.16	63
M	:16S:	End of Block	SETPRTY			64
<b>End of Subsequence E1 Settlement Parties</b>						
<b>Optional Repetitive Subsequence E2 Cash Parties (not considered)</b>						
M	:16R:	Start of Block	CSHPRTY			65
M	:95a:	Party	S, P, Q or R		1.8.21	66
O	:97A:	Cash account	:4!c//35x	CASH	1.8.22	67
M	:16S:	End of Block	CSHPARTY			69
<b>End of Subsequence E2 Cash Parties</b>						
<b>Optional Repetitive Subsequence E3 Amount</b>						
M	:16R:	Start of Block	AMT			70
M	:19A:	Amount	:4!c//[N]3!a15d	SET	1.8.5	71
M	:16S:	End of Block	AMT			74
<b>End of Subsequence E3 Amount</b>						
M	:16S:	End of Block	SETDET			75
<b>End of Sequence E Settlement Details</b>						
<b>Optional Repetitive Sequence F Other Parties (not considered)</b>						

<sup>12</sup> In every SETPRTY repetitive subsequence only one party can be presented: either place of settlement or Delivering agent (except when additional option S "Alternative identification" is applied). Field 97A "Account number" is not applicable in subsequence with PSET qualifier.

### **3.10.2. Examples of Settlement Allegement**

#### **Example 1.**

This is an example of Settlement Allegement sent by the depository DEPORUMM to the owner of the account OAO CB “Telecom” (BIC TELERUMM) and contains information about Delivery Free of Payment instruction MT 542, received from ABC Broker (BIC BROKRUMM).

Instruction number – ALLEGM1111/2222.

Preparation date/time – the 22nd September 2004, 18:20:25.

Number of the settlement allegement – 234521/45NP-1.

Settlement date – the 23rd of September 2004.

Trade date – the 16th of September 2004.

Financial Instrument is defined by the following details:

ISIN RU0008959655

Narrative description of RAO EeS securities (Russia) with transliteration indicator – ‘RAOEeS

The rules of financial instrument’s indication are defined in section 1.8.5 of this document.

Quantity of financial instrument to be settled – 1500 units.

Account number for money transaction - 1111

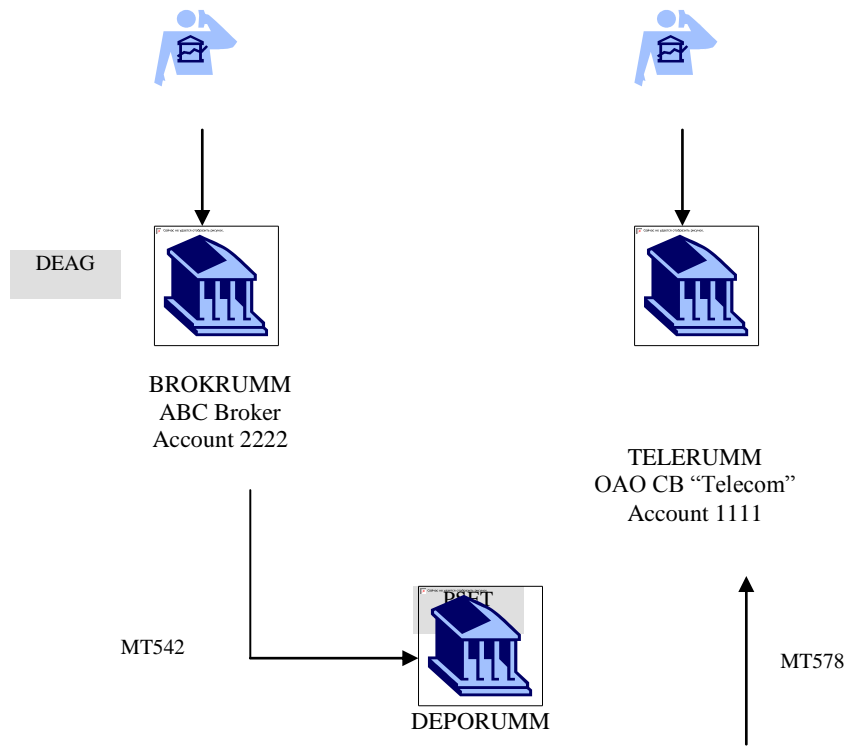
Type of Settlement Transaction – settlement of a trade, indicator SETR//TRAD, delivery of the counterparty ABC Broker (REDI//DELI), without payment (PAYM//FREE).

Name of the owner of the nominee safekeeping account from which the securities should be delivered – ABC Broker, (BIC BROKRUMM, account number 2222).

Transaction basis is the contract of purchase and sale №45HII-1 dated the 16th of September 2004. Russian letters in the contract number should be transliterated.

Place of Settlement is a depository (BIC DEPORUMM) that services the accounts of the sender of the message OAO CB “Telecom” (account number 1111) and its agent ABC Broker (account number 2222, BIC BROKRUMM)

## Message flow.



Sender of MT578 DEPORUMM  
Receiver of MT578 TELERUMM

## Message structure.

```
:16R:GENL  
:20C::SEME//ALLEGE1111/2222  
:23G:NEWM  
:98C::PREP//20040922182025  
:16R:LINK  
:16R:GENL  
:16R:TRADDET  
:98A::SETT//20040923  
:98A::TRAD//20040916  
:35B:ISIN RU0008959655  
'RAO EeS  
:22H::REDE//DELI  
:22H::PAYM//FREE  
:16S:TRADDET  
:16R:FIAC  
:36B::SETT//UNIT/1500,  
:97A::SAFE//1111  
:16S:FIAC  
:16R:SETDET  
:22F::SETR//TRAD
```



:16R:SETPRTY  
:95P::PSET//DEPORUMM  
:16S:SETPRTY  
:16R:SETPRTY  
:95P::DEAG//BROKRUMM  
:97A::SAFE//2222  
:70E::DECL//TYPE/BYSA/NUMB/45'NP-1'/DATE/20040916  
:16S:SETPRTY  
:16S:SETDET

## 4. CORPORATE ACTION MESSAGES

This group includes messages that are used for corporate actions:

- To notify customers about the upcoming corporate action and providing them with detailed information about the terms and procedures of the CA,
- To transfer instructions to customers to participate in the corporate action in the depository / custodian or other account servicing organization,
- To notify customers about the status of corporate action or the status of sent instructions on how to participate in the corporate action,
- As well as to confirm the movement of securities and / or cash flows as a result of corporate actions.

### 4.1. GENERAL RECOMMENDATIONS ON CORPORATE ACTIONS.

#### 4.1.1. Corporate actions type

The SMPG agreed on the existence of 3 categories of events.

1. **Mandatory events** - Mandatory events are those defined as events where, the shareholder has no option or ability to take action or influence the timing of the event. In short, the event will happen and there is no choice for the holders.

- Payment of dividends;
- Payment of interest;
- Redemption of securities;
- Conversion;

2. **Mandatory events with Options** - A mandatory event with options is defined as an event where, if the shareholder does nothing, something will occur to change the shareholders holdings in terms of securities or cash. However, the shareholder has a choice in which way he would like his holdings to be affected. In short, the event will happen and the holder has a choice.

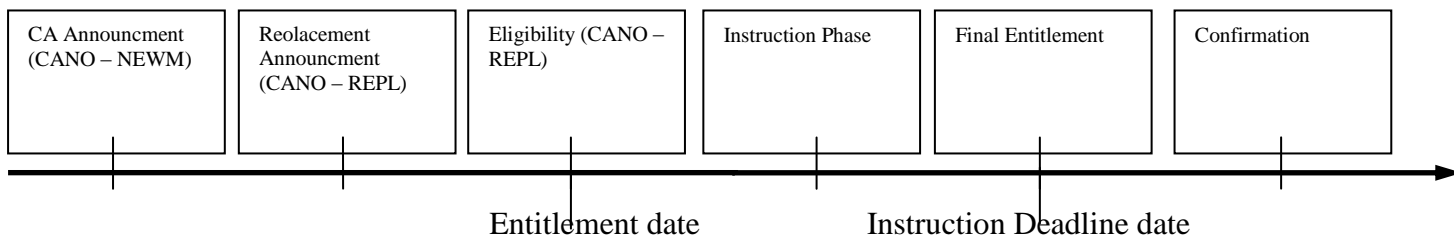
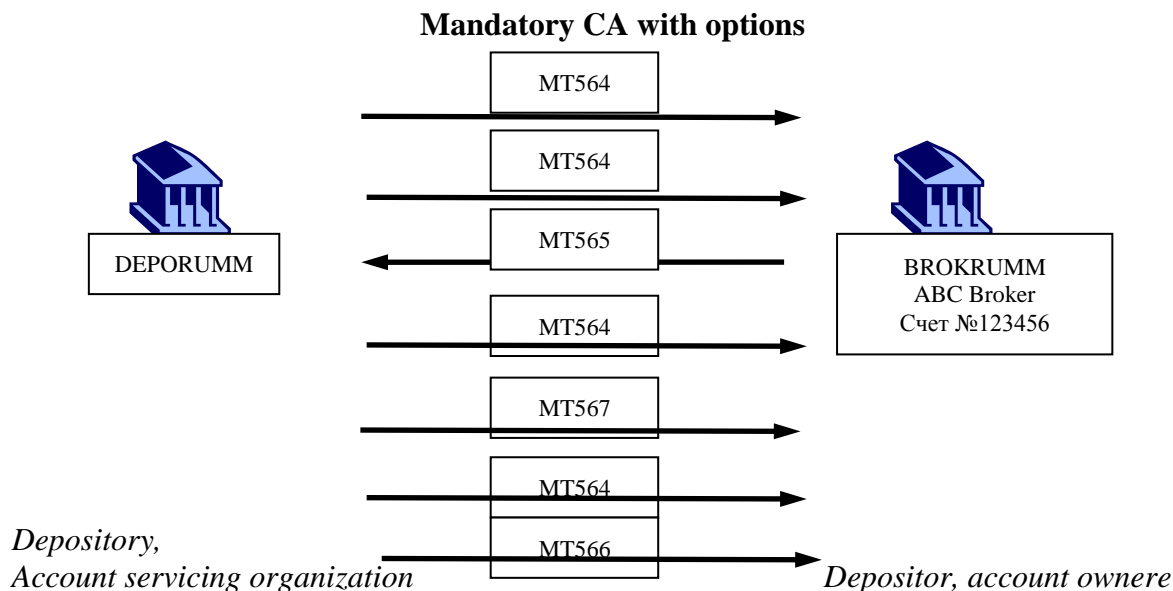
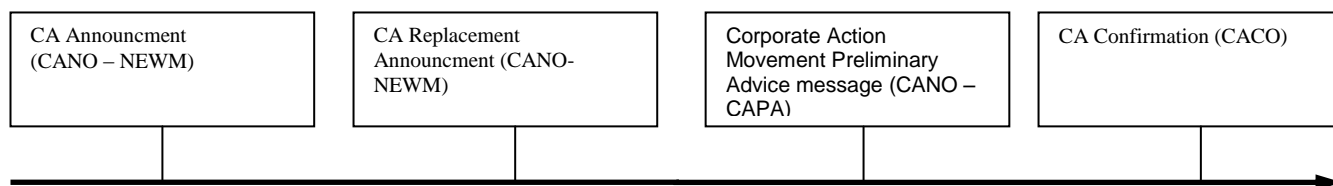
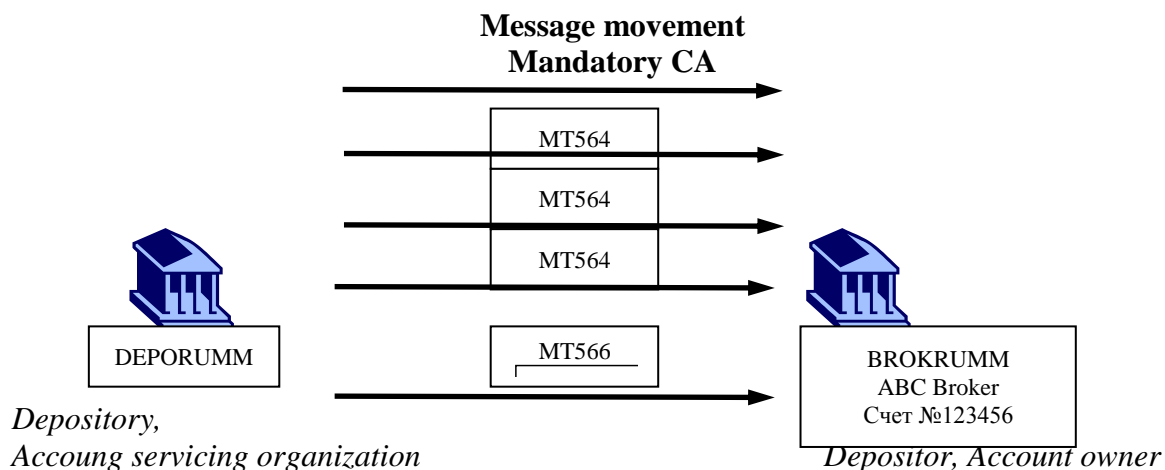
3. **Voluntary events** - Voluntary events are those defined as events where, the shareholder has option to have his holdings affected. In short, the event may or may not happen and/or the holder can elect to take part or not.

- Shareholder meeting
- Tender offer

Corporate action document flow depends on CA type. For mandatory events with options or for Voluntary events the owner has to provide CA participation instruction to the account servicing organization or to the issuer.

The following message types are used during corporate actions:

- Corporate action notification – MT564
- Reminder – MT5645 (this message type is used upon bilateral agreement between account owner and account servicing organization)
- Corporate Action Instruction – MT565
- Corporate action confirmation – MT566
- Corporate action status and processing advice – MT567
- Corporate action narrative – MT568



At the payment date, the message is sent (MT564 – CANO-REPL or REPE) to confirm to the account owner that securities and/or cash have been credited/debited to an account as the result of a corporate action event (ELIG).

Before Instruction deadline date reminder (RMDR) can be sent.

CA notification with Final Entitlement is not mandatory and defined by SLA and by the requirements of market practice. Generally a client has an optional benefit of various reports.

## 4.1.2. Corporate actions type

Field 20C : Corporate Action Reference

Qualifier	Rule
CORP	If any corporate action is carried out in several securities (code ISIN) of one issuer, for each ISIN code must be assigned a unique CA reference (ie CA reference is a unique reference for each pair of characteristics - ISIN and CA type)

In all CA messages, CA reference (CORP) should not be changed .

Field 20C: Related message reference

If corporate actions MT564 message is sent indicating the function

REPL - replacing , in the block Links must be specified reference of the previously sent MT564 messages with PREV qualifier, which must be replaced.

### **Field 20C: Official corporate action event**

Official corporate action event (COAF) -, was implemented in 2008 and is defined as a unique corporate action official reference that is assigned to the official organization for each local market in the beginning of the corporate action. List of organizations authorized to assign COAF reference is published on the website of Securities Market Practice Group (SMPG) <http://www.smpg.info/index.php?id=7>. In Russia National Settlement Depository is an organization which assigns COAF reference with RU code. COAF should consist of two parts - the identifier of the organization that gave the reference and the actual reference itself. Organization identifier is the 2 -digit alphanumeric, Reference - 14 digit alphanumeric.

The main goal of putting into practice the official CA reference (COAF) is to enable straight through processing (STP), in particular instructions for corporate actions at all stages from the investor to the account servicing company, including intermediate mediators at all stages of the intermediaries chain, to eliminate the need for filing instructions by each party to the next participant in the chain with an indication of their assigned CA reference (CORP) and all participants are strongly encouraged to use the official reference (COAF), and not CORP. Use of this will also simplify reference alignment information obtained from different sources on one CA.

CORP and COAF references are interrelated, each COAF reference should match with CORP reference.

Reusing COAF reference, which was previously assigned to cancelled CA is not allowed. If the CA is canceled, the new CA, which replaces the cancelled shall be assigned a new COAF reference.

If the CA consists of several stages (steps), then each step is assigned a separate COAF reference. For example, the allocation of rights and their subsequent performance of each stage (RHDI, EXRI) must have a separate COAF reference.

COAF reference can not be assigned to a CA, which has not been formally announced before their performance (eg, regular interest payments on bonds with a fixed rate. At a fixed rate).

If the COAF reference is assigned to CA, which has been previously announced, replacement notification should be sent(REPL).

If the instruction contains COAF code specifying the CA reference (CORP) is not mandatory. If the condition of participation of the owner of the securities in the corporate action is the presence of his two different securities (A and B) and the CA is carried out simultaneously on two securities, securities owners should be informed about the upcoming CA, even if they do not have one of the papers so that they can make a decision and, if necessary, buy the missing papers. In this case, notification shall be sent on each of the securities with the indication of the same CA reference (CORP) and block Links with WITH type of linkage notifications and cross-references to each other. Additional information about the linkage of two CA may be transmitted in narrative in the Filed 70 with the COMP qualifier in F sequence

### **Fielf 20C: Related reference (PREV)**

In accordance with the Securities market practice group (SMPG) recommendations, in case of an addition to the CA Notification (MT564) narrative message (MT568) should be sent, in the MT564 message in Repeated subsequence of the related MT568 message should be specified.

## **4.2. MT 564 CORPORATE ACTION NOTIFICATION**

This message is sent by an account servicer (account servicing institution) to an account owner or its designated agent. This message is used to provide an account owner with the details of a corporate action event along with the possible elections or choices available to the account owner. It can be initially sent as a preliminary advice (function NEWM) and subsequently replaced by another MT 564 with complete or confirmed information (function REPL).

This message will also be used to provide the account owner with details of the impact a corporate action event will have on a safekeeping or cash account, for example, entitlement calculation.

This message may also be sent by an information provider, such as a market data provider or a stock exchange, to provide details of a corporate action event.

This message may also be used to:

- request the cancellation of a previously sent corporate action notification (function CANC)
- re-send a corporate action notification previously sent (function DUPL)
- as a reminder of the coming corporate action in case of necessity providing to the account owners the instruction (function RMDR)
- provide a third party with a copy of the message (function COPY)
- request the cancellation of a previously sent corporate action notification in case of cancellation of the corporate action itself (function WITH)
- carrying out additional actions on the corporate action (function ADDB)
- requesting list of the beneficial owners/depositors (type of corporate action DSCL), in this case in accordance with the Russian legislation identification of basis/reasons of the list request is mandatory (link to the corporate action – meeting, distribution and/or to the request of the issuer/designated agent/Registrar)

In addition this message may be used to notify about the procedure of automatic compensation by the international depository for the transaction “delivery versus payment” settlements.

It may contain CA participation options.

Full CA notification can also be sent in advance to settlement date, for mandatory CA full details of the CA to settlement date may be absent.

For corporate actions with settlement date (ex dividend date or the record date), notification with calculation of charges is sent, which contains information about planned account activity.

The message indicating RMDR function can be sent on voluntary and mandatory CA with the right of choice before the deadline for submission of instructions to customers which did not send instructions for the whole balance that is subject to corporate action . It is not mandatory and sent by account servicing organization to a limited number of customers which did not send instructions and it is determined by service level agreement (SLA).

This message with REPL function and additional CA status character (:25D:: ESTA//APPD – CA stage - approved at the meeting) may be sent after approval at a meeting on CA (for example, the amount of dividends). It is recommended to indicate in the message a link to the corporate action reference - meeting in Link subsequence.

If it is not first message(REPL, REPE, RMDR), it necessary to use Link subsequence, with specified reference of previously sent message (supplemented or replaced). If there were a number of messages, the link points to the last sent message in the chain (instead of the first message - NEWM).

It is recommended to specify the balance in the message with instructions (INBA), and the balance without instructions (UNBA).

According to SMPG recommendations notification should consist of the following details:

- Type of notification (message function – new, replaced) – mandatory,
- Status of notification (full, confirmed) – mandatory,
- Corporate action type (mandatory, mandatory with options, voluntary) – mandatory,
- Corporate action type code – mandatory,
- References (sender reference (mandatory), unique corporate action reference (mandatory)),
- Security identifier ISIN and description – mandatory,
- Account number, for all accounts,
- Corporate action details.

#### **Type of notification**

The first CA notification should contain the NEWM code, the following notification on the same CA with updated information - REPL code. If in the course of a CA a customer purchases securities before the date of fixation (cut) the new notification should be sent to the receiver NEWM code even if the rest of recipients receive notification REPL or REPE codes. The notification with REPE code should contain the balance on the CA.

#### **WITH and CANC**

WITH code is used in case of cancelation of previously sent message, if the issuer has decided to withdraw the corporate action. Reference of corporate action can not be re- used. CANC code is used if the sender wants to cancel previous notification, which was sent by mistake and can not be replaced.

All changes to the previous notification (including the cases of errors) are sent with the REPL code.

If the notification was sent out with REPE code, the subsequent notification to the changes also sent with REPE code.

#### **Notification status**

In accordance with SMPG recommendation COMP code is indicated in the notification if the notification contains all the elements and sufficient information about the CA to have investor's decision. These elements for each type of CA are determined by national working groups and published in Event interpretation grid (EIG), which is published on smpg.info.

#### **Corporate action category**

Corporate action category (mandatory, voluntary, mandatory with option) is indicated in field 22F::CAMV//(VOLU, MAND, CHOS). For each type of CA in the Event interpretation grid (EIG), shows the acceptable CA categories.

#### **Corporate action type**

Type of corporate action is indicated in 22F::CAEV//.

OTHR code should be used only if there is no other CA code corresponding to the content of a particular CA.

CHAN code should be used only if there is no other CA code corresponding to the content of a particular CA.

REDM code should be used if the decision on redemption of securities is made by the issuer but not by the owners of securities.

If the issuer announces complex CA, which can not be displayed using one CA code type, it can be shown by the depository as a combination of several types of CA, which are carried out consecutively.

#### **Record date (RDTE)**

Record date (RDTE) is always indicated as at the close of business.

#### **Ex-divident date (XDTE)**

Ex-divident date (XDTE) is always indicated as at the close of business. In accordance with Russian law ex-divident date is not applicable in Russia.

#### **Payment date (PAYD)**

Payment Date (PAYD) is the date that is declared by the issuer, which when the payment should be processed. If this date falls on a non-business day, the next following date of the payment should be indicated (EARL). Value date (VALU) and the posting date of the account (POST) in MT566 should be working dates.

Payment date - is the date the transaction is shown by registrar or by upper-layer depository. The planned date of a transaction in the depository is indicated in CA notification EARD qualifier.

If the terms of the CA provide the amount to be debited from the account of the person who submitted the instructions for participation in the CA and the date of the payment is nonworking day, then the value date (VALU) must be a business day preceding the payment date (PAYD).

If withdrawal or deposit of securities and/or cash flows is carried out as execution of instructions received from the owners, the exact date of payment in the Notification on the CA can not be specified, it is recommended to specify the code ONGO date.

If the payment date is unknown to the sender or the date is not specified it is recommended to specify the code UKWN date.

If payment could be made by the issuer or its agent during the period and a specific payment date unknown to the sender, it is recommended to specify the date code UKWN and in the text box to specify the period for which payment could be processed. If payment is made to owners on different dates, the confirmation sent only to specific recipients of funds with the actual date of payment PAYD.

#### **Response deadline (RDDT)**

The group agreed that this should be the local time zone of the account servicer.

Depending on the form of the instructions sent to the issuer or to his agent, account servicer may specify deadline for response, which might happen earlier than the date specified by the market (issuer or his agent).

#### **Effective date (EFFD)**

According to SMPG recommendations CA date may be specified without charges, e.g. corporate name change (CHAN), place of incorporation change (PLAC) or in case of merger (MRGR), in accordance with SMPG table it may be specified in other CA – ACTV, DFLT, DLST, SUSP, also DECR and ICR, REDO (if only during the CA there is no securities replacement). This date is announced by the issuer and it does not substitute payment date PAYD.

#### **CA options numbering**

In accordance with SMPG recommendations the numbering of CA options, follow these instructions :

1. The numbering of the options in the message MT564 should begin with 001.
2. The following numbers must be numbered consecutively, and each subsequent number should be increased by 1, the gaps are not allowed.

3. Option numbers should only be digital, the use of letters is not recommended.
4. Option numbers should not be changed during the entire period of the CA.
5. In case of change CA notification must be replaced (REPL) except when changing the type of CA (CAEV) or CA category (CAMV) of the security.
6. If the option is canceled, it must be specified in the notification of the same number and version with the options status (OSTA) non active (INTV) or cancellation (CANC). New added options are assigned consecutive numbers. If some version made significant changes and this can lead to confusion SMPG recommends to specify original version with cancellation status and the cancelled version should specify the new number, if the changes are minor an old number of the original option can be saved (decision on numbering can be made by account servicer).

To identify the version it is recommended to use its number (13A::CAON//), rather than a sign (type) option (22F::CAOP//), because the version number must be unique and does not change throughout the CA, and the sign can be repeated in different ways (for example, if the payment can be made in multiple currencies).

#### **Default types**

Specifying the default option is determined by the account servicer, it is not mandatory and is defined in the Service Level Agreement (SLA). Usually the default option flag is set as equal «N»/

#### **Securities identification**

If a security is without ISIN and other qualifier is specified, it is recommended to specify the description of a security.

#### **Prices and rate information**

Prices and rates information should be indicated in the subsequence of the second level with information about securities movement and/or cash movement (E1/E2), but not in the sequence of the first -level options for the CA except when there is no movement of securities and cash. If different prices are applied to one option, each option must be specified in the corresponding subsequence of the movement.

#### **Usage of text fields**

In the text fields (field 70a) should be specified information which can not be specified in the structured fields. Processing of this information is almost impossible to automate and complicates it.

Determinant in the field 70a indicates the type of information contained in it that allows the recipient to determine the ability to ignore specified information in the field or the need of manual processing.

Each of the text fields (with the corresponding determinant) is designed for a specific type of information, and it is important to use qualifiers. The same information should not be repeated in multiple text fields. If the amount of textual information of the same type is very large (greater than the maximum length of the field - 10 \* 35x) it is recommended to use message MT568.

Also message MT568 is recommended for very complex CA or voting by proxy (Proxy Voting) to send the meeting agenda and questions to vote, as well as to send the information on the results of the meeting.

***Additional text (ADTX)*** - should be used when some details on the Corporate Action event cannot be included within the structured fields of this message. The information is to be read by the receiver as it is information is not somewhere else in the message. It should be made clear to everybody that if there is a way to provide this information in a structured field, it **MUST** be provided in a structured field and **NOT** be given in the additional text narrative.

***Narrative Version (TXNR)*** - The usage of TXNR is not recommended. If used, it should only reiterate some information that has already been included within structured fields of this message and / or provide information that can be ignored for automated processing. A



receiver must be confident that they can completely ignore this type of narrative without impacting on the legality or completeness of the Corporate Action event.

**Information Conditions (INCO)** - Information conditional to the whole Corporate Action, for example, an offer is subject to 50% acceptance. Due to the very nature of conditions, it may require some narrative to unambiguously detail the conditions. This information is important and needs to be read by the receiver.

**Information to be complied with (COMP)** - Information conditional to the account owner and which must be complied with. For example, not open to US residents, QIB or SIL to be provided. Due to the very nature of conditions, it may require some narrative to unambiguously detail the conditions. This information is important and needs to be read by the receiver.

**Offeror (OFFO)** - the Offeror/Acquiring Company is the entity making the offer and is different from the issuing company. This should provide details of the offeror's offer. In many circumstances, there is no standards identification of the offeror. Therefore, this narrative allows to properly cater for that information without mixing it with other type of narrative. The fact that there is no standards identification of the offeror does not prevent the receiver to map that information internally for further processing. Offeror is repeatable, but in the case of competing offerors, there should be different events and therefore no repetition of the field for those competing offerors; in the case of a joint offer with n offerors, the offerors field should be repeated as many times as there are joint offerors.

**Security Restriction (NSER)** - Restriction on a new security. When a new security is issued due to a corporate actions event, some restrictions may be attached to it (e.g. cannot be sold for a given period of time). The security identifier may not be sufficient to derive that information. Therefore, this narrative allows to properly cater for that information without mixing it with other type of narrative.

**Party Contact Narrative (PACO)** - This field must contain the contact details of some party. A typical example is the contact details of the person who wrote/generated the message and from whom more info is available. This information is typically unstructured. The receiver may or may not read systematically this information if received based on its own process and requirements.

**Registration Details (WEBB)** - The web address where additional information on the event can be found. It is not the general web site address of the company involved in the event.

**Name change (CHAN)** – “Name change” CA should contain the new company name. If it is repeated message (REPL, REPE, RMDR) it should contain narrative information from the previous message, which has not changed, but not only the field with changed narrative.

## 4.2.1. Format Specifications

Status	Tag	Detaild Field Name	Content/Options	Qualifier	№ RUS	№ SWIFT User Handbook
<b>Mandatory Sequence A General Information</b>						
M	:16R:	Start of Block	GENL			1
O	:28E:	Page number/Continuation Indicator	5n/4!c		1.8.12	2
M	:20C:	Sender's Message Reference	:4!c//16x	4!c	1.8.6 1.8.7	3
M	:23G:	Function of the Message	4!c/[4!c]	4!c	1.8.9	4
M	:22F:	Indicator	:4!c/[8c]/4!c	4!c	1.8.8	5
O	:98a:	Preparation Date/Time	A or C	PREP	1.8.4	6
M	:25D:	Status code/Processing Status	:4!c/[8c]/4!c	PROC	1.8.11	7
<b>Optional Repetitive Subsequence A1 Linkages</b>						
M	:16R:	Start of Block	LINK			8
O	:22F:	Indicator/Linkage Type Indicator	:4!c/[8c]/4!c	LINK	1.8.8	9
O	:13a:	Number Identification/Linked message	A or B	LINK	1.8.3	10
M	:20C:	Linked Message Reference	:4!c//16x	4!c	1.8.7	11
M	:16S:	End of Block	LINK			12
<b>End of Subsequence A1 Linkages</b>						
M	:16S:	End of Block	GENL			13
<b>End of Sequence A General Information</b>						
<b>Mandatory Sequence B Underlying Securities</b>						
M	:16R:	Start of Block	USECU			14
M	:35B:	Identification of the Financial Instrument	[ISIN1!e12!c][4*35x]		1.8.13	15
<b>Optional Subsequence B1 Financial Instrument Attributes</b>						
M	:16R:	Start of Block	FIA			16
O	:94B:	Place/Place of Listing	B	PLIS	1.8.20	17

O	:22F:	Indicator/Method of Interest Computation Indicator	F	MICO	1.8.8	18
O	:12a:	Type of Financial Instrument	A, B or C	4!c	1.8.2	19
O	:11A:	Currency/Currency of Denomination	A	DENO	1.8.1	20
O	:98A:	Date/Time	A,B or C		1.8.23	21
O	:92a:	Rate	A or K		1.8.18	22
O	36B	Quantity of Financial Instrument	B		1.8.14	23
M	:16S:	End of Block	FIA			24
<b>End of Subsequence B1 Financial Instrument Attributes</b>						
<b>Mandatory Repetitive Subsequence B2 Account Information</b>						
M	:16R:	Start of Block	ACCTINFO			25
O	:95a:	Party/Account Owner	P or R	ACOW	1.8.21	26
M	:97a:	Account/Safekeeping Account	A or C	SAFE	1.8.22	27
O	:94a:	Place/Place of Safekeeping	B,C or F	SAFE	1.8.20	28
O	:93a:	Balance	B or C	4!c	1.8.19	29
M	:16S:	End of Block	ACCTINFO			30
<b>End of Subsequence B2 Account Information</b>						
M	:16S:	End of Block	USECU			31
<b>End of Sequence B Underlying Securities</b>						
<b>Optional Sequence C Intermediate Securities (not applicable for the Russian securities)</b>						
M	:16R:	Start of Block	INTSEC			32
M	:35B:	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]	4!c	1.8.13	33
O	:36a:	Quantity of Financial Instrument/Quantity of Intermediate Securities	B or C	QINT	1.8.14	34
O	:93A:	Balance	B or C	4!c	1.8.19	35
O	:22F:	Indicator	:4!c/[8c]/4!c	4!c	1.8.8	36
O	:92D:	Rate (Intermediate Securities to Underlying)	:4!c//15d/15d	RTUN	1.8.18	37
O	:90B:	Price/Market Price	:4!c//4!c/3!a15 d	MRKT	1.8.17	38
O	:98a:	Date/Time	A or B	4!c	1.8.23	39

O	:69a:	Period/Trading Period	A,B,C,D,E or F	TRDP	1.8.15	40
M	:16S:	End of Block	INTSEC			41
<b>End of Sequence C Intermediate Securities</b>						
<b>Optional Sequence D Corporate Action Details</b>						
M	:16R:	Start of Block	CADETL			42
M	:98a:	Date/Time	A,B,C or E	4!c	1.8.23	43
O	:69a:	Period	A,B,C,D,E,F or J	4!c	1.8.15	44
O	:99A:	Number Count/Number of Days Accrued	:4!c//[N]3!n	DAAC	1.8.14	45
O	:92a:	Rate	A,F or K	4!c	1.8.18	46
O	:90a:	Price	A,B or E	4!c	1.8.17	47
O	:36a:	Quantity of Financial Instrument	B or C	4!c	1.8.14	48
O	:13a:	Number Identification/ Coupon Number	A or B	COUP	1.8.3	49
O	:17B:	Flag	:4!c//1!a	4!c	1.8.4	50
O	:22F:	Indicator	:4!c/[8c]/4!c	4!c	1.8.8	51
O	:94G:	Place	:4!c//2*35x	4!c	1.8.20	52
O	:70a:	Narrative	E or G	4!c	1.8.16	53
M	:16S:	End of Block	CADETL			54
<b>End of Sequence D Corporate Action Details</b>						
<b>Optional Repetitive Sequence E Corporate Action Options</b>						
M	:16R:	Start of Block	CAOPTN			55
M	:13A:	Number Identification/ CA Option Number	!4!c//3!c	CAON	1.8.3	56
O	:22F:	Indicator	:4!c/[8c]/4!c	4!c	1.8.8	57
O	:94C:	Place	:4!c//2!a	4!c	1.8.20	58
O	:11A:	Currency/Curr ency option	:4!c//3!a	OPTN	1.8.1	59
M	:17B:	Flag	:4!c//1!a	4!c	1.8.8	60
O	:35B:	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]		1.8.13	61
O	:98a:	Date/Time	A,B,C or E or F	4!c	1.8.23	62
O	:69a:	Period	A,B,C,D,E,F or J	4!c	1.8.15	63
O	:92a:	Rate	A,F,J,K or M	4!c	1.8.18	64
O	:90a:	Price	A,B, or E	4!c	1.8.17	65
O	:36a:	Quantity of Financial Instrument	B or C	4!c	1.8.14	66

**Optional Repetitive Subsequence E1 Securities Movement**

M	:16R:	Start of Block	SECMOVE			67
M	:22a:	Indicator	F or H	4!c	1.8.8	68
O	:35B:	Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]		1.8.13	69

**Optional Subsequence E1a Financial Instrument Attributes**

M	:16R:	Start of Block	FIA			70
O	:94B:	Place/Place of Listing	:4!c/[8c]/4!c[3 0x]	PLIS	1.8.20	71
O	:22F:	Indicator/Meth od of Interest Computation Indicator	:4!c/[8c]/4!c	MICO	1.8.8	72
O	:12a:	Type of Financial Instrument	A,B or C	CLAS	1.8.2	73
O	:11A:	Currency/Curr ency of Denomination	:4!c//3!a	DENO	1.8.1	74
O	:98A:	Date/Time	:4!c//8!x	4!c	1.8.23	75
O	:90a:	Price/Issue Price	A,B or E	ISSU	1.8.17	76
O	:92a:	Rate	A or K	4!c	1.8.18	77
O	:36B	Quantity of Financial Instrument	:4!c//4!c/15d	4!c	1.8.14	78
M	:16S:	End of Block	FIA			79

**End of Subsequence E1a Financial Instrument Attributes**

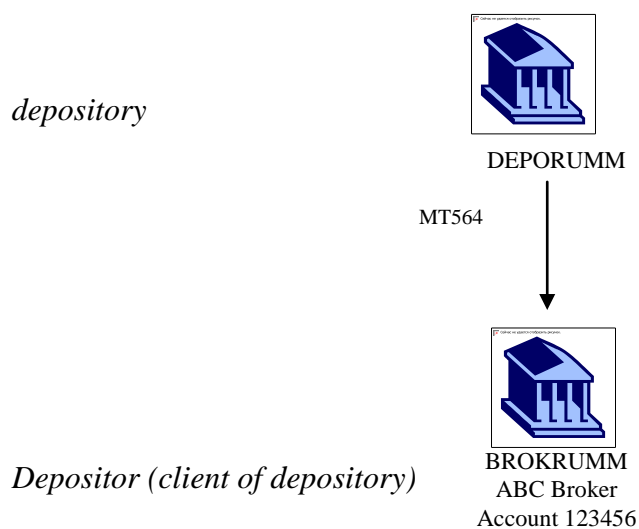
O	:36B	Quantity of Financial Instrument/Ent itled Quantity	:4!c//4!c/15d	ENTL	1.8.14	80
O	:22F:	Indicator/Disp osition of Fractions	:4!c/[8c]/4!c	DISF	1.8.8	81
O	:11A:	Currency/Curr ency Option	:4!c//3!a	OPTN	1.8.1	81
O	:69a:	Period/Trading Period	A,B,C,D,E,F or J	TRDP	1.8.15	83
O	:90a:	Price	A,B, E, F or J	4!c	1.8.17	84
O	:92a:	Rate	A, D, F, J or N	4!c	1.8.18	85
O	:98a:	Date/Time	A,B,C or D	4!c	1.8.23	86
M	:16S:	End of Block	SECMOVE			87

**End of Subsequence E1 Securities Movement****Optional Repetitive Subsequence E2 Cash Movement**

M	:16R:	Start of Block	CASHMOVE			88
M	:22a:	Indicator	H or F		1.8.8	89
O	:97a:	Account/Cash Account	A or E		1.8.22	90
O	:19B:	Amount	:4!c//3!a15d	4!c	1.8.5	91

O	:98a:	Date/Time	A,B, C or E	4!c	1.8.23	92
O	:92a:	Exchange Rate	A, B, F or K	EXCH	1.8.18	93
O	:90a:	Price	A,B,E,F or J	OFFR	1.8.17	94
M	:16S:	End of Block	CASHMOVE			95
<b>End of Subsequence E2 Cash Movement</b>						
O	:70E:	Narrative	:4!c//10*35x		1.8.16	96
M	:16S:	End of Block	CAOPTN			97
<b>End of Sequence E Corporate Action Options</b>						
<b>Optional Sequence F Additional Information</b>						
M	:16R:	Start of Block	ADDINFO			98
O	:70E:	Narrative	:4!c//10*35x		1.8.16	99
O	:95a:	Party	P, Q or R	4!c	1.8.21	100
M	:16S:	End of Block	ADDINFO			101
<b>End of Sequence F Additional Information</b>						

**Message flow.**



### 4.3. MT 565 CORPORATE ACTION INSTRUCTION

This message is sent by an account owner to an account servicing organization. This message is sent to the account holder of the account servicing organization. Account holder can be a global custodian which has an account with a local agent (subcustodian), or investment company or broker / dealer that has an account at the custodian.

This message is used to provide the custodian with instructions on how the account owner wishes to proceed with a corporate action event. Instructions include investment decisions regarding the exercise of rights issues, the election of stock or cash when the option is available, and decisions on the conversion or tendering of securities.

This message may also be used to:

- request the cancellation of a previously sent corporate action instruction
- re-send corporate action instruction previously sent
- provide the third-party with a copy of the message.

#### 4.3.1. Format Specifications

Status	Tag	Detaild Field Name	Content/Options	Qualifier	№ RUS	№ SWIFT User Handbook
<b>Mandatory Sequence A General Information</b>						
M	:16R:	Start of Block	GENL			1
M	:20C:	Sender's Message Reference	:4!c//16x	4!c	1.8.6	2
M	:23G:	Function of the Message	4!c[/4!c]		1.8.9	3
M	:22F:	CA Event Indicator	:4!c/[8c]/4!c	CAEV	1.8.8	4
O	:98a:	Preparation Date/Time	A or C	PREP	1.8.23	5
<b>Optional Repetitive Subsequence A1 Linkages</b>						
M	:16R:	Start of Block	LINK			6
O	:22F:	Indicator/Linkage Type Indicator	:4!c/[8c]/4!c	LINK	1.8.8	7
O	:13a:	Number Identification/Linked Message	A or B	LINK	1.8.3	8
M	:20C:	Linked Message Reference	:4!c//16x	4!c	1.8.7	9
M	:16S:	End of Block	LINK			10
<b>End of Subsequence A1 Linkages</b>						

M	:16S:	End of Block	GENL				11
<b>End of Sequence A General Information</b>							
<b>Mandatory Sequence B Underlying Securities</b>							
M	:16R:	Start of Block	USECU				12
M	:35B:	Identification of the Financial Instrument	[ISIN1!e12!c][ 4*35x]		1.8.13		13
<b>Optional Subsequence B1 Financial Instrument Attributes</b>							
M	:16R:	Start of Block	FIA				14
O	:94B:	Place/Place of Listing	:4!c/[8c]/4!c/[3 0x]	PLIS	1.8.20		15
O	:22F:	Indicator/Meth od of Interest Computation Indicator	:4!c/[8c]/4!c	MICO	1.8.8		16
O	:12a:	Type of Financial Instrument	A or C	CLAS	1.8.2		17
O	:11A:	Currency/Curr ency of Denomination	:4!c//3!a	DENO	1.8.1		18
O	:98A:	Date/Time	:4!c//8!n	4!c	1.8.23		19
O	:92A:	Rate	:4!c//[N]15d	4!c	1.8.18		20
O	:36B:	Quantity of Financial Instrument	:4!c//4!c/15d	4!c	01.8.14		21
M	:16S:	End of Block	FIA				22
<b>End of Subsequence B1 Financial Instrument Attributes</b>							
<b>Mandatory Repetitive Subsequence B2 Account Information</b>							
M	:16R:	Start of Block	ACCTINFO				23
O	:95a:	Party/Account Owner	P or R	ACOW	1.8.21		24
M	:97a:	Account/Safek eeping Account	:4!c//35x	SAFE	1.8.22		25
O	:94a:	Place/Place of Safekeeping	B,C or F	SAFE	1.8.20		26
O	:93a:	Balance	B or C	4!c	1.8.19		
M	:16S:	End of Block	ACCTINFO				28
<b>End of Subsequence B2 Account Information</b>							
M	:16S:	End of Block	USECU				29
<b>End of Sequence B Underlying Securities</b>							
<b>Optional Sequence C Information about the owner</b>							
M	:16R:	Start of Block	BENODET				30
M	:95a:	Party	P, R, S or V	4!c	1.8.21		31
O	:94C:	Place	:4!c//2!a	4!c	1.8.20		32



O	:36B:	Quantity of financial instrument/Quantity securities owned	:4!c//4!c/15d	OWND	1.8.14	33
O	:22F:	Indicator	:4!c/[8c]/4!c	CETI	1.8.8	34
O	:70E:	Narrative/Declaration Details	:4!c//10*35x	DECL	1.8.16	35
M	:16S:	End of Block	BENODET			36
<b>End of Sequence C Information about the owner</b>						
<b>Optional Sequence D Corporate Action Instruction</b>						
M	:16R:	Start of Block	CAINST			37
M	:13A:	Number Identification/CA Option Number	:4!c//3!c	CAON	1.8.3	38
M	:22a:	Indicator	H or F	4!c	1.8.8	39
O	:11A:	Currency	:4!c//3!a	4!c	1.8.1	40
O	:35B:	Identification of the Financial instrument	[ISIN!e12!c][4*35x]	4!c	1.8.13	41
M	:36a:	Quantity of Financial Instrument	B or C	4!c	1.8.14	42
O	:98a:	Date/Time/Execution Requested Date	A or C	EXRQ	1.8.23	43
O	:92a:	Rate	A or F	4!c	1.8.18	44
O	:90a:	Price	A or B	4!c	1.8.17	45
O	:70E:	Narrative	:4!c//10*35x	4!c	1.8.16	46
M	:16S:	End of Block	CAINST			47
<b>End of Sequence D Corporate Action Instruction</b>						
<b>Optional Repetitive Sequence E Additional information</b>						
M	:16R:	Start of Block	ADDINFO			48
O	:70E:	Narrative	:4!c//10*35x	4!c	1.8.16	49
O	:95a:	Party	P, R or Q	4!c	1.8.21	50
M	:16S:	End of Block	ADDINFO			51
<b>End of Sequence E Additional Information</b>						

**Message flow.**

*depository*

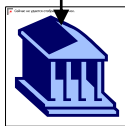


DEPORUMM

MT564



*depositor (client of depository)*



BROKRUMM  
ABC Broker  
Account 123456

## 4.4. MT 566 CORPORATE ACTION CONFIRMATION

This message is sent by an account servicer (account servicing institution) to an account owner or its designated agent. The account servicer may be a local agent (sub-custodian) acting on behalf of their global custodian customer, or a custodian acting on behalf of an investment management institution or a broker/dealer.

This message is used to confirm to the account owner that securities and/or cash have been credited/debited to an account as the result of a corporate action event.

This message may also be used to:

- re-send a corporate action confirmation previously sent (function DUPL)
- provide a third party with a copy of the message (function COPY)
- reverse a previously sent corporate action confirmation (function REVR)

In addition this message can be used to notify about the of automatic claim compensation by the international depository for the transaction “delivery versus payment” settlements or to notify reversal of previously posted amounts of automatic claim compensation.

This message is sent only in case of cash movement as result of CA, is the cash movement did not happen then the message is not sent.

### 4.4.1. Format Specifications

Status	Tag	Detaild Field Name	Content/Options	Qualifier	№ RUS	№ SWIFT User Handbook
<b>Mandatory Sequence A General Information</b>						
M	:16R:	Start of Block	GENL			1
M	:20C:	Sender's Message Reference	:4!c//16x	4!c	1.8.6	2
M	:23G:	Function of the Message	4!c/[4!c]	4!c	1.8.9	3
M	:22F:	Corporate Action Event Indicator	:4!c/[8c]/4!c	4!c	1.8.8	4
O	:98a:	Preparation Date/Time	A or C	PREP	1.8.23	5
<b>Optional Repetitive Subsequence A1 Linkages</b>						
M	:16R:	Start of Block	LINK			6
O	:22F:	Indicator/Linkage Type Indicator	:4!c/[8c]/4!c	LINK	1.8.8	7

O	:13a:	Number identificati on/Linked message	A or B	LINK	1.8.3	8
M	:20C:	Linked Message Reference	:4!c//16x	4!c	1.8.7	9
M	:16S:	End of Block	LINK			10
<b>End of Subsequence A1 Linkages</b>						
M	:16S:	End of Block	GENL			11
<b>End of Sequence A General Information</b>						
<b>Mandatory Sequence B Underlying Securities</b>						
M	:16R:	Start of Block	USECU			12
O	:95a:	Party/Acc ount owner	P or R	ACOW	1.8.21	13
M	:97a:	Account/S afekeeping Account	A or C	SAFE	1.8.22	14
O	:94a:	Place/Plac e of Safekeepi ng	B,C or F	SAFE	1.8.20	15
M	:35B:	Identificati on of the Financial Instrument	[ISIN1!e12!c][4 *35x]		1.8.13	16
O	:93a:	Balance	B or C	4!c	1.8.19	26
M	:16S:	End of Block	USECU			27
<b>End of Sequence B Underlying Securities</b>						
<b>Optional Sequence C Corporate Action Details</b>						
M	:16R:	Start of Block	CADETL			28
O	:98a:	Date/Time	A,B,C or E	4!c	1.8.23	29
O	:69a:	Period	A,B,C,D,E,F or J	4!c	1.8.15	30
O	:99A:	Number of days accrued	:4!c//[N]3!n	DAAC	1.8.24	31
O	:92a:	Rate	A,F or K	4!c	1.8.18	32
O	:90a:	Price	A or B	4!c	1.8.17	33
O	:36a:	Quantity of Financial Instrument	B, C or E	4!c	1.8.14	34

O	:13a:	Number Identificati on/Coupo n Number	A or B	COUP	1.8.3	35
O	:17B:	Flag	:4!c//1!a	4!c	1.8.4	36
O	:22F:	Indicator	:4!c/[8c]/4!c	4!c	1.8.8	37
O	:70a:	Narrative	E or G	4!c	1.8.16	38
M	:16S:	End of Block	CADETL			39
<b>End of Sequence C Corporate Action Details</b>						
<b>Mandatory sequence D Corporate Action Confirmation</b>						
M	:16R:	Start of Block	CACONF			40
M	:13A:	Number Identificati on/CA Option Number	!4!c//3!c	CAON	1.8.3	41
M	:22a:	Indicator	F or H	4!c	1.8.8	42
O	:11A:	Currency/ Currency Option	:4!c//3!a	OPTN	1.8.1	43
M	:98a:	Date/Time	A,B,C or E	4!c	1.8.23	44
O	:69a:	Period	A,B,C,D,E, or F	4!c	1.8.15	45
O	:92a:	Rate	A,F or J	4!c	1.8.18	46
O	:90a:	Price	A or B	4!c	1.8.17	47
O	:94B:	Place/Plac e of Trade	:4!c/[8c]/4!c[/30 x]	TRAD	1.8.20	48
<b>Optional Repetitive Subsequence D1 Securities Movement</b>						
M	:16R:	Start of Block	SECMOVE			49
M	:22F:	Indicator	F or H	4!c	1.8.8	50
O	:35B:	Identificati on of the Financial Instrument	[ISIN1!e12!c] [4*35x]		1.8.13	51
<b>Optional Subsequence D1a Financial Instrument Attributes</b>						
M	:16R:	Start of Block	FIA			52
O	:94B:	Place/Plac e of Listing	:4!c/[8c]/4!c[/30 x]	PLIS	1.8.20	53
O	:22F:	Indicator/ Method of Interest Computati on	:4!c/[8c]/4!c	MICO	1.8.8	54
O	:12a:	Type of Financial Instrument	A or C	4!c CLAS	1.8.2	55

O	:11A:	Currency/ Currency of Denomina tion	:4!c//3!a	DENO	1.8.1	56
O	:98A:	Date/Time	:4!c//8!n	4!c	1.8.23	57
O	:90a:	Price/Issue Price	A or B	ISSU	1.8.17	58
O	:92a:	Rate	A or K	4!c	1.8.18	59
O	:36B:	Quantity of Financial instrument	:4!c//4!c/15d	4!c	1.8.14	60
M	:16S:	End of Block	FIA			61
<b>End of Subsequence D1a Financial Instrument Attributes</b>						
O	:36B	Quantity of Financial Instrument	:4!c//4!c/15d	PSTA	1.8.14	62
O	:94a:	Place/Plac e of Safekeepi ng	B,C or F	SAFE	1.8.20	63
O	:22F:	Indicator/ Dispositio n of Fractions	:4!c/[8c]/4!c	DISF	1.8.8	64
O	:11A:	Currency/ Currency Option	:4!c//3!a	OPTN	1.8.1	65
O	:90a:	Price	A, B, F or J	4!c	1.8.17	66
O	:92a:	Rate	A, D, F, J, Lor N	4!c	1.8.18	67
O	:98a:	Date/Time	A,B,C or E	4!c	1.8.23	68
<b>Optional Repetitive Subsequence D1b Receiver/Deliverer</b>						
M	:16R:	Start of Block	RECDEL			69
O	:95a:	Party	C,P,R,Q or S	4!c	1.8.21	70
O	:97A:	Account/S afekeeping Account	:4!c//35x	SAFE	1.8.22	71
M	:20C:	Reference/ Processing Reference	:4!c//16x	PROC	1.8.7	72
O	:70E:	Narrative	:4!c//10*35x	PACO	1.8.16	73
M	:16S:	End of Block	RECDEL			74
<b>End of Subsequence D1b Receiver/Deliverer</b>						
M	:16S:	End of Block	SECMOVE			75
<b>End of Subsequence D1 Securities Movement</b>						

<b>Optional Repetitive Subsequence D2 Cash Movement</b>						
M	:16R:	Start of Block	CASHMOVE			76
M	:22a:	Indicator	H or F	4!c	1.8.8	77
O	:97a:	Account	A or E	4!c	1.8.22	78
<b>Optional Repetitive Subsequence D2a Cash Parties</b>						
M	:16R:	Start of Block	CASHPRTYE			79
M	:95a:	Party	P,R,Q or S		1.8.21	80
O	:97a:	Account/C ash Account	A or E	CASH	1.8.22	81
M	:20C:	Reference/ Processing Reference	:4!c//16x	PROC	1.8.7	82
O	:70E:	Narrative	:4!c//10*35x	PACO	1.8.16	83
M	:16S:	End of Block	CASHPRTYE			84
<b>End of Subsequence D2a Cash Parties</b>						
M	:19A	Amount	:4!c//[N]3!a15d	4!c	1.8.5	85
O	:98a:	Date/Time	A, C or E	4!c	1.8.23	86
O	:92B:	Exchange Rate	:4!c//3!a/3!a/15d	EXCH	1.8.18	87
O	:90a:	Price	A,B,F or J	OFFR	1.8.17	88
<b>Optional Subsequence D2b Tax Voucher Details – the subsequence is not applicable for Russian securities</b>						
M	:16R:	Start of Block	TAXVODET			89
M	:20C:	Tax Voucher Reference	:4!c//16x	TAVO	1.8.7	90
O	:98a:	Date/Time	A or C	4!c	1.8.23	91
M	:16S:	End of Block	TAXVODET			92
<b>End of Subsequence D2b Tax Voucher Details</b>						
M	:16S:	End of Block	CASHMOVE			93
<b>End of Subsequence D2 Cash Movement</b>						
M	:16S:	End of Block	CACONF			94
<b>End of Sequence D Corporate Action Confirmation</b>						
<b>Optional Sequence E Additional Information</b>						
M	:16R:	Start of Block	ADDINFO			95
O	:70E:	Narrative	:4!c//10*35x	4!c	1.8.16	96
O	:95a:	Party	P,R or Q	4!c	1.8.21	97
M	:16S:	End of Block	ADDINFO			98
<b>End of Sequence E Additional Information</b>						

**Message flow.**

*depository*



DEPORUMM

MT564



*depositor (client of depository)*



BROKRUMM  
ABC Broker  
Account 123456



## 4.5. MT 567 CORPORATE ACTION STATUS AND PROCESSING ADVICE

This message is sent by an account servicer (account servicing institution) to an account owner or its designated agent. The account servicer may be a local agent (sub-custodian) acting on behalf of their global custodian customer, or a custodian acting on behalf of an investment management institution or a broker/dealer.

This message is used to advise the status or a change in status of a corporate action-related transaction previously instructed or executed on behalf of the account owner.

This will include the acknowledgement/rejection of a corporate action instruction or the acknowledgement/rejection of a request to cancel an outstanding instruction. It also may also be used to provide a reason as to why a corporate action event has not been completed by the announced payment dates.

This message may also be used to:

- re-send a corporate action status previously sent
- provide a third party with a copy of the message

If the instruction status message contains ADEA status (non-compliance with deadlines of sending the message to account servicer), account servicer when receiving the instruction after the deadline in order to accept the instruction, the organization takes all the necessary actions to process the instruction and send it to the issuer or his agent. Acceptance for execution by the issuer or his agent is not guaranteed (best effort basis).

### 4.5.1. Format Specifications

Status	Tag	Detailed Field Name	Content/Options	Qualifier	No RUS	No SWIFT User Handbook
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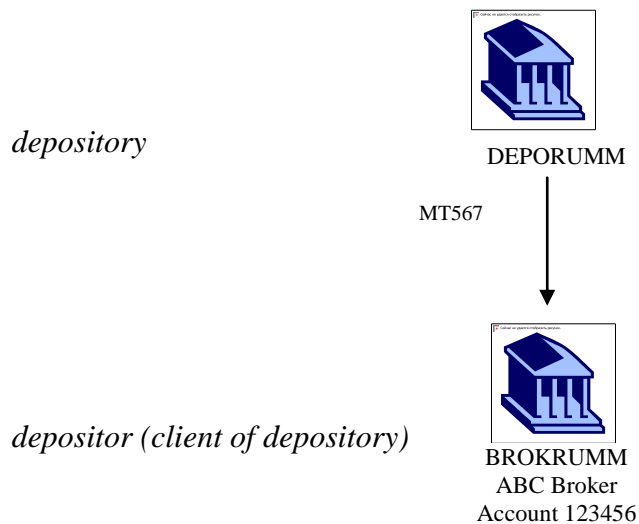
#### Mandatory Sequence A General Information

M	:16R:	Start of Block	GENL			1
M	:20C:	Sender's Message Reference	:4!c//16x	4!c	1.8.6	2
M	:23G:	Function of the Message	4!c[/4!c]		1.8.9	3
M	:22F:	Corporate Action Event Indicator	:4!c/[8c]/4!c	CAEV	1.8.8	4
O	:98a:	Preparation Date/Time	A or C	PREP	1.8.23	5
M	:16R:	Start of Block				6
O	:13a:	Number identification/ Linked message	A or B	LINK	1.8.3	7
M	:20C:	Linked Message Reference	:4!c//16x	4!c	1.8.7	8
M	:16S:	End of Block	LINK			9

#### End of Subsequence A1 Linkages

<b>Mandatory Subsequence A2 Status</b>						
M	:16R:	Start of Block	STAT			
M	:25D:	Status Code	:4!c/[8c]/4!c	4!c	1.8.11	11
<b>Mandatory Subsequence A2a Reason</b>						
M	:16R:	Start of Block	REAS			12
M	:24B:	Reason Code	:4!c/[8c]/4!c	4!c	1.8.10	13
O	:70D:	Narrative	:4!c//6*35x	REAS	1.8.16	14
M	:16S:	End of Block	REAS			15
<b>End of Sequence A General information</b>						
<b>Optional Sequence B Corporate Action Details</b>						
M	:16R:	Start of Block	CADETL			18
M	:13A:	Number identification/ CA Option Number	4!c//3!c	CAON	1.8.3	19
O	:22a:	CA Option Code Indicator	F or H	CAOP	1.8.8	20
O	:95a:	Party/Account Owner	P or R	ACOW	1.8.21	21
O	:97a:	Account	A or E	4!c	1.8.22	22
O	:94a:	Place/Place of Safekeeping	B, C or F	SAFE	1.8.20	23
O	:35B:	Identification of the Financial instrument	[ISIN!e12!c][4 *35x]	4!c	1.8.13	24
O	:36B:	Quantity of Financial Instrument	:4!c//4!c/15d	4!c	1.8.14	25
O	:19A:	Amount	:4!c//[N]3!a15 d		1.8.5	26
O	:93B:	Balance	:4!c//[8c]/4!c[ N]15d		1.8.19	27
M	:16S:	End of Block	CADETL			28
<b>End of Sequence B Corporate Action Details</b>						
<b>Optional sequence C Additional information</b>						
M	:16R:	Start of Block	ADDINFO			29
O	:70E:	Narrative	:4!c//10*35x	4!c	1.8.16	30
O	:95a:	Party	P,R or Q	4!c	1.8.21	31
M	:16S:	End of Block	ADDINFO			32
<b>End of Sequence E Additional Information</b>						

**Message flow.**



#### **4.6. MT 568 CORPORATE ACTION NARRATIVE**

This message is sent by an account owner or his account servicer (account servicing institution)

The account owner may be a global custodian with an account at the local agent (subcustodian), or a investment company or a broker/dealer.

The account servicer may be a local agent (sub-custodian) acting on behalf of their global custodian customer, or a custodian acting on behalf of an investment management institution or a broker/dealer.

This message might be sent to both sides.

This message is used send complex instructions or information in body text related to the corporate event.

This message may also be used to:

- cancel previously sent message on the corporate action in body text

This message may be sent to:

- resending of the previously sent message on the corporate action (function – DUPL)
- rendering the copy of the preciously sent message on the corporate action to a third party (function – COPY)

In accordance with SMPG recommendations, this message may be sent only in addition to the basic corporate action notification (MT564) to provide additional information on the CA, which can not be included in the main message, with information that is listed in the as a narrative.

MT568 message must contain a link to the main message MT564 (linkages, reference linked message MT564).

In accordance with SMPG recommendations, in the function of the message MT568 and MT564 should be specified the same codes (NEWM, REPL, RMDR etc.).

If on one CA at the same time sent several MT568 it is recommended to use the page number field/continuation sign to indicate the number of messages.

If several MT568 messages were sent and one of them should be amended, the whole set of messages should be resend, not just a message to be amended. Also, if only the information is changed which was in the message MT564, and information in the related MT568 messages did not change, the entire set of messages MT564 and MT568 should be send once again.

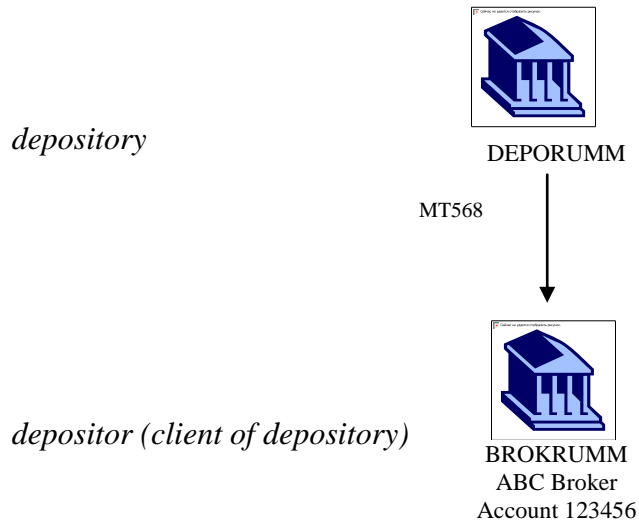
For a meeting to send the information on the results of the meeting to the owners is also used MT568 message, and the message function indicates NEWM, and the MT564 message is not sent.

#### 4.6.1. Format Specifications

Status	Tag	Detaild Field Name	Content/Options	Qualifier	№ RUS	№ SWIFT User Handbook
<b>Mandatory Sequence A General Information</b>						
M	:16R:	Start of Block	GENL			1
O	:28E:	Page Number/Continuation Indicator	:5n/4!c		1.8.12	2
M	:20C:	Reference	:4!c//16x	4!c	1.8.6	3
M	:23G:	Function of the Message	4!c/[4!c]	4!c	1.8.9	4
M	:22F:	Corporate Action Event Indicator	:4!c/[8c]/4!c	CAEV	1.8.8	5
O	:98a:	Preparation Date/Time	A or C	PREP	1.8.23	6
<b>Optional Repetitive Subsequence A1 Linkages</b>						
M	:16R:	Start of Block	LINK			7
O	:22F:	Indicator/Linkage Type Indicator	:4!c/[8c]/4!c	LINK	1.8.8	8
O	:13a:	Number Identification/Linked message	A or B	LINK		9
M	:20C:	Linked Message Reference	:4!c//16x	4!c	1.8.7	10
M	:16S:	End of Block	LINK			11
<b>End of Subsequence A1 Linkages</b>						
M	:16S:	End of Block	GENL			12
<b>End of Sequence A General Information</b>						
<b>Mandatory Sequence B Underlying Securities</b>						
M	:16R:	Start of Block	USECU			13
O	:95a:	Party/Account Owner	P or R	ACOW	1.8.21	14
M	:97a:	Account/Safekeeping Account	A or C	SAFE	1.8.22	15
O	:94a:	Place/Place of Safekeeping	B,C or F	SAFE	1.8.20	16

O	:35B:	Identification of the Financial Instrument	[ISIN1!e12!c]	[4*35x]	1.8.13	17
<b>Optional Sub-Sequence B1 Financial Instrument Attributes</b>						
M	:16R:	Start of Block	FIA			18
O	:94B:	Place/Place of Listing	:4!c/[8c]/4!c[30x]	PLIS	1.8.20	19
O	:22F:	Indicator/Method of interest Computation Indicator	:4!c/[8c]/4!c	MICO	1.8.8	20
O	:12a:	Type of Financial instrument	A or C	4!c CLAS	1.8.2	21
O	:11A:	Currency/Currency of Denomination	:4!c//3!a	DENO	1.8.1	22
O	:98A:	Date/Time	:4!c//8!n	4!c	1.8.23	23
O	:92A:	Rate	:4!c//[N]15d	ISSU	1.8.18	24
O	:36B:	Quantity of Financial instrument	:4!c//4!c/15d	4!c	1.8.14	25
M	:16S:	End of Block	FIA			26
<b>End of Sub-Sequence B1 Financial instrument Attributes</b>						
O	:93a:	Balance	B or C	4!c	1.8.19	27
M	:16S:	End of Block	USECU			28
<b>End of Sequence B Underlying Securities</b>						
<b>Optional Sequence C Additional information</b>						
M	:16R:	Start of Block	ADDINFO			29
M	:70a:	Narrative	E or F	4!c	1.8.16	30
O	:95a:	Party	P,R or Q	4!c	1.8.21	31
M	:16S:	End of Block	ADDINFO			32
<b>End of Sequence C Additional Information</b>						

**Message flow.**



## 5. CORPORATE ACTION MESSAGES EXAMPLES

### 5.1 EXAMPLE 1 CORPORATE ACTION EVENT TYPE - REPURCHASE OF SHARES ACCORDING TO THE ARTICLES 75-76 208-FEDERAL LAW (CORPORATE ACTION EVENT INDICATOR – BIDS)

#### **Definition**<sup>13</sup>

The offer of the issuer to its shareholders to repurchase its shares or other securities convertible into shares. The purpose of this proposal - reducing the number of shares outstanding.

In accordance with this recommendation the function is also used when a shareholder uses its right to demand redemption of their shares by virtue of Art. 75-76 Federal Law of 24.11.1995 № 208-FZ "On Joint Stock Companies".

#### **Initial terms:**

-Issuer – OAO “Fortum” (former OAO “TGC-10”)

#### **Details of issue:**

common stock

ISIN RU000A0F61T7

State registration date May 14<sup>th</sup> 2009

AGM date, which resulted in a claim of shares redemption from shareholders who voted against/did not take part at all per item of the AGM agenda "Approval of the Company's new version Charter" – 15.04.2009

date as of which the list of persons entitled shares redemption – 06.03.2009

#### **Details of securities repurchase:**

Price – 69,7106 rubles

Period of filling application – 45 days – from 15.04.2009 to 01.06.2009

Payment currency – Rubles

Due date – 30 days – not late than 01.07.2009

Date of transfer – within three working days of receiving a set of documents from issuer

#### **Restrictions:**

i) if the total amount of shares offered for redemption of OAO "TGC-10" will exceed 10% of net assets on the date taken the decision to approve the Company's new edition Charter, the shares will be redeemed in proportion to the stated claims (determination period- 5 days after the receipt of applications)

ii) blocking of securities on safekeeping account/separate account of the shareholder is obligatory

#### **Additional conditions:**

Safekeeping account number: 123456

Quantity of securities on the date of the listing of registered persons: 1,500

Deadline for transferring client instructions: 29.05.2009 until 15-00 moscow time.

CA reference BIDS – CATGK10160409

Official CA reference – RUCATGK10160409

Linked corporate action – general shareholders meeting

Notification reference (MEET) – IVANIV0903061700

CA reference – MEETTGC10015

Official CA reference MEET – RUMEETTGC10015

#### **Stages**

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<sup>13</sup> The given definition is pursuant to the official translation of SWIFT User Handbook.



**1. Informing the account owner on the results of the AGM where it was decided that the account owners may claim repurchase securities. Depository notifies its client by MT564/BIDS/NEWM/COMP**

Notification of owner eligibility, who will vote against certain issues on the agenda, to demand redemption of shares is published simultaneously with the announcement of a meeting - so in this case -app to the message of the meeting of TGC-10 was published on February 27, 2009. Information is preliminary and pre- confirmed - as obtained by the account services from a reliable source (ie from the issuer or its agent, registrar (even in the first case, prior to the meeting)).

Respectively indicate the notification status -:25D::PROC//PREC

At the time of sending the notice of redemption the price is specified in the notification: the redemption price of shares of JSC "TGC -10" is - 69.7106 rubles per one ordinary share.

**MT564 – Corporate action notification**

Corporate action reference CATGK10160409

Official CA reference RUCATGK10160409

Sender's reference – IVANIV0904161001

Sender of MT564 DEPORUMM

Receiver of MT564 BROCKRUMM

**Message structure:**

<b>M</b>	<i>Mandatory sequence A General information</i>	
	<b>:16R:</b>	<b>GENL</b> Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//CATGK10160409</b> Corporate action reference
<b>M</b>	<b>:20C::</b>	<b>SEME//IVANIV0904161451</b> Sender reference
<b>O</b>	<b>:20C::</b>	<b>COAF//RUCATGK10160409</b> Official CA event reference
<b>M</b>	<b>:23G:</b>	<b>NEWM</b> Message function - new CA message
<b>M</b>	<b>:22F::</b>	<b>CAEV//BIDS</b> Corporate action event indicator - repurchase offer (Repurchase offer/Issuer Bid/ Reverse Rights)
<b>M</b>	<b>:22F::</b>	<b>CAMV//VOLU</b> Voluntary indicator/mandatory - voluntary event, instructions are necessary for participation
<b>O</b>	<b>:98A::</b>	<b>PREP//20090227</b> Preparation Date/Time
<b>M</b>	<b>:25D::</b>	<b>PROC//PREC</b> Processing status - pre-confirmed information
<b>O</b>	<i>Optional Repetitive Subsequence A1 Linkages</i>	
	<b>:16R:</b>	<b>LINK</b> Start block
<b>O</b>	<b>:13A::</b>	<b>LINK//564</b> Linked message type
<b>M</b>	<b>:20C::</b>	<b>PREV//IVANIV0903061700</b> Linked Message Reference
	<b>:16S:</b>	<b>LINK</b> End of block
<b>O</b>	<i>End of Subsequence A1 Linkages</i>	
<b>O</b>	<i>Optional Repetitive Subsequence A1 Linkages</i>	
	<b>:16R:</b>	<b>LINK</b> Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//MEETGK10015</b> Linked CA reference – general meeting
	<b>:16S:</b>	<b>LINK</b> End of block
<b>O</b>	<i>End of Subsequence A1 Linkages</i>	
	<b>:16S:</b>	<b>GENL</b> End of block
<b>M</b>	<i>End of Subsequence A1 Linkages</i>	
<b>M</b>	<i>Optional Subsequence B CA Securities</i>	

	<b>:16R:</b>	<b>USECU</b>	Start block
<b>M</b>	<b>:35B:</b>	<b>ISIN RU000A0F61T7 'TGK-10, AOI or JSC TGK10 ORD SHS</b>	Specification of financial instrument
<b>M</b>	<u>Mandatory Repetitive Subsequence B2 Account information</u>		
	<b>:16R:</b>	<b>ACCTINFO</b>	Start block
<b>M</b>	<b>:97A::</b>	<b>SAFE//123456</b>	Depository account
<b>O</b>	<b>:93B::</b>	<b>SETT//UNIT/1500,</b>	Balance
<b>O</b>	<b>:93C::</b>	<b>or once SETT//UNIT/ELIG/1500,</b>	CA balance to actual date of settlement
	<b>:16S:</b>	<b>ACCTINFO</b>	End of block
<b>M</b>	<u>End of subsequence B2 Account information</u>		
	<b>:16S:</b>	<b>USECU</b>	End of block
<b>M</b>	<u>End of subsequence B CA Securities</u>		
<b>O</b>	<u>Optional subsequence D Corporate action details</u>		
	<b>:16R:</b>	<b>CADETL</b>	Start block
<b>O</b>	<b>:98A::</b>	<b>ANOU//20090227</b>	CA Date/Time Announcement
<b>O</b>	<b>:98A::</b>	<b>RDTE//20090306</b>	Data/Time of balance fixation
<b>O</b>	<b>:17B::</b>	<b>CERT//Y</b>	Certification flag/ Providing list of owners - Preparing a list of owners is required
<b>O</b>	<b>:70E::</b>	<b>WEBB//WWW.DEPOXXX.RU/RU/DB/NE WS/ PRESS/ID384133</b>	Web site - Web site link with additional CA information
	<b>:16S:</b>	<b>CADETL</b>	End of block
<b>O</b>	<u>End of subsequence D Corporate action details</u>		
<b>O</b>	<u>Mandatory Repetitive Subsequence E Corporate action options</u>		
	<b>:16R:</b>	<b>CAOPTN</b>	Start block
<b>M</b>	<b>:13A::</b>	<b>CAON//001</b>	CA option type
<b>M</b>	<b>:22F::</b>	<b>CAOP//SECU</b>	Corporate action option sign (code) - allocation of securities
<b>O</b>	<b>:22F::</b>	<b>OPTF//PROR</b>	Option conditions sign - proportional buyout
<b>O</b>	<b>:22F::</b>	<b>CETI//FULL</b>	Certification type sign/ list of owners - information about the owner - full list
<b>M</b>	<b>:17B::</b>	<b>DFLT//N</b>	Flag of default processing - option is not used by default (instructions are required)
<b>O</b>	<b>:98C::</b>	<b>MKDT//20090601170000</b>	Deadline set by the market
<b>O</b>	<b>:98C::</b>	<b>RDDT//20090529150000</b>	Deadline set by account servicer
<b>O</b>	<b>:69A::</b>	<b>PWAL//20090415/20090601</b>	Validity - Offer validity
<b>O</b>	<u>Optional repetitive subsequence E1 Securities movement</u>		
	<b>:16R:</b>	<b>SECMOVE</b>	Start block
<b>M</b>	<b>:22H::</b>	<b>CRDB//DEBT</b>	Payment sign/withdrawal - securities withdrawal
<b>M</b>	<b>:35B:</b>	<b>ISIN RU000A0F61T7 'TGK-10, AOI или JSC TGK10 ORD SHS</b>	Financial instrument determination
<b>O</b>	<b>:22F::</b>	<b>DISF//STAN</b>	Rounding sign - general rule
<b>M</b>	<b>:98B::</b>	<b>PAYD//ONGO</b>	Payment Date/Time - Date is determined during the process
	<b>:16S:</b>	<b>SECMOVE</b>	End of block
<b>O</b>	<u>End of subsequence E1 Securities movement</u>		
<b>O</b>	<u>Optional repetitive subsequence E2 Cash movement</u>		
	<b>:16R:</b>	<b>CASHMOVE</b>	Start block

M	:22H::	CRDB//CRED	Crediting/debiting sign - денежные средства будут зачислены
M	:98A::	PAYD//20090701	Payment Date/Time
O	:90B::	OFFR//ACTU/RUB69,7106	Product price - offered price
	:16S:	CASHMOVE	End of block
O	<i>End of subsequence E2Cash movement</i>		
	:16S:	CAOPTN	End of block
O	<i>End of sequence E Corporate action options</i>		
O	<i>Optional repetitive sequence E Corporate action options</i>		
	:16R:	CAOPTN	Start block
M	:13A::	CAON//002	CA option number
M	:22F::	CAOP//NOAC	CA option sign (code) - no participation
M	:17B::	DFLT//Y	Processing flag by default - the option is used by default (if no instructions are provided)
	:16S:	CAOPTN	End of block
O	<i>End of sequence E Corporate action options</i>		
O	<i>Optional sequence F Additional information</i>		
	:16R:	ADDINFO	Start block
O	:70E::	ADTX//ACCORDING TO THE ARTICLE 75 OF THE FEDERAL LAW ON JOINT STOCK COMPANIES THE OWNERS OF TGK10 ORDINARY SHARES WHO VOTED AGAINST OR DID NOT TAKE PART IN THE VOTING AT ALL PER ITEM OF THE AGM AGENDA RELATED TO A NEW WORDING OF CHARTER OF THE COMPANY, OBTAIN THE RIGHT TO DEMAND FROM THE ISSUER TO REDEEM ALL OR PART OF ITS SHARES	Narrative - additional narrative
O	:70E::	ADTX//SWIFT WILL BE CONTINUED ON MT568 WITH CORP CATGK10160409	Narrative - additional narrative
O	:70E::	ADTX//IF THE TOTAL AMOUNT OF SHARES OFFERED FOR REDEMPTION WILL EXCEED 10 PERCENT OF NET ASSETS ON THE DATE OF TAKEN THE DECISION (APRIL 15, 2009), TGK10 SHALL REDEEM THE SHARES FROM ALL SHARE HOLDERS WHO TENDERED THEIR OFFER LETTERS ON A PRO RATA BASIS.	Narrative - additional narrative
O	:70E::	PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU	Narrative - contact information
	:16S:	ADDINFO	End of block
O	<i>End of sequence F Additional information</i>		

1.a. At the end of record date – 6 March 2009 if there is a relevant agreement the account holder can be sent additional notification (REPL) and specification of the balance of securities to the record date.

**2. Depository send notification on corporate action upon receipt information on the results of the general meeting where it was decided that the owners have the option of repurchase (MT564/BIDS/REPL/COMP).**

MT564/BIDS specifying corporate action status COMP (full details).

Additional text message MT568 with corporate action details and link to MT564 message should be send (IVANIV0904161458). MT568 message function is similar to the message function code, specified in MT564 linkage message.

**MT564 – Corporate action notification**

Corporate action reference CATGK10160409

Official CA reference RUCATGK10160409

Sender's reference – IVANIV0904161458

Sender of MT564           DEPORUMM  
Receiver of MT564        BROCKRUMM

**Message structure:**

<b>M</b>	<i>Mandatory sequence A General information</i>	
	<b>:16R:</b>	<b>GENL</b>
		Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//CATGK10160409</b>
		Corporate action reference
<b>M</b>	<b>:20C::</b>	<b>SEME//IVANIV0904161458</b>
		Sender reference
<b>O</b>	<b>:20C::</b>	<b>COAF//RUCATGK10160409</b>
		Official CA event reference
<b>M</b>	<b>:23G:</b>	<b>REPL</b>
		Message function - CA replacing message
<b>M</b>	<b>:22F::</b>	<b>CAEV//BIDS</b>
		Corporate action event indicator - repurchase offer (Repurchase offer/Issuer Bid/ Reverse Rights)
<b>M</b>	<b>:22F::</b>	<b>CAMV//VOLU</b>
		Voluntary indicator/mandatory - voluntary event, instructions are necessary for participation
<b>O</b>	<b>:98A::</b>	<b>PREP//20090420</b>
		Preparation Date/Time
<b>M</b>	<b>:25D::</b>	<b>PROC//COMP</b>
		Processing status - complete information
<b>O</b>	<i>Optional Repetitive Subsequence A1 Linkages</i>	
	<b>:16R:</b>	<b>LINK</b>
		Start block
<b>O</b>	<b>:13A::</b>	<b>LINK//568</b>
		Linked message type
<b>M</b>	<b>:20C::</b>	<b>PREV//IVANIV0904161459</b>
		Previously send message on repurchase reference
	<b>:16S:</b>	<b>LINK</b>
		End of block
<b>O</b>	<i>End of Subsequence A1 Linkages</i>	
<b>O</b>	<i>Optional Repetitive Subsequence A1 Linkages</i>	
	<b>:16R:</b>	<b>LINK</b>
		Start block
<b>O</b>	<b>:13A::</b>	<b>CORP//MEETGK10015</b>
		Linked CA reference – general meeting
<b>M</b>	<b>:20C::</b>	<b>PREV//IVANIV0903061700</b>
		Previously send message on repurchase reference
	<b>:16S :</b>	<b>LINK</b>
		End of block
<b>O</b>	<i>End of Subsequence A1 Linkages</i>	
	<b>:16S:</b>	<b>GENL</b>
		End of block
<b>M</b>	<i>End of Subsequence A General information</i>	
<b>M</b>	<i>Optional Subsequence B CA Securities</i>	
	<b>:16R:</b>	<b>USECU</b>
		Start block
<b>M</b>	<b>:35B:</b>	<b>ISIN RU000A0F61T7</b>
		Specification of financial instrument

		'T GK-10, AOI or JSC TGK10 ORD SHS	
M	<u>Mandatory Repetitive Subsequence B2 Account information</u>		
	:16R:	ACCTINFO	Start block
M	:97A::	SAFE//123456	Depository account
O	:93B::	SETT//UNIT/1500,	Balance
	:16S:	ACCTINFO	End of block
M	<u>End of subsequence B2 Account information</u>		
	:16S:	USECU	End of block
M	<u>End of subsequence B CA Securities</u>		
O	<u>Optional subsequence D Corporate action details</u>		
	:16R:	CADETL	Start block
O	:98A::	ANOU//20090227	CA Date/Time Announcement
O	:98A::	RDTE//20090306	Data/Time of balance fixation
O	:17B::	CERT//Y	Certification flag/ Providing list of owners - Preparing a list of owners is required
O	:70E::	WEBB//WWW.DEPOXXX.RU/RU/DB/NE WS/ PRESS/ID384133	Web site - Web site link with additional CA information
	:16S:	CADETL	End of block
O	<u>End of subsequence D Corporate action details</u>		
O	<u>Mandatory Repetitive Subsequence E Corporate action options</u>		
	:16R:	CAOPTN	Start block
M	:13A::	CAON//001	CA option type
M	:22F::	CAOP//SECU	Corporate action option sign (code) - allocation of securities
O	:22F::	OPTF//PROR	Option conditions sign - proportional buyout
O	:22F::	CETI//FULL	Certification type sign/ list of owners - information about the owner - full list
M	:17B::	DFLT//N	Flag of default processing - option is not used by default (instructions are required)
O	:98C::	MKDT//20090601170000	Deadline set by the market
O	:98C::	RDDT//20090529150000	Deadline set by account servicer
O	:69A::	PWAL//20090415/20090601	Validity - Offer validity
O	<u>Optional repetitive subsequence E1 Securities movement</u>		
	:16R:	SECMOVE	Start block
M	:22H::	CRDB//DEBT	Payment sign/withdrawal - securities withdrawal
M	:35B:	ISIN RU000A0F61T7 'T GK-10, AOI или JSC TGK10 ORD SHS	Financial instrument determination
O	:22F::	DISF//STAN	Rounding sign - general rule
M	:98B::	PAYD//ONGO	Payment Date/Time - Date is determined during the process
	:16S:	SECMOVE	End of block
O	<u>End of subsequence E1 Securities movement</u>		
O	<u>Optional repetitive subsequence E2 Cash movement</u>		
	:16R:	CASHMOVE	Start block
M	:22H::	CRDB//CRED	Crediting/debiting sign - денежные средства будут зачислены
M	:98A::	PAYD//20090701	Payment Date/Time

O	:90B::	OFFR//ACTU/RUB69,7106	Product price - offered price
	:16S:	CASHMOVE	End of block
O	<i>End of subsequence E2Cash movement</i>		
	:16S:	CAOPTN	End of block
O	<i>End of sequence E Corporate action options</i>		
O	<i>Optional repetitive sequence E Corporate action options</i>		
	:16R:	CAOPTN	Start block
M	:13A::	CAON//002	CA option number
M	:22F::	CAOP//NOAC	CA option sign (code) - no participation
M	:17B::	DFLT//Y	Processing flag by default - the option is used by default (if no instructions are provided)
	:16S:	CAOPTN	End of block
O	<i>End of sequence E Corporate action options</i>		
O	<i>Optional sequence F Additional information</i>		
	:16R:	ADDINFO	Start block
O	:70E::	ADTX//ACCORDING TO THE ARTICLE 75 OF THE FEDERAL LAW ON JOINT STOCK COM PANIES THE OWNERS OF TGK10 ORDINARY SHARES WHO VOTED AGAINST OR DID NOT TAKE PART IN THE VOTING AT ALL PER ITEM OF THE AGM AGENDA RELATED TO A NEW WORDING OF CHARTER OF THE COMPANY, OBTAIN THE RIGHT TO DEMAND FROM THE ISSUER TO REDEEM ALL OR PART OF ITS SHARES	Narrative - additional narrative
O	:70E::	ADTX//SWIFT WILL BE CONTINUED ON MT568 WITH CORP CATGK10160409	Narrative - additional narrative
O	:70E::	ADTX//IF THE TOTAL AMOUNT OF SHARES OFFERED FOR REDEMPTION WILL EXCEED 10 PERCENT OF NET ASSETS ON THE DATE OF TAKEN THE DECISION (APRIL 15, 2009), TGK10 SHALL REDEEM THE SHARES FROM ALL SHARE HOLDERS WHO TENDERED THEIR OFFER LETTERS ON A PRO RATA BASIS.	Narrative - additional narrative
O	:70E::	PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU	Narrative - contact information
	:16S:	ADDINFO	End of block
O	<i>End of sequence F Additional information</i>		

### MT568 – Additional narrative message

Corporate action reference CATGK10160409  
Sender's reference – IVANIV0904161459

Sender of MT568           DEPORUMM  
Receiver of MT568       BROCKRUMM

**Message structure:**

<b>M</b>	<i>Mandatory sequence A General information</i>	
	<b>:16R:</b>	<b>GENL</b>
		Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//CATGK10160409</b>
		Corporate action reference
<b>M</b>	<b>:20C::</b>	<b>SEME//IVANIV0904161459</b>
		Sender reference
<b>O</b>	<b>:20C::</b>	<b>COAF//RUCATGK10160409</b>
		Official CA event reference
<b>M</b>	<b>:23G:</b>	<b>REPL</b>
		Message function - CA replacing message
<b>M</b>	<b>:22F::</b>	<b>CAEV//BIDS</b>
		Corporate action event indicator - repurchase offer (Repurchase offer/Issuer Bid/ Reverse Rights)
<b>O</b>	<b>:98A::</b>	<b>PREP//20090420</b>
		Preparation Date/Time
<b>O</b>	<i>Optional Repetitive Subsequence A1 Linkages</i>	
	<b>:16R:</b>	<b>LINK</b>
		Start block
<b>O</b>	<b>:13A::</b>	<b>LINK//564</b>
		Linked message type
<b>M</b>	<b>:20C::</b>	<b>PREV//IVANIV0904161458</b>
		Previously send message on repurchase reference
	<b>:16S:</b>	<b>LINK</b>
		End of block
<b>O</b>	<i>End of Subsequence A1 Linkages</i>	
	<b>:16S:</b>	<b>GENL</b>
		End of block
<b>M</b>	<i>End of sequence A General information</i>	
<b>O</b>	<i>Optional Subsequence B CA Securities</i>	
	<b>:16R:</b>	<b>USECU</b>
		Start block
<b>M</b>	<b>:97A ::</b>	<b>SAFE//123456</b>
		Safekeeping account
<b>M</b>	<b>:35B:</b>	<b>ISIN RU000A0F61T7</b> <b>'TGK-10, AOI</b> <b>or</b> <b>JSC TGK10 ORD SHS</b>
		Specification of financial instrument
	<b>:16S:</b>	<b>USECU</b>
		End of block
<b>O</b>	<i>End of subsequence B CA Securities</i>	
<b>M</b>	<i>Optional sequence C Additional information</i>	
	<b>:16R:</b>	<b>ADDINFO</b>
		Start block
<b>O</b>	<b>:70E::</b>	<b>ADTX//PLEASE BE ADVISED THAT ON APRIL 15, 2009 THE AGM OF JSC TGK10 (ISIN RU000A0F61T7) APPROVED A NEW WORDING OF CHARTER OF COMPANY. ACCORDING TO THE ARTICLE 75 OF THE FEDERAL LAW ON JOINT STOCK COMPANIES THE OWNERS OF TGK10 ORDINARY SHARES WHO VOTED AGAINST OR DID NOT TAKE PART IN THE VOTING AT ALL PER ITEM OF THE AGM AGENDA, RELATED TO A NEW WORDING OF CHARTER OF THE COMPANY, OBTAIN THE RIGHT TO DEMAND FROM THE ISSUER TO REDEEM ALL OR PART OF ITS SHARES DUE TO BOOK HOLDINGS ON MARCH 06, 2009 AT THE REPURCHASE PRICE RUB 69.7106 FOR ONE ORD. SHARE. IF THE TOTAL AMOUNT OF SHARES OFFERED FOR REDEMPTION WILL EXCEED 10 PERCENT OF NET ASSETS ON THE DATE OF TAKEN THE DECISION (APRIL 15, 2009), TGK10 SHALL REDEEM THE SHARES FROM ALL SHAREHOLDERS WHO TENDERED THEIR OFFER LETTERS ON A PRO RATA BASIS. PLEASE BE AWARE THAT THIS CORPORATE ACTION IS VOLUNTARY. IF SHAREHOLDER TO ADVISE ON THEIR INTENTION TO TENDER THE SHARES FOR</b>
		Narrative - additional narrative

		<b>BUYBACK, WE HAVE TO ENSURE TRANSFER OF SHARES TO DEPO ACCOUNT DEDICATED FOR BLOCKED SECURITIES. IN CASE OF ACCEPTANCE OF THE APPLICATION, WHILE TGK10 IN ITS TURN SHALL PAY THE SHARES AT THE ABOVE MENTIONED PRICE BEFORE JULY 01, 2009. PLS. BE ADVISED THAT RUB CASH PROCEEDS MAY BE A SUBJECT TO WITHHOLDING TAX ON CAPITAL GAINS.</b>	
<b>O</b>	<b>:70E::</b>	<b>PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU</b>	Narrative – contact information
	<b>:16S:</b>	<b>ADDINFO</b>	End of block
<b>O</b>	<i>End of sequence C Additional information</i>		

**3. Sending a reminder to the clients which have not sent the instructions for corporate actions – Corporate action notification MT564/BIDS/ indicating function RMRD (reminder).**

**MT564 – Corporate action notification**

Corporate action reference CATGK10160409  
Sender's reference – IVANIV090514140922

Sender of MT564           DEPORUMM  
Receiver of MT564        BROCKRUMM

**Message structure:**

<b>M</b>	<i>Mandatory sequence A General information</i>		
	<b>:16R:</b>	<b>GENL</b>	Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//CATGK10160409</b>	Corporate action reference
<b>M</b>	<b>:20C::</b>	<b>SEME//IVANIV0905140922</b>	Sender reference
<b>O</b>	<b>:20C::</b>	<b>COAF//RUCATGK10160409</b>	Official CA event reference
<b>M</b>	<b>:23G:</b>	<b>RMDR</b>	Message function - CA replacing message
<b>M</b>	<b>:22F::</b>	<b>CAEV//BIDS</b>	Corporate action event indicator - repurchase offer (Repurchase offer/Issuer Bid/ Reverse Rights)
<b>M</b>	<b>:22F::</b>	<b>CAMV//VOLU</b>	Voluntary indicator/mandatory - voluntary event, instructions are necessary for participation
<b>O</b>	<b>:98C::</b>	<b>PREP//20090528150000</b>	Preparation Date/Time
<b>M</b>	<b>:25D::</b>	<b>PROC//COMP</b>	Processing status - complete information
<b>O</b>	<i>Optional Repetitive Subsequence A1 Linkages</i>		
	<b>:16R:</b>	<b>LINK</b>	Start block
<b>O</b>	<b>:13A::</b>	<b>LINK//564</b>	Linked message type
<b>M</b>	<b>:20C::</b>	<b>PREV//IVANIV0904161458</b>	Previously send message on repurchase reference
	<b>:16S:</b>	<b>LINK</b>	End of block
<b>O</b>	<i>End of Subsequence A1 Linkages</i>		
<b>O</b>	<i>Optional Repetitive Subsequence A1 Linkages</i>		
	<b>:16R:</b>	<b>LINK</b>	Start block



O	:13A::	LINK//568	Linked message type
M	:20C::	PREV//IVANIV0905281501	Previously send message on repurchase reference
	:16S:	LINK	End of block
O	<u>End of Subsequence A1 Linkages</u>		
O	<u>Optional Repetitive Subsequence A1 Linkages</u>		
	:16R:	LINK	Start block
M	:20C::	CORP//MEETTGK10015	Linked CA reference – general meeting
	:16S :	LINK	End of block
O	<u>End of Subsequence A1 Linkages</u>		
	:16S:	GENL	End of block
M	<u>End of Subsequence A General information</u>		
M	<u>Optional Subsequence B CA Securities</u>		
	:16R:	USECU	Start block
M	:35B:	ISIN RU000A0F61T7 'TGK-10, AOI or JSC TGK10 ORD SHS	Specification of financial instrument
M	<u>Mandatory Repetitive Subsequence B2 Account information</u>		
	:16R:	ACCTINFO	Start block
M	:97A::	SAFE//123456	Depository account
O	:93B ::	ELIG//UNIT/1500,	CA Balance
O	:93B::	SETT//UNIT/1500,	Balance
O	:93C::	or once SETT//UNIT/ELIG/1500,	CA balance to actual date of settlement
	:16S:	ACCTINFO	End of block
M	<u>End of subsequence B2 Account information</u>		
	:16S:	USECU	End of block
M	<u>End of subsequence B CA Securities</u>		
O	<u>Optional subsequence D Corporate action details</u>		
	:16R:	CADETL	Start block
O	:98A::	ANOU//20090227	CA Date/Time Announcement
O	:98A::	RDTE//20090306	Data/Time of balance fixation
O	:17B::	CERT//Y	Certification flag/ Providing list of owners - Preparing a list of owners is required
O	:70E::	WEBB//WWW.DEPOXXX.RU/RU/DB/NEWS/ PRESS/ID384133	Web site - Web site link with additional CA information
	:16S:	CADETL	End of block
O	<u>End of subsequence D Corporate action details</u>		
O	<u>Mandatory Repetitive Subsequence E Corporate action options</u>		
	:16R:	CAOPTN	Start block
M	:13A::	CAON//001	CA option type
M	:22F::	CAOP//SECU	Corporate action option sign (code) - allocation of securities
O	:22F::	OPTF//PROR	Option conditions sign - redemptions in pro-ratio
O	:22F::	CETI//FULL	Certification type sign/ list of owners - information about the owner - full list
M	:17B::	DFLT//N	Flag of default processing - option is not used by default (instructions are required)
	:98C::	MKDT//20090601170000	Deadline set by the market
	:98C::	RDDT//20090529150000	Deadline set by account servicer
O	:69A::	PWAL//20090415/20090601	Validity - Offer validity
O	<u>Optional repetitive subsequence E1 Securities movement</u>		

	<b>:16R:</b>	<b>SECMOVE</b>	Start block
<b>M</b>	<b>:22H::</b>	<b>CRDB//DEBT</b>	Payment sign/withdrawal - securities withdrawal
<b>M</b>	<b>:35B:</b>	<b>ISIN RU000A0F61T7 'TGK-10, AOI ИЛИ JSC TGK10 ORD SHS</b>	Financial instrument determination
<b>O</b>	<b>:22F::</b>	<b>DISF//STAN</b>	Rounding sign - general rule
<b>M</b>	<b>:98B::</b>	<b>PAYD//ONGO</b>	Payment Date/Time - Date is determined during the process
	<b>:16S:</b>	<b>SECMOVE</b>	End of block
<b>O</b>	<i>End of subsequence E1 Securities movement</i>		
<b>O</b>	<i>Optional repetitive subsequence E2 Cash movement</i>		
	<b>:16R:</b>	<b>CASHMOVE</b>	Start block
<b>M</b>	<b>:22H::</b>	<b>CRDB//CRED</b>	Crediting/debiting sign - денежные средства будут зачислены
<b>M</b>	<b>:98A::</b>	<b>PAYD//20090701</b>	Payment Date/Time
<b>O</b>	<b>:90B::</b>	<b>OFFR//ACTU/RUB69,7106</b>	Product price - offered price
	<b>:16S:</b>	<b>CASHMOVE</b>	End of block
<b>O</b>	<i>End of subsequence E2 Cash movement</i>		
	<b>:16S:</b>	<b>CAOPTN</b>	End of block
<b>O</b>	<i>End of sequence E Corporate action options</i>		
<b>O</b>	<i>Optional repetitive sequence E Corporate action options</i>		
	<b>:16R:</b>	<b>CAOPTN</b>	Start block
<b>M</b>	<b>:13A::</b>	<b>CAON//002</b>	CA option number
<b>M</b>	<b>:22F::</b>	<b>CAOP//NOAC</b>	CA option sign (code) - no participation
<b>M</b>	<b>:17B::</b>	<b>DFLT//Y</b>	Processing flag by default - the option is used by default (if no instructions are provided)
	<b>:16S:</b>	<b>CAOPTN</b>	End of block
<b>O</b>	<i>End of sequence E Corporate action options</i>		
<b>O</b>	<i>Optional sequence F Additional information</i>		
	<b>:16R:</b>	<b>ADDINFO</b>	Start block
<b>O</b>	<b>:70E::</b>	<b>ADTX//ACCORDING TO THE ARTICLE 75 OF THE FEDERAL LAW ON JOINT STOCK COM PANIES THE OWNERS OF TGK10 ORDINARY SHARES WHO VOTED AGAINST OR DID NOT TAKE PART IN THE VOTING AT ALL PER ITEM OF THE AGM AGENDA RELATED TO A NEW WORDING OF CHARTER OF THE COMPANY, OBTAIN THE RIGHT TO DEMAND FROM THE ISSUER TO REDEEM ALL OR PART OF ITS SHARES</b>	Narrative - additional narrative
<b>O</b>	<b>:70E::</b>	<b>ADTX//SWIFT WILL BE CONTINUED ON MT568 WITH CORP CATGK10160409</b>	Narrative - additional narrative
<b>O</b>	<b>:70E::</b>	<b>ADTX//IF THE TOTAL AMOUNT OF SHARES OFFERED FOR REDEMPTION WILL EXCEED 10 PERCENT OF NET ASSETS ON THE DATE OF TAKEN THE DECISION (APRIL 15, 2009), TGK10 SHALL REDEEM THE SHARES FROM ALL SHARE HOLDERS WHO TENDERED THEIR OFFER LETTERS ON A PRO RATA BASIS.</b>	Narrative - additional narrative

<b>O</b>	<b>:70E::</b>	<b>PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU</b>	Narrative – contact information
	<b>:16S:</b>	<b>ADDINFO</b>	End of block
<b>O</b>	<i>End of sequence F Additional information</i>		

Previously sent MT568 message should be repeated (sender's reference – **IVANIV0904161459**), despite it did not change.

### **MT568 – Additional narrative message**

Corporate action reference CATGK10160409  
Sender's reference – IVANIV0905281501

Sender of MT568           DEPORUMM  
Receiver of MT568       BROCKRUMM

### **Message structure:**

<b>M</b>	<i>Mandatory sequence A General information</i>		
	<b>:16R:</b>	<b>GENL</b>	Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//CATGK10160409</b>	Corporate action reference
<b>M</b>	<b>:20C::</b>	<b>SEME//IVANIV0905281501</b>	Sender reference
<b>O</b>	<b>:20C::</b>	<b>COAF//RUCATGK10160409</b>	Official CA event reference
<b>M</b>	<b>:23G:</b>	<b>RMDR</b>	Message function - new message
<b>M</b>	<b>:22F::</b>	<b>CAEV//BIDS</b>	Corporate action event indicator - repurchase offer (Repurchase offer/Issuer Bid/ Reverse Rights)
<b>O</b>	<b>:98A::</b>	<b>PREP//20090528150000</b>	Preparation Date/Time
<b>O</b>	<i>Optional Repetitive Subsequence A1 Linkages</i>		
	<b>:16R:</b>	<b>LINK</b>	Start block
<b>O</b>	<b>:13A::</b>	<b>LINK//564</b>	Linked message type
<b>M</b>	<b>:20C::</b>	<b>PREV//IVANIV0905140922</b>	Previously send message on repurchase reference
	<b>:16S :</b>	<b>LINK</b>	End of block
<b>O</b>	<i>End of Subsequence A1 Linkages</i>		
	<b>:16S :</b>	<b>GENL</b>	End of block
<b>M</b>	<i>End of sequence A General information</i>		
<b>O</b>	<i>Optional Subsequence B CA Securities</i>		
	<b>:16R :</b>	<b>USECU</b>	Start block
<b>M</b>	<b>:97A ::</b>	<b>SAFE//123456</b>	Depository account
<b>O</b>	<b>:35B:</b>	<b>ISIN RU000A0F61T7 'TGK-10, AOI or JSC TGK10 ORD SHS</b>	Specification of financial instrument
<b>M</b>	<b>:97A ::</b>	<b>SAFE//123456</b>	Depository account
	<b>:16S:</b>	<b>USECU</b>	End of block
	<i>End of subsequence B CA Securities</i>		
<b>M</b>	<i>Optional sequence C Additional information</i>		
	<b>:16R:</b>	<b>ADDINFO</b>	Start block
<b>O</b>	<b>:70E::</b>	<b>ADTX//PLEASE BE ADVISED THAT ON APRIL 15, 2009 THE AGM OF JSC TGK10 (ISIN RU000A0F61T7) APPROVED A NEW</b>	Narrative - additional narrative

		<p>WORDING OF CHARTER OF COMPANY. ACCORDING TO THE ARTICLE 75 OF THE FEDERAL LAW ON JOINT STOCK COMPANIES THE OWNERS OF TGK10 ORDINARY SHARES WHO VOTED AGAINST OR DID NOT TAKE PART IN THE VOTING AT ALL PER ITEM OF THE AGM AGENDA, RELATED TO A NEW WORDING OF CHARTER OF THE COMPANY, OBTAIN THE RIGHT TO DEMAND FROM THE ISSUER TO REDEEM ALL OR PART OF ITS SHARES DUE TO BOOK HOLDINGS ON MARCH 06, 2009 AT THE REPURCHASE PRICE RUB 69.7106 FOR ONE ORD. SHARE. IF THE TOTAL AMOUNT OF SHARES OFFERED FOR REDEMPTION WILL EXCEED 10 PERCENT OF NET ASSETS ON THE DATE OF TAKEN THE DECISION (APRIL 15, 2009), TGK10 SHALL REDEEM THE SHARES FROM ALL SHAREHOLDERS WHO TENDERED THEIR OFFER LETTERS ON A PRO RATA BASIS. PLEASE BE AWARE THAT THIS CORPORATE ACTION IS VOLUNTARY. IF SHAREHOLDER TO ADVISE ON THEIR INTENTION TO TENDER THE SHARES FOR BUYBACK, WE HAVE TO ENSURE TRANSFER OF SHARES TO DEPO ACCOUNT DEDICATED FOR BLOCKED SECURITIES. IN CASE OF ACCEPTANCE OF THE APPLICATION, WHILE TGK10 IN ITS TURN SHALL PAY THE SHARES AT THE ABOVE MENTIONED PRICE BEFORE JULY 01, 2009. PLS. BE ADVISED THAT RUB CASH PROCEEDS MAY BE A SUBJECT TO WITHHOLDING TAX ON CAPITAL GAINS.</p>	
O	:70E::	<p>PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU</p>	<p>Narrative – contact information</p>
	:16S:	ADDINFO	End of block
O	<i>End of sequence C Additional information</i>		

**4. The client sends to the depository instructions regarding corporate action (MT565) indicating quantity of the securities under the instruction :36B::QINS//UNIT/900,.**

**MT565 – Client’s instruction on corporate action**

Corporate action reference CATGK10160409  
Sender’s reference – BROK0904281458

Sender of MT565            BROCKRUMM  
Receiver of MT565        DEPORUMM

**Message structure:**

M	<i>Mandatory sequence A General information</i>		
	:16R:	GENL	Start block
M	:20C::	CORP//CATGK10160409	Corporate action reference
M	:20C::	SEME//BROK0904281458	Sender reference

O	:20C::	COAF//RUCATGK10160409	Official CA event reference
M	:23G:	NEWM	Message function - CA new message
M	:22F::	CAEV//BIDS	Corporate action event indicator - repurchase offer (Repurchase offer/Issuer Bid/ Reverse Rights)
O	<i>Optional Repetitive Subsequence A1 Linkages</i>		
	:16R:	LINK	Start block
O	:13A::	LINK//564	Linked message type
M	:20C::	PREV//IVANIV0905140922	Previously send message on repurchase reference
	:16S:	LINK	End of block
O	<i>End of Subsequence A1 Linkages</i>		
	:16S:	GENL	End of block
M	<i>End of Subsequence A General information</i>		
M	<i>Optional Subsequence B CA Securities</i>		
	:16R:	USECU	Start block
M	:35B:	ISIN RU000A0F61T7 'TGK-10, AOI or JSC TGK10 ORD SHS	Specification of financial instrument
M	<i>Mandatory Repetitive Subsequence B2 Account information</i>		
	:16R:	ACCTINFO	Start block
M	:97A::	SAFE//123456	Depository account
O	:93B ::	ELIG//UNIT/1500,	CA Balance
O	:93B::	SETT//UNIT/1500,	Balance
O	:93C::	or once SETT//UNIT/ELIG/1500,	CA balance to actual date of settlement
	:16S:	ACCTINFO	End of block
M	<i>End of subsequence B2 Account information</i>		
	:16S:	USECU	End of block
M	<i>End of subsequence B CA Securities</i>		
M	<i>Optional subsequence D Corporate action instructions</i>		
	:16R:	CAINST	Start block
M	:13A::	CAON//001	CA option type
M	:22F::	CAOP//CASH	Corporate action option sign (code) - allocation of securities
M	:36B::	QINS//UNIT/900	Number of securities under instructions
	:16S:	CAINST	End of block
M	<i>End of Subsequence D Additional instructions</i>		

5. Depository sends a message on the status of the instruction (MT567) upon receipt of instruction from the client, confirming the receipt of instructions (MT565) specifying the number of securities with instructions :93B::INBA//UNIT/900.

#### **MT567 – Corporate action status notification**

Corporate action reference CATGK10160409  
Sender's reference – IVANIV0904161460

Sender of MT567           DEPORUMM  
Receiver of MT567       BROCKRUMM

#### **Message structure:**

<b>M</b>	<i>Mandatory sequence A General information</i>		
	<b>:16R:</b>	<b>GENL</b>	Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//CATGK10160409</b>	Corporate action reference
<b>M</b>	<b>:20C::</b>	<b>SEME//IVANIV0904161460</b>	Sender reference
<b>O</b>	<b>:20C::</b>	<b>COAF//RUCATGK10160409</b>	Official CA event reference
<b>M</b>	<b>:23G:</b>	<b>INST</b>	Message function - instruction status
<b>M</b>	<b>:22F ::</b>	<b>CAEV//BIDS</b>	Corporate action event indicator - repurchase offer (Repurchase offer/Issuer Bid/ Reverse Rights)
	<b>:98A ::</b>	<b>PREP//20090428</b>	Data/Time of message preparation
<b>M</b>	<i>Optional Repetitive Subsequence A1 Linkages</i>		
	<b>:16R:</b>	<b>LINK</b>	Start block
<b>O</b>	<b>:13A::</b>	<b>LINK//564</b>	Linked message type
<b>M</b>	<b>:20C::</b>	<b>PREV//IVANIV0905140922</b>	Previously send message on repurchase reference
	<b>:16S:</b>	<b>LINK</b>	End of block
<b>M</b>	<i>End of Subsequence A1 Linkages</i>		
<b>M</b>	<i>Mandatory repetitive subsequence A1 Linkages</i>		
	<b>:16R :</b>	<b>LINK</b>	Block start
<b>O</b>	<b>:13A ::</b>	<b>LINK//565</b>	Type of the sent message
<b>M</b>	<b>:20C :</b>	<b>RELA//BROK0904281458</b>	Reference of the sent message
	<b>:16S :</b>	<b>LINK</b>	End of block
<b>M</b>	<i>End of Subsequence A1 Linkages</i>		
<b>M</b>	<i>Mandatory repetitive subsequence A2 Status (CA instructions)</i>		
	<b>:16R :</b>	<b>STAT</b>	Block start
<b>M</b>	<b>:25D ::</b>	<b>IPRC//PACK</b>	Instruction status -approved
	<b>:16S :</b>	<b>STAT</b>	End of block
<b>M</b>	<i>End of Subsequence A2 Status (CA instructions)</i>		
	<b>:16S :</b>	<b>GENL</b>	End of block
<b>M</b>	<i>End of Subsequence A General information</i>		
<b>O</b>	<i>Optional Subsequence B corporate action details</i>		
	<b>:16R :</b>	<b>CADTL</b>	Block start
<b>O</b>	<b>:13A ::</b>	<b>CAON//001</b>	CA option type
<b>O</b>	<b>:22F ::</b>	<b>CAOP//CASH</b>	Corporate action option sign (code) - cash receipt by the owners
<b>O</b>	<b>:97A ::</b>	<b>SAFE//123456</b>	Depository account
<b>M</b>	<b>:35B:</b>	<b>ISIN RU000A0F61T7 'FORTUM, AOI or JSC FORTUM (JSC TGK10)</b>	Specification of financial instrument
<b>O</b>	<b>:93B ::</b>	<b>STAQ//UNIT/900,</b>	Securities balance with status
	<b>:16S :</b>	<b>CADETL</b>	End of block
<b>M</b>	<i>End of Subsequence B Corporate action details</i>		

6. Sending the issuer documentation and blocking the shares in depository. Additional client may receive MT544/546 indicating that the shares are blocked on the safekeeping account (transferring securities between the safekeeping accounts).
7. When announcing the rate of the redemption of shares – 0,9, the owners receive MT564/BIDS/ indicating function REPL (replacement).

### MT564 – Corporate action notification

Corporate action reference CATGK10160409  
 Sender's reference – IVANIV0904161458

Sender of MT564           DEPORUMM  
 Receiver of MT564       BROCKRUMM

**Message structure:**

<b>M</b>	<u>Mandatory sequence A General information</u>		
	<b>:16R:</b>	<b>GENL</b>	Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//CATGK10160409</b>	Corporate action reference
<b>M</b>	<b>:20C::</b>	<b>SEME//IVANIV0905140922</b>	Sender reference
<b>O</b>	<b>:20C::</b>	<b>COAF//RUCATGK10160409</b>	Official CA event reference
<b>M</b>	<b>:23G:</b>	<b>REPL</b>	Message function - Replacement message on CA
<b>M</b>	<b>:22F::</b>	<b>CAEV//BIDS</b>	Corporate action event indicator - repurchase offer (Repurchase offer/Issuer Bid/ Reverse Rights)
<b>M</b>	<b>:22F::</b>	<b>CAMV//VOLU</b>	Voluntary indicator/mandatory - voluntary event, instructions are necessary for participation
<b>M</b>	<b>:25D::</b>	<b>PROC//COMP</b>	Processing status - complete information
<b>O</b>	<u>Optional Repetitive Subsequence A1 Linkages</u>		
	<b>:16R:</b>	<b>LINK</b>	Start block
<b>O</b>	<b>:13A::</b>	<b>LINK//564</b>	Linked message type
<b>M</b>	<b>:20C::</b>	<b>PREV//IVANIV0905140922</b>	Previously send message on repurchase reference
	<b>:16S:</b>	<b>LINK</b>	End of block
<b>O</b>	<u>End of Subsequence A1 Linkages</u>		
<b>O</b>	<u>Optional Repetitive Subsequence A1 Linkages</u>		
	<b>:16R:</b>	<b>LINK</b>	Start block
<b>O</b>	<b>:13A::</b>	<b>LINK//568</b>	Linked message type
<b>M</b>	<b>:20C::</b>	<b>PREV//IVANIV0905281501</b>	Previously send message on repurchase reference
	<b>:16S:</b>	<b>LINK</b>	End of block
<b>O</b>	<u>End of Subsequence A1 Linkages</u>		
	<b>:16S:</b>	<b>LINK</b>	End of block
<b>M</b>	<u>End of Subsequence A General information</u>		
<b>M</b>	<u>Optional Subsequence B CA Securities</u>		
	<b>:16R:</b>	<b>USECU</b>	Start block
<b>M</b>	<b>:35B:</b>	<b>ISIN RU000A0F61T7 'FORTUM, AOI or JSC FORTUM (JSC TGK10)</b>	Specification of financial instrument
<b>M</b>	<u>Mandatory Repetitive Subsequence B2 Account information</u>		
	<b>:16R:</b>	<b>ACCTINFO</b>	Start block
<b>M</b>	<b>:97A::</b>	<b>SAFE//123456</b>	Depository account
<b>M</b>	<b>:93B ::</b>	<b>ELIG//UNIT/1500,</b>	CA Balance
<b>O</b>	<b>:93B::</b>	<b>SETT//UNIT/1500,</b>	Balance
<b>M</b>	<b>:93C::</b>	<b>or once SETT//UNIT/ELIG/1500,</b>	CA balance to actual date of settlement
	<b>:16S:</b>	<b>ACCTINFO</b>	End of block
<b>M</b>	<u>End of subsequence B2 Account information</u>		
	<b>:16S:</b>	<b>USECU</b>	End of block

M	<u>End of subsequence B CA Securities</u>	
O	<u>Optional subsequence D Corporate action details</u>	
	:16R:	CADETL Start block
O	:98A::	ANOOU//20090415 CA Date/Time Announcement
O	:98A ::	RDTE//20090306 Data/Time of balance fixation
O	:17B ::	CERT//Y Certification flag/ Providing list of owners - Preparing a list of owners is required
O	:70E::	WEBB//WWW.DEPOXXX.RU/RU/DB/NE WS/ PRESS/ID384133 Web site - Web site link with additional CA information
	:16S :	CADETL End of block
O	<u>End of subsequence D Corporate action details</u>	
O	<u>Mandatory Repetitive Subsequence E Corporate action options</u>	
	:16R :	CAOPTN Start block
M	:13A ::	CAON//001 CA option type
M	:22F ::	CAOP//SECU Corporate action option sign (code) - allocation of securities
O	:22F ::	OPTF//PROR Option conditions sign - proportional buyout
O	:22F ::	CETI//FULL Certification type sign/ list of owners - information about the owner - full list
M	:17B ::	DFLT//N Flag of default processing - option is not used by default (instructions arerequired)
	:98C::	MKDT//20090601170000 Deadline set by the market
	:98C::	RDDT//20090529150000 Deadline set by account servicer
O	:69A::	PWAL//20090415/20090601 Validity - Offer validity
O	:92A::	PROP//0,9 Rate of repurchased securities
O	<u>Optional repetitive subsequence E1 Securities movement</u>	
	:16R:	SECMOVE Start block
M	:22H::	CRDB//DEBT Payment sign/withdrawal - securities withdrawal
M	:35B:	ISIN RU000A0F61T7 'TGK-10, AOI или JSC TGK10 ORD SHS Financial instrument determination
O	:36B::	ENTL//UNIT/1500, Entitled number
O	:22F::	DISF//STAN Rounding sign - general rule
M	:98B::	PAYD//ONGO Payment Date/Time
	:16S:	SECMOVE End of block
O	<u>End of subsequence E1 Securities movement</u>	
O	<u>Optional repetitive subsequence E2 Cash movement</u>	
	:16R:	CASHMOVE Start block
M	:22H::	CRDB//CRED Crediting/debiting sign - денежные средства будут зачислены
M	:98A::	PAYD//20090701 Payment Date/Time
O	:90B::	OFFR//ACTU/RUB69,7106 Product price - offered price
	:16S:	CASHMOVE End of block
O	<u>End of subsequence E2 Cash movement</u>	
	:16S:	CAOPTN End of block
	<u>End of sequence E Corporate action options</u>	
O	<u>Optional repetitive sequence E Corporate action options</u>	
	:16R:	CAOPTN Start block
M	:13A::	CAON//002 CA option number



M	:22F::	CAOP//NOAC	CA option sign (code) - no participation
M	:17B::	DFLT//Y	Processing flag by default - the option is used by default (if no instructions are provided)
	:16S:	CAOPTN	End of block
O	<u>End of sequence E Corporate action options</u>		
O	<u>Optional sequence F Additional information</u>		
	:16R:	ADDINFO	Start block
O	:70E::	ADTX//ACCORDING TO THE ARTICLE 75 OF THE FEDERAL LAW ON JOINT STOCK COMPANIES THE OWNERS OF TGK10 ORDINARY SHARES WHO VOTED AGAINST OR DID NOT TAKE PART IN THE VOTING AT ALL PER ITEM OF THE AGM AGENDA RELATED TO A NEW WORDING OF CHARTER OF THE COMPANY, OBTAIN THE RIGHT TO DEMAND FROM THE ISSUER TO REDEEM ALL OR PART OF ITS SHARES	Narrative - additional narrative
O	:70E::	ADTX//DOPOLNITELXNAa INFORMACIa V SOOBqENII MT568 S KODOM CATGK10160409 or ADTX//SWIFT WILL BE CONTINUED ON MT568 WITH CORP CATGK10160409	Narrative - additional narrative
O	:70E::	ADTX//IF THE TOTAL AMOUNT OF SHARES OFFERED FOR REDEMPTION WILL EXCEED 10 PERCENT OF NET ASSETS ON THE DATE OF TAKEN THE DECISION (APRIL 15, 2009), TGK10 SHALL REDEEM THE SHARES FROM ALL SHARE HOLDERS WHO TENDERED THEIR OFFER LETTERS ON A PRO RATA BASIS.	Narrative - additional narrative
O	:70E::	PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU	Narrative - contact information
	:16S:	ADDINFO	End of block
O	<u>End of sequence F Additional information</u>		

Previously sent MT568 message should be repeated specifying sendr's new reference.

## 8. Sending confirmation on corporate action based on withdrawal of securities and crediting of money based on repurchase

Two confirmations on corporate action might be sent on one corporate action:

- as confirmation of crediting of funds (in the absence of information regarding withdrawal of securities in the registrar on the payment date)
- then as confirmation of withdrawal of securities (after receiving information from the registrar on withdrawal of securities)

or

- confirmation after receiving information about transferring of funds and information from the registrar on withdrawal of the securities.

**Option 1** - two confirmation of corporate action

a. **Control of transferring funds (depending on the location specified in the Statement of account), sending confirmation on the corporate action - confirmation of crediting of funds (MT566/BIDS/CRED).**

**MT566 – Confirmation of corporate action**

Corporate action reference CATGK10160409

Sender's reference – IVANIV090416145C

Sender of MT566 DEPORUMM

Receiver of MT566 BROCKRUMM

**Message structure:**

<b>M</b>	<i>Mandatory sequence A General information</i>		
	<b>:16R:</b>	<b>GENL</b>	Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//CATGK10160409</b>	Corporate action reference
<b>M</b>	<b>:20C::</b>	<b>SEME//IVANIV090416145C</b>	Sender reference
<b>O</b>	<b>:20C::</b>	<b>COAF//RUCATGK10160409</b>	Official CA event reference
<b>M</b>	<b>:23G:</b>	<b>NEWM</b>	Message function - New message on CA
<b>M</b>	<b>:22F::</b>	<b>CAEV//BIDS</b>	Corporate action event indicator - repurchase offer (Repurchase offer/Issuer Bid/ Reverse Rights)
<b>O</b>	<b>:98A::</b>	<b>PREP//20090623</b>	Data/Time of preparing the message
<b>O</b>	<i>Optional Repetitive Subsequence A1 Linkages</i>		
	<b>:16R:</b>	<b>LINK</b>	Start block
<b>O</b>	<b>:13A::</b>	<b>LINK//564</b>	Linked message type
<b>M</b>	<b>:20C::</b>	<b>PREV//IVANIV0905140922</b>	Previously send message on repurchase reference
	<b>:16S:</b>	<b>LINK</b>	End of block
<b>O</b>	<i>End of Subsequence A1 Linkages</i>		
<b>O</b>	<i>Optional Repetitive Subsequence A1 Linkages</i>		
	<b>:16R:</b>	<b>LINK</b>	Start block
<b>O</b>	<b>:13A::</b>	<b>LINK//565</b>	Linked message type
<b>M</b>	<b>:20C::</b>	<b>RELA//BROK0904281458</b>	Previously send message on repurchase reference
	<b>:16S:</b>	<b>LINK</b>	End of block
<b>O</b>	<i>End of Subsequence A1 Linkages</i>		
	<b>:16S:</b>	<b>LINK</b>	End of block
<b>M</b>	<i>End of Subsequence A General information</i>		
<b>M</b>	<i>Optional Subsequence B CA Securities</i>		
	<b>:16R:</b>	<b>USECU</b>	Start block
<b>M</b>	<b>:35B:</b>	<b>ISIN RU000A0F61T7 'FORTUM, AOI or JSC FORTUM (JSC TGK10)</b>	Specification of financial instrument
<b>M</b>	<b>:93B::</b>	<b>CONB//UNIT/900,</b>	Confirmed balance
	<b>:16S:</b>	<b>USECU</b>	End of block
<b>M</b>	<i>End of subsequence B CA Securities</i>		
<b>O</b>	<i>Optional subsequence C Corporate action details</i>		
	<b>:16R:</b>	<b>CADETL</b>	Start block

O	:98A ::	RDTE//20090306	Data/Time of balance fixation
	:16S :	CADETL	End of block
O	<i>End of subsequence C Corporate action details</i>		
M	<i>Mandatory subsequence D Corporate action confirmation</i>		
	:16R:	CACONF	Start block
M	:13A::	CAON//001	CA option number
O	:22F ::	CAOP//CASH	Corporate action option sign (code) - cash receipt by the owners
O	:92A::	PROR//0,9	Rate for repurchased securities
O	<i>Необязательная повторяющаяся подпоследовательность D1 Движение ценных бумаг</i>		
	:16R:	SECMOVE	Start block
M	:22H::	CRDB//DEBT	Credit/Debit sign - securities will be withdrawn
M	:35B:	ISIN RU000A0F61T7 'FORTUM, AOI или JSC FORTUM (JSC TGK10) ORD SHS	Financial instrument specification
M	:36B::	PSTA//UNIT/810,	Number of securities on the account
O	:22F::	DISF//STAN	Roundung rule - standard rule
	:98A::	POST//20090623	Date/time of movement on the account
O	:98A::	PAYD//20090623	Payment dat/time - date in the registry
	:16S:	SECMOVE	End of block
O	<i>Окончание подпоследовательности D1 Движение ценных бумаг</i>		
O	<i>Необязательная повторяющаяся подпоследовательность D2 Движение денежных средств</i>		
	:16R:	CASHMOVE	Block start
M	:22H::	CRDB//CRED	Credit/debit sign - cash will be credited
O	:19B::	GRSS//RUB56465,59	Amount for securities
O	:19B::	WITL//RUB0,	Tax amount
O	:19B::	CHAR//RUB0,	Expense amount
O	:19B::	NETT//RUB56465,59	Net total
M	:19B::	PSTA//RUB56465,59	Amount as on the account
O	:98A::	PAYD//20090623	Payment dat/time
M	:98A::	POST//20090623	Дата бухгалтерской записи по счету
O	:90B::	OFFR//ACTU/RUB69,7106	Цена, полученная в расчете на продукт - предлагаемая цена, которая может быть получена владельцем
	:16S:	CASHMOVE	Конец блока
O	<i>Окончание подпоследовательности D2 Движение денежных средств</i>		
	:16S:	CACONF	Конец блока
O	<i>Окончание последовательности D Подтверждение корпоративного действия</i>		
O	<i>Необязательная последовательность F Дополнительная информация</i>		
	:16R:	ADDINFO	Начало блока
O	:70E::	PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU	Свободный текст - контактная информация
	:16S:	ADDINFO	Конец блока
O	<i>Окончание последовательности F Дополнительная информация</i>		

- b. Withdrawal of securities in favor of "Fortum" within 3 working days after obtaining the appropriate set of documents, - withdrawal confirmation. Corporate action confirmation (MT566/BIDS/DEBT).

## MT566 – Corporate Action Confirmation

Corporate action reference CATGK1060409  
Sender's reference – IVANIV0904161498

Sender of MT566           DEPORUMM  
Receiver of MT566        BROCKRUMM

### Message structure:

<b>M</b>	<u>Mandatory sequence A General information</u>		
	<b>:16R:</b>	<b>GENL</b>	Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//CATGK10160409</b>	Corporate action reference
<b>M</b>	<b>:20C::</b>	<b>SEME//IVANIV090416145S</b>	Sender reference
<b>O</b>	<b>:20C::</b>	<b>COAF//RUCATGK10160409</b>	Official CA event reference
<b>M</b>	<b>:23G:</b>	<b>NEWM</b>	Message function - New message on CA
<b>M</b>	<b>:22F::</b>	<b>CAEV//BIDS</b>	Corporate action event indicator - repurchase offer (Repurchase offer/Issuer Bid/ Reverse Rights)
<b>M</b>	<b>:25D::</b>	<b>PREP//20090703</b>	Data/Time of preparing the message
<b>O</b>	<u>Optional Repetitive Subsequence A1 Linkages</u>		
	<b>:16R:</b>	<b>LINK</b>	Start block
<b>O</b>	<b>:13A::</b>	<b>LINK//564</b>	Linked message type
<b>M</b>	<b>:20C::</b>	<b>PREV//IVANIV0905140922</b>	Previously send message on repurchase reference
	<b>:16S:</b>	<b>LINK</b>	End of block
<b>O</b>	<u>End of Subsequence A1 Linkages</u>		
<b>O</b>	<u>Optional Repetitive Subsequence A1 Linkages</u>		
	<b>:16R:</b>	<b>LINK</b>	Start block
<b>O</b>	<b>:13A::</b>	<b>LINK//565</b>	Linked message type
<b>M</b>	<b>:20C::</b>	<b>RELA//BROK0904281458</b>	Previously send message on repurchase reference
	<b>:16S:</b>	<b>LINK</b>	End of block
<b>O</b>	<u>End of Subsequence A1 Linkages</u>		
	<b>:16S:</b>	<b>LINK</b>	End of block
<b>M</b>	<u>End of Subsequence A General information</u>		
<b>M</b>	<u>Optional Subsequence B CA Securities</u>		
	<b>:16R:</b>	<b>USECU</b>	Start block
<b>M</b>	<b>:35B:</b>	<b>ISIN RU000A0F61T7 'FORTUM, AOI or JSC FORTUM (JSC TGK10) ORD SHS</b>	Specification of financial instrument
<b>M</b>	<b>:93B::</b>	<b>CONB//UNIT/900,</b>	Confirmed balance
	<b>:16S:</b>	<b>USECU</b>	End of block
<b>M</b>	<u>End of subsequence B CA Securities</u>		
<b>O</b>	<u>Optional subsequence C Corporate action details</u>		
	<b>:16R:</b>	<b>CADETL</b>	Start block
<b>O</b>	<b>:98A ::</b>	<b>RDTE//20090306</b>	Data/Time of balance fixation
	<b>:16S :</b>	<b>CADETL</b>	End of block
<b>O</b>	<u>End of subsequence C Corporate action details</u>		
<b>M</b>	<u>Mandatory subsequence D Corporate action confirmation</u>		
	<b>:16R:</b>	<b>CACONF</b>	Start block
<b>M</b>	<b>:13A::</b>	<b>CAON//001</b>	CA option number
<b>O</b>	<b>:22F ::</b>	<b>CAOP//CASH</b>	Corporate action option sign (code)

			- cash receipt by the owners
O	:92A::	PROR//0,9	Rate for repurchased securities
O	<i>Optional repetitive subsequence D1 Securities movement</i>		
	:16R:	SECMOVE	Start block
M	:22H::	CRDB//DEBT	Credit/Debit sign - securities will be withdrawn
M	:35B:	ISIN RU000A0F61T7 'FORTUM, AOI or JSC FORTUM (JSC TGK10) ORD SHS	Financial instrument specification
M	:36B::	PSTA//UNIT/810,	Number of securities on the account
O	:22F::	DISF//STAN	Roundung rule - standard rule
	:98A::	POST//20090703	Date/time of movement on the account
O	:98A::	PAYD//20090623	Payment dat/time - date in the registry
	:16S:	SECMOVE	End of block
O	<i>End of subsequence D1 Securities movement</i>		
	:16S:	CACONV	End of block
O	<i>Ens of sequence D Corporate action confirmation</i>		
O	<i>Optional sequence E Additional information</i>		
	:16R:	ADDINFO	Start block
O	:70E::	PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU	Narrative - Contacts
	:16S:	ADDINFO	End of block
O	<i>End of sequence E Additional information</i>		

**Version 2 – one confirmation (after receiving of information from the registrar on withdrawal of securities)**

**MT566 – Confirmation of corporate action**

Corporate action reference CATGK10160409

Sender's reference – IVANIV09041614CS

Sender of MT566 DEPORUMM

Receiver of MT566 BROCKRUMM

**Message structure:**

M	<i>Mandatory sequence A General information</i>		
	:16R:	GENL	Start block
M	:20C::	CORP//CATGK10160409	Corporate action reference
M	:20C::	SEME//IVANIV090416145CS	Sender reference
O	:20C::	COAF//RUCATGK10160409	Official CA event reference
M	:23G:	NEWM	Message function - New message on CA
M	:22F::	CAEV//BIDS	Corporate action event indicator - repurchase offer (Repurchase offer/Issuer Bid/ Reverse Rights)
M	:25D::	PREP//20090703	Data/Time of preparing the message
O	<i>Optional Repetitive Subsequence A1 Linkages</i>		
	:16R:	LINK	Start block

O	:13A::	LINK//564	Linked message type
M	:20C::	PREV//IVANIV0905140922	Previously send message on repurchase reference
	:16S:	LINK	End of block
O	<u>End of Subsequence A1 Linkages</u>		
O	<u>Optional Repetitive Subsequence A1 Linkages</u>		
	:16R:	LINK	Start block
O	:13A::	LINK//565	Linked message type
M	:20C::	RELA//BROK0904281458	Previously send message on repurchase reference
	:16S:	LINK	End of block
O	<u>End of Subsequence A1 Linkages</u>		
	:16S:	GENL	End of block
M	<u>End of Subsequence A General information</u>		
M	<u>Optional Subsequence B CA Securities</u>		
	:16R:	USECU	Start block
M	:35B:	ISIN RU000A0F61T7 'FORTUM, AOI or JSC FORTUM (JSC TGK10) ORD SHS	Specification of financial instrument
M	:93B::	CONB//UNIT/900,	Confirmed balance
	:16S:	USECU	End of block
M	<u>End of subsequence B CA Securities</u>		
O	<u>Optional subsequence C Corporate action details</u>		
	:16R:	CADETL	Start block
O	:98A ::	RDTE//20090306	Data/Time of balance fixation
	:16S :	CADETL	End of block
O	<u>End of subsequence C Corporate action details</u>		
M	<u>Mandatory subsequence D Corporate action confirmation</u>		
	:16R:	CACONF	Start block
M	:13A::	CAON//001	CA option number
O	:22F ::	CAOP//CASH	Corporate action option sign (code) - cash receipt by the owners
O	:92A::	PROR//0,9	Rate for repurchased securities
O	<u>Optional repetitive subsequence D1 Securities movement</u>		
	:16R:	SECMOVE	Start block
M	:22H::	CRDB//DEBT	Credit/Debit sign - securities will be withdrawn
M	:35B:	ISIN RU000A0F61T7 'FORTUM, AOI or JSC FORTUM (JSC TGK10) ORD SHS	Financial instrument specification
M	:36B::	PSTA//UNIT/810,	Number of securities on the account
	:98A::	POST//20090703	Date/time of movement on the account
O	:98A::	PAYD//20090703	Payment dat/time - date in the registry
	:16S:	SECMOVE	End of block
O	<u>End of subsequence D1 Securities movement</u>		
O	<u>Optional repetitive subsequence D2 cash movement</u>		
	:16R:	CASHMOVE	Start block
M	:22H::	CRDB//DEBT	Credit/Debit sign - securities will be withdrawn
O	:19B::	GRSS//RUB56465,59	Amount credited for securities
O	:19B::	WITL//RUB0,	Tax amount
O	:19B::	CHAR//RUB0,	Anoount of charges
O	:19B::	NETT//RUB56465,59	Netto
M	:19B::	PSTA//RUB56465,59	Amount refelected in the balance

<b>O</b>	<b>:98A::</b>	<b>PAYD//20090623</b>	Payment date/time
<b>M</b>	<b>:98A::</b>	<b>POST//20090623</b>	Record date
<b>O</b>	<b>:90B::</b>	<b>OFFR//ACTU/RUB69,7106</b>	Product price - offered price
	<b>:16S:</b>	<b>CASHMOVE</b>	End of block
<b>O</b>	<i>End of subsequence D2 Cash movement</i>		
	<b>:16S:</b>	<b>CACONF</b>	End of block
<b>O</b>	<i>End of sequence D Corporate action confirmation</i>		
<b>O</b>	<i>Optional sequence E Additional information</i>		
	<b>:16R:</b>	<b>ADDINFO</b>	Start block
<b>O</b>	<b>:70E::</b>	<b>PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU</b>	Narrative – Contacts
	<b>:16S:</b>	<b>ADDINFO</b>	End of block
<b>O</b>	<i>End of sequence E Additional information</i>		

## **5.2 EXAMPLE 2 CORPORATE ACTION EVENT TYPE – BANKRUPTCY ANNOUNCEMENT, LIQUIDATION PAYMENTS, WITHDRAWAL OF DEFAULTED SECURITIES.**

Corporate action is registered as three linked corporate actions :

- Bankruptcy (BRUP),
- Holding liquidation payments (LIQU),
- Withdrawal of defaulted securities (WRTH).

In subsequence Linkages is a link to a previous corporate action.

### **5.2.1. Example 2a. Corporate action event type - Bankruptcy (corporate action event indicator – BRUP)**

#### **MAIN TERMS OF CORPORATE ACTION**

##### **Definition**<sup>14</sup>

The legal status of a company unable to pay off its creditors. Bankruptcy usually involves a legal judgment. Securities thus can default.

##### **Initial terms:**

-Issuer – uKOS NK, OAO

##### **Details of issue:**

common stock

ISIN RU0009054449

National state registration number 1-02-00198-A

state registration date July 22<sup>nd</sup> 2003

face value – 0,004 rubles

Additional details on corporate action

Initiation of a bankruptcy case date – March 27<sup>th</sup> 2006

Initiation of bankruptcy proceeding date – August 1<sup>st</sup> 2006

Termination of activity because of liquidation date – November 21<sup>st</sup> 2007

##### **Additional terms:**

Safekeeping account number: 123456

Cash account number: 01234567890123456789

Quantity of securities on the date of the listing of registered persons: 289 730.00

Obtaining information from any source about initiating a bankruptcy proceedings of the issuer.

At this stage it is recommended to send a client MT564/BRUP/NEWM/PREU

This is an example of Corporate Action Notification sent by the depository (BIC DEPORUMM) to the account owner ABC BROKER (BIC BROKRUMM) and contains information on corporate action on safekeeping account 123456 on OAO “uKOS NK” securities.

The rules of financial instrument’s indication are defined in section 1.8.5 of this document.

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<sup>14</sup> The given definition is pursuant to the official translation of SWIFT User Handbook.



**Sateges:**

**1. Notification is formed by depository and contains preliminary information on details of corporate action obtained from mass media – initiation of OAO “uKOS NK” bankruptcy proceedings (MT564/BRUP/NEWM/PREU).**

Date and time of the notification – June, 30<sup>th</sup> 2009, 18:30:51

**MT564 – Corporate Action Notification**

Corporate action reference BRUP09063007

Sender’s reference – BRUP09063007001

Sender of MT564 DEPORUMM

Receiver of MT564 BROCKRUMM

<b>M</b>	<i>Mandatory sequence A General information</i>	
	<b>:16R:</b>	<b>GENL</b>
		Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//BRUP09063007</b>
		Corporate action reference
<b>M</b>	<b>:20C::</b>	<b>SEME//BRUP09063007001</b>
		Sender reference
<b>O</b>	<b>:20C::</b>	<b>COAF//RUBRUP09063007</b>
		Official CA event reference
<b>M</b>	<b>:23G:</b>	<b>NEWM</b>
		Message function - New message on CA
<b>M</b>	<b>:22F::</b>	<b>CAEV//BRUP</b>
		Corporate action event indicator - bankruptcy
<b>M</b>	<b>:22F::</b>	<b>CAMV//MAND</b>
		Voluntary/Mandatory sign -mandatory
<b>O</b>	<b>:98C::</b>	<b>PREP//20060330183051</b>
		Message preparation date/time
<b>M</b>	<b>:25D::</b>	<b>PROC//PREU</b>
		Processing status
	<b>:16S:</b>	<b>GENL</b>
		End of block
<b>M</b>	<i>End of Subsequence A General information</i>	
<b>M</b>	<i>Optional Subsequence B CA Securities</i>	
	<b>:16R:</b>	<b>USECU</b>
		Start block
<b>M</b>	<b>:35B:</b>	<b>ISIN RU0009054449</b> <b>‘uKOS, AOI</b> <b>or</b> <b>YUKOS, COMMON</b>
		Specification of financial instrument
<b>M</b>	<i>Mandatory repetitive subsequence B2 Account information</i>	
	<b>:16R:</b>	<b>ACCTINFO</b>
		Start block
<b>M</b>	<b>:97A::</b>	<b>SAFE//123456</b>
		Depository account
<b>O</b>	<b>:94B::</b>	<b>SAFE//SHHE/REGISTRAR</b>
		Registrar
	<b>:16S:</b>	<b>ACCTINFO</b>
		End of block
<b>M</b>	<i>End of subsequence B2 Account information</i>	
	<b>:16S:</b>	<b>USECU</b>
		End of block
<b>M</b>	<i>End of subsequence B CA Securities</i>	
<b>O</b>	<i>Optional subsequence D Corporate action details</i>	
	<b>:16R:</b>	<b>CADETL</b>
		Start block
<b>O</b>	<b>:98B ::</b>	<b>ANOU//20060328</b>
		CA Announcement date
<b>O</b>	<b>:98B ::</b>	<b>RDTE //UKWN</b>
		Balnce record date/time
	<b>:16S :</b>	<b>CADETL</b>
		End of block
<b>O</b>	<i>End of subsequence D Corporate action details</i>	
<b>O</b>	<i>Optional sequence F Additional information</i>	
	<b>:16R:</b>	<b>ADDINFO</b>
		Start block
<b>O</b>	<b>:70E::</b>	<b>ADTX//PLEASE BE ADVISED THAT</b> <b>ACCORDING TO</b> <b>MASS-MEDIA SOURCES THE ARBITRATION</b> <b>COURT OF MOSCOW HAS INITIATED THE</b>
		Narrative -additional narrative

		<b>BANKRUPTCY PROCEDURE FOR THE ISSUER</b>	
<b>O</b>	<b>:70E::</b>	<b>PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU</b>	Narrative -additional narrative
	<b>:16S:</b>	<b>ADDINFO</b>	End of block
<b>O</b>	<i>End of sequence F Additional information</i>		

**2. Receiving/publishing by the issuer a substantial fact of “Commencement of bankruptcy proceedings” and sending by depository of the notification on corporate action with confirmed information, replacing previous notification (MT564/BRUP/REPL/PREC).**

MT564/BRUP/COMP (if notification contains large bulk of data additionally to MT564 it is possible to send MT568)

This is an example of Corporate Action Notification sent by the depository (BIC DEPORUMM) to the account owner ABC BROKER (BIC BROKRUMM) and contains information on corporate action on safekeeping account 123456 on OAO “uKOS NK” securities.

The rules of financial instrument’s indication are defined in section 1.8.13 of this document.

Notification is formed by the depository and contains information which is received from the Issuer on details of corporate action – initiation of OAO “uKOS NK” bankruptcy proceedings.

Notification formation date and time – June 30<sup>th</sup> 2009, 18:55:16

**MT564 – Corporate Action Notification**

Corporate action reference BRUP09063007

Sender’s reference – BRUP09063007002

Sender of MT564 DEPORUMM

Receiver of MT564 BROCKRUMM

<b>M</b>	<i>Mandatory sequence A General information</i>		
	<b>:16R:</b>	<b>GENL</b>	Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//BRUP09063007</b>	Corporate action reference
<b>M</b>	<b>:20C::</b>	<b>SEME//BRUP09063007000</b>	Sender reference
<b>O</b>	<b>:20C::</b>	<b>COAF//RUBRUP09063007</b>	Official CA event reference
<b>M</b>	<b>:23G:</b>	<b>REPL</b>	Message function - Replacing message
<b>M</b>	<b>:22F::</b>	<b>CAEV//BRUP</b>	Corporate action event indicator - bankruptcy
<b>M</b>	<b>:22F::</b>	<b>CAMV//MAND</b>	Voluntary/Mandatory sign -mandatory
<b>O</b>	<b>:98C::</b>	<b>PREP//20060330185516</b>	Message preparation date/time
<b>M</b>	<b>:25D::</b>	<b>PROC//PREC</b>	Processing status -full information
<b>O</b>	<i>Optional repetitive subsequence A1 Linkages</i>		
	<b>:16R:</b>	<b>LINK</b>	Block start
<b>O</b>	<b>:13A::</b>	<b>LINK//564</b>	Previously sent message type
<b>M</b>	<b>:20C::</b>	<b>PREV//BRUP09063007001</b>	Previously sent message reference

	:16S:	LINK	End of block
O	<i>End of subsequence A1 Linkages</i>		
	:16S:	GENL	End of block
M	<i>End of sequence A General information</i>		
M	<i>Mandatory sequence B CA Securities</i>		
	:16R:	USECU	Start block
M	:35B:	ISIN RU0009054449 'uKOS, AOI or YUKOS, COMMON	Specification of financial instrument
M	<i>Mandatory repetitive subsequence B2 Account information</i>		
	:16R:	ACCTINFO	Start block
M	:97A::	SAFE//123456	Depository account
O	:94B::	SAFE//SHHE/REGISTRAR	Registrar
M	:93B::	ELIG//UNIT/289730,	CA balance
O	:93B::	SETT//UNIT/289730,	Settlement date balance
M	:93C::	Or once SETT//UNIT/ELIG/289730,	CA settlement date balance
	:16S:	ACCTINFO	End of block
M	<i>End of subsequence B2 Account information</i>		
	:16S:	USECU	End of block
M	<i>End of subsequence B CA Securities</i>		
O	<i>Optional subsequence D Corporate action details</i>		
	:16R:	CADETL	Start block
O	:70E::	WEBB//WWW.DEPOXXX.RU/RU/DB/NEWS/ PRESS/ID384133	Web-site - web-site link with additional information on the CA
	:16S :	CADETL	End of block
O	<i>End of subsequence D Corporate action details</i>		
O	<i>Optional sequence F Additional information</i>		
	:16R:	ADDINFO	Start block
O	:70E::	ADTX//PLEASE BE ADVISED THAT ACCORDING TO MASS-MEDIA SOURCES THE ARBITRATION COURT OF MOSCOW HAS INITIATED THE BANKRUPTCY PROCEDURE FOR THE ISSUER	Narrative -additional narrative
O	:70E::	PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU	Narrative -additional narrative
	:16S:	ADDINFO	End of block
O	<i>End of sequence F Additional information</i>		

3. After receiving information from the court on the bankruptcy decision the depository send notification to its clients on the corporate action with confirmed information replacing the previous notification (MT564/BRUP/RELP/COMP) and stating the date of the decision (98A::COAP/).

If the adjudication of the bankruptcy company declared bankrupt, a message to cancel a previous notification of corporate action considering that action won't be carried out (code WITH) should be sent. The court's decision announced on 29 July 2009.

This is an example of Corporate Action Notification sent by the depository (BIC DEPORUMM) to the account owner ABC BROKER (BIC BROKRUMM) and contains

information on corporate action on safekeeping account 123456 on OAO “uKOS NK” securities.

The rules of financial instrument’s indication are defined in section 1.8.5 of this document.

Notification is formed by the depository and contains information on details of corporate action – OAO “uKOS NK” bankruptcy termination.

Notification formation date and time – July 30<sup>th</sup> 2009, 18:58:11

### **MT564 – Corporate Action Notification**

Corporate action reference BRUP09063007

Sender’s reference – BRUP09063007003

Sender of MT564 DEPORUMM

Receiver of MT564 BROCKRUMM

<b>M</b>	<i>Mandatory sequence A General information</i>	
	<b>:16R:</b>	<b>GENL</b> Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//BRUP09063007</b> Corporate action reference
<b>M</b>	<b>:20C::</b>	<b>SEME//BRUP09063007000</b> Sender reference
<b>O</b>	<b>:20C::</b>	<b>COAF//RUBRUP09063007</b> Official CA event reference
<b>M</b>	<b>:23G:</b>	<b>REPL</b> Message function - Replacing message
<b>M</b>	<b>:22F::</b>	<b>CAEV//BRUP</b> Corporate action event indicator - bankruptcy
<b>M</b>	<b>:22F::</b>	<b>CAMV//MAND</b> Voluntary/Mandatory sign -mandatory
<b>O</b>	<b>:98C::</b>	<b>PREP//20060805185811</b> Message preparation date/time
<b>M</b>	<b>:25D::</b>	<b>PROC//COMP</b> Processing status -complete information
<b>O</b>	<i>Optional repetitive subsequence A1 Linkages</i>	
	<b>:16R:</b>	<b>LINK</b> Block start
<b>O</b>	<b>:13A::</b>	<b>LINK//564</b> Previously sent message type
<b>M</b>	<b>:20C::</b>	<b>PREV//BRUP09063007002</b> Previously sent message reference
	<b>:16S:</b>	<b>LINK</b> End of block
<b>O</b>	<i>End of subsequence A1 Linkages</i>	
	<b>:16S:</b>	<b>GENL</b> End of block
<b>M</b>	<i>End of sequence A General information</i>	
<b>M</b>	<i>Mandatory sequence B CA Securities</i>	
	<b>:16R:</b>	<b>USECU</b> Start block
<b>M</b>	<b>:35B:</b>	<b>ISIN RU0009054449</b> Specification of financial instrument <b>‘uKOS, AOI</b> <b>or</b> <b>YUKOS, COMMON</b>
<b>M</b>	<i>Mandatory repetitive subsequence B2 Account information</i>	
	<b>:16R:</b>	<b>ACCTINFO</b> Start block
<b>M</b>	<b>:97A::</b>	<b>SAFE//123456</b> Depository account
<b>O</b>	<b>:94B::</b>	<b>SAFE//SHHE/REGISTRAR</b> Registrar
<b>M</b>	<b>:93B::</b>	<b>ELIG//UNIT/289730,</b> CA balance
<b>O</b>	<b>:93B::</b>	<b>SETT//UNIT/289730,</b> Settlement date balance
<b>M</b>	<b>:93C::</b>	<b>Or once</b> CA settlement date balance <b>SETT//UNIT/ELIG/289730,</b>
	<b>:16S:</b>	<b>ACCTINFO</b> End of block
<b>M</b>	<i>End of subsequence B2 Account information</i>	
	<b>:16S:</b>	<b>USECU</b> End of block

M	<i>End of subsequence B CA Securities</i>	
O	<i>Optional subsequence D Corporate action details</i>	
	:16R:	CADETL Start block
O	:98A::	ANOU//20060327 CA announcement date
M	:98A::	COAP//20060801 Date of court decision
M	:98A::	EFFD//20060812 Court decision Effective date
O	:70E::	WEBB//WWW.DEPOXXX.RU/RU/DB/NEWS/ PRESS/ID384133 Web-site - web-site link with additional information on the CA
	:16S :	CADETL End of block
O	<i>End of subsequence D Corporate action details</i>	
O	<i>Optional sequence F Additional information</i>	
	:16R:	ADDINFO Start block
O	:70E::	ADTX//PLEASE BE ADVISED THAT ACCORDING TO MASS-MEDIA SOURCES THE ARBITRATION COURT OF MOSCOW HAS INITIATED THE BANKRUPTCY PROCEDURE FOR THE ISSUER Narrative -additional narrative
O	:70E::	PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU Narrative -additional narrative
	:16S:	ADDINFO End of block
O	<i>End of sequence F Additional information</i>	

### **MT564 – Corporate Action Notification**

Corporate action reference BRUP09063007

Sender's reference – BRUP09063007004

Sender of MT564           DEPORUMM

Receiver of MT564       BROCKRUMM

M	<i>Mandatory sequence A General information</i>	
	:16R:	GENL Start block
M	:20C::	CORP//BRUP09063007 Corporate action reference
M	:20C::	SEME//BRUP09063007004 Sender reference
O	:20C::	COAF//RUBRUP09063007 Official CA event reference
M	:23G:	REPL Message function - Replacing message
M	:22F::	CAEV//BRUP Corporate action event indicator - bankruptcy
M	:22F::	CAMV//MAND Voluntary/Mandatory sign -mandatory
O	:98C::	PREP//20060825190340 Message preparation date/time
M	:25D::	PROC//COMP Processing status -complete information
O	<i>Optional repetitive subsequence A1 Linkages</i>	
	:16R:	LINK Block start
O	:13A::	LINK//564 Previously sent message type
M	:20C::	PREV//BRUP09063007003 Previously sent message reference
	:16S:	LINK End of block
O	<i>End of subsequence A1 Linkages</i>	
	:16S:	GENL End of block
M	<i>End of sequence A General information</i>	

M	<u>Mandatory sequence B CA Securities</u>	
	:16R:	USECU Start block
M	:35B:	ISIN RU0009054449 'uKOS, AOI or YUKOS, COMMON Specification of financial instrument
M	<u>Mandatory repetitive subsequence B2 Account information</u>	
	:16R:	ACCTINFO Start block
M	:97A::	SAFE//123456 Depository account
O	:94B::	SAFE//SHHE/REGISTRAR Registrar
M	:93B::	ELIG//UNIT/289730, CA balance
O	:93B::	SETT//UNIT/289730, Settlement date balance
M	:93C::	Or once SETT//UNIT/ELIG/289730, CA settlement date balance
	:16S:	ACCTINFO End of block
M	<u>End of subsequence B2 Account information</u>	
	:16S:	USECU End of block
M	<u>End of subsequence B CA Securities</u>	
O	<u>Optional subsequence D Corporate action details</u>	
	:16R:	CADETL Start block
O	:98A::	ANOU//20060327 CA announcement date
M	:98A::	COAP//20060801 Date of court decision
M	:98A::	EFFD//20060812 Court decision Effective date
O	:98A::	FDAT//20070812 Announcement of additional information date/time
O	:70E::	WEBB//WWW.DEPOXXX.RU/RU/DB/NE WS/ PRESS/ID384133 Web-site - web-site link with additional information on the CA
	:16S :	CADETL End of block
O	<u>End of subsequence D Corporate action details</u>	
O	<u>Optional sequence F Additional information</u>	
	:16R:	ADDINFO Start block
O	:70E::	ADTX//PLEASE BE ADVISED THAT ACCORDING TO MASS-MEDIA SOURCES THE ARBITRATION COURT OF MOSCOW HAS INITIATED THE BANKRUPTCY PROCEDURE FOR THE ISSUER Narrative -additional narrative
O	:70E::	PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU Narrative -additional narrative
	:16S:	ADDINFO End of block
O	<u>End of sequence F Additional information</u>	

### 5.2.2 Example 2b. Corporate Action Type – distribution of cash as a result of liquidation (corporate action event indicator – LIQU)

Subsequent to liquidation process in case of cash outflow the depository makes additional corporate action (LIQU) and sends notification on the corporate action stating reference of the previous corporate action (BRUP), and based on the liquidation

outflows the depository sends to its clients corporate action confirmation informing about the cash flows (MT566/LIQU).

### MT564 – Corporate Action Notification

Corporate action reference LIQU07063007

Sender's reference – LIQU07063007002

Sender of MT564 DEPORUMM

Receiver of MT564 BROCKRUMM

<b>M</b>	<u>Mandatory sequence A General information</u>	
	<b>:16R:</b>	GENL Start block
<b>M</b>	<b>:20C::</b>	CORP//LIQU07063007 Corporate action reference
<b>M</b>	<b>:20C::</b>	SEME//LIQU07063007002 Sender reference
<b>O</b>	<b>:20C::</b>	COAF//RULIQU07063007 Official CA event reference
<b>M</b>	<b>:23G:</b>	NEWM Message function - CA new message
<b>M</b>	<b>:22F::</b>	CAEV//LIQU CA event sign - Liquidation payments
<b>M</b>	<b>:22F::</b>	CAMV//MAND Voluntary/Mandatory sign -mandatory
<b>O</b>	<b>:98C::</b>	PREP//20060825190340 Message preparation date/time
<b>M</b>	<b>:25D::</b>	PROC//COMP Processing status -complete information
<b>O</b>	<u>Optional repetitive subsequence A1 Linkages</u>	
	<b>:16R:</b>	LINK Block start
<b>O</b>	<b>:13A::</b>	LINK//564 Previously sent message type
<b>M</b>	<b>:20C::</b>	PREV//BRUP09063007004 Previously sent message reference
	<b>:16S:</b>	LINK End of block
<b>O</b>	<u>End of subsequence A1 Linkages</u>	
<b>O</b>	<u>Optional repetitive subsequence A1 Linkages</u>	
	<b>:16R:</b>	LINK Start block
<b>M</b>	<b>:20C::</b>	CORP//BRUP09063007 Corporate action reference
	<b>:16S:</b>	LINK End of block
<b>O</b>	<u>End of subsequence A1 Linkages</u>	
	<b>:16S:</b>	GENL End of block
<b>M</b>	<u>End of sequence A General information</u>	
<b>M</b>	<u>Mandatory sequence B CA Securities</u>	
	<b>:16R:</b>	USECU Start block
<b>M</b>	<b>:35B:</b>	ISIN RU0009054449 'uKOS, AOI or YUKOS, COMMON Specification of financial instrument
<b>M</b>	<u>Mandatory repetitive subsequence B2 Account information</u>	
	<b>:16R:</b>	ACCTINFO Start block
<b>M</b>	<b>:97A::</b>	SAFE//123456 Depository account
<b>O</b>	<b>:94B::</b>	SAFE//SHHE/REGISTRAR Registrar
<b>M</b>	<b>:93B::</b>	ELIG//UNIT/289730, CA balance
<b>O</b>	<b>:93B::</b>	SETT//UNIT/289730, Settlement date balance
<b>M</b>	<b>:93C::</b>	Or once SETT//UNIT/ELIG/289730, CA settlement date balance
	<b>:16S:</b>	ACCTINFO End of block
<b>M</b>	<u>End of subsequence B2 Account information</u>	
	<b>:16S:</b>	USECU End of block
<b>M</b>	<u>End of subsequence B CA Securities</u>	

<b>O</b>	<i>Optional subsequence D Corporate action details</i>	
	<b>:16R:</b>	CADETL Start block
<b>O</b>	<b>:98A::</b>	ANOOU//20070827 CA announcement date
<b>O</b>	<b>:70E::</b>	WEBB//WWW.DEPOXXX.RU/RU/DB/NEWS/ PRESS/ID384133 Web-site - web-site link with additional information on the CA
	<b>:16S :</b>	CADETL End of block
<b>O</b>	<i>End of subsequence D Corporate action details</i>	
<b>O</b>	<i>Optional repetitive sequence E corporate action options</i>	
	<b>:16R:</b>	CAOPTN Start block
<b>M</b>	<b>:13A::</b>	CAON//001 CA number option
<b>M</b>	<b>:22F::</b>	CAOP//CASH Code - receipt of cash by the owners
<b>M</b>	<b>:17B::</b>	DFLT//Y Default processing - option will be used by default (if no instructions were presented)
<b>O</b>	<i>Optional repetitive subsequence E2 Cash movement</i>	
	<b>:16R:</b>	CASHMOVE Start block
<b>M</b>	<b>:22H::</b>	CRDB//CRED Credit/Debit sign - cash will be credited
<b>M</b>	<b>:98A::</b>	PAYD//UKWN Payment date/time
	<b>:16S:</b>	CASHMOVE End of block
<b>O</b>	<i>End of subsequence E2 Cash movement</i>	
	<b>:16S:</b>	CAOPTN Конец блока
	<i>End of sequence E corporate action options</i>	
<b>M</b>	<i>End of wequence D corporate action details</i>	
<b>O</b>	<i>Optional sequence F Additional information</i>	
	<b>:16R:</b>	ADDINFO Start block
<b>O</b>	<b>:70E::</b>	ADTX//PLEASE BE ADVISED THAT ACCORDING TO MASS-MEDIA SOURCES THE ARBITRATION COURT OF MOSCOW HAS INITIATED THE BANKRUPTCY PROCEDURE FOR THE ISSUER Narrative -additional narrative
<b>O</b>	<b>:70E::</b>	PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU Narrative -additional narrative
	<b>:16S:</b>	ADDINFO End of block
<b>O</b>	<i>End of sequence F Additional information</i>	

Upon receipt of the liquidation results and compensatory amount, message on completion of bankruptcy procedure is sent to the shareholders.

### **MT566 – Corporate action confirmation**

Corporate action reference LIQU07063007

Sender's reference – LIQU07063007004

Sender of MT566

DEPORUMM

Receiver of MT566

BROCKRUMM

<b>M</b>	<i>Mandatory sequence A General information</i>	
	<b>:16R:</b>	GENL Start block
<b>M</b>	<b>:20C::</b>	CORP//LIQU07063007 Corporate action reference



M	:20C::	SEME//LIQU07063007004	Sender reference
O	:20C::	COAF//RULIQU07063007	Official CA event reference
M	:23G:	NEWM	Message function - CA new message
M	:22F::	CAEV//LIQU	CA event sign - Liquidation payments
O	:98C::	PREP//20070920191837	Message preparation date/time
O	<u>Optional repetitive subsequence A1 Linkages</u>		
	:16R:	LINK	Block start
O	:13A::	LINK//564	Previously sent message type
M	:20C::	PREV//BRUP09063007002	Previously sent message reference
	:16S:	LINK	End of block
O	<u>End of subsequence A1 Linkages</u>		
O	<u>Optional repetitive subsequence A1 Linkages</u>		
	:16R:	LINK	Block start
O	:13A::	LINK//564	Previously sent message type
M	:20C::	PREV//BRUP09063007004	Previously sent message reference
	:16S:	LINK	End of block
O	<u>End of subsequence A1 Linkages</u>		
	:16S:	GENL	End of block
M	<u>End of sequence A General information</u>		
M	<u>Mandatory sequence B CA Securities</u>		
	:16R:	USECU	Start block
M	:97A::	SAFE//123456	Safekeeping account
O	:94B::	SAFE//SHHE/REGISTRAR	Safekeeping place-registrar
M	:35B:	ISIN RU0009054449 'uKOS, AOI or YUKOS, COMMON	Specification of financial instrument
M	:93B::	CONB//UNIT/289730,	Confirmed balance
	:16S:	USECU	End of block
M	<u>End of subsequence B CA Securities</u>		
O	<u>Optional subsequence C Corporate action details</u>		
	:16R:	CADETL	Start block
O	:98A::	ANOI//20070827	CA announcement date
O	:70E::	WEBB//WWW.DEPOXXX.RU/RU/DB/NEWS/ PRESS/ID384133	Web-site - web-site link with additional information on the CA
	:16S :	CADETL	End of block
O	<u>End of subsequence C Corporate action details</u>		
O	<u>Mandatory sequence D Corporate action confirmation</u>		
	:16R:	CACONF	Start block
M	:13A::	CAON//001	CA option type
M	:22F::	CAOP//CASH	Code - receiving of cash by the owners
M	:17B::	DFLT//Y	Default processing - option will be used by default (if no instructions were presented)
O	<u>Optional repetitive subsequence D2 Cash movement</u>		
	:16R:	CASHMOVE	Start block
M	:22H::	CRDB//CRED	Credit/Debit sign - cash will be credited
O	<u>Optional repetitive subsequence D2a Cash party</u>		
	:16R:	CSHPRTY	Start block
	:95P::	BENM//XXXXXXXXX	Cash beneficial
	:97A::	CASH//456789	Cash account

	:16S:	CSHPRTY	End of block
O	<i>End of subsequence D2a Cash parties</i>		
M	:19B::	PSTA//RUB4500,	Amount reflected on the account
O	:19B::	GRSS//RUB5000,	Gross amount
O	:19B::	NETT//RUB4500,	Nett
M	:98A::	POST//20070920	Account posting date/time
O	:98A::	VALU//20070920	Valuation date/time
O	:90B::	OFFR//ACTU/RUB0,	Actual product price - offered price
	:16S:	CASHMOVE	End of block
O	<i>End of subsequence D2 Cash movement</i>		
	:16S:	CACONF	End of block
M	<i>End of sequence D corporate action confirmation</i>		
O	<i>Optional sequence E Additional information</i>		
	:16R:	ADDINFO	Start block
O	:70E::	PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU	Narrative -additional narrative
	:16S:	ADDINFO	End of block
O	<i>End of sequence E Additional information</i>		

### 5.2.3 Example 2c. Corporate Action Type – booking out of valueless securities upon receipt of confirmation from the registrar (corporate action code – WRTH)

5. Upon receipt of all the documents necessary for withdrawal of the securities from depository the depository initiates additional corporate action (WRTH) related to main corporate action (BRUP) sends to its client notification on the forthcoming withdrawal of securities, and after receiving confirmation from the registrar – Confirmation on the corporate action MT566 – of the securities withdrawal.

Upon receipt of the information on the forthcoming securities withdrawal the depository sends to its clients information on the corporate action – withdrawal of the securities.

#### **MT564 – Corporate Action Notification**

Corporate action reference WRTH07063007

Sender's reference – WRTH07063007002

Sender of MT564           DEPORUMM  
Receiver of MT564        BROCKRUMM

M	<i>Mandatory sequence A General information</i>		
	:16R:	GENL	Start block
M	:20C::	CORP//WRTH09063007	Corporate action reference
M	:20C::	SEME//WRTH09063007002	Sender reference
O	:20C::	COAF//RUWRTH09063007	Official CA event reference
M	:23G:	NEWM	Message function - CA new message
M	:22F::	CAEV//WRTH	CA event sign

			- Liquidation payments
M	:22F ::	CAMV//MAND	Voluntary/mandatory sign -mandatory
O	<u>Optional repetitive subsequence A1 Linkages</u>		
	:16R:	LINK	Block start
O	:13A::	LINK//564	Previously sent message type
M	:20C::	PREV//WRTH07063007	Previously sent message reference
	:16S:	LINK	End of block
O	<u>End of subsequence A1 Linkages</u>		
O	<u>Optional repetitive subsequence A1 Linkages</u>		
	:16R:	LINK	Block start
M	:20C::	CORP//BRUP09063007	Previously sent message reference
	:16S :	LINK	End of block
O	<u>End of subsequence A1 Linkages</u>		
	:16S :	GENL	End of block
M	<u>End of sequence A General information</u>		
M	<u>Mandatory sequence B CA Securities</u>		
	:16R :	USECU	Start of block
M	:35B :	ISIN RU0009054449 'uKOS, AOI or YUKOS, COMMON	Specification of financial instrument
M	<u>Mandatory repetitive subsequence B2 Account information</u>		
	:16R :	ACCTINFO	Start block
M	:97A ::	SAFE//123456	Depository account
O	:94B ::	SAFE//SHHE/REGISTRAR	Registrar
M	:93B ::	ELIG//UNIT/289730,	CA balance
O	:93B ::	SETT//UNIT/289730,	Settlement date balance
M	:93C ::	Or once SETT//UNIT/ELIG/289730,	CA settlement date balance
	:16S :	ACCTINFO	End of block
M	<u>End of subsequence B2 Account information</u>		
	:16S :	USECU	End of block
M	<u>End of subsequence B CA Securities</u>		
O	<u>Optional subsequence D Corporate action details</u>		
	:16R :	CADETL	Start block
O	:98A ::	ANOU//20070827	CA announcement date
O	:70E::	WEBB//WWW.DEPOXXX.RU/RU/DB/NE WS/ PRESS/ID384133	Web-site - web-site link with additional information on the CA
	:16S :	CADETL	End of block
O	<u>End of subsequence D Corporate action details</u>		
O	<u>Mandatory sequence E Corporate action confirmation</u>		
	:16R :	CAOPTN	Start block
M	:13A ::	CAON//001	CA option type
M	:22F ::	CAOP//LAPS	CA option code
M	:17B ::	DFLT//Y	Default processing - option will be used by default (if no instructions were presented)
O	<u>Optional repetitive subsequence E1 Securities movement</u>		
	:16R :	SECMOVE	Start block
M	:22H ::	CRDB//CRED	Credit/Debit sign - securities will be withdrawn
M	:35B ::	ISIN RU0009054449 'uKOS, AOI or	Specification of financial instrument

		<b>YUKOS, COMMON</b>	
<b>M</b>	<b>:98A ::</b>	<b>PAYD//20071030</b>	Payment date/time
	<b>:16S :</b>	<b>SECMOVE</b>	End of block
<b>O</b>	<i>End of subsequence E1 securities movement</i>		
	<b>:16S:</b>	<b>CAOPTN</b>	End of block
	<i>End of sequence E Corporate action options</i>		
<b>O</b>	<i>Optional repetitive sequence E Corporate action options</i>		
<b>M</b>	<i>End of sequence D corporate action details</i>		
<b>O</b>	<i>Optional sequence F Additional information</i>		
	<b>:16R :</b>	<b>ADDINFO</b>	Start block
<b>O</b>	<b>:70<sup>E</sup> ::</b>	<b>ADTX//PLEASE BE ADVISED THAT AFTER FINALIZATION OF THE WINDING UP PROCEEDINGS THE COMPANY SHARES BECAME WORTHLESS AND WILL BE BOOKED OUT</b>	Narrative -additional narrative
<b>O</b>	<b>:70<sup>E</sup> ::</b>	<b>PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU</b>	Narrative -additional narrative
	<b>:16S :</b>	<b>ADDINFO</b>	End of block
<b>O</b>	<i>End of sequence F Additional information</i>		

### **MT566 – Corporate action confirmation**

Corporate action reference WRTH09063007  
Sender's reference – WRTH09063007005

Sender of MT566 DEPORUMM  
Receiver of MT566 BROCKRUMM

<b>M</b>	<i>Mandatory sequence A General information</i>		
	<b>:16R :</b>	<b>GENL</b>	Start block
<b>M</b>	<b>:20C ::</b>	<b>CORP//WRTH09063007</b>	Corporate action reference
<b>M</b>	<b>:20C ::</b>	<b>SEME//WRTH09063007005</b>	Sender reference
<b>O</b>	<b>:20C ::</b>	<b>COAF//RUWRTH09063007</b>	Official CA event reference
<b>M</b>	<b>:23G :</b>	<b>NEWM</b>	Message function - CA new message
<b>M</b>	<b>:22F ::</b>	<b>CAEV//WRTH</b>	CA event sign - Liquidation payments
<b>M</b>	<b>:98C ::</b>	<b>PREP//20071101090917</b>	Message preparation date/time
<b>O</b>	<i>Optional repetitive subsequence A1 Linkages</i>		
	<b>:16R :</b>	<b>LINK</b>	Block start
<b>O</b>	<b>:13A ::</b>	<b>LINK//564</b>	Previously sent message type
<b>M</b>	<b>:20C ::</b>	<b>PREV//BRUP09063007</b>	Previously sent message reference
	<b>:16S :</b>	<b>LINK</b>	End of block
<b>O</b>	<i>End of subsequence A1 Linkages</i>		
<b>O</b>	<i>Optional repetitive subsequence A1 Linkages</i>		
	<b>:16R :</b>	<b>LINK</b>	Block start
<b>M</b>	<b>:20C ::</b>	<b>CORP//BRUP09063007007</b>	Previously sent message reference
	<b>:16S :</b>	<b>LINK</b>	End of block
<b>O</b>	<i>End of subsequence A1 Linkages</i>		
	<b>:16S :</b>	<b>GENL</b>	End of block
<b>M</b>	<i>End of sequence A General information</i>		
<b>M</b>	<i>Mandatory sequence B CA Securities</i>		
	<b>:16R :</b>	<b>USECU</b>	Start block

M	:97A ::	SAFE//123456	Safekeeping account
O	:94B ::	SAFE//SHHE/REGISTRAR	Safekeeping place - registrar
M	:35B :	ISIN RU0009054449 'uKOS, AOI or YUKOS, COMMON	Specification of financial instrument
O	:93B::	CONB//UNIT/291730,	Confirmed balance
	:16S:	USECU	End of block
M	<u>End of subsequence B CA Securities</u>		
O	<u>Optional subsequence C Corporate action details</u>		
	:16R:	CADETL	Start block
O	:98A::	ANOOU//20070827	CA announcement date
O	:70E::	WEBB//WWW.DEPOXXX.RU/RU/DB/NE WS/ PRESS/ID384133	Web-site - web-site link with additional information on the CA
	:16S :	CADETL	End of block
O	<u>End of subsequence C Corporate action details</u>		
O	<u>Mandatory sequence D Corporate action confirmation</u>		
	:16R:	CACONF	Start block
M	:13A::	CAON//001	CA option type
M	:22F::	CAOP//LAPS	CA option code
O	<u>Optional repetitive subsequence D2 Securities movement</u>		
	:16R:	SECMOVE	Start block
M	:22H::	CRDB//CRED	Credit/Debit sign - securities will be withdrawn
M	:35B:	ISIN RU0009054449 'uKOS, AOI or YUKOS, COMMON	Specification of financial instrument
M	:36B::	PSTA//UNIT/291730,	Number of securities on the balance
M	:98A::	POST//20071101	Account posting date/time
M	:98A::	PAYD//20071030	Payment date/time
	:16S:	SECMOVE	End of block
O	<u>End of subsequence D2 securities movement</u>		
	:16S:	CACONF	End of block
O	<u>End of sequence D corporate action confirmation</u>		
O	<u>Optional sequence E Additional information</u>		
	:16R:	ADDINFO	Start block
O	:70E::	TXNR//PLEASE BE ADVISED THAT WORTHLES SHARES HAVE BEEN BOOKED OUT FROM YOUR ACCOUNT IN ACCORDANCE WITH LIQUIDATION PROCEDURE OF THE COMPANY	Narrative -additional narrative
O	:70E::	PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU	Narrative -additional narrative
	:16S:	ADDINFO	End of block
O	<u>End of sequence E Additional information</u>		

## 5.3 EXAMPLE 3. CORPORATE ACTION TYPE – MERGER (CORPORATE ACTION INDICATOR – MRGR)

### MAIN TERMS OF CORPORATE ACTION

#### **Definition**<sup>15</sup>

Mandatory or non-mandatory exchange of outstanding shares due to merger of assets of two or more companies. Exchange may be accompanied by payments.

#### **Initial terms:**

-Issuer – OAO “MOSeNERGO HOLDING”

#### **Details of issue:**

common stock:

ISIN RU000A0JPVQ5

Identification number (assigned by FCSM) – 2RVP-01-55388-E

State registration date August 14<sup>th</sup> 2001

preferred capital stock:

ISIN RU000A0JPVR3

Identification number (assigned by FCSM) – 2RVP-01-55388-E

State registration date August 14<sup>th</sup> 2001

Merging of OAO “MOSeNERGO HOLDING” with OAO “MOSeNERGO”

Transferring company: OAO “MOSeNERGO HOLDING”

Securities of OAO “MOSeNERGO”:

common stock:

ISIN RU0008958863

National state registration number – 1-01-00085-A

State registration date June 17<sup>th</sup> 2003

Record date (owners of shares listing date): June 6<sup>th</sup> 2008

Date of the transactions in the registrar: July 1<sup>st</sup> 2008

Corporate action is mandatory and instruction from the owner of securities to participate in the corporate action is not required (CAMV/MAND).

#### **Additional terms:**

Conversion ratio (preferred capital stock of OAO “MOSeNERGO HOLDING” into common stock of OAO “MOSeNERGO”):

1 common stock of OAO “MOSeNERGO” for 3.2499805529529 shares of OAO “MOSeNERGO HOLDING”

Mathematical rounding rules should be used when calculating the number of new shares of OAO “MOSeNERGO” to the owner.

Deposited shares of OAO “MOSeNERGO” will be traded from July 2<sup>nd</sup> 2008.

#### **Additional terms:**

Safekeeping account number: 123456

Quantity of securities on the date of the listing of registered persons: (June 6<sup>th</sup> 2008) – 63 (preferred capital stock).

Corporate Action Notification on common stock is not sent as the common stock is not on safekeeping account.

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<sup>15</sup> The given definition is pursuant to the official translation of SWIFT User Handbook.

This is an example of Corporate Action Notification sent by the depository (BIC DEPORUMM) to the account owner ABC BROKER (BIC BROKRUMM) and contains information on corporate action on safekeeping account 123456 on OAO “MOSeNERGO HOLDING” securities ISIN RU000A0JPVR3

The rules of financial instrument’s indication are defined in section 1.8.13 of this document.

**1. Upon receipt of the information the depository sends to its client notification on the corporate action which contains full information regarding the details of the corporate action (MT564/NEWM/MRGR/COMP):**

– Merging of OAO “MOSeNERGO HOLDING” with OAO “MOSeNERGO”.

Sender’s reference – AE79HC/080723/50

Corporate action reference – RAOREORG123

Notification formation date/time – June 24<sup>th</sup> 2008, 17:00

Full information is provided on the corporate action (PROC/COMP)

At the time of sending notification (MT564) the date of the transactions in the registry is unknown.

Can be used only one option - the exchange of certain securities upon the other, this option is set as default without receiving instructions from the owners.

The previous securities (ISIN RU000A0JPVR3) will be written off (debited) from the account (CRDB / DEBT).

**MT564 – Corporate Action Notification**

Corporate action reference – RAOREORG123

Sender’s reference – AE79HC/080723/50

Sender of MT564 DEPORUMM

Receiver of MT564 BROCKRUMM

<b>M</b>	<i>Mandatory sequence A General information</i>	
	<b>:16R:</b>	<b>GENL</b> Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//RAOREORG123</b> Corporate action reference
<b>M</b>	<b>:20C::</b>	<b>SEME//AE79HC/080723/50</b> Sender reference
<b>O</b>	<b>:20C::</b>	<b>COAF// RAOREORG123</b> Official CA event reference
<b>M</b>	<b>:23G:</b>	<b>NEWM</b> Message function - CA new message
<b>M</b>	<b>:22F::</b>	<b>CAEV//MRGR</b> CA event sign - Liquidation payments
<b>M</b>	<b>:22F ::</b>	<b>CAMV//MAND</b> Voluntary/mandatory sign -mandatory
<b>O</b>	<b>:98A ::</b>	<b>PREP//20071027</b> Message preparation date/time
<b>M</b>	<b>:25D ::</b>	<b>PROC//PREU</b> Processing status -Preannouncement: confirmed
<b>M</b>	<i>End of sequence A General information</i>	
<b>M</b>	<i>Mandatory sequence B CA Securities</i>	
	<b>:16R :</b>	<b>USECU</b> Start block
<b>M</b>	<b>:35B :</b>	<b>ISIN RU000A0JPVR3</b> <b>‘MOSeNERGO HOLDING, API</b> <b>or</b> <b>MOSENERGO HOLDING PREF</b> Specification of financial instrument
<b>M</b>	<i>Mandatory repetitive subsequence B2 Account information</i>	
	<b>:16R:</b>	<b>ACCTINFO</b> Start block
<b>M</b>	<b>:97A::</b>	<b>SAFE//123456</b> Depository account

M	:93B::	ELIG//UNIT/63,	CA balance
O	:93B::	SETT//UNIT/63,	Settlement date balance
M	:93C::	Or once SETT//UNIT/ELIG/63,	CA settlement date balance
	:16S:	ACCTINFO	End of block
M	<i>End of subsequence B2 Account information</i>		
	:16S:	USECU	End of block
M	<i>End of subsequence B CA Securities</i>		
O	<i>Optional subsequence D Corporate action details</i>		
	:16R:	CADETL	Start block
O	:98A::	ANOU//20071026	CA announcement date
O	:98A::	RDTE//20080606	Balance record date/time
O	:17B ::	CERT//Y	Certification flag/ Providing list of owners - Preparing a list of owners is required
O	:70E::	WEBB//WWW.DEPOXXX.RU/RU/DB/NEWS/ PRESS/ID384133	Web-site - web-site link with additional information on the CA
	:16S :	CADETL	End of block
O	<i>End of subsequence D Corporate action details</i>		
O	<i>Mandatory sequence E Corporate action confirmation</i>		
	:16R:	CAOPTN	Start of block
M	:13A::	CAON//001	CA option type
M	:22F::	CAOP//SECU	CA option code
O	:22F ::	CETI//FULL	Certification type sign Information about the owner – full list
M	:17B::	DFLT//Y	Default processing - option will be used by default (if no instructions were presented)
O	<i>Optional repetitive subsequence E1 Securities movement</i>		
	:16R:	SECMOVE	Start of block
M	:22H::	CRDB//CRED	Credit/Debit sign - securities will be withdrawn
M	:35B:	ISIN RU000A0JPVR3 'MOSeNERGO HOLDING, API or MOSENERGO HOLDING PEF	Specification of financial instrument
O	:36B ::	ENTL//UNIT/63,	Entitled unit
M	:98B::	PAYD//UKWN Or in case the date of the transaction is specified in the registrar when MT564 was sent	Payment date/time
	:98A ::	PAYD//20080701	
	:16S :	SECMOVE	End of block
O	<i>End of subsequence E1 securities movement</i>		
O	<i>Optional repetitive subsequence E1 Securities movement</i>		
	:16R:	SECMOVE	Start of block
M	:22H::	CRDB//CRED	Credit/Debit sign - securities will be withdrawn
M	:35B:	ISIN RU000A0JPVR3 'MOSeNERGO HOLDING, API or MOSENERGO HOLDING PEF	Specification of financial instrument
M	:98B::	PAYD//UKWN Or in case the date of the transaction is specified in the registrar when MT564 was sent	Payment date/time
	:98A ::	PAYD//20080701	
	:16S :	SECMOVE	End of block



O	<u>End of subsequence E1 securities movement</u>	
	:16S:	CAOPTN
	End of block	
O	<u>End of sequence E corporate action options</u>	
O	<u>Optional sequence F Additional information</u>	
	:16R:	ADDINFO
	Start block	
O	:70E::	ADTX//PLEASE BE ADVISED THAT BEING A FINAL STAGE OF RAO UES REORGANIZATION MOSENERGO HOLDING AND MOSENERGO WILL MERGE VIA EXCHANGE OF MOSENERGO HOLDING SHS FOR MOSENERGO SHS
	Narrative -additional narrative	
O	:70E::	PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU
	Narrative -additional narrative	
	:16S:	ADDINFO
	End of block	
O	<u>End of sequence E Additional information</u>	

2. On the basis of the decision on reorganization made by the General Meeting of Shareholders , the depository send a message to the new customers with CA Notification (REPL), indicating the record date with more information about the conditions of the CA (record date, etc.) which has not been previously reported (MT564/REPL/MRGR/COMP).

3. Upon receipt of report on the results of corporate action from the registrar the depository sends to its client Confirmation on the corporate action informing the book out of old shares and deposition of new shares (MT566/NEWM/MRGR).

Upon receipt of report on the results of corporate action from the registrar the depository (BIC DEPORUMM) posts account operation and sends to the account owner ABC Broker (BIC BROKRUMM) confirmation on the corporate action which contains confirmation on the corporate action at depo account 123456 for Mosenergo Holding ISIN RU000A0JPVR3.

Sender's reference – AE79HC/080723/51

Corporate action reference – RAOREORG123

Shares under corporate action – OAO MOSeNERGO HOLDING ISIN RU000A0JPVR3

Corporate action type – MRGR

Date in depository – 07 July 2008

Date in registrar – 01 July 2008

**Confirmation contains additional terms of corporate action:**

Swap ratio (preferred shares of OAO MOSeNERGO HOLDING into common stock of OAO MOSeNERGO:

1 share of OAO MOSeNERGO accrue 3.2499805529529 shares of AOA MOSeNERGO HOLDING.

In calculating the amount due to the owner of new shares of "MOSeNERGO" standard rounding rule is used, i.e. the mathematical rounding rules.

### **MT566 – Corporate Action Confirmation**

Corporate action reference – RAOREORG123

Sender's reference – AE79HC/080723/51

Sender of MT566 DEPORUMM

Receiver of MT566 BROCKRUMM

<b>M</b>	<i>Mandatory sequence A General information</i>		
	<b>:16R:</b>	<b>GENL</b>	Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//RAOREORG123</b>	Corporate action reference
<b>M</b>	<b>:20C::</b>	<b>SEME//AE79HC/MSNG/51</b>	Sender reference
<b>O</b>	<b>:20C::</b>	<b>COAF// RAOREORG123</b>	Official CA event reference
<b>M</b>	<b>:23G:</b>	<b>NEWM</b>	Message function - CA new message
<b>M</b>	<b>:22F::</b>	<b>CAEV//MRGR</b>	CA event sign - Liquidation payments
<b>O</b>	<b>:98A ::</b>	<b>PREP//20080707190917</b>	Message preparation date/time
<b>O</b>	<i>Optional repetitive subsequence A1 Linkages</i>		
	<b>:16R:</b>	<b>LINK</b>	Block start
<b>O</b>	<b>:13A::</b>	<b>LINK//564</b>	Previously sent message type
<b>M</b>	<b>:20C::</b>	<b>PREV//AE79HC/080723/50</b>	Previously sent message reference
	<b>:16S:</b>	<b>LINK</b>	End of block
<b>O</b>	<i>End of subsequence A1 Linkages</i>		
<b>M</b>	<i>End of sequence A General information</i>		
<b>M</b>	<i>Mandatory sequence B CA Securities</i>		
	<b>:16R :</b>	<b>USECU</b>	Start block
<b>M</b>	<b>:97A::</b>	<b>SAFE//123456</b>	Safekeeping account
<b>O</b>	<b>:94B::</b>	<b>SAFE//SHHE/REGISTRAR</b>	Safekeeping place-registrar
<b>M</b>	<b>:35B :</b>	<b>ISIN RU000A0JPVR3</b> <b>'MOSeNERGO HOLDING, API</b> <b>or</b> <b>MOSENERGO HOLDING PREF</b>	Specification of financial instrument
<b>M</b>	<b>:93B ::</b>	<b>CONB//UNIT/63,</b>	Confirmed balance
	<b>:16S :</b>	<b>USECU</b>	End of block
<b>M</b>	<i>End of subsequence B CA Securities</i>		
<b>O</b>	<i>Optional subsequence C Corporate action details</i>		
	<b>:16R:</b>	<b>CADETL</b>	Start block
<b>O</b>	<b>:98A::</b>	<b>ANOU//20071026</b>	CA announcement date
<b>O</b>	<b>:98B::</b>	<b>EFFD//20080701</b>	Event effective date/time
<b>O</b>	<b>:98A::</b>	<b>RDTE//20080606</b>	Balance record date/time
<b>O</b>	<b>:70E::</b>	<b>WEBB//WWW.DEPOXXX.RU/RU/DB/NE</b> <b>WS/</b> <b>PRESS/ID384133</b>	Web-site - web-site link with additional information on the CA
	<b>:16S :</b>	<b>CADETL</b>	End of block
<b>O</b>	<i>End of subsequence C Corporate action details</i>		
<b>O</b>	<i>Mandatory sequence D Corporate action confirmation</i>		
	<b>:16R:</b>	<b>CAOPTN</b>	Start block
<b>M</b>	<b>:13A::</b>	<b>CAON//001</b>	CA option type
<b>M</b>	<b>:22F::</b>	<b>CAOP//SECU</b>	CA option code
<b>O</b>	<i>Optional repetitive subsequence D2 Securities movement</i>		
	<b>:16R:</b>	<b>SECMOVE</b>	Start block
<b>M</b>	<b>:22H::</b>	<b>CRDB//DEBT</b>	Credit/Debit sign - Securities debited
<b>M</b>	<b>:35B:</b>	<b>ISIN RU000A0JPVR3</b> <b>'MOSeNERGO HOLDING, API</b> <b>ИЛИ</b> <b>MOSENERGO HOLDING PREF</b>	Specification of financial instrument
<b>M</b>	<b>:36B::</b>	<b>PSTA//UNIT/63,</b>	Unit of securities on the account
<b>M</b>	<b>:98A::</b>	<b>POST//20080707</b>	Account posting date/time
<b>O</b>	<b>:98A::</b>	<b>PAYD//20080701</b>	Payment date/time
	<b>:16S:</b>	<b>SECMOVE</b>	End of block
<b>O</b>	<i>End of subsequence D2 Securities movement</i>		

<b>O</b>	<i>Optional repetitive subsequence D2 Securities movement</i>		
	<b>:16R:</b>	<b>SECMOVE</b>	Start block
<b>M</b>	<b>:22H::</b>	<b>CRDB//CRED</b>	Credit/Debit sign - securities credited
<b>M</b>	<b>:35B:</b>	<b>ISIN RU0008958863 'MOSeNERGO, AOI ИЛИ MOSENERGO ORD</b>	Specification of financial instrument
<b>M</b>	<b>:36B::</b>	<b>PSTA//UNIT/19,</b>	Unit of securities on the account
<b>O</b>	<b>:22F::</b>	<b>DISF//STAN</b>	Actions with fractional parts - general rule
<b>O</b>	<b>:92A::</b>	<b>NEWO//1,3,2499805529529</b>	Rate - new securities for current securities
<b>M</b>	<b>:98A::</b>	<b>POST//20080707</b>	Account posting date/time
<b>O</b>	<b>:98A::</b>	<b>PAYD//20080701</b>	Payment date/time
<b>O</b>	<b>:98A::</b>	<b>AVAL//20080702</b>	Availability date/time
	<b>:16S:</b>	<b>SECMOVE</b>	End of block
<b>O</b>	<i>End of subsequence D2 Securities movement</i>		
	<b>:16S:</b>	<b>CACONF</b>	End of block
<b>O</b>	<i>End of sequence D Corporate action confirmation</i>		
<b>O</b>	<i>Optional sequence E Additional information</i>		
	<b>:16R:</b>	<b>ADDINFO</b>	Start block
<b>O</b>	<b>:70E::</b>	<b>TXNR//AS A RESULT OF THE MERGER OF MOSENERGO HOLDING (RU000A0JPVR3) and MOSENERGO (RU0008958863) YOUR MOSENERGO HOLDING PEF SHS WERE MANDATORY EXCHANGED FOR MOSENERGO ORD SHS ON JULY 07,2008 WITH VALUE DATE JULY 01,2007 AT THE RATIO 3,2499805529529900 OLD TO 1 NEW SHS. NEWLY ISSUED SHS ARE TRADABLE</b>	Narrative -additional narrative
<b>O</b>	<b>:70E::</b>	<b>PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU</b>	Narrative -additional narrative
	<b>:16S:</b>	<b>ADDINFO</b>	End of block
<b>O</b>	<i>End of sequence E Additional information</i>		

### **5.1.1. Example 4. Corporate Action Type – PARI-PASSU of additional issues (corporate action event indicator – PARI)**

#### **MAIN TERMS OF CORPORATE ACTION**

##### **Definition**<sup>16</sup>

Occurs when securities with different characteristics, e.g. shares with different rights to dividends, become identical in all respects. May be declared in advance (e.g. bonus shares can be equated to the previously issued in a predetermined time) or be the result of external actions - the merger, reorganization, issuance of additional tranches, etc. In accordance with this recommendation the code is also used when consolidating of securities issue.

Consolidation of shares issue of OAO “VOLJSKAa TGK”

##### **Initial terms**

-Issuer – OAO “VOLJSKAa TGK” or OAO “TGK-7”

Registrar: OAO “CENTRALXNYi MOSKOVSKI DEPOZITARI”

Details of principal issue:

1. Common stock

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<sup>16</sup> The given definition is pursuant to the official translation of SWIFT User Handbook.

RU000A0HML36 – code of additional issue matches the principal issue ISIN code  
National state registration number – 1-01-55113-E-010D  
State registration date – May 20<sup>th</sup> 2008  
Nominal value – 1 ruble  
Coefficient – 1,0

2. Common stock

RU000A0HML36 – code of additional issue matches the principal issue ISIN code  
National state registration number – 1-01-55113-E-010D  
State registration date – May 20<sup>th</sup> 2008  
Nominal value – 1 ruble  
Coefficient – 1,0

3. Principal issue – common stock

RU000A0HML36  
National state registration number – 1-01-55113-E  
State registration date – November 1st 2005  
Nominal value – 1 ruble  
Record date of holding for operation in depository – 11.01.2009

**Additional terms:**

Safekeeping account number: 123456

Quantity of securities to the date of the MT564 on expected corporate action in depository (07.01.2009).

1-01-55113-E-009D – 150.000

1-01-55113-E-010D – 370.000

Quantity of securities to the record date of holding in depository (11.01.2009):

1-01-55113-E-009D – 250.000

1-01-55113-E-010D – 300.000

**Basis – received information from OAO “CENTRALXNYi MOSKOVSKI DEPOZITARI” Registrar.**

Providing instruction from the clients is not required (corporate action is mandatory).

**1. Receiving/publishing by the issuer information on consolidation of securities issue and cancellation of additional issues – published on December 15<sup>th</sup> 2008, the depository sends to its client notification on the corporate action (MT564/NEWM/PREC)**

It is possible to send MT564/PARI (function NEWM).

In field :25D: it should be indicated – preliminary information about consolidates securities issue and cancellation of additional issues – unconfirmed information (PROC//PREU).

MT564 should be send upon every additional securities issue.

In case of consolidation of several additional issues into one main issue with cancellation of additional issues happens at the same date, such corporate action is given a common reference for corporate action for all consolidated issues.

For each additional issue individual notification on corporate action (MT564) should be sent and details of the additional issue are specified in USECU subsequence. Accordingly, it is given a unique reference (: 20C :: SEME / /). .

## MT564 – Corporate Action Notification

Corporate action reference – VTGK301208

Sender's reference – 081215123456

Sender of MT564 DEPORUMM

Receiver of MT564 BROCKRUMM

<b>M</b>	<u>Mandatory sequence A General information</u>		
	<b>:16R:</b>	<b>GENL</b>	Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//VTGK301208</b>	Corporate action reference
<b>M</b>	<b>:20C::</b>	<b>SEME//081215123456</b>	Sender reference
<b>O</b>	<b>:20C::</b>	<b>COAF// RUVTGK301208</b>	Official CA event reference
<b>M</b>	<b>:23G:</b>	<b>NEWM</b>	Message function - CA new message
<b>M</b>	<b>:22F::</b>	<b>CAEV//PARI</b>	CA event sign - assimilation
<b>M</b>	<b>:22F ::</b>	<b>CAMV//MAND</b>	Voluntary/mandatory sigh -mandatory
<b>O</b>	<b>:98A ::</b>	<b>PREP//20081216180000</b>	Message preparation date/time
<b>M</b>	<b>:25D ::</b>	<b>PROC//PREC</b>	Processing status: -preannouncement: approved
<b>O</b>	<u>Optional repetitive subsequence A1 Linkages</u>		
	<b>:16R:</b>	<b>LINK</b>	Block start
<b>O</b>	<b>:13A::</b>	<b>LINK//564</b>	Previously sent message type
<b>M</b>	<b>:20C::</b>	<b>PREV//081215123456</b>	Previously sent message reference
	<b>:16S:</b>	<b>LINK</b>	End of block
<b>O</b>	<u>End of subsequence A1 Linkages</u>		
	<b>:16S :</b>	<b>GENL</b>	End of block
<b>M</b>	<u>End of sequence A General information</u>		
<b>M</b>	<u>Mandatory sequence B CA Securities</u>		
	<b>:16R :</b>	<b>USECU</b>	Start block
<b>M</b>	<b>:35B :</b>	<b>ISIN RU000A0HML36 /RU/1-01-551113-E-009D 'VOLJSKAa TGK'</b>	Specification of financial instrument
<b>M</b>	<u>Mandatory repetitive subsequence B2 Account information</u>		
	<b>:16R:</b>	<b>ACCTINFO</b>	Start block
<b>M</b>	<b>:97A::</b>	<b>SAFE//123456</b>	Depository account
<b>M</b>	<b>:93B::</b>	<b>ELIG//UNIT/250000,</b>	CA balance
<b>O</b>	<b>:93B::</b>	<b>SETT//UNIT/250000,</b>	Settlement date balance
<b>M</b>	<b>:93C::</b>	<b>Or once SETT//UNIT/ELIG/250000,</b>	CA settlement date balance
	<b>:16S :</b>	<b>ACCTINFO</b>	End of block
<b>M</b>	<u>End of subsequence B2 Account information</u>		
	<b>:16S :</b>	<b>USECU</b>	End of block
<b>M</b>	<u>End of subsequence B CA Securities</u>		
<b>O</b>	<u>Optional subsequence D Corporate action details</u>		
	<b>:16R :</b>	<b>CADETL</b>	Start block
<b>O</b>	<b>:98A ::</b>	<b>ANOU//20081215</b>	CA announcement date
	<b>:16S :</b>	<b>CADETL</b>	End of block
<b>O</b>	<u>End of subsequence D Corporate action details</u>		
<b>O</b>	<u>Mandatory sequence E Corporate action confirmation</u>		
	<b>:16R :</b>	<b>CAOPTN</b>	Start block
<b>M</b>	<b>:13A ::</b>	<b>CAON//001</b>	CA option type

M	:22F ::	CAOP//SECU	CA option code
M	:17B ::	DFLT//Y	Default processing - option will be used by default (if no instructions were presented)
O	<u>Optional repetitive subsequence E1 Securities movement</u>		
	:16R :	SECMOVE	Start block
M	:22H ::	CRDB//DEBT	Credit/Debit sign - Securities will be debited
M	:35B :	ISIN RU000A0HML36 /RU/1-01-551113-E-009D 'VOLJSKAa TGK'	Specification of financial instrument
M	:98B ::	PAYD//UKWN	Payment date/time
O	:92D ::	NEWO//1,1,	Rate -new securities for current
	:16S :	SECMOVE	End of block
O	<u>End of subsequence E1 Securities movement</u>		
O	<u>Optional repetitive subsequence E1 Securities movement</u>		
	:16R :	SECMOVE	Start block
M	:22H ::	CRDB//CRED	Credit/Debit sign - securities credited
M	:35B :	ISIN RU000A0HML36 /RU/1-01-551113-E-009D 'VOLJSKAa TGK'	Specification of financial instrument
M	:98B ::	PAYD//UKWN	Payment date/time
M	:98B ::	PPDT//UKWN	Securities equalization date
O	:92D ::	NEWO//1,1,	Rate -new securities for current
	:16S :	SECMOVE	End of block
O	<u>End of subsequence E1 Securities movement</u>		
	:16S:	CAOPTN	End of block
O	<u>End of sequence E Corporate action options</u>		
O	<u>Optional sequence F Additional information</u>		
	:16R :	ADDINFO	Start block
O	:70 <sup>E</sup> ::	TXNR//CANCELLATION OF ADDITIONAL ISSUE AND CONVERSION INTO ONE MAIN ISSUE	Narrative - additional narrative
O	:70 <sup>E</sup> ::	PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU	Narrative - contacts
	:16S :	ADDINFO	End of block
O	<u>End of sequence F Additional information</u>		

**2. Receiving information from the issuer on the consolidated securities issue – January 11<sup>th</sup> 2009 and informing depositors on the expected consolidation of the issues(MT564/PARI/REPL/COMP).**

It is possible to send MT564/PARI (function REPL).

In field :25D: it should be indicated – full information on consolidation is provided.  
MT564/PARI/COMP

Additional it is possible to send to the owner of the account MT568 describing the details of corporate action with the link to MT564.

## **2.1 MT564 – Corporate Action Notification**

Corporate action reference – VTGK301208

Sender's reference – 081215123458

Sender of MT564 DEPORUMM

Receiver of MT564 BROCKRUMM

<b>M</b>	<i>Mandatory sequence A General information</i>	
	<b>:16R :</b>	<b>GENL</b>
		Start block
<b>M</b>	<b>:20C ::</b>	<b>CORP//VTGK301208</b>
		Corporate action reference
<b>M</b>	<b>:20C ::</b>	<b>SEME//081215123458</b>
		Sender reference
<b>O</b>	<b>:20C ::</b>	<b>COAF// RUVTGK301208</b>
		Official CA event reference
<b>M</b>	<b>:23G :</b>	<b>REPL</b>
		Message function - CA replacing message
<b>M</b>	<b>:22F ::</b>	<b>CAEV//PARI</b>
		CA event sign - assimilation
<b>M</b>	<b>:22F ::</b>	<b>CAMV//MAND</b>
		Voluntary/mandatory sigh -mandatory
<b>O</b>	<b>:98A ::</b>	<b>PREP//20090111174505</b>
		Message preparation date/time
<b>M</b>	<b>:25D ::</b>	<b>PROC//COMP</b>
		Processing status: -complete information
<b>O</b>	<i>Optional repetitive subsequence A1 Linkages</i>	
	<b>:16R :</b>	<b>LINK</b>
		Block start
<b>O</b>	<b>:13A ::</b>	<b>LINK//564</b>
		Previously sent message type
<b>M</b>	<b>:20C ::</b>	<b>PREV//081215123456</b>
		Previously sent message reference
	<b>:16S :</b>	<b>LINK</b>
		End of block
<b>O</b>	<i>End of subsequence A1 Linkages</i>	
	<b>:16S :</b>	<b>GENL</b>
		End of block
<b>M</b>	<i>End of sequence A General information</i>	
<b>M</b>	<i>Mandatory sequence B CA Securities</i>	
	<b>:16R :</b>	<b>USECU</b>
		Start block
<b>M</b>	<b>:35B :</b>	<b>ISIN RU000A0HML36 /RU/1-01-551113-E-009D 'VOLJSKAa TKG'</b>
		Specification of financial instrument
<b>M</b>	<i>Mandatory repetitive subsequence B2 Account information</i>	
	<b>:16R :</b>	<b>ACCTINFO</b>
		Start block
<b>M</b>	<b>:97A ::</b>	<b>SAFE//123456</b>
		Depository account
<b>M</b>	<b>:93B ::</b>	<b>ELIG//UNIT/250000,</b>
		CA balance
<b>O</b>	<b>:93B ::</b>	<b>SETT//UNIT/250000,</b>
		Settlement date balance
<b>M</b>	<b>:93C ::</b>	<b>Or once SETT//UNIT/ELIG/250000,</b>
		CA settlement date balance
	<b>:16S :</b>	<b>ACCTINFO</b>
		End of block
<b>M</b>	<i>End of subsequence B2 Account information</i>	
	<b>:16S :</b>	<b>USECU</b>
		End of block
<b>M</b>	<i>End of subsequence B CA Securities</i>	
<b>O</b>	<i>Optional subsequence D Corporate action details</i>	
	<b>:16R :</b>	<b>CADETL</b>
		Start block
<b>O</b>	<b>:98A ::</b>	<b>ANOU//20081215</b>
		CA announcement date
<b>O</b>	<b>:98A ::</b>	<b>RDTE//20081230</b>
		Balance record date/time
	<b>:16S :</b>	<b>CADETL</b>
		End of block
<b>O</b>	<i>End of subsequence D Corporate action details</i>	



<b>O</b>	<i>Mandatory sequence E Corporate action confirmation</i>		
	<b>:16R:</b>	<b>CAOPTN</b>	Start block
<b>M</b>	<b>:13A::</b>	<b>CAON//001</b>	CA option type
<b>M</b>	<b>:22F::</b>	<b>CAOP//SECU</b>	CA option code
<b>M</b>	<b>:17B ::</b>	<b>DFLT//Y</b>	Default processing - option will be used by default (if no instructions were presented)
<b>O</b>	<i>Optional repetitive subsequence E1 Securities movement</i>		
	<b>:16R:</b>	<b>SECMOVE</b>	Start block
<b>M</b>	<b>:22H::</b>	<b>CRDB//DEBT</b>	Credit/Debit sign - Securities will be debited
<b>M</b>	<b>:35B:</b>	<b>ISIN RU000A0HML36 /RU/1-01-551113-E-009D 'VOLJSKAa TGK'</b>	Specification of financial instrument
<b>O</b>	<b>:36B ::</b>	<b>ENTL//UNIT/250000,</b>	Entitled units
<b>M</b>	<b>:98B::</b>	<b>PAYD//20081230</b>	Payment date/time
<b>O</b>	<b>:92D ::</b>	<b>NEWO//1,1,</b>	Rate -new securities for current
	<b>:16S:</b>	<b>SECMOVE</b>	End of block
<b>O</b>	<i>End of subsequence E1 Securities movement</i>		
<b>O</b>	<i>Optional repetitive subsequence E1 Securities movement</i>		
	<b>:16R:</b>	<b>SECMOVE</b>	Start block
<b>M</b>	<b>:22H::</b>	<b>CRDB//CRED</b>	Credit/Debit sign - securities credited
<b>M</b>	<b>:35B:</b>	<b>ISIN RU000A0HML36 /RU/1-01-551113-E-009D 'VOLJSKAa TGK'</b>	Specification of financial instrument
<b>O</b>	<b>:36B ::</b>	<b>ENTL//UNIT/250000,</b>	Entitled units
<b>M</b>	<b>:98B::</b>	<b>PAYD//20081230</b>	Payment date/time
<b>M</b>	<b>:98B ::</b>	<b>PPDT//20081230</b>	Securities equalization date
<b>O</b>	<b>:92D ::</b>	<b>NEWO//1,1,</b>	Rate -new securities for current
	<b>:16S:</b>	<b>SECMOVE</b>	End of block
<b>O</b>	<i>End of subsequence E1 Securities movement</i>		
	<b>:16S:</b>	<b>CAOPTN</b>	End of block
<b>O</b>	<i>End of sequence E Corporate action options</i>		
<b>O</b>	<i>Optional sequence F Additional information</i>		
	<b>:16R:</b>	<b>ADDINFO</b>	Start block
<b>O</b>	<b>:70E::</b>	<b>TXNR//CANCELLATION OF ADDITIONAL ISSUE AND CONVERSION INTO ONE MAIN ISSUE</b>	Narrative - additional barrative
<b>O</b>	<b>:70E::</b>	<b>PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU</b>	Narrative - contacts
	<b>:16S:</b>	<b>ADDINFO</b>	End of block
<b>O</b>	<i>End of sequence F Additional information</i>		

3. Upon receipt of information from the registrar on the issue consolidation (statement of transactions) the client receives Confirmation on the corporate action (MT566)

Date of operation in registrar – 30 December 2008

Date of operation in depository – 11 January 2009

-MT566/PARI/NEWM  
 Message date – 12 January 2009

In case of consolidation of several additional issues into one main issue with cancellation of additional issues happens at the same date, for each additional issue individual Confirmation on corporate action (MT566) should be sent. And each message is given unique reference (:20C :: SEME / /). .

### **3.1 MT566 – Corporate Action Confirmation**

Corporate action reference – VTGK301208  
 Sender's reference – 081215123462  
 Message time – 12 January 2009, time – 090917  
 Date of operation in registrar – 11 January 2009

Sender of MT566 DEPORUMM  
 Receiver of MT566 BROCKRUMM

<b>M</b>	<i>Mandatory sequence A General information</i>		
	<b>:16R :</b>	<b>GENL</b>	Start block
<b>M</b>	<b>:20C ::</b>	<b>CORP//VTGK301208</b>	Corporate action reference
<b>M</b>	<b>:20C ::</b>	<b>SEME//080107123462</b>	Sender reference
<b>O</b>	<b>:20C ::</b>	<b>COAF// RUVTGK301208</b>	Official CA event reference
<b>M</b>	<b>:23G :</b>	<b>NEWM</b>	Message function - new message
<b>M</b>	<b>:22F ::</b>	<b>CAEV//PARI</b>	CA event sign - assimilation
<b>M</b>	<b>:22F ::</b>	<b>CAMV//MAND</b>	Voluntary/mandatory sign -mandatory
<b>O</b>	<b>:98A ::</b>	<b>PREP//20090112090917</b>	Message preparation date/time
<b>O</b>	<i>Optional repetitive subsequence A1 Linkages</i>		
	<b>:16R :</b>	<b>LINK</b>	Block start
<b>O</b>	<b>:13A ::</b>	<b>LINK//564</b>	Previously sent message type
<b>M</b>	<b>:20C ::</b>	<b>PREV//080107123458</b>	Previously sent message reference
	<b>:16S :</b>	<b>LINK</b>	End of block
<b>O</b>	<i>End of subsequence A1 Linkages</i>		
	<b>:16S :</b>	<b>GENL</b>	End of block
<b>M</b>	<i>End of sequence A General information</i>		
<b>M</b>	<i>Mandatory sequence B CA Securities</i>		
	<b>:16R :</b>	<b>USECU</b>	Start block
<b>M</b>	<b>:97A::</b>	<b>SAFE//123456</b>	Safekeeping account
<b>O</b>	<b>:94B::</b>	<b>SAFE//SHHE/REGISTRAR</b>	Safekeeping place - registrar
<b>M</b>	<b>:35B :</b>	<b>ISIN RU000A0HML36 /RU/1-01-551113-E-009D 'VOLJSKAa TKG'</b>	Specification of financial instrument
<b>M</b>	<b>:93B::</b>	<b>CONB//UNIT/250000,</b>	Confirmed balance
	<b>:16S :</b>	<b>USECU</b>	End of block
<b>M</b>	<i>End of subsequence B CA Securities</i>		
<b>O</b>	<i>Optional subsequence C Corporate action details</i>		
	<b>:16R :</b>	<b>CADETL</b>	Start block
<b>O</b>	<b>:98A ::</b>	<b>ANOU//20081215</b>	CA announcement date
<b>O</b>	<b>:98A ::</b>	<b>RDTE//20081230</b>	Balance record date/time
<b>O</b>	<b>:70E::</b>	<b>WEBB//WWW.DEPOXXX.RU/RU/DB/NE WS/</b>	Web-site - web-site link with additional information on

		<b>PRESS/ID384133</b>	the CA
	<b>:16S :</b>	<b>CADETL</b>	End of block
<b>O</b>	<i>End of subsequence C Corporate action details</i>		
<b>O</b>	<i>Mandatory sequence D Corporate action confirmation</i>		
	<b>:16R:</b>	<b>CACONF</b>	Start block
<b>M</b>	<b>:13A::</b>	<b>CAON//001</b>	CA option type
<b>M</b>	<b>:22F::</b>	<b>CAOP//SECU</b>	CA option code
<b>O</b>	<i>Optional repetitive subsequence D2Securities movement</i>		
	<b>:16R:</b>	<b>SECMOVE</b>	Start block
<b>M</b>	<b>:22H::</b>	<b>CRDB//DEBT</b>	Credit/Debit sign - Securities will be debited
<b>M</b>	<b>:35B:</b>	<b>ISIN RU000A0HML36 /RU/1-01-551113-E-009D 'VOLJSKAa TGK'</b>	Specification of financial instrument
<b>M</b>	<b>:36B::</b>	<b>PSTA//UNIT/250000,</b>	Securities unit on the account
<b>M</b>	<b>:98A::</b>	<b>POST//20090111</b>	Account posting date/time
<b>O</b>	<b>:98A::</b>	<b>PAYD//20081230</b>	Payment date/time - operation in the registrar date/time
	<b>:16S:</b>	<b>SECMOVE</b>	End of block
<b>O</b>	<i>End of subsequence D2 Securities movement</i>		
<b>O</b>	<i>Optional repetitive subsequence D2 Securities movement</i>		
	<b>:16R:</b>	<b>SECMOVE</b>	Start block
<b>M</b>	<b>:22H::</b>	<b>CRDB//CRED</b>	Credit/Debit sign - securities credited
<b>M</b>	<b>:35B:</b>	<b>ISIN RU000A0HML36 /RU/1-01-551113-E-009D 'VOLJSKAa TGK'</b>	Specification of financial instrument
<b>M</b>	<b>:36B::</b>	<b>PSTA//UNIT/250000,</b>	Securities unit on the account
<b>O</b>	<b>:22F::</b>	<b>DISF//STAN</b>	Actions with fractional parts - general rule
<b>O</b>	<b>:92A::</b>	<b>NEWO//1,1,</b>	Rate - new securities for current
<b>M</b>	<b>:98A::</b>	<b>POST//20090111</b>	Account posting date/time (in depository)
<b>O</b>	<b>:98A::</b>	<b>PAYD//20081230</b>	Payment date/time - date/time of transaction in registrar
<b>O</b>	<b>:98A::</b>	<b>PPDT//20081230</b>	Securities equalization date/time
<b>O</b>	<b>:98A::</b>	<b>AVAL//20090112</b>	Availability date/time
	<b>:16S:</b>	<b>SECMOVE</b>	End of block
<b>O</b>	<i>End of subsequence D2 Securities movement</i>		
	<b>:16S:</b>	<b>CACONF</b>	End of block
<b>O</b>	<i>End of sequence D Corporate action confirmation</i>		
<b>O</b>	<i>Optional sequence E Additional information</i>		
	<b>:16R:</b>	<b>ADDINFO</b>	Start block
<b>O</b>	<b>:70E::</b>	<b>TXNR//CANCELLATION OF INDIVIDUAL NUMBER OF ADDITIONAL ISSUE AND CONVERSION INTO ONE ISSUE FOR WHICH THE ISSUE WAS SUPPLEMENTARY(RU000A0HML36)</b>	Narrative - additional barrative
<b>O</b>	<b>:70E::</b>	<b>PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU</b>	Narrative - contacts
	<b>:16S:</b>	<b>ADDINFO</b>	End of block
<b>O</b>	<i>End of sequence E Additional information</i>		

## 5.5 Example 5. Corporate Action Type – Priority issue (public offer where, priority is given to existing shareholders. (corporate action event indicator – PRIO)

### **Definition**<sup>17</sup>

Public offering where existing shareholders have privilege of share purchase as the number of shares is limited.

### **Initial terms:**

-Issuer – OAO “RUSGIDRO” (former OAO “FEDERALNAa GIDROGENERIRUuqAa KOMPANa”)

-Date of the Extraordinary Meeting of Shareholders where it was decided to increase the share capital by issuing additional shares - 17.11.2008

-Date of listing persons with prior option of purchase – 08.10.2008

Details of prior issue:

National state registration number – 1-01-55038-E-037D

State registration date – May 14<sup>th</sup> 2009

Nominal value – 1 ruble

Offer price – 1 ruble

Period of prior option of purchase – 45 days – from 15.05.2009 to 29.06.2009

Currency of payment – Russian ruble

Due date – not later than 29.06.2009

Period of depositing securities – 06.07.2009

Start date of circulation (after registration of the report on the results of issue in FCSM) – August 1<sup>st</sup> 2009

Coefficient – 0.0627416488067

### **Additional terms:**

Safekeeping account number: 123456

Quantity of securities to the date of listing: 759,696

Deadline of instruction to the depository – 15.06.2009

Owner obtains 40000 of new shares.

Issuing additional shares among existing shareholders with prior option of purchase. Date of listing shareholders is the date of listing of shareholders (08.10.2008) which participated in the meeting at which it was decided to increase the share capital by issuing additional shares (17/11/2008).

Receiving information about an upcoming meeting of shareholders concerning the increase of authorized capital by issuing additional shares.

*No action* (it is possible to send MT564/MEET/XMEET indicating in field 70E://potential voluntary corporate action).

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<sup>17</sup> The given definition is pursuant to the official translation of SWIFT User Handbook.

Receiving information on the outcome of the shareholder's meeting where the decision was made. It is possible to send to the account owner a corporate action notification as a preliminary unconfirmed information MT 564/PRIO/NEWM/PREU

### **MT564 – Corporate Action Notification**

Corporate action reference – CA HYDR140509

Sender's reference – LAGUYU0905181453

Sender of MT564 DEPORUMM

Receiver of MT564 BROCKRUMM

<b>M</b>	<i>Mandatory sequence A General information</i>	
	<b>:16R :</b>	<b>GENL</b> Start block
<b>M</b>	<b>:20C ::</b>	<b>CORP//CA HYDR140509</b> Corporate action reference
<b>M</b>	<b>:20C ::</b>	<b>SEME//LAGUYU0905181453</b> Sender reference
<b>O</b>	<b>:20C ::</b>	<b>COAF//RUCA HYDR140509</b> Official CA event reference
<b>M</b>	<b>:23G :</b>	<b>NEWM</b> Message function - new message
<b>M</b>	<b>:22F ::</b>	<b>CAEV//PRIO</b> CA event sign - priority issue
<b>M</b>	<b>:22F ::</b>	<b>CAMV//VOLU</b> Voluntary/mandatory sigh -voluntary event, instructions are needed
<b>O</b>	<b>:98A ::</b>	<b>PREP//20090518</b> Message preparation date/time
<b>M</b>	<b>:25D ::</b>	<b>PROC//PREU</b> Processing status
<b>O</b>	<i>Optional repetitive subsequence A1 Linkages</i>	
	<b>:16R :</b>	<b>LINK</b> Block start
<b>O</b>	<b>:13A ::</b>	<b>LINK//564</b> Previously sent message type
<b>M</b>	<b>:20C ::</b>	<b>PREV//LAGUYU081117504</b> Previously sent message reference
	<b>:16S :</b>	<b>LINK</b> End of block
<b>O</b>	<i>End of subsequence A1 Linkages</i>	
<b>O</b>	<i>Optional repetitive subsequence A1 Linkages</i>	
	<b>:16R :</b>	<b>LINK</b> Block start
<b>M</b>	<b>:20C ::</b>	<b>CORP//MEETHYDR40504</b> Previously sent message reference -meeting announcement
	<b>:16S :</b>	<b>LINK</b> End of block
<b>O</b>	<i>End of subsequence A1 Linkages</i>	
<b>O</b>	<i>Optional repetitive subsequence A1 Linkages</i>	
	<b>:16R :</b>	<b>LINK</b> Block start
<b>O</b>	<b>:13A ::</b>	<b>LINK//568</b> Previously sent message type
<b>M</b>	<b>:20C ::</b>	<b>PREV//LAGUYU0905181455</b> Previously sent message reference
	<b>:16S :</b>	<b>LINK</b> End of block
<b>O</b>	<i>End of subsequence A1 Linkages</i>	
	<b>:16S :</b>	<b>GENL</b> End of block
<b>M</b>	<i>End of sequence A General information</i>	
<b>M</b>	<i>Mandatory sequence B CA Securities</i>	
	<b>:16R :</b>	<b>USECU</b> Start block
<b>M</b>	<b>:35B :</b>	<b>ISIN RU000A0JPKH7</b> <b>'RUSGIDRO, AOI</b> <b>or</b> <b>JSC RUSHYDRO</b> Specification of financial instrument
<b>M</b>	<i>Mandatory repetitive subsequence B2 Account information</i>	
	<b>:16R :</b>	<b>ACCTINFO</b> Start block
<b>M</b>	<b>:97A ::</b>	<b>SAFE//123456</b> Depository account
<b>M</b>	<b>:93B ::</b>	<b>ELIG//UNIT/759696,</b> CA balance

O	:93B ::	SETT//UNIT/759696,	Settlement date balance
M	:93C ::	Or once SETT//UNIT/ELIG/759696,	CA settlement date balance
	:16S :	ACCTINFO	End of block
M	<u>End of subsequence B2 Account information</u>		
	:16S :	USECU	End of block
M	<u>End of subsequence B CA Securities</u>		
O	<u>Optional subsequence D Corporate action details</u>		
	:16R :	CADETL	Start block
O	:98A ::	ANOU//20081117	CA announcement date
O	:98A ::	RDTE//20081008	Balance record date/time
O	:17B ::	CERT//Y	Certification flag/ Providing list of owners - Preparing a list of owners is required
O	:70E::	WEBB//WWW.DEPOXXX.RU/RU/DB/NEWS/ PRESS/ID384133	Web-site - web-site link with additional information on the CA
	:16S :	CADETL	End of block
O	<u>End of subsequence D Corporate action details</u>		
O	<u>Mandatory sequence E Corporate action options</u>		
	:16R:	CAOPTN	Start block
M	:13A::	CAON//001	CA option type
M	:22F::	CAOP//SECU	CA option code -securities allocation
O	:22F ::	CETI//FULL	Certification type sign/list of owners -owner information – full list
M	:17B ::	DFLT//N	Processing by default flag -option is not used by default (instructions are necessary)
O	<u>Optional repetitive subsequence E1 Securities movement</u>		
	:16R:	SECMOVE	Start block
M	:22H::	CRDB//DEBT	Credit/Debit sign - Securities will be debited
M	:35B:	ISIN RU000A0JQES5 RU/1-01-55038-E-037D 'RUSGIDRO, AOI or JSC RUSHYDRO	Specification of financial instrument
O	:98A::	PAYD//20090607	Payment date/time - operation in the registrar date/time
	:16S:	SECMOVE	End of block
O	<u>End of subsequence E1 Securities movement</u>		
O	<u>Optional repetitive subsequence E2 Cash movement</u>		
	:16R:	CASHMOVE	Start block
M	:22H::	CRDB//CRED	Credit/Debit sign - cash will be credited
M	:98A::	PAYD//ONGO	Payment date/time - date/time of transaction in registrar
O	:90B::	PRPP//ACTU/RUB1,00	Price paid for product -price to be payed by owner
O	:98A::	AVAL//20090112	Availability date/time
	:16S:	CASHMOVE	End of block
O	<u>End of subsequence E2 Cash movement</u>		
	:16S:	CAOPTN	End of block
O	<u>End of sequence E Corporate action option</u>		
O	<u>Optional repetitive sequence E Corporate action options</u>		
	:16R:	CAOPTN	Start block
M	:13A::	CAON//002	CA option number

M	:22F::	CAOP//NOAC	Corporate action option sign (code - no participation)
M	:17B::	DFLT//Y	Default processing - option will be used by default (if no instructions were presented)
	:16S:	CAOPTN	End of block
O	<i>End of sequence E Corporate action options</i>		
O	<i>Optional sequence F Additional information</i>		
	:16R:	ADDINFO	Start block
O	:70E::	TXNR//PRE-EMPTIVE RIGHTS TO BUY THE NEW REGISTERED ORDINARY SHARES OF JSC RU SHYDRO (ISIN RU000A0JPKH7)	Narrative - additional barrative
O	:70E::	PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU	Narrative - contacts
	:16S:	ADDINFO	End of block
O	<i>End of sequence F Additional information</i>		

**1. When an Issuer receives Priority issue notification or after Issuer publishes this information, corporate action notification should be sent to the account owner (MT564/PRIO/COMP). Additionally MT564 should be sent to the account owner with details of the corporate action with the link to MT564.**

### **MT564 – Corporate Action Notification**

Corporate action reference – CA HYDR140509  
Sender's reference – LAGUYU0905181454

Sender of MT564 DEPORUMM  
Receiver of MT564 BROCKRUMM

M	<i>Mandatory sequence A General information</i>		
	:16R :	GENL	Start block
M	:20C ::	CORP//CA HYDR140509	Corporate action reference
M	:20C ::	SEME//LAGUYU0905181454	Sender reference
O	:20C ::	COAF//RUC A HYDR140509	Official CA event reference
M	:23G :	REPL	Message function - replacing message
M	:22F ::	CAEV//PRIO	CA event sign - priority issue
M	:22F ::	CAMV//VOLU	Voluntary/mandatory sigh -voluntary event, istructions are needed
O	:98A ::	PREP//20090525	Message preparation date/time
M	:25D ::	PROC//COMP	Processing status Complete information
O	<i>Optional repetitive subsequence A1 Linkages</i>		
	:16R :	LINK	Block start
O	:13A ::	LINK//564	Previously sent message type
M	:20C ::	PREV//LAGUYU0905181453	Previously sent message reference
	:16S :	LINK	End of block

O	<u>End of subsequence A1 Linkages</u>	
O	<u>Optional repetitive subsequence A1 Linkages</u>	
	:16R :	LINK Block start
O	:13A ::	LINK//568 Previously sent message type
M	:20C ::	PREV//LAGUYU0905181455 Previously send message linkage reference
	:16S :	LINK End of block
O	<u>End of subsequence A1 Linkages</u>	
O	<u>End of subsequence A1 Linkages</u>	
	:16S :	GENL End of block
M	<u>End of sequence A General information</u>	
M	<u>Mandatory sequence B CA Securities</u>	
	:16R :	USECU Start block
M	:35B :	ISIN RU000A0JPKH7 'RUSGIDRO, AOI or JSC RUSHYDRO Specification of financial instrument
M	<u>Mandatory repetitive subsequence B2 Account information</u>	
	:16R :	ACCTINFO Start block
M	:97A ::	SAFE//123456 Depository account
M	:93B ::	ELIG//UNIT/759696, CA balance
O	:93B ::	SETT//UNIT/759696, Settlement date balance
M	:93C ::	Or once SETT//UNIT/ELIG/759696, CA settlement date balance
	:16S :	ACCTINFO End of block
M	<u>End of subsequence B2 Account information</u>	
	:16S :	USECU End of block
M	<u>End of subsequence B CA Securities</u>	
O	<u>Optional subsequence D Corporate action details</u>	
	:16R :	CADETL Start block
O	:98A ::	ANOU//20081117 CA announcement date
O	:98A ::	RDTE//20081008 Balance record date/time
O	:17B ::	CERT//Y Certification flag/ Providing list of owners - Preparing a list of owners is required
O	:70E::	WEBB//WWW.DEPOXXX.RU/RU/DB/NE WS/ PRESS/ID384133 Web-site - web-site link with additional information on the CA
	:16S :	CADETL End of block
O	<u>End of subsequence D Corporate action details</u>	
O	<u>Mandatory sequence E Corporate action options</u>	
	:16R:	CAOPTN Start block
M	:13A::	CAON//001 CA option type
M	:22F::	CAOP//SECU CA option code -securities allocation
O	:22F ::	CETI//FULL Certification type sign/list of owners -owner information – full list
M	:17B ::	DFLT//N Processing by default flag -option is not used by default (instructions are necessary)
	:98C::	MKDT//20090629170000 Market deadline
	:98C::	RDDT//20090615150000 Account servicer deadline
O	:69A::	PWAL//20090515/20090629 Period of action - period of action for the offer
O	<u>Optional repetitive subsequence E1 Securities movement</u>	
	:16R:	SECMOVE Start block
M	:22H::	CRDB//DEBT Credit/Debit sign - Securities will be debited
M	:35B:	ISIN RU000A0JQES5 Specification of financial instrument



		RU/1-01-55038-E-037D 'RUSGIDRO, AOI or JSC RUSHYDRO	
O	:36B::	ENTL//UNIT/43919,	Entitled unit
O	:98A::	PAYD//20090706	Payment date/time - operation in the registrar date/time
O	:92D::	ADEX//0,0627416488067/1,	Rate - additional to the current securities
	:16S:	SECMOVE	End of block
O	<u>End of subsequence E1 Securities movement</u>		
O	<u>Optional repetitive subsequence E2 Cash movement</u>		
	:16R:	CASHMOVE	Start block
M	:22H::	CRDB//CRED	Credit/Debit sign - cash will be credited
M	:98A::	PAYD//UKWN	Payment date/time
O	:90B::	PRPP//ACTU/RUB1,00	Price payed for product -price to be payed by owner
O	:98A::	AVAL//20090112	Availability date/time
	:16S:	CASHMOVE	End of block
O	<u>End of subsequence E2 Cash movement</u>		
	:16S:	CAOPTN	End of block
O	<u>End of sequence E Corporate action option</u>		
O	<u>Optional repetitive sequence E Corporate action options</u>		
	:16R:	CAOPTN	Start block
M	:13A::	CAON//002	CA option number
M	:22F::	CAOP//NOAC	Corporate action option sign (code - no participation)
M	:17B::	DFLT//Y	Default processing - option will be used by default (if no instructions were presented)
	:16S:	CAOPTN	End of block
O	<u>End of sequence E Corporate action options</u>		
O	<u>Optional sequence F Additional information</u>		
	:16R:	ADDINFO	Start block
O	:70E::	TXNR//PRE-EMPTIVE RIGHTS TO BUY THE NEW REGISTERED ORDINARY SHARES OF JSC RU SHYDRO (ISIN RU000A0JPKH7)	Narrative - additional narrative
O	:70E::	ADTX//SWIFT WILL BE CONTINUED ON MT568 WITH CORP CA HYDR140509	Narrative - additional narrative
O	:70E::	PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU	Narrative - contacts
	:16S:	ADDINFO	End of block
O	<u>End of sequence F Additional information</u>		

### **MT568 – Corporate Action Narrative**

Corporate action reference – CA HYDR140509  
Sender's reference – LAGUYU0905181455

Sender of MT568 DEPORUMM  
Receiver of MT568 BROCKRUMM

<b>M</b>	<u>Mandatory sequence A General information</u>		
	<b>:16R :</b>	<b>GENL</b>	Start block
<b>M</b>	<b>:20C ::</b>	<b>CORP//CA HYDR140509</b>	Corporate action reference
<b>M</b>	<b>:20C ::</b>	<b>SEME//LAGUYU0905181455</b>	Sender reference
<b>O</b>	<b>:20C ::</b>	<b>COAF//RUCA HYDR140509</b>	Official CA event reference
<b>M</b>	<b>:23G :</b>	<b>REPL</b>	Message function - replacing message
<b>M</b>	<b>:22F ::</b>	<b>CAEV//PRIO</b>	CA event sign - priority issue
<b>O</b>	<b>:98A ::</b>	<b>PREP//20090520</b>	Message preparation date/time
<b>O</b>	<u>Optional repetitive subsequence A1 Linkages</u>		
	<b>:16R :</b>	<b>LINK</b>	Block start
<b>O</b>	<b>:13A ::</b>	<b>LINK//564</b>	Previously sent message type
<b>M</b>	<b>:20C ::</b>	<b>PREV//LAGUYU0905181454</b>	Previously sent message reference
	<b>:16S :</b>	<b>LINK</b>	End of block
<b>O</b>	<u>End of subsequence A1 Linkages</u>		
	<b>:16S :</b>	<b>GENL</b>	End of block
<b>M</b>	<u>End of sequence A General information</u>		
<b>M</b>	<u>Mandatory sequence B CA Securities</u>		
	<b>:16R :</b>	<b>USECU</b>	Start block
<b>M</b>	<b>:97A::</b>	<b>SAFE//123456</b>	Safekeeping account
<b>M</b>	<b>:35B :</b>	<b>ISIN RU000A0JPKH7 'RUSGIDRO, AOI or JSC RUSHYDRO</b>	Specification of financial instrument
	<b>:16S :</b>	<b>USECU</b>	End of block
<b>M</b>	<u>End of subsequence B CA Securities</u>		
<b>O</b>	<u>Optional sequence C Additional information</u>		
	<b>:16R:</b>	<b>ADDINFO</b>	Start block
<b>O</b>	<b>:70F::</b>	<b>ADTX//PLEASE BE ADVISED THAT ON NOVEMBER 17, 2008 THE GENERAL SHAREHOLDER MEETING APPROVED THE CHARTER CAPITAL INCREASE BY MEANS OF ISSUANCE OF 16,000,000,000 ADDITIONAL ORDINARY SHARES WITH RUB1.00 PAR VALUE EACH TO BE PLACED THROUGH OPEN SUBSCRIPTION. ON MAY 14, 2009, FEDERAL FINANCIAL MARKETS SERVICE REGISTERED NEW ISSUE OF 16,000,000,000 RUSHYDRO ORDINARY SHARES UNDER THE STATE REGISTRATION NUMBER 1-01-55038-E-037D. IN ACCORDANCE WITH ARTICLES 40, 41 OF THE FEDERAL LAW ON JOINT STOCK COMPANIES, THE HOLDERS OF RUSHYDRO ORDINARY SHARES AS OF OCTOBER 08, 2008 HAVE PRE-EMPTIVE RIGHTS TO BUY THE NEW ORDINARY SHARES ON A PRO-RATA BASIS. PAYMENT IN RUBLES FOR THE NEW SHARES SHALL BE MADE BY JUNE 15, 2009 AT THE LATEST. APPLICATION FOR PRE-EMPTIVE RIGHT PARTICIPATION SHOULD ENCLOSE ORIGINAL PAYMENT DOCUMENTS CONFIRMING MONEY TRANSFER</b>	Narrative - additional narrative
<b>O</b>	<b>:70E::</b>	<b>PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA</b>	Narrative - contacts

		<b>SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU</b>	
	<b>:16S:</b>	<b>ADDINFO</b>	End of block
<b>O</b>	<i>End of sequence C Additional information</i>		

2. Before the deadline for the instructions on corporate action the depository sends to its clients notification on corporate action – reminder to send the instructions MT 564/PRIO/RMRD.

### **MT564 – Corporate Action Notification**

Corporate action reference – CA HYDR140509

Sender's reference – LAGUYU0906080931

Sender of MT564 DEPORUMM

Receiver of MT564 BROCKRUMM

<b>M</b>	<i>Mandatory sequence A General information</i>		
	<b>:16R :</b>	<b>GENL</b>	Start block
<b>M</b>	<b>:20C ::</b>	<b>CORP//CA HYDR140509</b>	Corporate action reference
<b>M</b>	<b>:20C ::</b>	<b>SEME//LAGUYU0906080931</b>	Sender reference
<b>O</b>	<b>:20C ::</b>	<b>COAF//RUCA HYDR140509</b>	Official CA event reference
<b>M</b>	<b>:23G :</b>	<b>RMDR</b>	Message function - replacing message
<b>M</b>	<b>:22F ::</b>	<b>CAEV//PRIO</b>	CA event sign - priority issue
<b>M</b>	<b>:22F ::</b>	<b>CAMV//VOLU</b>	Voluntary/mandatory sigh -voluntary event, istructions are needed
<b>O</b>	<b>:98A ::</b>	<b>PREP//20090525</b>	Message preparation date/time
<b>M</b>	<b>:25D ::</b>	<b>PROC//COMP</b>	Processing status Complete information
<b>O</b>	<i>Optional repetitive subsequence A1 Linkages</i>		
	<b>:16R :</b>	<b>LINK</b>	Block start
<b>O</b>	<b>:13A ::</b>	<b>LINK//564</b>	Previously sent message type
<b>M</b>	<b>:20C ::</b>	<b>PREV//LAGUYU0905181454</b>	Previously sent message reference
	<b>:16S :</b>	<b>LINK</b>	End of block
<b>O</b>	<i>End of subsequence A1 Linkages</i>		
	<b>:16S :</b>	<b>GENL</b>	End of block
<b>M</b>	<i>Enf of sequence A General information</i>		
<b>M</b>	<i>Mandatory sequence B CA Securities</i>		
	<b>:16R :</b>	<b>USECU</b>	Start block
<b>M</b>	<b>:35B :</b>	<b>ISIN RU000A0JPKH7 'RUSGIDRO, AOI or JSC RUSHYDRO</b>	Specification of financial instrument
<b>M</b>	<i>Mandatory repetitive subsequence B2 Account information</i>		
	<b>:16R :</b>	<b>ACCTINFO</b>	Start block
<b>M</b>	<b>:97A ::</b>	<b>SAFE//123456</b>	Depository account
<b>M</b>	<b>:93B ::</b>	<b>ELIG//UNIT/759696,</b>	CA balance
<b>O</b>	<b>:93B ::</b>	<b>SETT//UNIT/759696,</b>	Settlement date balance
<b>M</b>	<b>:93C ::</b>	<b>Or once SETT//UNIT/ELIG/759696,</b>	CA settlement date balance
	<b>:16S :</b>	<b>ACCTINFO</b>	End of block

<b>M</b>	<u>End of subsequence B2 Account information</u>	
	<b>:16S :</b>	<b>USECU</b> End of block
<b>M</b>	<u>End of subsequence B CA Securities</u>	
<b>O</b>	<u>Optional subsequence D Corporate action details</u>	
	<b>:16R :</b>	<b>CADETL</b> Start block
<b>O</b>	<b>:98A ::</b>	<b>ANOOU//20081117</b> CA announcement date
<b>O</b>	<b>:98A ::</b>	<b>RDTE//20081008</b> Balance record date/time
<b>O</b>	<b>:17B ::</b>	<b>CERT//Y</b> Certification flag/ Providing list of owners - Preparing a list of owners is required
<b>O</b>	<b>:70E::</b>	<b>WEBB//WWW.DEPOXXX.RU/RU/DB/NE WS/ PRESS/ID384133</b> Web-site - web-site link with additional information on the CA
	<b>:16S :</b>	<b>CADETL</b> End of block
<b>O</b>	<u>End of subsequence D Corporate action details</u>	
<b>O</b>	<u>Mandatory sequence E Corporate action options</u>	
	<b>:16R:</b>	<b>CAOPTN</b> Start block
<b>M</b>	<b>:13A::</b>	<b>CAON//001</b> CA option type
<b>M</b>	<b>:22F::</b>	<b>CAOP//SECU</b> CA option code -securities allocation
<b>O</b>	<b>:22F ::</b>	<b>CETI//FULL</b> Certification type sign/list of owners -owner information – full list
<b>M</b>	<b>:17B ::</b>	<b>DFLT//N</b> Processing by default flag -option is not used by default (instructions are necessary)
	<b>:98C::</b>	<b>MKDT//20090629170000</b> Market deadline
	<b>:98C::</b>	<b>RDDT//20090615150000</b> Account servicer deadline
<b>O</b>	<b>:69A::</b>	<b>PWAL//20090515/20090629</b> Period of action - period of action for the offer
<b>O</b>	<u>Optional repetitive subsequence E1 Securities movement</u>	
	<b>:16R:</b>	<b>SECMOVE</b> Start block
<b>M</b>	<b>:22H::</b>	<b>CRDB//DEBT</b> Credit/Debit sign - Securities will be debited
<b>M</b>	<b>:35B:</b>	<b>ISIN RU000A0JQES5 RU/1-01-55038-E-037D 'RUSGIDRO, AOI or JSC RUSHYDRO</b> Specification of financial instrument
<b>O</b>	<b>:36B::</b>	<b>ENTL//UNIT/43919,</b> Entitled unit
<b>O</b>	<b>:98A::</b>	<b>PAYD//20090706</b> Payment date/time - operation in the registrar date/time
<b>O</b>	<b>:92D::</b>	<b>ADEX//0,0627416488067/1,</b> Rate - additional to the current securities
	<b>:16S:</b>	<b>SECMOVE</b> End of block
<b>O</b>	<u>End of subsequence E1 Securities movement</u>	
<b>O</b>	<u>Optional repetitive subsequence E2Cash movement</u>	
	<b>:16R:</b>	<b>CASHMOVE</b> Start block
<b>M</b>	<b>:22H::</b>	<b>CRDB//CRED</b> Credit/Debit sign - cash will be credited
<b>M</b>	<b>:98A::</b>	<b>PAYD//UKWN</b> Payment date/time
<b>O</b>	<b>:90B::</b>	<b>PRPP//ACTU/RUB1,00</b> Price paid for product -price to be payed by owner
	<b>:16S:</b>	<b>CASHMOVE</b> End of block
<b>O</b>	<u>End of subsequence E2 Cash movement</u>	
	<b>:16S:</b>	<b>CAOPTN</b> End of block
<b>O</b>	<u>End of sequence E Corporate action option</u>	
<b>O</b>	<u>Optional repetitive sequence E Corporate action options</u>	
	<b>:16R:</b>	<b>CAOPTN</b> Start block

M	:13A::	CAON//002	CA option number
M	:22F::	CAOP//NOAC	Corporate action option sign (code - no participation)
M	:17B::	DFLT//Y	Default processing - option will be used by default (if no instructions were presented)
	:16S:	CAOPTN	End of block
O	<i>End of sequence E Corporate action options</i>		
O	<i>Optional sequence F Additional information</i>		
	:16R:	ADDINFO	Start block
O	:70E::	TXNR//PRE-EMPTIVE RIGHTS TO BUY THE NEW REGISTERED ORDINARY SHARES OF JSC RU SHYDRO (ISIN RU000A0JPKH7)	Narrative - additional narrative
O	:70E::	ADTX//SWIFT WILL BE CONTINUED ON MT568 WITH CORP CA HYDR140509	Narrative - additional narrative
O	:70E::	PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU	Narrative - contacts
	:16S:	ADDINFO	End of block
O	<i>End of sequence F Additional information</i>		

## 1. Client sends to the depository instructions for corporate action.

### MT565 – Corporate Action Instruction

Corporate action reference – CA HYDR140509

Sender's reference – LAGUYU0906080932

Instruction date – 25 June 2009

Sender of MT565 DEPORUMM

Receiver of MT565 BROCKRUMM

M	<i>Mandatory sequence A General information</i>		
	:16R :	GENL	Start block
M	:20C ::	CORP//CA HYDR140509	Corporate action reference
M	:20C ::	SEME//LAGUYU0906080932	Sender reference
O	:20C ::	COAF//RUC A HYDR140509	Official CA event reference
M	:23G :	NEWM	Message function - CA new message
M	:22F ::	CAEV//PRIO	CA event sign - priority issue
O	<i>Optional repetitive subsequence A1 Linkages</i>		
	:16R :	LINK	Block start
O	:13A ::	LINK//564	Previously sent message type
M	:20C ::	PREV//LAGUYU0906080931	Previously sent message reference
	:16S :	LINK	End of block
O	<i>End of subsequence A1 Linkages</i>		
	:16S :	GENL	End of block
M	<i>End of sequence A General information</i>		
M	<i>Mandatory sequence B CA Securities</i>		

	<b>:16R :</b>	<b>USECU</b>	Start block
<b>M</b>	<b>:35B :</b>	<b>ISIN RU000A0JPKH7 'RUSGIDRO, AOI or JSC RUSHYDRO</b>	Specification of financial instrument
<b>M</b>	<i>Mandatory repetitive subsequence B2 Account information</i>		
	<b>:16R :</b>	<b>ACCTINFO</b>	Start block
<b>M</b>	<b>:97A ::</b>	<b>SAFE//123456</b>	Depository account
	<b>:16S :</b>	<b>ACCTINFO</b>	End of block
<b>M</b>	<i>End of subsequence B2 Account information</i>		
	<b>:16S :</b>	<b>USECU</b>	End of block
<b>M</b>	<i>End of subsequence B CA Securities</i>		
<b>M</b>	<i>Mandatory sequence D Corporate action instructions</i>		
	<b>:16R:</b>	<b>CAINST</b>	Start block
<b>M</b>	<b>:13A::</b>	<b>CAON//001</b>	CA option number
<b>M</b>	<b>:22F::</b>	<b>CAOP//SECU</b>	Corporate action option sign (code) - allocation of securities
<b>M</b>	<b>:36B::</b>	<b>QREC//UNIT/40000,</b>	Securities unit under instruction
	<b>:16S:</b>	<b>CAINST</b>	End of block
<b>O</b>	<i>End of sequence D Corporate action instructions</i>		

**4. Accumulation of instructions, sending MT564 indicating balance of instructed position (INBA) and balance of uninstructed position (UNBA), MT567.**

**5. Sending to the issuer a set of documents.**

a. If the purchase price of new shares is declared at once, the set of documents includes the original payment order, i.e. Payment of the Central Bank within the framework of the event is completed.

- MT 564/ACTV upon receipt of registration information report on the results of a new additional issue.

The message is sent on July 30<sup>th</sup>, 2009

**MT564 – Corporate Action Notification**

Corporate action reference – CA HYDR140509

Sender's reference – LAGUYU0906080936

Sender of MT564 DEPORUMM

Receiver of MT564 BROCKRUMM

<b>M</b>	<i>Mandatory sequence A General information</i>		
	<b>:16R :</b>	<b>GENL</b>	Start block
<b>M</b>	<b>:20C ::</b>	<b>CORP//CA HYDR140509</b>	Corporate action reference
<b>M</b>	<b>:20C ::</b>	<b>SEME//LAGUYU0906300936</b>	Sender reference
<b>O</b>	<b>:20C ::</b>	<b>COAF//RUC A HYDR140509</b>	Official CA event reference
<b>M</b>	<b>:23G :</b>	<b>ADDB</b>	Message function - additional business process message
<b>M</b>	<b>:22F ::</b>	<b>CAEV//PRIO</b>	CA event sign - priority issue
<b>M</b>	<b>:22F ::</b>	<b>CAMV//VOLU</b>	Voluntary/mandatory sigh -voluntary event, instructions are needed
<b>O</b>	<b>:98A ::</b>	<b>PREP//20090703</b>	Message preparation date/time
<b>O</b>	<i>Optional repetitive subsequence A1 Linkages</i>		

	<b>:16R :</b>	<b>LINK</b>	Block start
<b>O</b>	<b>:13A ::</b>	<b>LINK//564</b>	Previously sent message type
<b>M</b>	<b>:20C ::</b>	<b>PREV//LAGUYU0906080931</b>	Previously sent message reference
	<b>:16S :</b>	<b>LINK</b>	End of block
<b>O</b>	<i>End of subsequence A1 Linkages</i>		
<b>O</b>	<i>Optional repetitive subsequence A1 Linkages</i>		
	<b>:16R :</b>	<b>LINK</b>	Block start
<b>O</b>	<b>:13A ::</b>	<b>LINK//568</b>	Previously sent message type
<b>M</b>	<b>:20C ::</b>	<b>PREV//LAGUYU0905181455</b>	Previously send message linkage reference
	<b>:16S :</b>	<b>LINK</b>	End of block
<b>O</b>	<i>End of subsequence A1 Linkages</i>		
<b>O</b>	<i>End of subsequence A1 Linkages</i>		
	<b>:16S :</b>	<b>GENL</b>	End of block
<b>M</b>	<i>End of sequence A General information</i>		
<b>M</b>	<i>Mandatory sequence B CA Securities</i>		
	<b>:16R :</b>	<b>USECU</b>	Start block
<b>M</b>	<b>:35B :</b>	<b>ISIN RU000A0JPKH7 'RUSGIDRO, AOI or JSC RUSHYDRO</b>	Specification of financial instrument
<b>M</b>	<i>Mandatory repetitive subsequence B2 Account information</i>		
	<b>:16R :</b>	<b>ACCTINFO</b>	Start block
<b>M</b>	<b>:97A ::</b>	<b>SAFE//123456</b>	Depository account
<b>M</b>	<b>:93B ::</b>	<b>ELIG//UNIT/759696,</b>	CA balance
<b>O</b>	<b>:93B ::</b>	<b>SETT//UNIT/759696,</b>	Settlement date balance
<b>M</b>	<b>:93C ::</b>	<b>Or once SETT//UNIT/ELIG/759696,</b>	CA settlement date balance
	<b>:16S :</b>	<b>ACCTINFO</b>	End of block
<b>M</b>	<i>End of subsequence B2 Account information</i>		
	<b>:16S :</b>	<b>USECU</b>	End of block
<b>M</b>	<i>End of subsequence B CA Securities</i>		
<b>O</b>	<i>Optional subsequence D Corporate action details</i>		
	<b>:16R :</b>	<b>CADETL</b>	Start block
<b>O</b>	<b>:98A ::</b>	<b>ANOOU//20081117</b>	CA announcement date
<b>O</b>	<b>:98A ::</b>	<b>RDTE//20081008</b>	Balance record date/time
<b>O</b>	<b>:17B ::</b>	<b>CERT//Y</b>	Certification flag/ Providing list of owners - Preparing a list of owners is required
<b>O</b>	<b>:70E::</b>	<b>WEBB//WWW.DEPOXXX.RU/RU/DB/NE WS/ PRESS/ID384133</b>	Web-site - web-site link with additional information on the CA
	<b>:16S :</b>	<b>CADETL</b>	End of block
<b>O</b>	<i>End of subsequence D Corporate action details</i>		
<b>O</b>	<i>Mandatory sequence E Corporate action options</i>		
	<b>:16R:</b>	<b>CAOPTN</b>	Start block
<b>M</b>	<b>:13A::</b>	<b>CAON//001</b>	CA option type
<b>M</b>	<b>:22F::</b>	<b>CAOP//SECU</b>	CA option code -securities allocation
<b>O</b>	<b>:22F ::</b>	<b>CETI//FULL</b>	Certification type sign/list of owners -owner information – full list
<b>M</b>	<b>:17B ::</b>	<b>DFLT//N</b>	Processing by default flag -option is not used by default (instructions are necessary)
	<b>:98C::</b>	<b>MKDT//20090629170000</b>	Market deadline
	<b>:98C::</b>	<b>RDDT//20090615150000</b>	Account servicer deadline
<b>O</b>	<b>:69A::</b>	<b>PWAL//20090515/20090629</b>	Period of action - period of action for the offer
<b>O</b>	<i>Optional repetitive subsequence E1 Securities movement</i>		

	<b>:16R:</b>	<b>SECMOVE</b>	Start block
<b>M</b>	<b>:22H::</b>	<b>CRDB//DEBT</b>	Credit/Debit sign - Securities will be debited
<b>M</b>	<b>:35B:</b>	ISIN RU000A0JQES5 RU/1-01-55038-E-037D <b>'RUSGIDRO, AOI</b> or <b>JSC RUSHYDRO</b>	Specification of financial instrument
<b>O</b>	<b>:36B::</b>	<b>ENTL//UNIT/43919,</b>	Entitled unit
<b>O</b>	<b>:98A::</b>	<b>PAYD//20090706</b>	Payment date/time - operation in the registrar date/time
<b>O</b>	<b>:92D::</b>	<b>ADEX//0,0627416488067/1,</b>	Rate - additional to the current securities
	<b>:16S:</b>	<b>SECMOVE</b>	End of block
<b>O</b>	<u>End of subsequence E1 Securities movement</u>		
<b>O</b>	<u>Optional repetitive subsequence E2Cash movement</u>		
	<b>:16R:</b>	<b>CASHMOVE</b>	Start block
<b>M</b>	<b>:22H::</b>	<b>CRDB//CRED</b>	Credit/Debit sign - cash will be credited
<b>M</b>	<b>:98A::</b>	<b>PAYD//20090625</b>	Payment date/time
<b>M</b>	<b>:98A ::</b>	<b>VALU//20090625</b>	Valuation date/time
<b>O</b>	<b>:90B::</b>	<b>PRPP//ACTU/RUB1,00</b>	Price paid for product -price to be payed by owner
	<b>:16S:</b>	<b>CASHMOVE</b>	End of block
<b>O</b>	<u>End of subsequence E2 Cash movement</u>		
	<b>:16S:</b>	<b>CAOPTN</b>	End of block
<b>O</b>	<u>End of sequence E Corporate action option</u>		
<b>O</b>	<u>Optional repetitive sequence E Corporate action options</u>		
	<b>:16R:</b>	<b>CAOPTN</b>	Start block
<b>M</b>	<b>:13A::</b>	<b>CAON//002</b>	CA option number
<b>M</b>	<b>:22F::</b>	<b>CAOP//NOAC</b>	Corporate action option sign (code - no participation
<b>M</b>	<b>:17B::</b>	<b>DFLT//Y</b>	Default processing - option will be used by default (if no instructions were presented)
	<b>:16S:</b>	<b>CAOPTN</b>	End of block
<b>O</b>	<u>End of sequence E Corporate action options</u>		
<b>O</b>	<u>Optional sequence F Additional information</u>		
	<b>:16R:</b>	<b>ADDINFO</b>	Start block
<b>O</b>	<b>:70E::</b>	<b>PLEASE BE ADVISED THAT STATE REGISTRATION OF PLACEMENT REPORT BY FFSM. NEW SHARES IS EFFECTED ON JUNE 30 2009 AND NEW SHARES RU000A0JPKH7 /RU/1-01-55038-E-037D ARE ALLOWED FOR CIRCULATION STARTING FROM JULY 01 2009</b>	Narrative - additional narrative
<b>O</b>	<b>:70E::</b>	<b>PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU</b>	Narrative - contacts
	<b>:16S:</b>	<b>ADDINFO</b>	End of block
<b>O</b>	<u>End of sequence F Additional information</u>		



- b. If the purchase price of new shares is set after the period of prior option of purchase:
- Monitor setting of the price, sending MT564/REPL
  - Monitor transferring of funds, sending MT566/DEBT
  - Monitor depositing new shares, sending MT566/CRED indicating in field 70E:// restricting circulation of the securities before registration of the report on the results
  - MT 564/ACTV upon receipt of registration information report on the results of a new additional issue.
- c. In case the price of purchasing new shares is declared, the original of payment receipt is needed, this means that payment through Central Bank is completed.

**6. After payment is made depository sends to its clients Confirmation on corporate action (:22H::CRDB//DEBT).**

**MT566 – Corporate Action Confirmation (money transfer)**

Corporate action reference – CA HYDR140509

Sender's reference – LAGUYU0906080931

Sender of MT566 DEPORUMM

Receiver of MT566 BROCKRUMM

<b>M</b>	<i>Mandatory sequence A General information</i>	
	<b>:16R :</b>	<b>GENL</b> Start block
<b>M</b>	<b>:20C ::</b>	<b>CORP//CA HYDR140509</b> Corporate action reference
<b>M</b>	<b>:20C ::</b>	<b>SEME//LAGUYU0906300931</b> Sender reference
<b>O</b>	<b>:20C ::</b>	<b>COAF//RUCA HYDR140509</b> Official CA event reference
<b>M</b>	<b>:23G :</b>	<b>NEWM</b> Message function - new message
<b>M</b>	<b>:22F ::</b>	<b>CAEV//PRIO</b> CA event sign - priority issue
<b>O</b>	<b>:98A ::</b>	<b>PREP//20090706</b> Message preparation date/time
<b>O</b>	<i>Optional repetitive subsequence A1 Linkages</i>	
	<b>:16R :</b>	<b>LINK</b> Block start
<b>O</b>	<b>:13A ::</b>	<b>LINK//564</b> Previously sent message type
<b>M</b>	<b>:20C ::</b>	<b>PREV//LAGUYU0906300936</b> Previously sent message reference
	<b>:16S :</b>	<b>LINK</b> End of block
<b>O</b>	<i>End of subsequence A1 Linkages</i>	
	<b>:16S :</b>	<b>GENL</b> End of block
<b>M</b>	<i>End of sequence A General information</i>	
<b>M</b>	<i>Mandatory sequence B CA Securities</i>	
	<b>:16R :</b>	<b>USECU</b> Start block
<b>M</b>	<b>:97A ::</b>	<b>SAFE//123456</b> Safekeeping account
<b>M</b>	<b>:35B :</b>	<b>ISIN RU000A0JPKH7</b> <b>'RUSGIDRO, AOI</b> <b>or</b> <b>JSC RUSHYDRO</b> Specification of financial instrument
	<b>:16S :</b>	<b>USECU</b> End of block
<b>M</b>	<i>End of subsequence B CA Securities</i>	
<b>O</b>	<i>Mandatory sequence D Corporate action confirmation</i>	
	<b>:16R:</b>	<b>CACONF</b> Start block
<b>M</b>	<b>:13A::</b>	<b>CAON//001</b> CA option type
<b>M</b>	<b>:22F::</b>	<b>CAOP//SECU</b> CA option code

			-securities allocation
O	:22F ::	CETI//FULL	Certification type sign/list of owners -owner information – full list
M	:17B ::	DFLT//N	Processing by default flag -option is not used by default (instructions are necessary)
O	<i>Optional repetitive subsequence D2 Cash movement</i>		
	:16R:	CASHMOVE	Start block
M	:22H::	CRDB//CRED	Credit/Debit sign - cash will be credited
M	:19A::	PSTA//RUB40000,00	Amount on the account
M	:98A::	POST//20090625	Account posting date/time
O	:98B::	VALU//20090625	Valuation day/time
O	:90B::	PRPP//ACTU/RUB1,00	Price paid for product -price to be paid by owner
	:16S:	CASHMOVE	End of block
O	<i>End of subsequence D2 Cash movement</i>		
	:16S:	CACONF	End of block
O	<i>End of sequence D Corporate action confirmation</i>		
O	<i>Optional sequence F Additional information</i>		
	:16R:	ADDINFO	Start block
O	:70E::	PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU	Narrative – contacts
	:16S:	ADDINFO	End of block
O	<i>End of sequence F Additional information</i>		

7. After receiving information on depositing of new securities (statement from the registrar), the depository sends to its clients Confirmation on corporate action confirming lending of securities (MT566/CRED) stating in field 70E :// information to ban the circulation of securities to the registration of the report on the results of the issue.

### MT566 – Corporate Action Confirmation (securities depositing)

Corporate action reference – CA HYDR140509

Sender's reference – LAGUYU0906080931

Sender of MT566 DEPORUMM

Receiver of MT566 BROCKRUMM

M	<i>Mandatory sequence A General information</i>		
	:16R :	GENL	Start block
M	:20C ::	CORP//CA HYDR140509	Corporate action reference
M	:20C ::	SEME//LAGUYU0906300931	Sender reference
O	:20C ::	COAF//RUC A HYDR140509	Official CA event reference
M	:23G :	NEWM	Message function - new message
M	:22F ::	CAEV//PRIO	CA event sign - priority issue
O	:98A ::	PREP//20090706	Message preparation date/time

<b>O</b>	<u>Optional repetitive subsequence A1 Linkages</u>	
	<b>:16R :</b>	<b>LINK</b>
		Block start
<b>O</b>	<b>:13A ::</b>	<b>LINK//564</b>
		Previously sent message type
<b>M</b>	<b>:20C ::</b>	<b>PREV//LAGUYU0906300936</b>
		Previously sent message reference
	<b>:16S :</b>	<b>LINK</b>
		End of block
<b>O</b>	<u>End of subsequence A1 Linkages</u>	
	<b>:16S :</b>	<b>GENL</b>
		End of block
<b>M</b>	<u>End of sequence A General information</u>	
<b>M</b>	<u>Mandatory sequence B CA Securities</u>	
	<b>:16R :</b>	<b>USECU</b>
		Start block
<b>M</b>	<b>:97A ::</b>	<b>SAFE//123456</b>
		Safekeeping account
<b>M</b>	<b>:35B :</b>	<b>ISIN RU000A0JPKH7 'RUSGIDRO, AOI or JSC RUSHYDRO</b>
		Specification of financial instrument
<b>M</b>	<b>:93B ::</b>	<b>CONB//UNIT/759696,</b>
		Confirmed balance
	<b>:16S :</b>	<b>USECU</b>
		End of block
<b>M</b>	<u>End of subsequence B CA Securities</u>	
<b>O</b>	<u>Mandatory sequence D Corporate action confirmation</u>	
	<b>:16R:</b>	<b>CACONF</b>
		Start block
<b>M</b>	<b>:13A::</b>	<b>CAON//001</b>
		CA option type
<b>M</b>	<b>:22F::</b>	<b>CAOP//SECU</b>
		CA option code -securities allocation
<b>O</b>	<b>:22F ::</b>	<b>CETI//FULL</b>
		Certification type sign/list of owners -owner information – full list
<b>M</b>	<b>:17B ::</b>	<b>DFLT//N</b>
		Processing by default flag -option is not used by default (instructions are necessary)
<b>O</b>	<u>Optional repetitive subsequence D2 Securities movement</u>	
	<b>:16R:</b>	<b>SECMOVE</b>
		Start block
<b>M</b>	<b>:22H::</b>	<b>CRDB//DEBT</b>
		Credit/Debit sign - Securities will be debited
<b>M</b>	<b>:35B:</b>	<b>ISIN RU000A0JQES5 RU/1-01-55038-E-037D 'RUSGIDRO, AOI or JSC RUSHYDRO</b>
		Specification of financial instrument
<b>M</b>	<b>:36B::</b>	<b>PSTA//UNIT/40000,</b>
		Securities unit on the account
<b>M</b>	<b>:98A::</b>	<b>POST//20090706</b>
		Account posting date/time
<b>O</b>	<b>:98A::</b>	<b>PAYD//20090706</b>
		Payment date/time
<b>M</b>	<b>:98A::</b>	<b>AVAL//20090701</b>
		Availability date/time
	<b>:16S:</b>	<b>SECMOVE</b>
		End of block
<b>O</b>	<u>End of subsequence D2 Securities movement</u>	
	<b>:16S:</b>	<b>CACONF</b>
		End of block
<b>O</b>	<u>End of sequence D Corporate action confirmation</u>	
<b>O</b>	<u>Optional sequence F Additional information</u>	
	<b>:16R:</b>	<b>ADDINFO</b>
		Start block
<b>O</b>	<b>:70E::</b>	<b>NSER//PLEASE BE ADVISED THAT NEW SHARES WERE NOT ALLOWED FOR CIRCULATION BEFORE STATE REGISTRATION OF PLACEMENT REPORT BY FFSM ON JULY 01 2009</b>
		Narrative – limitations on the securities
<b>O</b>	<b>:70E::</b>	<b>PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99</b>
		Narrative – contacts

		<b>PAX NUMBER 7 (999) 999 99 99</b> <b>E MAIL ADDRESS BANKABC (AT)</b> <b>RPG.RU</b>	
	<b>:16S:</b>	<b>ADDINFO</b>	End of block
<b>O</b>	<u>End of sequence F Additional information</u>		

### **MT566 – Corporate Action Confirmation**

Corporate action reference – CA HYDR140509

Sender's reference – LAGUYU0906080932

Sender of MT566 DEPORUMM

Receiver of MT566 BROCKRUMM

<b>M</b>	<u>Mandatory sequence A General information</u>		
	<b>:16R :</b>	<b>GENL</b>	Start block
<b>M</b>	<b>:20C ::</b>	<b>CORP//CA HYDR140509</b>	Corporate action reference
<b>M</b>	<b>:20C ::</b>	<b>SEME//LAGUYU0906080932</b>	Sender reference
<b>O</b>	<b>:20C ::</b>	<b>COAF//RUCA HYDR140509</b>	Official CA event reference
<b>M</b>	<b>:23G :</b>	<b>NEWM</b>	Message function - new message
<b>M</b>	<b>:22F ::</b>	<b>CAEV//PRIO</b>	CA event sign - priority issue
<b>O</b>	<b>:98A ::</b>	<b>PREP//20090706</b>	Message preparation date/time
<b>O</b>	<u>Optional repetitive subsequence A1 Linkages</u>		
	<b>:16R :</b>	<b>LINK</b>	Block start
<b>O</b>	<b>:13A ::</b>	<b>LINK//564</b>	Previously sent message type
<b>M</b>	<b>:20C ::</b>	<b>PREV//LAGUYU0906300936</b>	Previously sent message reference
	<b>:16S :</b>	<b>LINK</b>	End of block
<b>O</b>	<u>End of subsequence A1 Linkages</u>		
	<b>:16S :</b>	<b>GENL</b>	End of block
<b>M</b>	<u>End of sequence A General information</u>		
<b>M</b>	<u>Mandatory sequence B CA Securities</u>		
	<b>:16R :</b>	<b>USECU</b>	Start block
<b>M</b>	<b>:97A ::</b>	<b>SAFE//123456</b>	Safekeeping account
<b>M</b>	<b>:35B :</b>	<b>ISIN RU000A0JPKH7</b> <b>'RUSGIDRO, AOI</b> <b>or</b> <b>JSC RUSHYDRO</b>	Specification of financial instrument
<b>M</b>	<b>:93B ::</b>	<b>CONB//UNIT/759696,</b>	Confirmed balance
	<b>:16S :</b>	<b>USECU</b>	End of block
<b>M</b>	<u>End of subsequence B CA Securities</u>		
<b>O</b>	<u>Mandatory sequence D Corporate action confirmation</u>		
	<b>:16R :</b>	<b>CACONF</b>	Start block
<b>M</b>	<b>:13A ::</b>	<b>CAON//001</b>	CA option type
<b>M</b>	<b>:22F ::</b>	<b>CAOP//SECU</b>	CA option code -securities allocation
<b>O</b>	<b>:22F ::</b>	<b>CETI//FULL</b>	Certification type sign/list of owners -owner information – full list
<b>M</b>	<b>:17B ::</b>	<b>DFLT//N</b>	Processing by default flag -option is not used by default (instructions are necessary)
<b>O</b>	<u>Optional repetitive subsequence D2 Securities movement</u>		
	<b>:16R :</b>	<b>SECMOVE</b>	Start block
<b>M</b>	<b>:22H ::</b>	<b>CRDB//DEBT</b>	Credit/Debit sign - Securities will be debited

M	:35B :	ISIN RU000A0JQES5 RU/1-01-55038-E-037D 'RUSGIDRO, AOI or JSC RUSHYDRO	Specification of financial instrument
M	:36B::	PSTA//UNIT/40000,	Securities unit on the account
M	:98A ::	POST//20090706	Account posting date/time
O	:98A ::	PAYD//20090706	Payment date/time
	:16S :	SECMOVE	End of block
O	<i>End of subsequence D1 Securities movement</i>		
O	<i>Optional repetitive subsequence D2 Cash movement</i>		
	:16R:	CASHMOVE	Start block
M	:22H::	CRDB//CRED	Credit/Debit sign - cash will be credited
M	:19A::	PSTA//RUB40000,00	Amount on the account
M	:98A::	POST//20090625	Account posting date/time
O	:98B::	VALU//20090625	Valuation day/time
O	:98A ::	PAYD//20090625	Payment date/time
O	:90B ::	PRPP//ACTU/RUB1,00	Price paid for product -price to be payed by owner
	:16S :	CASHMOVE	End of block
O	<i>End of subsequence D2 Cash movement</i>		
	:16S:	CACONF	End of block
O	<i>End of sequence D Corporate action confirmation</i>		
O	<i>Optional sequence F Additional information</i>		
	:16R:	ADDINFO	Start block
O	:70E::	NSER//PLEASE BE ADVISED THAT NEW SHARES WERE NOT ALLOWED FOR CIRCULATION BEFORE STATE REGISTRATION OF PLACEMENT REPORT BY FFSM ON JULY 01 2009	Narrative – limitations on the securities
O	:70E::	PACO//FOR ADDITIONAL INFORMATION PLEASE CONTACT OUR CUSTODY CA SUBGROUP PHONE NUMBER 7 (999) 999 99 99 PAX NUMBER 7 (999) 999 99 99 E MAIL ADDRESS BANKABC (AT) RPG.RU	Narrative – contacts
	:16S:	ADDINFO	End of block
O	<i>End of sequence F Additional information</i>		

**5.6 Example 6. Corporate Action Type – Repurchase from the shareholders their equities by the persons who owns more than 95% of the voting shares. (corporate action event indicator – TEND)**

**Definition**<sup>18</sup>

An offer to shareholders, usually from a third party to sell (on a tender) or exchange their shares.

**Initial terms:**

Acquisition from the shareholders their equities by the persons who obtains more than 95% of the voting shares (article 84.8 of the Federal Law “Company Law”).

-Issuer – OAO “uJNAa GTK-8”

Details of issue:

common stock

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<sup>18</sup> The given definition is pursuant to the official translation of SWIFT User Handbook.

ISIN RU000A0JNG48

State registration date May 19<sup>th</sup> 2005

National state registration number 1-01-34308-E

date as of which the list of holders whose securities are redeemed, registry blocking-08.05.2009

**Details of the purchase:**

Buyer – OOO “LUKOiL-VOLGOGRADNEFTEPERERABOTKA”

Price – 0,0398 rubles for one share

deadline for accepting applications from shareholders on the transfer of funds – 08.05.2009

Currency of payment – Russian ruble

Period of transferring securities – within 3 working days from the moment of receiving set of documents from the Issuer

In case a shareholder is not provided an order indicating the bank details or mailing address for money transfer of repurchased shares, funds will be transferred to the notary deposit.

**Additional terms:**

Safekeeping account number: 123456

Safekeeping account - 123456

Quantity of securities to 15.04.2009: 1000000

Quantity of securities on the date of the listing of registered persons: 1.000.000

Date of receiving by the depository information on the event and the documents, which are to be send to the clients – 15.04.2009

Deadline of instruction to the depository – 15.06.2009

**1. Notification of the possibility to send OOO “LUKOiL-VOLGOGRADNEFTEPERERABOTKA” an order indicating the bank details or for money transfer.**

Sender’s reference – SQUE8

Corporate action reference SQUE

**MT564 – Corporate Action Notification**

Sender of MT564 DEPORUMM

Receiver of MT564 BROCKRUMM

<b>M</b>	<i>Mandatory sequence A General information</i>		
	<b>:16R :</b>	<b>GENL</b>	Start block
<b>M</b>	<b>:20C ::</b>	<b>CORP//SQUE</b>	Corporate action reference
<b>M</b>	<b>:20C ::</b>	<b>SEME//SQUE8</b>	Sender reference
<b>O</b>	<b>:20C ::</b>	<b>COAF//RUTEND123</b>	Official CA event reference
<b>M</b>	<b>:23G :</b>	<b>NEWM</b>	Message function - new message
<b>M</b>	<b>:22F ::</b>	<b>CAEV//TEND</b>	CA event sign - priority issue
<b>M</b>	<b>:22F ::</b>	<b>CAMV//CHOS</b>	Voluntary/mandatory sigh -mandatory with tight of choice
<b>O</b>	<b>:98A ::</b>	<b>PREP//20090415</b>	Message preparation date/time
	<b>:16S :</b>	<b>GENL</b>	End of block
<b>M</b>	<i>Enf of sequence A General information</i>		
<b>M</b>	<i>Mandatory sequence B CA Securities</i>		
	<b>:16R :</b>	<b>USECU</b>	Start block
<b>M</b>	<b>:97A ::</b>	<b>SAFE//123456</b>	Safekeeping account
<b>M</b>	<b>:35B :</b>	<b>ISIN RU000A0JNG48</b>	Specification of financial instrument

		/RU/1-01-34308-E 'uJNAa GK TGK-8, AOI or OJSC SOUTHERN GC TGK-8 ORD SHS 01	
M	<i>Mandatory repetitive subsequence B2 Account information</i>		
	:16R :	ACCTINFO	Start block
M	:97A ::	SAFE//123456	Depository account
M	:93B ::	ELIG//UNIT/1000000,	CA balance
O	:93B ::	BLOK//UNIT/1000000,	Blocked unit to participate in corporate action
O	:93B ::	SETT//UNIT/1000000,	Settlement date balance
M	:93C ::	Or once SETT//UNIT/ELIG/1000000,	CA settlement date balance
	:16S :	ACCTINFO	End of block
M	<i>End of subsequence B2 Account information</i>		
	:16S :	USECU	End of block
M	<i>End of subsequence B CA Securities</i>		
O	<i>Optional subsequence D Corporate action details</i>		
	:16R:	CADETL	Start block
O	:98A::	ANOu//20090415	CA Date/Time Announcement
O	:98A::	RDTE//20090508	Data/Time of balance fixation
O	:22F::	OFFE//SQUE	Offer type
O	:70E::	OFFO//OOO LUKOIL- VOLGOGRADNEFTEPERERABOTKA	Organization which announced the offer
O	:70E::	WEBB//WWW.DEPOXXX.RU/RU/DB/NE WS/ PRESS/ID384177	Web site - Web site link with additional CA information
	:16S:	CADETL	End of block
O	<i>End of subsequence D Corporate action details</i>		
O	<i>Mandatory Repetitive Subsequence E Corporate action options</i>		
	:16R:	CAOPTN	Start block
M	:13A::	CAON//001	CA option type
M	:22F::	CAOP//CASH	Corporate action option sign (code) - allocation of securities
M	:17B::	DFLT//N	Flag of default processing - option is not used by default (instructions are required)
O	:98C::	RDDT//20090501	Deadline set by account servicer
O	:98C::	MKDT//20090508	Deadline set by the market
O	:69A::	PWAL//20090508/20090602	Validity - Offer validity
O	<i>Optional repetitive subsequence E1 Securities movement</i>		
	:16R :	SECMOVE	Start block
M	:22H ::	CRDB//DEBT	Credit/Debit sign - Securities will be debited
M	:35B :	ISIN RU000A0JNG48	Specification of financial instrument
O	:98A ::	PAYD//UKWN	Payment date/time
	:16S :	SECMOVE	End of block
O	<i>End of subsequence E1 Securities movement</i>		
O	<i>Optional repetitive subsequence E2 Cash movement</i>		
	:16R:	CASHMOVE	Start block
M	:22H::	CRDB//CRED	Credit/Debit sign - cash will be credited
O	:98A ::	PAYD//20090621	Payment date/time
O	:90B ::	OFFR//ACTU/RUB0,0398	Price paid for product - price to be paid by owner
	:16S :	CASHMOVE	End of block



<b>O</b>	<i>End of subsequence E2 Cash movement</i>	
<b>O</b>	<b>:70E::</b>	ADTX//CASH PROCEEDS SHALL BE TRANSFERRED TO THE NOTARY SET FORTH IN THE OFFER
		Narrative -additional narrative
<b>O</b>	<b>:70E::</b>	NSER//ALL ORDINARY STOCKS OF OJSC SOUTHERN GC TGC-8 SHALL BE BLOCKED STARTING FROM 08.05.2009
		Narrative -limitations on the securities
	<b>:16S:</b>	CAOPTN
		End of block
	<i>End of subsequence E Corporate action options</i>	
<b>O</b>	<i>Optional sequence F Additional information</i>	
	<b>:16R:</b>	ADDINFO
		Start block
<b>O</b>	<b>:70E::</b>	TXNR//MAND PURCHASE OFFER OF OJSC SOUTHERN GK TGC-8 ORDINARY STOCKS BY LLC LUKOIL- VOLGOGRADNEFTEPERERABOTKA WHICH HOLDS MORE THAN 95 PCT VOTING SHARES. SHAREHOLDERS THOSE REGISTERED ON 08.05.2009 SHALL RECEIVE CASH IN EXCHANGE FOR STOCKS.
		Narrative -text description
<b>O</b>	<b>:70E::</b>	ADTX//A HOLDER MAY FORWARD AN APPLICATION TO LLC LUKOIL- VOLGOGRADNEFTEPERERA BOTKA GIVING ITS BANKING DETAILS OR POSTAL ADDRESS SHOULD BE RECEIVED PRIOR TO 08.05.2009. IF SUCH APPLICATION RECEIVED AFTER 08.05.2009 OR CONTAINS UNCOMPLETE DETAILS THE CASH PROCEEDS SHALL FOLLOW TO THE NOTARY SET FORTH IN
		Narrative -additional narrative
<b>O</b>	<b>:70E::</b>	ADTX//THE OFFER, THE APPLICATION FORM, ADDRESS FOR SENDING OF APPLICATION AND ADDITIONAL DOCUMENTATION FOR THE EVENT YOU MAY FIND ON THE CUSTODY'S WEBSITE DEPOXXX.COM OR REQUEST FROM YOUR ACCOUNT MANAGER
		Narrative -additional narrative
<b>O</b>	<b>:70E::</b>	ADTX//PLEASE BE ADVISED THAT YOUR POSITION IS BLOCKED FOR SQUEEZE-OUT CASH PROCEEDS ARE EXPECTED WITHIN 25 DAYS FROM RDTE
		Narrative -additional text
<b>O</b>	<b>:70E::</b>	PACO//SHOULD YOU HAVE ANY QUESTIONS PLEASE CONTACT CUSTODY CORPORATE ACTIONS/REORG/DIVIDENDS TEL 7 495 725 76 97 FAX 7 495 725 76 99 EMAIL BANKDEPO (AT) DEPO.RU
		Narrative -contacts
	<b>:16S:</b>	ADDINFO
		End of block
<b>O</b>	<i>End of sequence F Additional information</i>	

## 2. Notification of the list of shareholders whose shares will be purchased (MT564/SQUE/REPL/).

In general, the depository account and/or the number of shares on deposit account may differ from those data, which were at the time of sending the first message.

### **MT564 – Corporate Action Notification**

Sender's reference – DSCL8

Corporate action reference – DSCLTEND8

Official corporate action reference – RUDSCL123

Sender of MT564 DEPORUMM

Receiver of MT564 BROCKRUMM

<b>M</b>	<u>Mandatory sequence A General information</u>	
	<b>:16R :</b>	<b>GENL</b> Start block
<b>M</b>	<b>:20C ::</b>	<b>CORP//DSCLTEND8</b> Corporate action reference
<b>M</b>	<b>:20C ::</b>	<b>SEME//DSCL8</b> Sender reference
<b>O</b>	<b>:20C ::</b>	<b>COAF//RUDSCL123</b> Official CA event reference
<b>M</b>	<b>:23G :</b>	<b>NEWM</b> Message function - new message
<b>M</b>	<b>:22F ::</b>	<b>CAEV//DSCL</b> CA event sign - priority issue
<b>M</b>	<b>:22F ::</b>	<b>CAMV//MAND</b> Voluntary/mandatory sigh -mandatory with tight of choice
<b>O</b>	<b>:98A ::</b>	<b>PREP//20090508</b> Message preparation date/time
<b>M</b>	<b>:25D::</b>	<b>PROC//COMP</b> Processing status - complete information
<b>O</b>	<u>Optional Repetitive Subsequence A1 Linkages</u>	
	<b>:16R:</b>	<b>LINK</b> Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//SQUE</b> Previously send message on repurchase reference
	<b>:16S:</b>	<b>LINK</b> End of block
<b>O</b>	<u>End of Subsequence A1 Linkages</u>	
	<b>:16S:</b>	<b>GENL</b> End of block
<b>M</b>	<u>End of Subsequence A General information</u>	
<b>M</b>	<u>Optional Subsequence B CA Securities</u>	
	<b>:16R:</b>	<b>USECU</b> Start block
<b>M</b>	<b>:35B:</b>	<b>ISIN RU000A0JNG48</b> <b>/RU/1-01-34308-E</b> <b>'uJNAa GK TGK-8, AOI</b> <b>or</b> <b>OJSC SOUTHERN GC TGK-8</b> <b>ORD SHS 01</b> Specification of financial instrument
<b>M</b>	<u>Mandatory Repetitive Subsequence B2 Account information</u>	
	<b>:16R:</b>	<b>ACCTINFO</b> Start block
<b>M</b>	<b>:97A::</b>	<b>SAFE//123456</b> Depository account
<b>O</b>	<b>:93B::</b>	<b>ELIG//UNIT/1000000,</b> Balance
<b>O</b>	<b>:93B ::</b>	<b>BLOK//UNIT/1000000,</b>
<b>O</b>	<b>:93B ::</b>	<b>SETT//UNIT/1000000,</b> Settlement date balance
<b>M</b>	<b>:93C ::</b>	<b>Or once</b> <b>SETT//UNIT/ELIG/1000000,</b> CA settlement date balance
<b>O</b>	<b>:93C::</b>	<b>or once</b> <b>SETT//UNIT/ELIG/1500,</b> CA balance to actual date of settlement
	<b>:16S:</b>	<b>ACCTINFO</b> End of block
<b>M</b>	<u>End of subsequence B2 Account information</u>	
	<b>:16S:</b>	<b>USECU</b> End of block
<b>M</b>	<u>End of subsequence B CA Securities</u>	
<b>O</b>	<u>Optional subsequence D Corporate action details</u>	
	<b>:16R:</b>	<b>CADETL</b> Start block
<b>O</b>	<b>:98A::</b>	<b>ANOU//20090227</b> CA Date/Time Announcement
<b>O</b>	<b>:98A::</b>	<b>RDTE//20090306</b> Data/Time of balance fixation
<b>O</b>	<b>:17B::</b>	<b>CERT//Y</b> Certification flag/ Providing list of owners - Preparing a list of owners is required

O	:70E::	WEBB//WWW.DEPOXXX.RU/RU/DB/NE WS/ PRESS/ID384133	Web site - Web site link with additional CA information
	:16S:	CADETL	End of block
O	<u>End of subsequence D Corporate action details</u>		
	:16S :	GENL	End of block
M	<u>End of sequence A General information</u>		
M	<u>Mandatory sequence B CA Securities</u>		
	:16R :	USECU	Start block
M	:97A ::	SAFE//123456	Safekeeping account
M	:35B :	ISIN RU000A0JNG48 /RU/1-01-34308-E 'uJNAa GK TGK-8, AOI or OJSC SOUTHERN GC TGK-8 ORD SHS 01	Specification of financial instrument
M	<u>Mandatory repetitive subsequence B2 Account information</u>		
	:16R :	ACCTINFO	Start block
M	:97A ::	SAFE//123456	Depository account
M	:93B ::	ELIG//UNIT/1000000,	CA balance
O	:93B ::	BLOK//UNIT/1000000,	
O	:93B ::	SETT//UNIT/1000000,	Settlement date balance
M	:93C ::	Or once SETT//UNIT/ELIG/1000000,	CA settlement date balance
	:16S :	ACCTINFO	End of block
M	<u>End of subsequence B2 Account information</u>		
	:16S :	USECU	End of block
M	<u>End of subsequence B CA Securities</u>		
O	<u>Optional subsequence D Corporate action details</u>		
	:16R:	CADETL	Start block
O	:98A::	ANOI//20090415	CA Date/Time Announcement
O	:98A::	RDTE//20090508	Data/Time of balance fixation
O	:17B::	CERT//Y	Certification flag/ Providing list of owners - Preparing a list of owners is required
O	:70E::	WEBB//WWW.DEPOXXX.RU/RU/DB/NE WS/ PRESS/ID384177	Web site - Web site link with additional CA information
	:16S:	CADETL	End of block
O	<u>End of subsequence D Corporate action details</u>		
O	<u>Optional sequence F Additional information</u>		
	:16R:	ADDINFO	Start block
O	:70E::	ADTX//DISCLOSURE IS LINKED TO SQUEEZE OUT OFFER ESTABLISHED BY 'OOO LUKOIL VOLGOGRADNEFTEPERERABOTKA	Narrative -additional narrative
	:16S:	ADDINFO	End of block
O	<u>End of sequence F Additional information</u>		

### **MT564 – Corporate Action Notification**

Sender's reference – SQUE85

Corporate action reference – SQUE

Official corporate action reference – RUDSCL123

Sender of MT564 DEPORUMM

<b>M</b>	<i>Mandatory sequence A General information</i>	
	<b>:16R :</b>	<b>GENL</b> Start block
<b>M</b>	<b>:20C ::</b>	<b>CORP//SQUE</b> Corporate action reference
<b>M</b>	<b>:20C ::</b>	<b>SEME//SQUE85</b> Sender reference
<b>O</b>	<b>:20C ::</b>	<b>COAF//RUTEND123</b> Official CA event reference
<b>M</b>	<b>:23G :</b>	<b>REPL</b> Message function - replacing message
<b>M</b>	<b>:22F ::</b>	<b>CAEV//TEND</b> CA event sign - priority issue
<b>M</b>	<b>:22F ::</b>	<b>CAMV//CHOS</b> Voluntary/mandatory sigh -mandatory with tight of choice
<b>O</b>	<b>:98A ::</b>	<b>PREP//20090509</b> Message preparation date/time
<b>M</b>	<b>:25D::</b>	<b>PROC//PREC</b> Processing status
<b>O</b>	<i>Optional Repetitive Subsequence A1 Linkages</i>	
	<b>:16R:</b>	<b>LINK</b> Start block
<b>O</b>	<b>:13A::</b>	<b>LINK//564</b> Linked message type
<b>M</b>	<b>:20C::</b>	<b>PREV//DSCL8</b> Linked Message Reference
	<b>:16S:</b>	<b>LINK</b> End of block
<b>O</b>	<i>End of Subsequence A1 Linkages</i>	
<b>O</b>	<i>Optional Repetitive Subsequence A1 Linkages</i>	
	<b>:16R:</b>	<b>LINK</b> Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//DSCLTEND8</b> Linked CA reference – general meeting
	<b>:16S:</b>	<b>LINK</b> End of block
<b>O</b>	<i>End of Subsequence A1 Linkages</i>	
<b>O</b>	<i>Optional Repetitive Subsequence A1 Linkages</i>	
	<b>:16R:</b>	<b>LINK</b> Start block
<b>O</b>	<b>:13A::</b>	<b>LINK//564</b> Linked message type
<b>M</b>	<b>:20C::</b>	<b>PREV//SQUE8</b> Linked Message Reference
	<b>:16S:</b>	<b>LINK</b> End of block
<b>O</b>	<i>End of Subsequence A1 Linkages</i>	
	<b>:16S :</b>	<b>GENL</b> End of block
<b>M</b>	<i>Enf of sequence A General information</i>	
<b>M</b>	<i>Mandatory sequence B CA Securities</i>	
	<b>:16R :</b>	<b>USECU</b> Start block
<b>M</b>	<b>:35B :</b>	<b>ISIN RU000A0JNG48</b> <b>/RU/1-01-34308-E</b> <b>'uJNAa GK TGK-8, AOI</b> <b>or</b> <b>OJSC SOUTHERN GC TGK-8</b> <b>ORD SHS 01</b> Specification of financial instrument
<b>M</b>	<i>Mandatory repetitive subsequence B2 Account information</i>	
	<b>:16R :</b>	<b>ACCTINFO</b> Start block
<b>M</b>	<b>:97A ::</b>	<b>SAFE//123456</b> Depository account
<b>M</b>	<b>:93B ::</b>	<b>ELIG//UNIT/1000000,</b> CA balance
<b>O</b>	<b>:93B ::</b>	<b>BLOK//UNIT/1000000,</b> Blocked unit to participate in corporate action
<b>O</b>	<b>:93B ::</b>	<b>SETT//UNIT/1000000,</b> Settlement date balance
<b>M</b>	<b>:93C ::</b>	<b>Or once</b> <b>SETT//UNIT/ELIG/1000000,</b> CA settlement date balance
	<b>:16S :</b>	<b>ACCTINFO</b> End of block
<b>M</b>	<i>Enf of subsequence B2 Account information</i>	
	<b>:16S :</b>	<b>USECU</b> End of block
<b>M</b>	<i>End of subsequence B CA Securities</i>	
<b>O</b>	<i>Optional subsequence D Corporate action details</i>	
	<b>:16R:</b>	<b>CADETL</b> Start block

O	:98A::	ANO//20090415	CA Date/Time Announcement
O	:98A::	RDTE//20090508	Data/Time of balance fixation
O	:17B::	CERT//Y	Certification flag/ Providing list of owners - Preparing a list of owners is required
O	:22F::	OFFE//SQUE	Offer type
O	:70E::	OFFO// <b>OOO LUKOIL- VOLGOGRADNEFTEPERERABOTKA</b>	Organization which announced the offer
O	:70E::	WEBB//WWW.DEPOXXX.RU/RU/DB/NEWS/ PRESS/ID384177	Web site - Web site link with additional CA information
	:16S:	CADETL	End of block
O	<i>End of subsequence D Corporate action details</i>		
O	<i>Mandatory Repetitive Subsequence E Corporate action options</i>		
	:16R:	CAOPTN	Start block
M	:13A::	CAON//001	CA option type
M	:22F::	CAOP//CASH	Corporate action option sign (code) - allocation of securities
O	:22F::	CETI//FULL	Certification type sign/ list of owners - information about the owner - full list
M	:17B::	DFLT//N	Flag of default processing - option is not used by default (instructions are required)
O	:69A::	PWAL//20090508/20090602	Validity - Offer validity
O	<i>Optional repetitive subsequence E1 Securities movement</i>		
	:16R :	SECMOVE	Start block
M	:22H ::	CRDB//DEBT	Credit/Debit sign - Securities will be debited
M	:35B :	ISIN RU000A0JNG48	Specification of financial instrument
O	:98A ::	PAYD//UKWN	Payment date/time
	:16S :	SECMOVE	End of block
O	<i>End of subsequence E1 Securities movement</i>		
O	<i>Optional repetitive subsequence E2 Cash movement</i>		
	:16R:	CASHMOVE	Start block
M	:22H::	CRDB//CRED	Credit/Debit sign - cash will be credited
O	:98A ::	PAYD//201206221	Payment date/time
O	:90B ::	OFFR//ACTU/RUB0,0398	Price paid for product -price to be paid by owner
	:16S :	CASHMOVE	End of block
O	<i>End of subsequence E2 Cash movement</i>		
O	:70E::	ADTX//CASH PROCEEDS WILL BE SENT IN ACCORDANCE WITH A SHAREHOLDER'S APPLICATION SUBMITTED TO LLC LUKOIL-VOLGOGRADNEFTEPERERABOTKA WITH BANKING DETAILS OR POSTAL ADDRESS	Narrative -additional narrative
O	:70E::	NSER//ALL ORDINARY STOCKS OF OJSC SOUTHERN GC TGC-8 SHALL BE BLOCKED STARTING FROM 08.05.2009	Narrative -limitations on the securities
	:16S:	CAOPTN	End of block
	<i>End of subsequence E Corporate action options</i>		
O	<i>Optional repetitive sequence E Corporate action options</i>		
	:16R:	CAOPTN	Start block
M	:13A::	CAON//002	CA option number
M	:22F::	CAOP//NOAC	CA option sign (code) - no participation

M	:17B::	DFLT//Y	Processing flag by default - the option is used by default (if no instructions are provided)
O	<i>Optional repetitive subsequence E1 Securities movement</i>		
	:16R :	SECMOVE	Start block
M	:22H ::	CRDB//DEBT	Credit/Debit sign - Securities will be debited
M	:35B :	ISIN RU000A0JNG48	Specification of financial instrument
O	:98A ::	PAYD//UKWN	Payment date/time
	:16S :	SECMOVE	End of block
O	<i>End of subsequence E1 Securities movement</i>		
O	<i>Optional repetitive subsequence E2 Cash movement</i>		
	:16R:	CASHMOVE	Start block
M	:22H::	CRDB//CRED	Credit/Debit sign - cash will be credited
O	:98A ::	PAYD//201206221	Payment date/time
O	:98B ::	VALU//UKWN	Valuation date/time
O	:98B ::	EARL//UKWN	Payment date/time
O	:90B ::	OFFR//ACTU/RUB0,0398	Price paid for product -price to be payed by owner
	:16S :	CASHMOVE	End of block
O	<i>End of subsequence E2 Cash movement</i>		
O	:70E::	ADTX//CASH PROCEEDS SHALL BE TRANSFERRED TO THE NOTARY SET FORTH IN THE OFFER	Narrative - additional text
O	:70E::	NSER//ALL ORDINARY STOCKS OF OJSC SOUTHERN GC TGC-8 SHALL BE BLOCKED STARTING FROM 08.05.2009	Narrative - limitations on the securities
	:16S:	CAOPTN	End of block
	<i>End of subsequence E Corporate action options</i>		
O	<i>Optional sequence F Additional information</i>		
	:16R:	ADDINFO	Start block
O	:70E::	TXNR//MAND PURCHASE OFFER OF OJSC SOUTHERN GK TGC-8 ORDINARY STOCKS BY LLC LUKOIL- VOLGOGRADNEFTEPERERABOTKA WHICH HOLDS MORE THAN 95 PCT VOTING SHARES. SHAREHOLDERS THOSE REGISTERED ON 08.05.2009 SHALL RECEIVE CASH IN EXCHANGE FOR STOCKS.	Narrative -text description
O	:70E::	ADTX//A HOLDER MAY FORWARD AN APPLICATION TO LLC LUKOIL- VOLGOGRADNEFTEPERERA BOTKA GIVING ITS BANKING DETAILS OR POSTAL ADDRESS SHOULD BE RECEIVED PRIOR TO 08.05.2009. IF SUCH APPLICATION RECEIVED AFTER 08.05.2009 OR CONTAINS UNCOMPLETE DETAILS THE CASH PROCEEDS SHALL FOLLOW TO THE NOTARY SET FORTH IN	Narrative -additional narrative
O	:70E::	ADTX//THE OFFER, THE APPLICATION FORM, ADDRESS FOR SENDING OF APPLICATION AND ADDITIONAL DOCUMENTATION FOR THE EVENT YOU MAY FIND ON THE CUSTODY'S WEBSITE DEPOXXX.COM OR REQUEST FROM YOUR ACCOUNT MANAGER	Narrative -additional narrative
O	:70E::	ADTX//PLEASE BE ADVISED THAT YOUR POSITION IS BLOCKED FOR SQUEEZE-OUT	Narrative -additional text

		<b>CASH PROCEEDS ARE EXPECTED WITHIN 25 DAYS FROM RDTE</b>	
<b>O</b>	<b>:70E::</b>	<b>PACO//SHOULD YOU HAVE ANY QUESTIONS PLEASE CONTACT CUSTODY CORPORATE ACTIONS/REORG/DIVIDENDS TEL 7 495 725 76 97 FAX 7 495 725 76 99 EMAIL BANKDEPO (AT) DEPO.RU</b>	Narrative -contacts
	<b>:16S:</b>	<b>ADDINFO</b>	End of block
<b>O</b>	<u>End of sequence F Additional information</u>		

In addition it is possible to send MT544 and MT546 blocking shares on the safekeeping account.

**3. Completion of corporate action – upon receipt of notification from the registrar on securities book out based on corporate action the depository sends to its clients corporate action Confirmation stating securities book out from depo account (MT566).**

Money transferred by entity who bought out the securities directly to the account of the client (the postal address), or deposit the notary. Upon notification on securities book out from the registrar based on corporate action securities are booked out to depository from the client's account. Confirmation on corporate action is sent to the account owner. Information about the cash flows in the confirmation is not indicated.

The securities under corporate action - ISIN RU000A0JNG48

**MT566 – Corporate Action Confirmation**

Corporate action reference – SQUE

Official corporate action reference – RUTEND123

Sender's reference – SQUE91

Message reference MT564 – FDIW/MSNG/50

Sender of MT566 DEPORUMM

Receiver of MT566 BROCKRUMM

<b>M</b>	<u>Mandatory sequence A General information</u>		
	<b>:16R :</b>	<b>GENL</b>	Start block
<b>M</b>	<b>:20C ::</b>	<b>CORP//SQUE</b>	Corporate action reference
<b>M</b>	<b>:20C ::</b>	<b>SEME//SQUE91</b>	Sender reference
<b>O</b>	<b>:20C ::</b>	<b>COAF//RUTEND123</b>	Official CA event reference
<b>M</b>	<b>:23G :</b>	<b>NEWM</b>	Message function - new message
<b>M</b>	<b>:22F ::</b>	<b>CAEV//TEND</b>	CA event sign - priority issue
<b>O</b>	<b>:98A ::</b>	<b>PREP//20090525</b>	Message preparation date/time
<b>O</b>	<u>Optional Repetitive Subsequence A1 Linkages</u>		
	<b>:16R:</b>	<b>LINK</b>	Start block
<b>O</b>	<b>:13A::</b>	<b>LINK//564</b>	Linked message type
<b>M</b>	<b>:20C::</b>	<b>PREV//SQUE85</b>	Linked Message Reference
	<b>:16S:</b>	<b>LINK</b>	End of block
<b>O</b>	<u>End of Subsequence A1 Linkages</u>		
<b>O</b>	<u>Optional Repetitive Subsequence A1 Linkages</u>		

	<b>:16R:</b>	<b>LINK</b>	Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//DSCLTEND8</b>	Linked CA reference – general meeting
	<b>:16S:</b>	<b>LINK</b>	End of block
<b>O</b>	<u>End of Subsequence A1 Linkages</u>		
	<b>:16S :</b>	<b>GENL</b>	End of block
<b>M</b>	<u>End of sequence A General information</u>		
<b>M</b>	<u>Mandatory sequence B CA Securities</u>		
	<b>:16R :</b>	<b>USECU</b>	Start block
<b>M</b>	<b>:97A ::</b>	<b>SAFE//123456</b>	Safekeeping account
<b>M</b>	<b>:35B :</b>	<b>ISIN RU000A0JNG48 /RU/1-01-34308-E 'uJNAa GK TGK-8, AOI or OJSC SOUTHERN GC TGK-8 ORD SHS 01</b>	Specification of financial instrument
<b>M</b>	<b>:93B ::</b>	<b>CONB//UNIT/1000000,</b>	Confirmed balance
	<b>:16S :</b>	<b>USECU</b>	End of block
<b>M</b>	<u>End of subsequence B CA Securities</u>		
<b>O</b>	<u>Optional subsequence C Corporate action details</u>		
	<b>:16R:</b>	<b>CADETL</b>	Start block
<b>O</b>	<b>:98A::</b>	<b>RDTE//20090508</b>	Data/Time of balance fixation
<b>O</b>	<b>:70E::</b>	<b>OFFO//''OOO LUKOIL- VOLGOGRADNEFTEPERERABOTKA</b>	Organization which announced the offer
	<b>:16S:</b>	<b>CADETL</b>	End of block
<b>O</b>	<u>End of subsequence C Corporate action details</u>		
<b>O</b>	<u>Mandatory Repetitive Subsequence D Corporate action confirmation</u>		
	<b>:16R:</b>	<b>CACONF</b>	Start block
<b>M</b>	<b>:13A::</b>	<b>CAON//001</b>	CA option type
<b>M</b>	<b>:22F::</b>	<b>CAOP//CASH</b>	Corporate action option sign (code) - receiving of cash
<b>M</b>	<b>:17B::</b>	<b>DFLT//N</b>	Flag of default processing - option is not used by default (instructions arerequired)
<b>O</b>	<u>Optional repetitive subsequence D1 Securities movement</u>		
	<b>:16R :</b>	<b>SECMOVE</b>	Start block
<b>M</b>	<b>:22H ::</b>	<b>CRDB//DEBT</b>	Credit/Debit sign - Securities will be debited
<b>M</b>	<b>:35B :</b>	<b>ISIN RU000A0JNG48</b>	Specification of financial instrument
<b>O</b>	<b>:98A ::</b>	<b>PSTA//UNIT/1000000,</b>	Payment date/time
<b>M</b>	<b>:98B ::</b>	<b>POST//20090524</b>	Account posting date/time
	<b>:16S :</b>	<b>SECMOVE</b>	End of block
<b>O</b>	<u>End of subsequence D1 Securities movement</u>		
<b>O</b>	<u>Optional repetitive subsequence D2 Cash movement</u>		
	<b>:16R:</b>	<b>CASHMOVE</b>	Start block
<b>M</b>	<b>:22H::</b>	<b>CRDB//CRED</b>	Credit/Debit sign - cash will be credited
<b>M</b>	<b>:19A ::</b>	<b>PSTA//RUB39800,</b>	Amount on the account
<b>O</b>	<b>:98A ::</b>	<b>PAYD//201206221</b>	Payment date/time
<b>O</b>	<b>:98B ::</b>	<b>VALU//UKWN</b>	Valuation date/time
<b>O</b>	<b>:90B ::</b>	<b>OFFR//ACTU/RUB0,0398</b>	Price paid for product -price to be payed by owner
	<b>:16S :</b>	<b>CASHMOVE</b>	End of block
<b>O</b>	<u>End of subsequence D2 Cash movement</u>		
	<b>:16S:</b>	<b>CACONE</b>	End of block
<b>O</b>	<u>End of sequence D corporate action confirmation</u>		
<b>O</b>	<b>:70E::</b>	<b>ADTX//CASH PROCEEDS WILL BE SENT IN ACCORDANCE WITH A SHAREHOLDER'S</b>	Narrative -additional narrative



		APPLICATION SUBMITTED TO LLC LUKOIL- VOLGOGRADNEFTEPERERABOTKA WITH BANKING DETAILS OR POSTAL ADDRESS	
O	:70E::	PACO//SHOULD YOU HAVE ANY QUESTIONS PLEASE CONTACT CUSTODY CORPORATE ACTIONS/REORG/DIVIDENDS TEL 7 495 725 76 97 FAX 7 495 725 76 99 EMAIL BANKDEPO (AT) DEPO.RU	Narrative -contacts
	:16S:	ADDINFO	End of block
O	<i>End of sequence F Additional information</i>		

### 5.7 Example 7. Corporate Action Type – Booking out of worthless securities due to liquidation of the issuer (corporate action event indicator – WRTH)

#### **Definition**<sup>19</sup>

Booking out of worthless securities.

-Issuer – OAO “FOND DOLGOSROcNYH SBEREJENii”

Security – common stock

common stock

ISIN RU0009843247

State registration date 07.09.2000

National state registration number 1-06-30039D

Sender’s reference – FDIW/MSNG/50

Corporate action reference WRTHFDI123

<sup>19</sup> The given definition is pursuant to the official translation of SWIFT User Handbook.

Corporate action securities - OAO "FOND DOLGOSROcNYH SBEREJENI" ISIN RU0009843247

Type of corporate event - Booking out of valueless securities

**1. Upon receipt of information on corporate action of booking out of worthless securities the depository sends to its clients Notification on corporate action (MT564/NEWM/WRTH).**

**MT564 – Corporate Action Notification**

Corporate action reference WRTHFDI123

Corporate action official reference – RUWRTH1123

Sender's reference – FDIW/MSNG/50

Sender of MT564 DEPORUMM

Receiver of MT564 BROCKRUMM

<b>M</b>	<i>Mandatory sequence A General information</i>	
	<b>:16R:</b>	<b>GENL</b>
		Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//WRTHFDI123</b>
		Corporate action reference
<b>M</b>	<b>:20C::</b>	<b>SEME//FDIW/MSNG/50</b>
		Sender reference
<b>O</b>	<b>:20C::</b>	<b>COAF//RUWRTHI123</b>
		Official CA event reference
<b>M</b>	<b>:23G:</b>	<b>NEWM</b>
		Message function - new message
<b>M</b>	<b>:22F::</b>	<b>CAEV//WRTH</b>
		Corporate action event indicator - repurchase offer (Repurchase offer/Issuer Bid/ Reverse Rights)
<b>M</b>	<b>:22F::</b>	<b>CAMV//MAND</b>
		Voluntary indicator/mandatory - mandatory
<b>O</b>	<b>:98A::</b>	<b>PREP//201005116</b>
		Preparation Date/Time
	<b>:16S:</b>	<b>GENL</b>
		End of block
<b>M</b>	<i>End of Subsequence A General information</i>	
<b>M</b>	<i>Optional Subsequence B CA Securities</i>	
	<b>:16R:</b>	<b>USECU</b>
		Start block
<b>M</b>	<b>:35B:</b>	<b>ISIN RU0009843247 /RU/1-06-30039-D 'OAO FOND DOLGOSROcNIH SBEREJENI</b>
		Specification of financial instrument
<b>M</b>	<i>Mandatory Repetitive Subsequence B2 Account information</i>	
	<b>:16R:</b>	<b>ACCTINFO</b>
		Start block
<b>M</b>	<b>:97A::</b>	<b>SAFE//123456</b>
		Depository account
<b>M</b>	<b>:93B ::</b>	<b>ELIG//UNIT/63,</b>
		Balance under corporate action
<b>O</b>	<b>:93B::</b>	<b>SETT//UNIT/63,</b>
		Balance
<b>O</b>	<b>:93C::</b>	<b>or once SETT//UNIT/ELIG/63,</b>
		CA balance to actual date of settlement
	<b>:16S:</b>	<b>ACCTINFO</b>
		End of block
<b>M</b>	<i>End of subsequence B2 Account information</i>	
	<b>:16S:</b>	<b>USECU</b>
		End of block
<b>M</b>	<i>End of subsequence B CA Securities</i>	
<b>O</b>	<i>Optional subsequence D Corporate action details</i>	
	<b>:16R:</b>	<b>CADETL</b>
		Start block
<b>O</b>	<b>:98A::</b>	<b>RDTE//20081014</b>
		Data/Time of balance fixation
	<b>:16S:</b>	<b>CADETL</b>
		End of block
<b>O</b>	<i>End of subsequence D Corporate action details</i>	
<b>O</b>	<i>Mandatory Repetitive Subsequence E Corporate action options</i>	

	:16R:	CAOPTN	Start block
M	:13A::	CAON//001	CA option type
M	:22F::	CAOP//LAPS	Corporate action option sign (code)
M	:17B::	DFLT//Y	Flag of default processing - option is used by default (if no instructions are provided)
O	<i>Optional repetitive subsequence E1 Securities movement</i>		
	:16R:	SECMOVE	Start block
M	:22H::	CRDB//DEBT	Payment sign/withdrawal - securities withdrawal
M	:35B:	ISIN RU0009843247 /RU/1-06-30039-D 'OAO FOND DOLGOSROcNIH SBEREJENII	Financial instrument determination
O	:36B::	ENTL//UNIT/63,	Securities unit
M	:98B::	PAYD//20090226	Payment Date/Time
	:16S:	SECMOVE	End of block
O	<i>End of subsequence E1 Securities movement</i>		
	:16S:	CAOPTN	End of block
O	<i>End of sequence E Corporate action options</i>		
O	<i>Optional sequence F Additional information</i>		
	:16R:	ADDINFO	Start block
O	:70E::	TXNR//AS A RESULT OF LIQUIDATION OF ISSUER OAO FOND DOLGOSROCNIH SBEREJENII	Narrative - additional narrative
O	:70E::	PACO//SHOULD YOU HAVE ANY QUESTIONS PLEASE CONTACT NAME PETROVA MARIA TEL 7 495 241 43 44 FAX 7 495 916 38 41 EMAIL PETROVA (AT) DEPO.RU	Narrative - contact information
	:16S:	ADDINFO	End of block
O	<i>End of sequence F Additional information</i>		

**2. Upon receipt from the registrar of the report on results of booking out of worthless securities based on liquidation of the issuer in the registrar the depository sends Confirmation on the corporate action (MT566)/**

Upon receipt of report from the registrar on the results of booking out of worthless securities based on liquidation of the issuer in the registrar the depository (BIC DEPORUMM) reflects the operation and sends to the account owner ABC Broker (BIC BROKRUMM) confirmation which contains confirmation of the corporate action for depo account 123456 on the securities of 'OAO FOND DOLGOSROcNIH SBEREJENII ISIN RU0009843247.

Sender's reference – FDIW/MSNG/51

Corporate action reference WRTHFDI123

MT564 message reference – FDIW/MSNG/50

Corporate action securities - OAO "FOND DOLGOSROcNYH SBEREJENII" ISIN RU0009843247

Corporate action type – booking out of worthless securities

Date of operation in registrar – October 14<sup>th</sup>, 2008

Date of operation in custody – February 26, 2009

**MT566 – Corporate Action Confirmation**

Corporate action reference WRTHFDI123

Sender's reference – FDIW/MSNG/51

Sender of MT566 DEPORUMM

Receiver of MT566 BROCKRUMM

<b>M</b>	<u>Mandatory sequence A General information</u>	
	<b>:16R :</b>	<b>GENL</b> Start block
<b>M</b>	<b>:20C ::</b>	<b>CORP//WRTHFDI123</b> Corporate action reference
<b>M</b>	<b>:20C ::</b>	<b>SEME//FDIW/MSNG/51</b> Sender reference
<b>O</b>	<b>:20C ::</b>	<b>COAF//RUWRTH1123</b> Official CA event reference
<b>M</b>	<b>:23G :</b>	<b>NEWM</b> Message function - new message
<b>M</b>	<b>:22F ::</b>	<b>CAEV//WRTH</b> CA event sign - priority issue
<b>O</b>	<u>Optional Repetitive Subsequence A1 Linkages</u>	
	<b>:16R:</b>	<b>LINK</b> Start block
<b>O</b>	<b>:13A::</b>	<b>LINK//564</b> Linked message type
<b>M</b>	<b>:20C::</b>	<b>PREV//FDIW/MSNG/50</b> Previously sent Linked Message Reference
	<b>:16S:</b>	<b>LINK</b> End of block
<b>O</b>	<u>End of Subsequence A1 Linkages</u>	
	<b>:16S :</b>	<b>GENL</b> End of block
<b>M</b>	<u>End of sequence A General information</u>	
<b>M</b>	<u>Mandatory sequence B CA Securities</u>	
	<b>:16R :</b>	<b>USECU</b> Start block
<b>M</b>	<b>:97A ::</b>	<b>SAFE//123456</b> Safekeeping account
<b>M</b>	<b>:35B :</b>	<b>ISIN RU0009843247</b> <b>/RU/1-06-30039-D</b> <b>'OAO FOND DOLGOSROcNIH</b> <b>SBEREJENII</b> Specification of financial instrument
<b>M</b>	<b>:93B ::</b>	<b>CONB//UNIT/63,</b> Confirmed balance
	<b>:16S :</b>	<b>USECU</b> End of block
<b>M</b>	<u>End of subsequence B CA Securities</u>	
<b>O</b>	<u>Optional subsequence C Corporate action details</u>	
	<b>:16R:</b>	<b>CADETL</b> Start block
<b>O</b>	<b>:98A::</b>	<b>RDTE//20081014</b> Balance record date/time
	<b>:16S:</b>	<b>CADETL</b> End of block
<b>O</b>	<u>End of subsequence C Corporate action details</u>	
<b>O</b>	<u>Mandatory Repetitive Subsequence D Corporate action confirmation</u>	
	<b>:16R:</b>	<b>CACONF</b> Start block
<b>M</b>	<b>:13A::</b>	<b>CAON//001</b> CA option type
<b>M</b>	<b>:22F::</b>	<b>CAOP//LAPS</b> Corporate action option code
<b>M</b>	<b>:17B::</b>	<b>DFLT//Y</b> Flag of default processing - option is used by default (if no instruction are provided)
<b>O</b>	<u>Optional repetitive subsequence D1 Securities movement</u>	
	<b>:16R :</b>	<b>SECMOVE</b> Start block
<b>M</b>	<b>:22H ::</b>	<b>CRDB//DEBT</b> Credit/Debit sign - Securities will be debited
<b>M</b>	<b>:35B :</b>	<b>ISIN RU0009843247</b> <b>/RU/1-06-30039-D</b> <b>'OAO FOND DOLGOSROcNIH</b> <b>SBEREJENII</b> Specification of financial instrument
<b>O</b>	<b>:98A ::</b>	<b>PSTA//UNIT/1000000,</b> Payment date/time
<b>M</b>	<b>:98B ::</b>	<b>POST//20090226</b> Account posting date/time
	<b>:16S :</b>	<b>SECMOVE</b> End of block
<b>O</b>	<u>End of subsequence D1 Securities movement</u>	

	:16S:	<u>CACONF</u>	End of block
O	<i>Optional sequence F Additional information</i>		
	:16R:	ADDINFO	Start block
O	:70E::	TXNR//AS A RESULT OF LIQUIDATION OF ISSUER OAO FOND DOLGOSROCNIH SBEREJENII	Narrative - additional narrative
O	:70E::	PACO//SHOULD YOU HAVE ANY QUESTIONS PLEASE CONTACT NAME PETROVA MARIA TEL 7 495 241 43 44 FAX 7 495 916 38 41 EMAIL PETROVA (AT) DEPO.RU	Narrative – contact information
	:16S:	ADDINFO	End of block
O	<i>End of sequence F Additional information</i>		

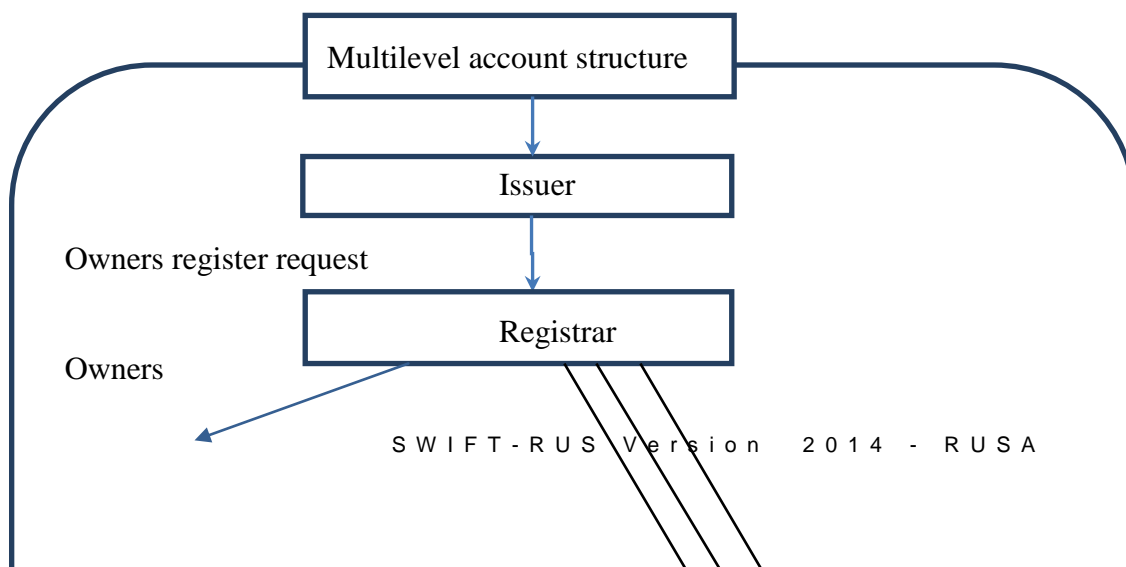
## 5.8 Example 8. Corporate Action Type – Compilation a list of shareholders to participate in the shareholder’s meeting (corporate action event indicator – DSCL)

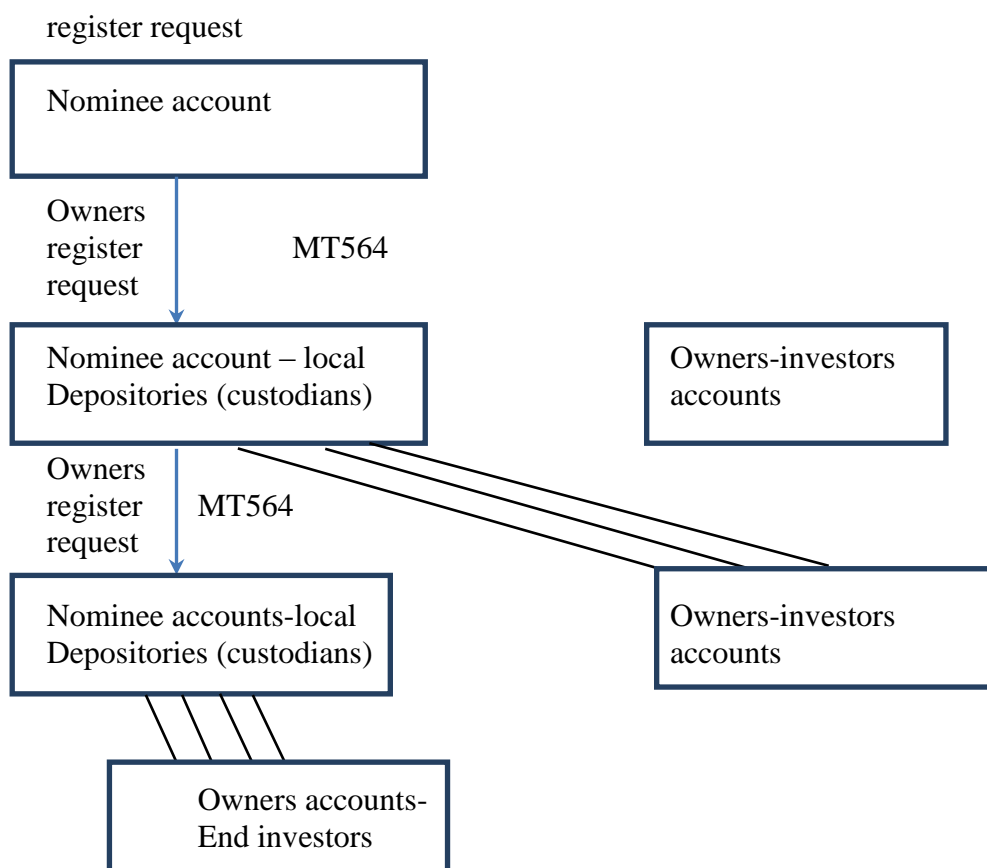
### Definition:

The requirement to the holders or owners to disclose to the issuer the name, location and the balances of all issues.

In accordance with this recommendations the request may be sent by nominees and trustees for a list of shareholders to participate in the meeting, payment of dividends, as well as in cases where, in accordance with applicable law, the list of shareholders is prepared.

### Message movement scheme





**Initial terms:**

-Issuer – OAO “AKCIONERNAa FINANSOVAa KORPORACIa “SISTEMA”

**Details of issue:**

common stock

ISIN RU000A0DQZE3

International state registration number (assigned by FCSM) – 1-04-01669-A

state registration date July 8<sup>th</sup> 2004

OAO “AKCIONERNAa FINANSOVAa KORPORACIa “SISTEMA”, location: Russian Federation, 125009, Moscow, Mohovaya st., 13 building 1, announced holding of AGM OAO AFK “SISTEMA” (joint presence of shareholders to vote on the issues) mailing proxy forms in advance.

AGM – event type – MEET.

Prior to the meeting of shareholders a list of equity owners should be compiled.

Registrar fixation date (the date of listing shareholders): 15 May 2010 (close).

Date of the meeting June 26<sup>th</sup>, 2010 11:00 Moscow time.

**Additional terms:**

Safekeeping account number: 123456

Safekeeping account - 123456

Quantity of securities at the nominee holder account to the listing date of the registered persons: (July 28<sup>th</sup> 2008) – 23063 (common stock).

When carrying out this corporate action nominee holder is sent two corporate action notifications.

This is an example of notification sent by the depository (BIC DEPORUMM) to the nominee holder ABC BROKER (BIC BROKRUMM) and contains information on corporate action (AGM) on safekeeping account 123456 on OAO “AKCIONERNAa FINANSOVAa KORPORACIa “SISTEMA” securities ISIN RU000A0DQZE3

The rules of financial instrument’s indication are defined in section 1.8.13 of this document.

**1. AGM notification is formed by the depository and contains information on corporate action details:**

-AGM

Reference number of the message on AGM (sender’s reference) - AE79HC/080723/50

Reference number of the request message for list of owners due to AGM (sender’s reference)

- AE79HC/080723/50

Corporate action reference – RAO123

Date and time – April 30th 2008, 18:30

Full information is provided (ROC/COMP).

**MT564 – Corporate Action Notification**

Corporate action reference – AFK123

Corporate action official reference – RUMEETAFFK123

Sender’s reference – AE79HC/080723/50

Sender of MT564 DEPORUMM

Receiver of MT564 BROCKRUMM

<b>M</b>	<i>Mandatory sequence A General information</i>		
	<b>:16R:</b>	<b>GENL</b>	Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//AFK123</b>	Corporate action reference
<b>M</b>	<b>:20C::</b>	<b>SEME//AE79HC/080723/50</b>	Sender reference
<b>O</b>	<b>:20C::</b>	<b>COAF//RUMEETAFFK123</b>	Official CA event reference
<b>M</b>	<b>:23G:</b>	<b>NEWM</b>	Message function - new message
<b>M</b>	<b>:22F::</b>	<b>CAEV//MEET</b>	Corporate action event indicator - General Meeting
<b>O</b>	<b>:22F ::</b>	<b>CAEP//GENL</b>	Corporate action event processing sign
<b>M</b>	<b>:22F::</b>	<b>CAMV//VOLU</b>	Voluntary indicator/mandatory - voluntary event, instructions are necessary
<b>O</b>	<b>:98A::</b>	<b>PREP//20100516183000</b>	Preparation Date/Time
<b>M</b>	<b>:25D ::</b>	<b>PROC//COMP</b>	Processing status -complete information
	<b>:16S:</b>	<b>GENL</b>	End of block
<b>M</b>	<i>End of Subsequence A General information</i>		
<b>M</b>	<i>Optional Subsequence B CA Securities</i>		
	<b>:16R:</b>	<b>USECU</b>	Start block
<b>M</b>	<b>:35B:</b>	<b>ISIN RU000A0DQZE3 /RU/1-04-01669-A 'AFK SISTEMA, AO</b>	Specification of financial instrument
<b>M</b>	<i>Mandatory Repetitive Subsequence B2 Account information</i>		
	<b>:16R:</b>	<b>ACCTINFO</b>	Start block
<b>M</b>	<b>:97A::</b>	<b>SAFE//MS0123456 or :97C::SAFE//GENR</b>	Depository account

M	:93B ::	ELIG//UNIT/23063,	Balance under corporate action
O	:93B::	SETT//UNIT/23063,	Balance
O	:93C::	or once SETT//UNIT/ELIG/23063,	CA balance to actual date of settlement
	:16S:	ACCTINFO	End of block
M	<i>End of subsequence B2 Account information</i>		
	:16S:	USECU	End of block
M	<i>End of subsequence B CA Securities</i>		
O	<i>Optional subsequence D Corporate action details</i>		
	:16R:	CADETL	Start block
O	:98A::	RDTE//20100515	Data/Time of balance fixation
O	:98C ::	MEET//20100626110000	General meeting date
O	:17B::	CERT//Y	Certification flag/ Providing list of owners - Preparing a list of owners is required
O	:22F ::	CEFI//PHYS	Certificate type sign
	:16S:	CADETL	End of block
O	<i>End of subsequence D Corporate action details</i>		
O	<i>Optional sequence F Additional information</i>		
	:16R:	ADDINFO	Start block
O	:70E::	PACO//FOR ALL QUESTIONS PLEASE CONTACT PERSON NAME TEL (0123) 456-78-90 E-MAIL XXXXXX (AT) XXXXXXXX	Narrative – contact information
	:16S:	ADDINFO	End of block
O	<i>End of sequence F Additional information</i>		

### Request to disclose beneficial owners and to present shareholder's list

Market deadline – May 21<sup>st</sup> 2010

Deadline – May 20<sup>th</sup> 2010

### MT564 – Corporate Action Notification

Corporate action reference – AFK456

Corporate action official reference – RUDSCL123001

Sender's reference – AFKDCL2008123001

Sender of MT564           DEPORUMM  
Receiver of MT564        BROCKRUMM

M	<i>Mandatory sequence A General information</i>		
	:16R:	GENL	Start block
M	:20C::	CORP//AFK456	Corporate action reference
M	:20C::	SEME//AFKDSCL2008123001	Sender reference
O	:20C::	COAF//RUDSCL123001	Official CA event reference
M	:23G:	NEWM	Message function - new message
M	:22F::	CAEV//DSCL	Corporate action event indicator - Disclosure
O	:22F ::	CAEP//GENL	Corporate action event processing sign
M	:22F::	CAMV//MAND	Voluntary indicator/mandatory - mandatory
O	:98A::	PREP//20100516	Preparation Date/Time
M	:25D ::	PROC//COMP	Processing status -complete information
O	<i>Optional Repetitive Subsequence A1 Linkages</i>		
	:16R:	LINK	Start block



O	:13A::	LINK//564	Linked message type
M	:20C::	PREV//AE79HC/080723/50	Previously sent Linked Message Reference
	:16S:	LINK	End of block
O	<u>End of Subsequence A1 Linkages</u>		
	:16S:	GENL	End of block
M	<u>End of Subsequence A General information</u>		
M	<u>Optional Subsequence B CA Securities</u>		
	:16R:	USECU	Start block
M	:35B:	ISIN RU000A0DQZE3 /RU/1-04-01669-A 'AFK SISTEMA, AO	Specification of financial instrument
M	<u>Mandatory Repetitive Subsequence B2 Account information</u>		
	:16R:	ACCTINFO	Start block
M	:97A::	SAFE//MS0123456	Depository account
M	:93B ::	ELIG//UNIT/23063,	Balance under corporate action
O	:93B::	SETT//UNIT/23063,	Balance
O	:93C::	or once SETT//UNIT/ELIG/23063,	CA balance to actual date of settlement
	:16S:	ACCTINFO	End of block
M	<u>End of subsequence B2 Account information</u>		
	:16S:	USECU	End of block
M	<u>End of subsequence B CA Securities</u>		
O	<u>Optional subsequence D Corporate action details</u>		
	:16R:	CADETL	Start block
O	:98A::	RDTE//20100515	Data/Time of balance fixation
O	:98C ::	MEET//20100626110000	General meeting date
O	:17B::	CERT//Y	Certification flag/ Providing list of owners - Preparing a list of owners is required
O	:22F ::	CEFI//PHYS	Certificate type sign
	:16S:	CADETL	End of block
O	<u>End of subsequence D Corporate action details</u>		
O	<u>Mandatory Repetitive Subsequence E Corporate action options</u>		
	:16R:	CAOPTN	Start block
M	:13A::	CAON//001	CA option type
M	:22F::	CAOP//CONY	Corporate action option code
O	:22F::	OPTF//PROR	Option conditions sign - proportional buyout
O	:22F::	CETI//FULL	Certification type sign/ list of owners - information about the owner - full list
M	:17B::	DFLT//N	Flag of default processing - option is not used by default (instructions are required)
O	:98A::	RDDT//20100520	Deadline set by account servicer
O	:98A::	MKDT//20100521	Market deadline
O	<u>End of sequence E Corporate action options</u>		
O	<u>Optional repetitive sequence E Corporate action options</u>		
	:16R:	CAOPTN	Start block
M	:13A::	CAON//002	CA option number
M	:22F::	CAOP//CONN	CA option code
M	:17B::	DFLT//Y	Processing flag by default - the option is used by default (if no instructions are provided)
O	:98A::	RDDT//20100520	Deadline set by account servicer
O	:98A::	MKDT//20100521	Market deadline
O	<u>End of sequence E Corporate action options</u>		
O	<u>Optional repetitive sequence E Corporate action options</u>		

	<b>:16R:</b>	<b>CAOPTN</b>	Start block
<b>M</b>	<b>:13A::</b>	<b>CAON//003</b>	CA option number
<b>M</b>	<b>:22F::</b>	<b>CAOP//NOAC</b>	CA option code
<b>M</b>	<b>:17B::</b>	<b>DFLT//N</b>	Flag of default processing - option is not used by default (instructions are required)
<b>O</b>	<b>:98A::</b>	<b>RDDT//20100520</b>	Deadline set by account servicer
<b>O</b>	<b>:98A::</b>	<b>MKDT//20100521</b>	Market deadline
<b>O</b>	<i>End of sequence E Corporate action options</i>		
<b>O</b>	<i>Optional sequence F Additional information</i>		
	<b>:16R:</b>	<b>ADDINFO</b>	Start block
<b>O</b>	<b>:70 E ::</b>	<b>ADTX//SOSTAVLENIE SPISKA VLADELcEV K GODOVOMu SOBRANiU AKCIONEROV'  or ADTX//DRAW UP OF LIST OF BENEFICIAL OWNERS FOR ANNUAL GENERAL MEETING</b>	Narrative -additional narrative
<b>O</b>	<b>:70E::</b>	<b>PACO//FOR ALL QUESTIONS PLEASE CONTACT PERSON NAME TEL (0123) 456-78-90 E-MAIL XXXXXX (AT) XXXXXXXX</b>	Narrative – contact information
	<b>:16S:</b>	<b>ADDINFO</b>	End of block
<b>O</b>	<i>End of sequence F Additional information</i>		

## 2.2 Request for wners list – if disclosure is not mandatory:

### MT564 – Corporate action notification

Corporate action reference – AFK456

Corporate action official reference – RUDSCL123001

Sender's reference – AFKDCL2008123001

Sender of MT564           DEPORUMM  
Receiver of MT564        BROCKRUMM

<b>M</b>	<i>Mandatory sequence A General information</i>		
	<b>:16R:</b>	<b>GENL</b>	Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//AFK456</b>	Corporate action reference
<b>M</b>	<b>:20C::</b>	<b>SEME//AFKDSCL2008123001</b>	Sender reference
<b>O</b>	<b>:20C::</b>	<b>COAF//RUDSCL123001</b>	Official CA event reference
<b>M</b>	<b>:23G:</b>	<b>NEWM</b>	Message function - new message
<b>M</b>	<b>:22F::</b>	<b>CAEV//DSCL</b>	Corporate action event indicator - Disclosure
<b>O</b>	<b>:22F ::</b>	<b>CAEP//GENL</b>	Corporate action event processing sign
<b>M</b>	<b>:22F::</b>	<b>CAMV//VOLU</b>	Voluntary indicator/mandatory - voluntary event, instructions are necessary
<b>O</b>	<b>:98A::</b>	<b>PREP//20100516</b>	Preparation Date/Time
<b>M</b>	<b>:25D ::</b>	<b>PROC//COMP</b>	Processing status

			-complete information
<b>O</b>	<u>Optional Repetitive Subsequence A1 Linkages</u>		
	<b>:16R:</b>	<b>LINK</b>	Start block
<b>O</b>	<b>:13A::</b>	<b>LINK//564</b>	Linked message type
<b>M</b>	<b>:20C::</b>	<b>PREV//AE79HC/080723/50</b>	Previously sent Linked Message Reference
	<b>:16S:</b>	<b>LINK</b>	End of block
<b>O</b>	<u>End of Subsequence A1 Linkages</u>		
	<b>:16S:</b>	<b>GENL</b>	End of block
<b>M</b>	<u>End of Subsequence A General information</u>		
<b>M</b>	<u>Optional Subsequence B CA Securities</u>		
	<b>:16R:</b>	<b>USECU</b>	Start block
<b>M</b>	<b>:35B:</b>	<b>ISIN RU000A0DQZE3 /RU/1-04-01669-A 'AFK SISTEMA, AO</b>	Specification of financial instrument
<b>M</b>	<u>Mandatory Repetitive Subsequence B2 Account information</u>		
	<b>:16R:</b>	<b>ACCTINFO</b>	Start block
<b>M</b>	<b>:97A::</b>	<b>SAFE//MS0123456</b>	Depository account
<b>M</b>	<b>:93B ::</b>	<b>ELIG//UNIT/23063,</b>	Balance under corporate action
<b>O</b>	<b>:93B::</b>	<b>SETT//UNIT/23063,</b>	Balance
<b>O</b>	<b>:93C::</b>	<b>or once SETT//UNIT/ELIG/23063,</b>	CA balance to actual date of settlement
	<b>:16S:</b>	<b>ACCTINFO</b>	End of block
<b>M</b>	<u>End of subsequence B2 Account information</u>		
	<b>:16S:</b>	<b>USECU</b>	End of block
<b>M</b>	<u>End of subsequence B CA Securities</u>		
<b>O</b>	<u>Optional subsequence D Corporate action details</u>		
	<b>:16R:</b>	<b>CADETL</b>	Start block
<b>O</b>	<b>:98A::</b>	<b>RDTE//20100515</b>	Data/Time of balance fixation
<b>O</b>	<b>:98C ::</b>	<b>MEET//20100626110000</b>	General meeting date
<b>M</b>	<b>:69A ::</b>	<b>INPE//20120322/20120621</b>	Interst periods
<b>O</b>	<b>:17B::</b>	<b>CERT//Y</b>	Certification flag/ Providing list of owners - Preparing a list of owners is required
<b>O</b>	<b>:22F ::</b>	<b>CEFI//PHYS</b>	Certificate type sign
	<b>:16S:</b>	<b>CADETL</b>	End of block
<b>O</b>	<u>End of subsequence D Corporate action details</u>		
<b>O</b>	<u>Mandatory Repetitive Subsequence E Corporate action options</u>		
	<b>:16R:</b>	<b>CAOPTN</b>	Start block
<b>M</b>	<b>:13A::</b>	<b>CAON//001</b>	CA option type
<b>M</b>	<b>:22F::</b>	<b>CAOP//CONY</b>	Corporate action option code
<b>M</b>	<b>:17B::</b>	<b>DFLT//N</b>	Flag of default processing - option is not used by default (instructions are required)
<b>O</b>	<b>:98A::</b>	<b>RDDT//20100520</b>	Deadline set by account servicer
<b>O</b>	<b>:98A::</b>	<b>MKDT//20100521</b>	Market deadline
	<b>:16S::</b>	<b>CAOPTN</b>	End of block
<b>O</b>	<u>End of sequence E Corporate action options</u>		
<b>O</b>	<u>Optional repetitive sequence E Corporate action options</u>		
	<b>:16R:</b>	<b>CAOPTN</b>	Start block
<b>M</b>	<b>:13A::</b>	<b>CAON//002</b>	CA option number
<b>M</b>	<b>:22F::</b>	<b>CAOP//CONN</b>	CA option code
<b>M</b>	<b>:17B::</b>	<b>DFLT//N</b>	Flag of default processing - option is not used by default (instructions are required)
<b>O</b>	<b>:98A::</b>	<b>RDDT//20100520</b>	Deadline set by account servicer
<b>O</b>	<b>:98A::</b>	<b>MKDT//20100521</b>	Market deadline
<b>O</b>	<u>End of sequence E Corporate action options</u>		

<b>O</b>	<i>Optional repetitive sequence E Corporate action options</i>		
	<b>:16R:</b>	<b>CAOPTN</b>	Start block
<b>M</b>	<b>:13A::</b>	<b>CAON//003</b>	CA option number
<b>M</b>	<b>:22F::</b>	<b>CAOP//NOAC</b>	CA option code
<b>M</b>	<b>:17B::</b>	<b>DFLT//Y</b>	Processing flag by default - the option is used by default (if no instructions are provided)
<b>O</b>	<b>:98A::</b>	<b>RDDT//20100520</b>	Deadline set by account servicer
<b>O</b>	<b>:98A::</b>	<b>MKDT//20100521</b>	Market deadline
	<b>:16S::</b>	<b>CAOPTN</b>	
<b>O</b>	<i>End of sequence E Corporate action options</i>		
<b>O</b>	<i>Optional sequence F Additional information</i>		
	<b>:16R:</b>	<b>ADDINFO</b>	Start block
<b>O</b>	<b>:70 E ::</b>	<b>ADTX//DRAW UP OF LIST OF BENEFICIAL OWNERSHIP UPON ISSUERS REQUEST</b>	Narrative -additional narrative
<b>O</b>	<b>:70E::</b>	<b>PACO//FOR ALL QUESTIONS PLEASE CONTACT PERSON NAME TEL (0123) 456-78-90 E-MAIL XXXXXX (AT) XXXXXXXX</b>	Narrative – contact information
	<b>:16S:</b>	<b>ADDINFO</b>	End of block
<b>O</b>	<i>End of sequence F Additional information</i>		

## 5.9 Example 9. Corporate Action Type – Interest payment and partial redemption without reduction of nominal value

Corporate action on interest payment and partial redemption without reduction of nominal value with changing pool factor at the same date.

The SMPG group agreed that it should now be handled in two events (INTR; interest payment and PRED: partial Redemption Without Reduction of Nominal Value.

### 5.9.1.1.1 1. INTR: Interest payment (MAND)

#### 5.9.1.1.2 Definition:

**Interest payment to owner of interest bearing securities.**

#### Initial terms:

Interest payment together and partial redemption of nominal value in accordance with the established date without reduction of nominal value of one security that reflects the change in pool - factor.

As a result, the pool factor varies from 0.45 (previous/previous/pool factor) on the pull- factor after maturity (next/next/pool factor) - 0.05.

Pool factor used in calculating the amount of the coupon payment of 0.45 . It is recommended to use the code PRFC (previous pool- factor), if two CA are conducted simultaneously in the same date.

**Details of issue:**

Bonds 9,3% with maturity date 19.12.2013  
ISIN RU000A0JPU55

**Issuer:**

Samarskaya oblast

**Conditions:**

The bonds have a coupon rate of 9.3 % for the corresponding period  
The amount of the coupon RUB 10,43  
The coupon is paid quarterly coupon period of 91 days , from 22 March 2012 to 21 June 2012

**Dates:**

Record date – 15 June 2012  
Payment date – 21 June 2012

**Corporate action details:**

Corporate action reference (CORP): INTR40515  
Corporate action official reference RUINTR40515  
Sender's reference – 53059  
Par value: RUB 1.000,00  
The coupon is paid on the account balance MS0123456789  
The coupon is paid in rubles, no choice  
Securities balance on the account in the nominal amount 1,000,000 RUB

**Certification requirements:**

The owner of the securities must report basic information about the applicable interest rate.

**MT564 Interst payment notification**

<b>M</b>	<u>Mandatory sequence A General information</u>		
	<b>:16R:</b>	<b>GENL</b>	Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//INTR40515</b>	Corporate action reference
<b>M</b>	<b>:20C::</b>	<b>SEME//53059</b>	Sender reference
<b>O</b>	<b>:20C::</b>	<b>COAF//RUINTR40515</b>	Official CA event reference
<b>M</b>	<b>:23G:</b>	<b>REPE</b>	Message function - replacing message specifying the balance and the payments
<b>M</b>	<b>:22F::</b>	<b>CAEV//INTR</b>	Corporate action event indicator - Interest payment
<b>M</b>	<b>:22F::</b>	<b>CAMV//MAND</b>	Voluntary indicator/mandatory - mandatory
<b>O</b>	<b>:98A::</b>	<b>PREP//20120616144445</b>	Preparation Date/Time
<b>M</b>	<b>:25D ::</b>	<b>PROC//COMP</b>	Processing status -complete information
<b>O</b>	<u>Optional Repetitive Subsequence A1 Linkages</u>		
	<b>:16R:</b>	<b>LINK</b>	Start block
<b>O</b>	<b>:13A::</b>	<b>LINK//564</b>	Linked message type
<b>M</b>	<b>:20C::</b>	<b>PREV//53050</b>	Previously sent Linked Message Reference
	<b>:16S:</b>	<b>LINK</b>	End of block

O	<u>End of Subsequence A1 Linkages</u>		
	:16S:	GENL	End of block
M	<u>End of Subsequence A General information</u>		
M	<u>Optional Subsequence B CA Securities</u>		
	:16R:	USECU	Start block
M	:35B:	ISIN RU000A0JPU55	Specification of financial instrument
O	<u>Optional subsequence B1 characteristics of the financial instrument</u>		
	:16R:	FIA	Start block
R	:22F::	MICO//A005	Basis of interest
O	:11A::	DENO//RUB	Nominal currency
O	:98A::	MATU//20131219	Maturity date
O	:98A::	COUP//20120920	New date of coupon payment
O	:92A::	PRFC//0,45	Previous pool-factor
O	:36B::	MINO//FAMT/1000,	Minimal nominal unit
	:16S:	FIA	End of block
O	<u>End of subsequence B1 characteristics of the financial instrument</u>		
M	<u>Mandatory Repetitive Subsequence B2 Account information</u>		
	:16R:	ACCTINFO	Start block
M	:97A::	SAFE//MS0123456789	Depository account
M	:93B ::	ELIG//FAMT/1000000,	Balance under corporate action
	:16S:	ACCTINFO	End of block
M	<u>End of subsequence B2 Account information</u>		
	:16S:	USECU	End of block
M	<u>End of subsequence B CA Securities</u>		
O	<u>Optional subsequence D Corporate action details</u>		
	:16R:	CADETL	Start block
O	:98A::	RDTE//20120615	Data/Time of balance fixation
M	:69A ::	INPE//20120322/20120621	Interst periods
O	:92A ::	INTR//9,3	Annual interest rate
O	:13A ::	COUP//016	Coupon number
O	:17B::	CERT//Y	Certification flag/ Providing list of owners - Preparing a list of owners is required
O	:22F ::	CEFI//PHYS	Certificate type sign
	:16S:	CADETL	End of block
O	<u>End of subsequence D Corporate action details</u>		
O	<u>Mandatory Repetitive Subsequence E Corporate action options</u>		
	:16R:	CAOPTN	Start block
M	:13A::	CAON//001	CA option type
M	:22F::	CAOP//CASH	Corporate action option code -receiving of cash by the owner
O	:22F ::	CETI//TRBD	Certification type code/specification of list of owners
R	:11A ::	OPTN//RUB	Currency option
M	:17B::	DFLT//Y	Processing flag by default - the option is used by default (if no instructions are provided)
O	<u>Optional repetitive subsequence E2 Cash movement</u>		
	:16R:	CASHMOVE	Start block
M	:22H::	CRDB//CRED	Credit/Debit sign - cash will be credited
R	:19B::	RESU//RUB10430,	Amount after conversion
R	:19B::	ENTL//RUB10430,	Entitled amount
O	:19B::	GRSS//RUB10430,	Gross amount
O	:19B::	TAXR//RUB2086,	Tax amount
O	:19B::	NETT//RUB8344,	Nett amount

M	:98A::	PAYD//20120621	Payment date/time
O	:98A::	VALU//20120621	Valuation date time
O	:98A::	EARL//20120621	Payment date/time
M	:92F::	INTP//RUB10,43	Applied interest
O	:92A::	TAXR//20,	Tax rate
	:16S:	CASHMOVE	End of block
O	<i>End of subsequence E2 Cash movement</i>		
O	<i>End of sequence E Corporate action options</i>		

### 5.9.1.1.3. 2. PRED: Partial redemption (MAND) – without reduction of nominal value

#### Definition:

Partial redemption of securities before final redemption without reduction of nominal value of a security. This is specifies by reduction of pool-factor.

#### Additional terms:

Coupon payment is conducted at the same date.

#### Details of issue:

Bonds 9,3% with maturity date 19.12.2013  
ISIN RU000A0JPU55

#### Issuer:

Samarskaya oblast

#### Conditions:

Prtrial redemption 21 June 2012  
Redemption of 40% of the nominal amount  
Redemption Amount - RUB 400,  
On the same date the bonds are paid interest at the coupon rate of 9.3 % per annum.  
The coupon is paid quarterly, coupon period of 91 days , from 22 March 2012 to 21 June 2012

**Dates:**

Record date – 15 June 2012

Payment date – 21 June 2012

**Corporate action details:**

Corporate action reference (CORP): INTR40515

Corporate action official reference RUINTR40515

Sender's reference – 53060

Previously sent notification reference (NEWM) – 53054 (the message with additional information (with balance and payments) – REPE, with previously sent notification reference NEWM)

Par value: RUB 1.000,00

Partial redemption on 21 June 2012 without reduction of nominal value by reduction of pool-factor of the bond and proceeding of payment on the basis of reduction of pool-factor.

Redemption of 40% on the nominal.

As a result, the pool factor varies from 0.45 (previous/previous/pool factor) on the pull- factor after maturity (next/next/pool factor) - 0.05.

**Certification requirements:**

The owner of the securities must report basic information about the applicable interest rate.

**MT564 Interest payment notification**

<b>M</b>	<i>Mandatory sequence A General information</i>	
	<b>:16R:</b>	<b>GENL</b>
		Start block
<b>M</b>	<b>:20C::</b>	<b>CORP//INTR40515</b>
		Corporate action reference
<b>M</b>	<b>:20C::</b>	<b>SEME//53060</b>
		Sender reference
<b>O</b>	<b>:20C::</b>	<b>COAF//RUINTR40515</b>
		Official CA event reference
<b>M</b>	<b>:23G:</b>	<b>REPE</b>
		Message function - replacing message specifying the balance and the payments
<b>M</b>	<b>:22F::</b>	<b>CAEV//PRED</b>
		Corporate action event indicator - partial redemption without reduction of nominal value
<b>M</b>	<b>:22F::</b>	<b>CAMV//MAND</b>
		Voluntary indicator/mandatory - mandatory
<b>O</b>	<b>:98A::</b>	<b>PREP//2012061614445</b>
		Preparation Date/Time
<b>M</b>	<b>:25D ::</b>	<b>PROC//COMP</b>
		Processing status -complete information
<b>O</b>	<i>Optional Repetitive Subsequence A1 Linkages</i>	
	<b>:16R:</b>	<b>LINK</b>
		Start block
<b>O</b>	<b>:13A::</b>	<b>LINK//564</b>
		Linked message type
<b>M</b>	<b>:20C::</b>	<b>PREV//53054</b>
		Previously sent Linked Message Reference
	<b>:16S:</b>	<b>LINK</b>
		End of block
<b>O</b>	<i>End of Subsequence A1 Linkages</i>	
	<b>:16S:</b>	<b>GENL</b>
		End of block
<b>M</b>	<i>End of Subsequence A General information</i>	
<b>M</b>	<i>Optional Subsequence B CA Securities</i>	
	<b>:16R:</b>	<b>USECU</b>
		Start block
<b>M</b>	<b>:35B:</b>	<b>ISIN RU000A0JPU55</b>
		Specification of financial instrument
<b>O</b>	<i>Optional subsequence B1 characteristics of the financial instrument</i>	
	<b>:16R:</b>	<b>FIA</b>
		Start block
<b>O</b>	<b>:36B::</b>	<b>MINO//FAMT/1000,</b>
		Minimal nominal unit



	<b>:16S:</b>	<b>FIA</b>	End of block
<b>O</b>	<u>End of subsequence B1 characteristics of the financial instrument</u>		
<b>M</b>	<u>Mandatory Repetitive Subsequence B2 Account information</u>		
	<b>:16R:</b>	<b>ACCTINFO</b>	Start block
<b>M</b>	<b>:97A::</b>	<b>SAFE//MS0123456789</b>	Depository account
<b>O</b>	<b>:93B ::</b>	<b>ELIG//FAMT/1000000,</b>	Balance under corporate action
<b>O</b>	<b>:93B ::</b>	<b>SETT//FAMT/1000000,</b>	Balance on the date of settlement
	<b>:16S:</b>	<b>ACCTINFO</b>	End of block
<b>M</b>	<u>End of subsequence B2 Account information</u>		
	<b>:16S:</b>	<b>USECU</b>	End of block
<b>M</b>	<u>End of subsequence B CA Securities</u>		
<b>O</b>	<u>Optional subsequence D Corporate action details</u>		
	<b>:16R:</b>	<b>CADETL</b>	Start block
<b>O</b>	<b>:98A ::</b>	<b>ANOU//20120601</b>	CA Announcement date
<b>O</b>	<b>:98A::</b>	<b>RDTE//20120615</b>	Data/Time of balance fixation
<b>O</b>	<b>:92A ::</b>	<b>PRFC//0,45</b>	Previous pool-factor
<b>O</b>	<b>:92A ::</b>	<b>NWFC//0,05</b>	New pool-factor
	<b>:16S:</b>	<b>CADETL</b>	End of block
<b>O</b>	<u>End of subsequence D Corporate action details</u>		
<b>O</b>	<u>Mandatory Repetitive Subsequence E Corporate action options</u>		
	<b>:16R:</b>	<b>CAOPTN</b>	Start block
<b>M</b>	<b>:13A::</b>	<b>CAON//001</b>	CA option type
<b>M</b>	<b>:22F::</b>	<b>CAOP//CASH</b>	Corporate action option code -receiving of cash by the owner
<b>R</b>	<b>:11A ::</b>	<b>OPTN//RUB</b>	Currency option
<b>M</b>	<b>:17B::</b>	<b>DFLT//Y</b>	Processing flag by default - the option is used by default (if no instructions are provided)
<b>O</b>	<u>Optional repetitive subsequence E2 Cash movement</u>		
	<b>:16R:</b>	<b>CASHMOVE</b>	Start block
<b>M</b>	<b>:22H::</b>	<b>CRDB//CRED</b>	Credit/Debit sign - cash will be credited
<b>R</b>	<b>:19B::</b>	<b>ENTL//RUB400000,</b>	Entitled amount
<b>O</b>	<b>:19B::</b>	<b>GRSS//RUB400000,</b>	Gross amount
<b>M</b>	<b>:98A::</b>	<b>PAYD//20120621</b>	Payment date/time
<b>O</b>	<b>:98A::</b>	<b>VALU//20120621</b>	Valuation date time
<b>O</b>	<b>:98A::</b>	<b>EARL//20120621</b>	Payment date/time
<b>M</b>	<b>:92A::</b>	<b>RATE//40,0</b>	Securities redemption rate
<b>M</b>	<b>:90A::</b>	<b>OFFR//PRCT/100,</b>	Product price -offered price
	<b>:16S:</b>	<b>CASHMOVE</b>	End of block
<b>O</b>	<u>End of subsequence E2 Cash movement</u>		
	<b>:16S:</b>	<b>CAOPTN</b>	End of block
<b>O</b>	<u>End of sequence E Corporate action options</u>		

## 6. CORPORATE ACTION EVENT INDICATORS

Code	Designation	Description <sup>20</sup>	Comments	Legal documents
ACTV	Trading Status: Active	Trading in security has commenced or security has been re-activated after a suspension in trading.	<ol style="list-style-type: none"> <li>1. Sent after the start of trading in the context of Issuance report registration.</li> <li>2. Resumption of trading after suspension (see SUSP).</li> <li>3. If the company is derived from bankruptcy (ISIN didn't change) Reason: Issuance report registration, the flow of information from the Registrar</li> </ol>	"Standards for Securities Issuance and Prospectus Registration" Order of FFMS 04.07.2013 № 13-55/pz-n
BIDS	Repurchase Offer/ Issuer Bid/Reverse Rights	Offer to existing shareholders by the issuing company to repurchase equity or other securities convertible into equity. The objective of the offer is to reduce the number of outstanding equities.	<ol style="list-style-type: none"> <li>1. Repurchase of own shares by the issuer in order to reduce registered capital.</li> <li>2. Redemption of shares by the joint-stock company on request of the shareholders, section 75-76 of "Company law". Reason: <ul style="list-style-type: none"> <li>- Notification of the issuer of redemption,</li> <li>- Message on the results of the meeting</li> </ul> </li> </ol>	Federal Law "Company law", 24.11.1995 №208-FZ, section 29, 75-76
BMET	Bond Holder Meeting	Attendee meeting of bond holders	Attendee meeting of bond holders with mandatory centralized custody, held in the form specified by the current legislation	Federal Law "On securities market", 24.04.1996 №39-FZ, section 6.1, art.29.6
BONU	Bonus Issue/ Capitalisation Issue	Bonus, scrip or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.	Increase registered capital. Proportionment of the additional shares of the same issuer among shareholders. Reason: Placement of additional share issue amid all existing shareholders.	Federal Law "Company law", 24.11.1995 №208-FZ, section 28

<sup>20</sup> <sup>20</sup> The given definition is pursuant to the official translation of SWIFT User Handbook.

BPUT	Put Redemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue	Irrevocable offer for bonds Reason: 1. Bonds. The possibility of early redemption in accordance with the decision on the issue. 2. Bonds convertible into shares on the request of bondholders	1. Bonds issue decision 2. Issue decision, "Standards for Securities Issuance and Prospectus Registration" Order of FFMS 04.07.2013 № 13-55/pz-n p.7.2.35-36, Federal Law "Company law", 24.11.1995 №208-FZ, section 33, p.3
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.	Bankruptcy notification. The notification is sent upon receipt the information on the bankruptcy process.	Federal Law "Bankruptcy act" 26.10.2002 №127-FZ
CAPG	Distribution of profits from the sale of assets	Distribution of profits from the sale of assets, for example, the profit is distributed among the shareholders of the reciprocal and mutual funds or Sicav and often reinvested in additional shares of the fund.	Notification of payment of the income on investment units, sent on receipt of the message from the Fund servicer	Federal Law "On investment funds" 29.11.2001 №156-FZ, art.14
CHAN	Change	Information regarding a change further described in the corporate action details.	Information regarding any changes further described in CADETL 1. Changing the name (other personal data) of the issuer / tool. 2. Assignment the shares state registration code at the initial offering 3. Changes in the parameters of the issue 4. Sometimes replacing the old number with a new of the state omission by the Registrars performed without securities transaction. 5. Other than changes in the CD, SWIFTs that	

			need to be filled with earlier sent reference with the same CAEV and:: 23: REPL Change Par – REDO	
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party intended to progress an event to the next stage. This procedure is not required to be linked to the 276eneficial276i of a formal meeting. For example, consent to approve a plan of 276eneficial276ion for a bankruptcy proceeding.	1. Offer a meeting to bondholders to discuss issues of debt restructuring and execution of appropriate agreements. 2. Meeting representatives of the issuer with the owners.	
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.	Issue conversion (conversion of the preferred shares into common stock, conversion of bonds into shares, conversion of preferred shares of one type into preferred stock of another type, conversion of options into shares).	Federal Law “Company law”, 24.11.1995 №208-FZ, “Standards for Securities Issuance and Prospectus Registration” Order of FFMS 25.01.2007 № 07-4/pz-n
DECR	Decrease in Value	Reduction of face value. The number of circulating shares remains unchanged. This event may include a cash payout to holders.		Federal Law “Company law”, 24.11.1995 №208-FZ, “Standards for Securities Issuance and Prospectus Registration” Order of FFMS 25.01.2007 № 07-4/pz-n
DFLT	Bond Default	Failure by the company to perform obligations defined as default events under the bond agreement and that have not	Notification of default on the bonds. Reason for sending the message – formal notification of the decision made by the competent authority or in accordance with the terms set	

		been remedied.	out in decision of the issue.	
DLST	Trading Status: Delisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.	Exclusion of securities from listing. Reason for sending the message – information received from the Stock Exchange	
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.	Disclosure to the nominee. The request for disclosure of 277eneficial owners and to prepare the owners list. Typically, disclosure on the bonds is available in free form or a link to a site is provided where the necessary forms are to be filled.	
DTCH	Dutch Auction	An action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.	Dutch Auction	
DVCA	Cash Dividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.		
DVSE	Stock Dividend	Dividend paid to shareholders in the form of equities of the issuing corporation.	Payment of dividends in the form of shares of the issuing company	
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either	For bonds convertible into shares: At maturity (the calendar date, time period or event that must inevitably occur), with the	Order of the Ministry of Finance 19.08.2004 №68n “Approval conditions of

		mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example «exchange offer», «capital reorganisation» or «funds separation».	circumstances specified in the decision bonds placement	the issue terms and trading state savings bonds””
INCR	Increase in Value	Increase in the face value of a single security. The number of circulating securities remains unchanged.		Federal Law “Company law”, 24.11.1995 №208-FZ, “Standards for Securities Issuance and Prospectus Registration” Order of FFMS 25.01.2007 № 07-4/pz-n
INTR	Interest Payment	Regular interest payment distributed to holders of an interest bearing asset.	Bonds coupon yield payment	
LIQU	Liquidation Dividend/ Liquidation Payment	A distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.	Disbursements upon liquidation of the issuer, together with the write-off securities	Federal Law “Company law”, 24.11.1995 №208-FZ, s.23
MCAL	Full Call/Early Redemption	The redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.	Early repayment of the whole bonds issue	
MEET	Annual General Meeting	Annual general meeting.		Federal Law “Company law”, 24.11.1995 №208-FZ, Resolution of FFMS №17/ps 31.05.2002

MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.		Federal Law “Company law”, 24.11.1995 №208-FZ s. 16-17, “Standards for Securities Issuance and Prospectus Registration” Order of FFMS 25.01.2007 № 07-4/pz-n s.8
OMET	Ordinary General Meeting		Ordinary General Meeting is held within the period set by the company charter, but not less than once a year .	Federal Law “On investment funds” 29.11.2001 №156-FZ, art.7
OTHR	Other event	Other event, use only when no other event type applies, for example, a new event type.	Used only if other codes are not applicable	
PARI	Pari-Passu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches, etc.	Consolidated securities issue, cancellation of additional codes issue	Resolution of FFMS №07-23/pz-n (former 03-16/pz-n)
PCAL	Partial Redemption with Reduction of	Securities are redeemed in part before their scheduled final	Partial prepayment with decrease in the face value	Bonds issue Decision

	Nominal Value	maturity date with reduction of the nominal value of the securities. The outstanding amount of securities will be reduced proportionally.		
PINK	Pay In Kind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.	In case of income payments on bonds in the form of other than cash.	p.7.3 of the issue of bonds Decision contains information on the form of payment (“Standards for Securities Issuance and Prospectus Registration” Order of FFMS 25.01.2007 № 07-4/pz-n)
PRED	Partial Redemption Without Reduction of Nominal Value	Securities are redeemed in part before their scheduled final maturity date without reduction of the nominal value of the securities. This is commonly done by pool factor reduction.	Reducing the principal without reducing the par value (pull factor)	Bonds issue Decision
PRII	Interest Payment with Principal	An event which consists of two components, the decrease of the amortized value of a pool factor security and an interest payment.	Partial repayment of par value (government bond). Bonds coupon yield payment +partial repayment of principal	Bonds issue Decision
PRIO	Priority Issue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.	Implementation of pre-emptive rights. Reason: Pre-emptive right to acquisition of additional shares issue: 1. all shareholders with public subscription; 2. voted against and those who didn't vote – traded privately. 3. In Company - pre-emptive right for acquisition of shares by the shareholder, sold	Federal Law “Company law”, 24.11.1995 №208-FZ



			by another shareholder	
REDM	Final Maturity	The redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.	Redemption of bonds in a target date maturity	
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.	Change in face value due to: 1.Currency denomination 2.Currency change	Resolution of FFMS 20.04.1998 №6 “Changing decision on the issue of securities, prospectuses securities, plans for privatization and founding documents associated with changes of face value of the Russian banknotes and scale of prices”
SOFF	Spin-Off	A distribution of subsidiary stock to the shareholders of the parent company without a surrender of shares. Spin-off represents a form of divestiture usually resulting in an independent company or in an existing company. For example, demerger, distribution, unbundling.	Reorganization in the form of spin-off or separation	Federal Law “Company law”, 24.11.1995 №208-FZ, p.18-19 “Standards for Securities Issuance and Prospectus Registration” Order of FFMS 25.01.2007 № 07-4/pz-n
SPLF	Stock Split/Change in Nominal Value/ Subdivision	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the	simultaneous proportional reduction of the face value of shares with an increase in the number of shares (split)	Federal Law “Company law”, 24.11.1995 №208-FZ, p.74 “Standards for Securities Issuance and Prospectus

		time of the split. Equity price and nominal value are reduced accordingly.		Registration” Order of FFMS 25.01.2007 № 07-4/pz-n
SPLR	Reverse Stock Split/Change in Nominal Value	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.	simultaneous proportional increase of the face value of shares with a simultaneous decrease in the number of shares	Federal Law “Company law”, 24.11.1995 №208-FZ, p.74 “Standards for Securities Issuance and Prospectus Registration” Order of FFMS 25.01.2007 № 07-4/pz-n
SUSP	Trading Status: Suspended	Trading in the security has been suspended.	Suspension of trading: issuer decision; upon court order etc	Issuer decision; upon court order etc
TEND	Tender/Acquisition/ Takeover/Purchase Offer/Buyback	An offer made to shareholders, normally by a third party, requesting them to sell (tender) or exchange their equities.	1. Mandatory or voluntary offer for shares redemption 2. Shares redemption by a person who has acquired 95% of stake 3. Instant redemption of bonds by the issuer without redemption	Federal Law “Company law”, 24.11.1995 №208-FZ, p.84.1-84.2, 84.7-84.8 “Standards for Securities Issuance and Prospectus Registration” Order of FFMS 25.01.2007 № 07-4/pz-n Federal Law “Securities Market”
TREC	Tax Reclaim	Event related to tax reclaim activities.	Order of the owner to the Depository for tax refund	
WRTH	Worthless	Booking out of valueless securities.	Liquidation of the issuer, booking out of valueless securities. The reason can be: an extract from the USRLE on the termination or liquidation or excerpt from the safekeeping place on the booking out of the securities of the liquidated issuer	“Civil Code of the Russian Federation”, Resolution of the FFMS 02.10.1997 №27 "On Approval of The provisions of the administration of share

				Registrar"
WTRC	Withholding Tax Relief Certification	Certification request for withholding tax reduction or exemption based on the tax status of the holder.	Request for documents for tax reclaim	
XMET	Extraordinary or Special General Meeting	Extraordinary or special general meeting.		Federal Law "Company law", 24.11.1995 №208-FZ, p.47

## 7. CORPORATE ACTION PROCESS

