



SETTLEMENT AND ISO 15022  
STANDARDS:

**JAPAN**  
MARKET PRACTICE

*Issued on behalf of the Japanese Market Practice Group*

**March 2024**

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## 1. Introduction

This document refers to equities and fixed income vanilla trades in a custody environment.

Changes to previous version				
Version 5.1 May 2004	Addition	Preferable requirement for Linked Transactions	Page 5	
	Addition	Information on PSMS (Pre Settlement matching System) in Japan	Page 8	
	Clarification	Further precisions on Transfer of Book-Entry JGBs	Page 12	
	Addition	Information on Book-Entry CB at CSD	Page エラー!ブックマークが定義されていません。	
	Clarification	Further precisions on the information provided by local JP custodians in the MT535.	Page 23	
	Addition	Information provided in the MT537and MT548 in Japan.	Page 32	
Version 5.2 May 2005	Clarification	JASDEC as Place of Settlement for CB	Page 3	
	Clarification	Recommendation on Place of Settlement	Page 8	
	Addition	Settlement flow on Public Offering	Page 11	
	Deletion	Deletion of Sub-Balance Codes not utilized (PDMT,PDUM)	Page 13	
	Addition	94a Place field	Page 20	
	Addition	97a Safekeeping Account field	Page 20, 24 and 25	
	SR2005	Addition	Letter of Guarantee Indicator and its usage explanation	Page 4
		Change	SWIFT PSET mandatory from optional	Page 6
		Addition	93B Balance Qualifier AVAI, NAVL	Page 13
		Change	Sub-Balance Format B or C	Page 13
Deletion		Deletion of Sub-Balance code TAVI	Page 13	
Addition	Processing Reference field	Page 17, 21, 22 and 26		
Version 5.3 April 2006	Addition	JASDEC as Place of Settlement for Book-Entry Corporate Bonds	Page 3	
	Clarification	Requirement for tax status in Book-entry Corporate Bonds settlement	Page 4	
	Addition	Quantity of Financial Instrument in Factored Securities trades	Page 5	
	Addition	PSMS eligible securities line-up	Page 6	
	Addition	*remarks BIC change of PSET recommendation	Page 9	
	Clarification	Transfer of book-entry JGB	Page 11	
	Addition	Pair-off Settlement	Page 13	
	Addition	Repo Settlement	Page 13	
	Change (SR2006)	MT535 Field mandatory/optional status	Page 15	
	Change (SR2006)	MT535 Sub balance Qualifier	Page 15	
Version 5.4 April 2007	Addition	Quantity Code(UNIT,FAMT) of Financial Instrument	Page 4	
	Addition	PSMS Requirement of Quantity	Page 6,7	
	Change	BIC change of JASDEC	Page 8	
	Addition(SR2007)	Transaction Processing Command	Page 12	
	Addition(SR2007)	MT535 ACOW,PLIS	Page 13,14	
	Addition(SR2007)	MT536 STBA	Page 17,19	

	Deletion	MT536 ASRF	Page 17
	Deletion	MT537 ASRF	Page 21

<b>Changes to previous version</b>			
Version 5.5 Nov 2008	Deletion	Wording 'book-entry'	Page 3-10
	Deletion	Public Offering Settlement (so called 'Letter of Guarantee')	Page 4,11
	Deletion	Place of Settlement of FOL/Non-FOL	Page 8
	Addition(SR2008)	'CORP' Ref. in MT536.	Page 327
Version 5.6 July 2013	Change	JASDEC website	Page 5
	Addition	Tolerance Matching'	Page 9
	Deletion	Ultimate Investor/Trade Party on the side of the sender of the instruction (Conditional)	Page 3
	Deletion	Trade Trasaction Condition Indicator	Page 4
	Deletion	Settlement Transaction Condition (Conditional)	Page 4
	Change	Transfer of JGBs	Page 10 - 11
Version 5.7 March 2024	Total Revision	- Update of all information - Deletion of duplicate information - Modification of chapter structure	Page5-42

## 2. Settlement Instruction

### 2.1 Needed elements for Settlement Instruction

Business Element Name	Data Format	Remarks
Sender message reference	16x	
Settlement date	8!n	
Trade date	8!n	
Identification of Financial Instrument	ISIN 1!e12!c	<p>ISIN CODE</p> <p>-When an ISIN code exists, it is strongly recommended that the ISIN is used.</p> <p>-In case there is no ISIN, “/JP/+ National securities identification number for JP issued by the National Numbering Association formed and operated by 5 Stock Exchanges and JASDEC (Securities Identification Code Committee)” should be used.</p> <p>-The description alone shouldn’t be used except as the last resort, when no formal id exists.</p>
Quantity of financial instrument to be settled	4!c/15d	<p>4!c=UNIT (Equities)</p> <p>4!c=FAMT (Fixed income)</p> <p>The requirement of PSMS is UNIT or FAMT, not AMOR. For the Factored Securities* (Corporate Bonds only), JASDEC requires the original face amount to be quoted as the quantity of settlement and not the current face amount after partial redemption.</p> <p>* Pre-maturity redemption at fixed dates and partial callable bonds are categorized as Factored Securities.</p>
Safekeeping account to be credited or debited	35x	
Delivering or Receiving agent	BIC	BIC is the country preference.
Settlement amount (including currency)	3!a15d	Settlement amount is not required for free of payment transaction.
<i>Direct Client of Delivering or Receiving agent</i>	BIC	<b>(See Notes 1)</b>
<i>Place of settlement</i>	BIC	<p>JASDEC: JSDJPJT (Equities, Convertible Bonds and Corporate Bonds)</p> <p>Bank of Japan: BOJJPJT (Japan Government Bonds)</p> <p>PSET is a SWIFT mandatory in ISO15022, but it is not requirement in Japan market. The above shows recommendation for the sake of clients’ STP process</p> <p>Local agent/account servicer will discern the place of settlement according to the type and form of securities that are to be settled, regardless of what is stated in this field. To be in line with international standards and to cope with future changes of the settlement system in Japan, BIC is preferred whenever present.</p> <p style="text-align: right;"><b>(See Notes 2)</b></p>

#### Notes1

It is recommended that Safekeeping Account (97A) of Direct Client of Delivering or Receiving agent is used. In case that the settlement instruction is missing, the local agent/account servicer can determine whom this settlement instruction is facing by Safekeeping Account (97A) of Direct Client of Delivering or Receiving agent on the counter instruction and notify their client with MT578/MT586.

#### Notes2

For BIC of Depositories, refer to the latest “Depositories\_BICs\_List” on below.

[https://www.smpg.info/index.php?id=5&tx\\_filelist\\_filelist%5Bpath%5D=%2Fdocuments%2F3\\_Settlement%20and%20Reconciliation%20WG%2FA\\_Final%20Global%20Market%20Practices%2F&tx\\_filelist\\_filelist%5Baction%5D=list&tx\\_filelist\\_filelist%5Bcontroller%5D=File&cHash=c76abaa3c318a8d1dbb01976dcb1bb9e](https://www.smpg.info/index.php?id=5&tx_filelist_filelist%5Bpath%5D=%2Fdocuments%2F3_Settlement%20and%20Reconciliation%20WG%2FA_Final%20Global%20Market%20Practices%2F&tx_filelist_filelist%5Baction%5D=list&tx_filelist_filelist%5Bcontroller%5D=File&cHash=c76abaa3c318a8d1dbb01976dcb1bb9e)



## 2.2 PSMS (Pre-Settlement matching System)

### 2.2.1 PSMS eligible securities lineup

- Listed Equities
- Convertible Bonds
- JGBs
- Commercial Paper
- Corporate Bonds

### 2.2.2 Message Format

In system perspective, PSMS requires all PSMS members to provide matching instruction with their particular message format, in accordance with ISO20022 format.

Local custodian converts MT540-3 message which is given by Global Custodians / Overseas parties to “sese.023” which is requested format by PSMS and sends the sese.023 to PSMS.

Global Custodians / Overseas parties are, thereof required to provide information, accommodating to PSMS requesting format. The detail of PSMS could be referred to JASDEC website in below.

<https://www.jasdec.com/en/system/finance/>

### 2.2.3 Message Matrix

Sample: MT543-PSMS format

SWIFT MT540-3 M/O	Definition in MT543	Format in MT543	Matching criteria in PSMS (Y or N)	Mandatory (M) or Optional (O) in PSMS
	Sender	EFGHBEBB		
	Receiver	XXYZJPJT		
	Message Text			
	Start of Block	:16R: GENL		
M	Reference	:20C::SEME//88284564	N	M
M	Function of Message	:23G:NEWM		M
	End of Block	:16S: GENL		
	Start of Block	:16R: TRADDET		
M	Settlement Date	:98A::SETT//20230303	Y	M
O	Trade Date	:98A::TRAD//20230301	N	M (See Notes 1)
M	Identification of Financial Instrument	:35B:ISIN JP3788600009	Y	M
	End of Block	:16S:TRADDET		
	Start of Block	:16R:FIAC		
M	Quantity to be settled	:36B::SETT//UNIT/50000,	Y	M (See Notes 2)
M	Safekeeping Account	:97A::SAFE//JP123456	N	M (See Notes 3)
	End of Block	:16S:FIAC		
	Start of Block	:16R: SETDET		
O	Settlement Condition Indicator		Y	O(M)

				(See Notes 4)
M	Settlement type Indicator	:22F::SETR//TRAD	N	M
	Start of Block	:16R:SETPRTY		
M	Receiving Agent	:95P::REAG//ABCDJPJT	Y	M
	End of Block	:16S:SETPRTY		
	Start of Block	:16R:SETPRTY		
M	Client of Receiving Agent	:95P::BUYR//ABCDGB2L (See Notes 5)	Y	M
O	Safekeeping Account	:97A::SAFE//JP987654	N	O
	End of Block	:16S:SETPRTY		
	Start of Block	:16R:SETPRTY		
O	Delivering Agent	95P::DEAG//XXYZJPJT <i>No instruction required</i> (See Notes 6)	Y	M
	End of Block	:16S:SETPRTY		
	Start of Block	:16R:SETPRTY		
O	Client of Delivering Agent	:95P::SELL//EFGHBEBB <i>No instruction required</i> (See Notes 7)	Y	M
	End of Block	:16S:SETPRTY		
	Start of Block	:16R:SETPRTY		
M	Place of settlement	:95P::PSET//JSDJPJT	Y	M
	End of Block	:16S:SETPRTY		
	Start of Block	:16R:AMT		
M	Settlement Amount	:19A::SETT//JPY2287252,	Y	M
	End of Block	:16S:AMT		
	End of Block	:16S:SETDET		

#### Notes 1

Global Custodians / Overseas parties are not required to quote trade date under ISO15022 standard. However, local custodians are required to quote this field in PSMS standard. In order for local custodians to perform full STP, Global Custodians / Overseas parties need to quote this field even though it is not a mandatory matching field.

#### Notes 2

The requirement of PSMS is UNIT or FAMT, not AMOR. UNIT is used for equities, FAMT is used for fixed income.

#### Notes 3

The account number of the Global custodian/ Overseas Parties' safekeeping account held with the local custodian in MT540-543 is not mandatory in PSMS. Since the code of the local custodian's account held with JASDEC is used for the settlement in PSMS, the local custodian sets their account code in the message to PSMS by themselves. "JSDC" is required to set as prefix of the account code. (e.g. JSDC1234567)

#### Notes 4

This is the characteristic field in PSMS, called "Release Flag". It is a sort of commitment to execute and it is actually utilized to tell sufficient/insufficient balance (securities/cash). In case of receive free, it means ready to receive. After all the matching items are matched, the system checks this flag. If the flag indicator matches, settlement process starts. In case a

local agent does not have enough securities/cash balance an agent should mention “Non-release”, since an instruction without this field is treated as “Release”.

Local custodian states the “Release Flag” by themselves in the instruction message, not on the MT530 from Global custodian / Overseas parties. MT530 is not required in Japan.

Notes 5

The qualifier other than BUYR is acceptable. The party information required in the instruction is the client of the counterparty. Local Custodian set it to Party2 or Party3 in the sese.023. Local Custodian determine how to set from RECU, BUYR, REI1 and REI2 from Global custodians / Overseas parties’ indication in accordance with ISO20022 rule.

Notes 6

It is equal to the message sender (Global custodian/ Overseas Parties) unless the instruction is originated from third party.

Notes 7

It is equal to the message recipient (the local custodian). Based on Global custodian/ Overseas Parties indications, the local custodian sets it to Party 1 in the sese.023.

2.2.4 Search Key Criteria in instruction designated by PSMS

No.	Matching items	Condition for Matched Status
1	Settlement date/time	Japanese financial institutions business date should be quoted. The same as that of matching C/P is set.
2	Identification of the Financial Instrument	<JGBs, Corporate Bonds> The same ISIN as that of the matching C/P is set.  <Other than the above> The pair consisting of the ISIN and the current local code is the same as that of matching C/P.
3	Quantity of Financial Instrument	The same value as that of the matching C/P is set.
4	Delivery Agent	The same value as that of the matching C/P is set.
5	Receiving Agent	The same value as that of the matching C/P is set.

If the above criteria were not matched with that of C/P, matching status in PSMS would be “Matching Pending” (It represents C/P has no instruction) instead of “Unmatched”.

2.2.5 Other Recommendation

For the local counterparty and Underlined parties’ information, local custodians are required to quote BIC for this information under PSMS message format, besides, Global Custodians / Overseas parties are not required to quote BIC but allowed to quote it as text format with option Q. It leads local custodian to modify to BIC or specific ID in order to accommodate to PSMS format. Therefore, global custodians need to quote BIC in these fields with option P.

### 2.2.6 Extension of matching criteria

In PSMS scheme matching of settlement parties up to 3 levels are available, i.e. DEAG/REAG, DECU/RECU and SELL/BUYR. But under PSMS definition, PSMS member pre-matches with counterparty for local C/P and underlined party name level but not need to pre-match further sub account level as above message matrix shows. In other word, Global Custodian should quote local C/P's client BIC in SELL/BUYR but not need to quote sub account name or dealing party name.

### 2.2.7 Tolerance Matching

- Tolerance Matching is equally applied to ALL non-residential transactions by ALL PSMS users.
- Tolerance Matching is applied to ALL types of securities in PSMS for non-residential transactions including Stocks, etc, Corporate Bonds, CP, and Japanese Government Bonds.
- When a discrepancy in the settlement amounts between the instructions sent by the relevant settlement agents is within the limited tolerance; JPY100 for all types of securities, PSMS is to regard that the settlement instructions are matched. PSMS will adopt the settlement amount set by the delivering agents.

## 2.3 Market specifications

### 2.3.1 Place of Settlement

The local agent/account servicer sometime overwrite PSET in the settlement confirmation, which might differ from the one as stated in the instruction.

Business Element Name	Data Format	Remarks
Place of settlement	BIC	If PSET in original statement is wrong, local custodian might overwrite PSET to the one where the transaction was actually settled.

### 2.3.2 Linked Transactions

In case an account owner wants to settle more than two instructions together, linkage indicators such as BEFO, AFTE, WITH are preferably required. Please ask your local custodian for more details of linked transactions settlement.

### 2.3.3 Digital Asset

The qualifier code below for the digital Asset is not used in Japan market at present.

Country Additions	Data Format	Qualifier Code	SWIFT M/O	Comments
Blockchain Address or Wallet (97a, Option D)	4!x//[8c]140x	BCAW	O	The Option D was added in the SR2022 for the servicing of digital assets. However, it is not used in Japan market. As mentioned above, this field is important to avoid cross matching. Therefore, it is preferred to use the qualifier SAFE.
Quantity of Financial Instrument (36a , Option D)	4!c//4!c/30d	SETT//DITU	M	The Option D was added in the SR2022 for the servicing of digital assets. However, it is not used in Japan market.

### 2.3.4 Transfer of JGBs

In order to determine the correct interest amount and redemption amount, any of the book transfer of JGBs after 15:30 JST on one business day prior to the coupon payment/maturity date will be prohibited.

### 2.3.5 Transfer of Convertible Bonds/Corporate Bonds at JASDEC

In order to determine the correct interest amount and redemption amount, any of the book transfer of Convertible Bonds/Corporate Bonds on one business day prior to the coupon payment/maturity date will be prohibited. This rule is applied to trade settlements.

### 2.3.6 Pair-off Settlement

Pair-off process in Japan does not follow Pair-off Market Practice document.

Local agents do not offset trades nor settle Net Gain Loss amount.

When a trade is paired-off cancel instruction of the original trade is always required.

### 2.3.7 Partial Settlement

Local custodian does not process partial settlement in Japan. If a transaction is unsettled due to lack of securities and client would like to settle the transaction partially, local custodian asks the client to send the new instruction for amendment of the quantity and cancel the failed instruction.

### 2.3.8 Repo Settlement

Repo settlement is treated as normal and free of payment settlement in Japan. In consequence two free of payment instructions representing opening leg and closing leg are necessary. Populating Repo Sequence is not required.

### 2.3.9 Settlement Cycle for outright transactions

<b>Financial instruments</b>	<b>On-Shore Transactions</b>	<b>Off-shore transactions</b>
JGB	T+1	T+2
Listed stocks, ETFs, REITs	T+2	T+2
Corporate Bonds, Samurai Bonds	T+2	T+3

### 2.3.10 Transaction Processing Command (MT530)

PSMS does not have the process changed by transaction processing command. Transaction processing command cannot be used in Japan, and MT530 is not required.

A local custodian states the “Release Flag” by themselves in the instruction message, not on the MT530 from Global custodian / Overseas parties.

### 3. Settlement Status and Processing Advice (MT548)

#### 3.1 Elements

Field	Qualifier	Definition	Format	Qualifiers and codes	Swift O or M
<b>Mandatory Sequence A - General Information</b>					
16R	GENL	<i>Start of General Information Sequence</i>		GENL	M
20C	SEME	Sender's Message Reference	:4!c//16x	SEME	M
23G		Function of the Message	4!c[/4!c]	(See Notes 1)	M
98a	PREP	Preparation Date/Time		PREP	O
<b>Mandatory Repetitive Subsequence A1 – Linkages</b>					
16R	LINK	<i>Start of Linkages Subsequence</i>		LINK	M
13a	LINK	Linked Message		LINK	O
20a		Reference	:4!c//16x	RELA	M
16S	LINK	<i>End of Linkages Subsequence</i>		LINK	M
<b>Mandatory Repetitive Subsequence A2 – Status</b>					
16R	STAT	<i>Start of Status Subsequence</i>		STAT	M
25D		Status Code	:4!/[8c]/4!c	(See Notes 1)	M
<b>Optional Repetitive Subsequence A2a - Reason</b>					
16R	REAS	<i>Start of Reason Subsequence</i>		REAS	M
24B		Reason Code	:4!c/[8c]/4!c	(See Notes 1)	M
70D	REAS	Reason Narrative	:4!c//6*35x	REAS	O
16S	REAS	<i>End of Reason Subsequence</i>		REAS	M
16S	STAT	<i>End of Status Subsequence</i>		STAT	M
16S	GENL	<i>End of General Information Sequence</i>		GENL	M
<b>Optional Sequence B - Settlement Transaction Details</b>					
16R	SETTRAN	<i>Start of Settlement Transaction Details Sequence</i>		SETTRAN	M
94a		Place			O
35B		Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]		M
36B	SETT	Quantity of Financial Instrument	:4!c//4!c//15d	SETT	M
19A	SETT	Amount	:4!c//[N]3!a15d	SETT	O
95a		Party			O
97a	SAFE	Account	A or B	SAFE	M
22a		Indicator	F or H	(See Notes 2)	M
98a	SETT	Date/Time	A, B or C	SETT	M
70E	SPRO	Settlement Instruction Processing Narrative	:4!c//10*35x	SPRO	O
<b>Optional Repetitive Subsequence B1 - Settlement Parties</b>					
16R	SETPRTY	<i>Start of Settlement Parties Subsequence</i>		SETPRTY	M
95a		Party	C, P,R,Q	(See Notes 3)	M
97a	SAFE	Account	A or B	SAFE	O
20C	PROC	Processing Reference	:4!c//16x	PROC	O
16S	SETPRTY	<i>End of Settlement Parties Subsequence</i>		SETPRTY	M
16S	SETTRAN	<i>End of Settlement Transaction Details Sequence</i>		SETTRAN	M
<b>Optional Sequence C - Penalties</b>					
(See Notes 4)					

Optional Repetitive Sequence D - Additional Information
(See Notes 5)

Notes 1

Code	Description
CAST	Message reporting on a cancellation request status
INST	Message reporting on an instruction status
PENA	Message contains settlement penalties *PENA is not used in Japan market.

As for the matrix of 23G Function of the Message, 25D Status and 24B Reason, see “7.3 Instruction MT548-MT537 Matrix”.

Notes 2

Qualifier	M/O	Description
SETR	M	Type of Settlement Transaction Indicator
REDE	M	Receive / Deliver Indicator
PAYM	M	Payment Indicator

Notes 3

Qualifier	M/O	Description
PSET	M	Place of Settlement
BUYR		Buyer
RECU		Receiver’s Custodian
REAG		Receiving Agent
SELL		Seller
DECU		Deliverer’s Custodian
DEAG		Delivering Agent

Notes 4

Optional Sequence C for Penalties is not used in Japan market.

Notes 5

Optional Repetitive Sequence D for Additional Information is not used in Japan market.



### 3.2 Examples

<b>Matching Problem Advice</b>	<b>Settlement Problem Advice</b>
<b>Mandatory Sequence A</b>	<b>Mandatory Sequence A</b>
:16R:GENL	:16R:GENL
:20C::SEME//12345	:20C::SEME//11234
:23G:INST	:23G:INST
<b>Mandatory Subsequence A1</b>	<b>Mandatory Subsequence A1</b>
:16R:LINK	:16R:LINK
:20C::RELA//001	:20C::RELA//002
:16S:LINK	:16S:LINK
<b>Mandatory Repetitive Subsequence A2</b>	<b>Mandatory Repetitive Subsequence A2</b>
:16R:STAT	:16R:STAT
:25D::MTCH//NMAT	:25D::SETT//PEND
<b>Optional Repetitive Subsequence A2a</b>	<b>Optional Repetitive Subsequence A2a</b>
:16R:REAS	:16R:REAS
:24B::NMAT//CMIS	:24B::PEND//CLAC
:16S:REAS	:16S:REAS
:16S:STAT	:16S:STAT
:16S:GENL	:16S:GENL
<b>Optional Sequence B</b>	<b>Optional Sequence B</b>
:16R:SETTRAN	:16R:SETTRAN
:35B:ISIN JP3735400008	:35B:ISIN JP3435000009
NTT	SONY
:36B::SETT//UNIT/1,	:36B::SETT//UNIT/100,
:19A::SETT//JPY1000000,	:19A::SETT//JPY500000,
:97A::SAFE//A2B2	:97A::SAFE//C2D2
:22F::SETR//TRAD	:22F::SETR//TRAD
:22H::REDE//DELI	:22H::REDE//RECE
:22H::PAYM//APMT	:22H::PAYM//APMT
:98A::SETT//20230329	:98A::SETT//20230329
<b>Optional Repetitive Subsequence B1</b>	<b>Optional Repetitive Subsequence B1</b>
:16R:SETPRTY	:16R:SETPRTY
:95P::REAG//SCYYJPJ1	:95P::DEAG//SCYYJPJ1
:16S:SETPRTY	:16S:SETPRTY
:16R:SETPRTY	:16R:SETPRTY
:95P::BUYR//BRYXX22	:95P::SELL//BRYXX22
:16S:SETPRTY	:16S:SETPRTY
:16S:SETTRAN	:16S:SETTRAN

**Cancel Completion Advice****Instruction Reject Advice**

<b>Cancel Completion Advice</b>	<b>Instruction Reject Advice</b>
<b>Mandatory Sequence A</b>	<b>Mandatory Sequence A</b>
:16R:GENL	:16R:GENL
:20C::SEME//23456	:20C::SEME//13456
:23G:CAST	:23G:INST
<b>Mandatory Subsequence A1</b>	<b>Mandatory Subsequence A1</b>
:16R:LINK	:16R:LINK
:20C::RELA//003-CANC	:20C::RELA//004-REJT
:16S:LINK	:16S:LINK
<b>Mandatory Repetitive Subsequence A2</b>	<b>Mandatory Repetitive Subsequence A2</b>
:16R:STAT	:16R:STAT
:25D::CPRC//CAND	:25D::IPRC//REJT
<b>Optional Subsequence A2a</b>	<b>Optional Subsequence A2a</b>
:16R:REAS	:16R:REAS
:24B::CAND//CANI	:24B::REJT//DQUA
:16S:REAS	:16S:REAS
:16S:STAT	:16S:STAT
:16S:GENL	:16S:GENL
<b>Optional Sequence B</b>	<b>Optional Sequence B</b>
:16R:SETTRAN	:16R:SETTRAN
:35B:ISIN JP3735400008	:35B:ISIN JP3435000009
NTT	SONY
:36B::SETT//UNIT/1,	:36B::SETT//UNIT/10000,
:19A::SETT//JPY1000000,	:19A::SETT//JPY500000,
:97A::SAFE//E2F2	:97A::SAFE//G2H2
:22F::SETR//TRAD	:22F::SETR//TRAD
:22H::REDE//DELI	:22H::REDE//RECE
:22H::PAYM//APMT	:22H::PAYM//APMT
:98A::SETT//20230329	:98A::SETT//20230329
<b>Optional Repetitive Subsequence B1</b>	<b>Optional Repetitive Subsequence B1</b>
:16R:SETPRTY	:16R:SETPRTY
:95P::REAG//SCYYJPJ1	:95P::DEAG//SCYYJPJ1
:16S:SETPRTY	:16S:SETPRTY
:16R:SETPRTY	:16R:SETPRTY
:95P::BUYR//BRYYXX22	:95P::SELL//BRYYXX22
:16S:SETPRTY	:16S:SETPRTY
:16S:SETTRAN	:16S:SETTRAN

## 4. Settlement Confirmation (MT544-547)

### 4.1 Elements

The set of common elements can also be used for settlement confirmation. The Settlement confirmation should contain the same elements as the original instruction.

#### Special Notes

Element Name	Data Format	Remarks
Reference to the original settlement instruction	16x	Linkage Block and the qualifier 20C::RELA//16x is used.
Settlement date	8!n	Settlement date when the transaction was actually settled is quoted. This translates in ISO 15022 messages by using the qualifier ESET rather than SETT as qualifier for the date field 98a.
Quantity of financial instrument to be settled	4!c/15d	Quantity which the transaction was actually settled is quoted. This translates in ISO 15022 messages by using the qualifier ESTT rather than SETT as qualifier for the quantity of financial instrument field 36B.
Settlement amount (including currency)	3!a15d	Settlement amount which the transaction was actually settled is quoted. This translates in ISO 15022 messages by using the qualifier ESTT rather than SETT as qualifier for the amount field 19A.
Place of settlement	BIC	If PSET in original statement is wrong, local custodian might overwrite PSET to the one where the transaction was actually settled.

#### MT546

Field	Qualifier	Definition	Format	Qualifiers and codes	Swift O or M
<b>Mandatory Sequence A - General Information</b>					
16R	GENL	<i>Start of General Information Sequence</i>		GENL	M
20C	SEME	Sender's Message Reference	:4!c//16x	SEME	M
23G		Function of the Message	4!c/[4!c]	<b>(See Notes 1)</b>	M
98a	PREP	Preparation Date/Time		PREP	O
22a		Indicator			O
<b>Mandatory Repetitive Subsequence A1 - Linkages</b>					
16R	LINK	<i>Start of Linkages Subsequence</i>		LINK	M
22F	LINK	Linkage Type Indicator	:4!/[8c]/4!c	LINK	O
13a	LINK	Linked Message		LINK	O
20C	RELA	Reference		RELA	M
16S	LINK	<i>End of Linkages Subsequence</i>		LINK	M
16R	GENL	<i>End of General Information Sequence</i>		GENL	M
<b>Mandatory Sequence B – Trade Details</b>					
16R	TRADDET	<i>Start of Trade Details Sequence</i>		TRADDAT	M
94a		Place			O

98a	ESET	Date / Time	A, B or C	ESET	M
90a	DEAL	Deal Price		DEAL	O
99A	DAAC	Number of Day Accrued		DAAC	O
35B		Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]		M
<b>Optional Subsequence B1 – Financial Instrument Attributes</b>					
16R	<i>FIA</i>	<i>Start of Financial Instrument Attributes Subsequence</i>		<i>FIA</i>	M
94B	PLIS	Place of Listing	:4!c//[8c]/4!c/[30x]	PLIS	O
22F		Indicator	:4!c//[8c]/4!c		O
12a		Type of Financial Instrument			O
11A	DENO	Currency of Denomination	:4!c//3!a	DENO	O
98A		Date / Time	:4!c//8!n		O
92A		Rate	:4!c//[N]15d		O
13a		Number Identification			O
17B		Flag	:4!c//1!a		O
90a		Price			O
36B		Quantity of Financial Instrument			O
35B		Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]		O
70E	FIAN			FIAN	O
16S	<i>FIA</i>	<i>End of Financial Instrument Attributes Subsequence</i>		<i>FIA</i>	M
22F		Indicator	:4!c//[8c]/4!c		O
70E		Narrative	:4!c//10*35x		O
16S	<i>TRADDET</i>	<i>End of Status Subsequence</i>		<i>TRADDET</i>	M
<b>Mandatory Sequence C – Financial Instrument / Account</b>					
16R	<i>FIAC</i>	<i>Start of Financial Instrument / Account Sequence</i>		<i>FIAC</i>	M
36B	ESTT	Quantity of Financial Instrument	:4!c//4!c//15d	ESTT	M
19A		Amount	:4!c//[N]3!a15d		O
70D	DENC	Denomination Choice	:4!c//6*35x	DENC	O
13B	CERT	Certificate Number	:4!c//[8c]/30x		O
95a		Party			O
97a	SAFE	Account	A or B	SAFE	M
94a	SAFE	Place of Safekeeping		SAFE	O
<b>Optional Repetitive Subsequence C1 – Quantity Breakdown</b>					
<b>(See Notes 2)</b>					
<b>Optional Sequence D – Two Leg Transaction Details</b>					
<b>(See Notes 3)</b>					
<b>Mandatory Sequence E – Settlement Details</b>					
16R	<i>SETDET</i>	<i>Start of Settlement Details Sequence</i>		<i>SETDET</i>	M
22F	SETR	Indicator	:4!/[8c]/4!c	SETR	M
<b>Mandatory Repetitive Subsequence E1 – Settlement Parties</b>					
16R	<i>SETPRTY</i>	<i>Start of Settlement Parties Subsequence</i>		<i>SETPRTY</i>	M
95a		Party	C, P, R, or Q	<b>(See Notes 4)</b>	M
97a	SAFE	Account	A or B	SAFE	O
98a	PROC	Processing Date / Time		PROC	O
20C	PROC	Processing Reference	:4!//16x	PROC	O
70a		Narrative			O

16S	SETPRTY	End of Settlement Parties Subsequence		SETPRTY	M
Optional Repetitive Subsequence E2 – Cash Parties					
(See Notes 5)					
Optional Repetitive Subsequence E3 – Amounts					
16R	AMT	Start of Amounts Subsequence		AMT	M
17B		Flag	:4!//1!a		O
19A	ESTT	Amount	:4!c//[N]3!a15d	ESTT	M
98a	VALU	Value Date / Time		VALU	O
92B	EXCH	Exchange Rate		EXCH	O
16S	AMT	End of Amounts Subsequence		AMT	M
16S	SETDET	End of Settlement Details Sequence		SETDET	M
Optional Repetitive Subsequence F – Other Parties					
(See Notes 6)					

Notes 1

Code	Description
NEWM	New Message
RVSL	Reversal *
CANC	Cancellation Request *

\*RVSL and CANC are not commonly used by every local agent in Japan. For information on whether these codes are used, please consult with the local custodian.

Notes 2

Optional Repetitive Subsequence C1 for Quantity Breakdown is not used in Japan market.

Notes 3

Optional Sequence D for Two Leg Transaction Details is not used in Japan market.

Notes 4

Qualifier	M/O	Description
PSET	M	Place of Settlement
BUYR		Buyer
RECU		Receiver's Custodian
REAG		Receiving Agent
SELL		Seller
DECU		Deliverer's Custodian
DEAG		Delivering Agent

Notes 5

Optional Repetitive Subsequence E2 for Cash Parties is not used in Japan market.

Notes 6

Optional Repetitive Subsequence F for Other Parties is not used in Japan market.

## 4.2 Examples

<b>MT544</b>	<b>MT546</b>
<b>Mandatory Sequence A</b>	<b>Mandatory Sequence A</b>
:16R:GENL	:16R:GENL
:20C::SEME//111111	:20C::SEME//222222
:23G:NEWM	:23G:NEWM
<b>Mandatory Repetitive Subsequence A1</b>	<b>Mandatory Repetitive Subsequence A1</b>
:16R:LINK	:16R:LINK
:20C::RELA//001	:20C::RELA//002
:16S:LINK	:16S:LINK
:16S:GENL	:16S:GENL
<b>Mandatory Sequence B</b>	<b>Mandatory Sequence B</b>
:16R:TRADDET	:16R:TRADDET
:98A::ESET//20230328	:98A::ESET//20230328
:35B:ISIN JP3735400008	:35B:ISIN JP3435000009
NTT	SONY
:16S:TRADDET	:16S:TRADDET
<b>Mandatory Sequence C</b>	<b>Mandatory Sequence C</b>
:16R:FIAC	:16R:FIAC
:36B::ESTT//UNIT/1000,	:36B::SETT//UNIT/2000,
:97A::SAFE//A1234	:97A::SAFE//B2345
:16S:FIAC	:16S:FIAC
<b>Mandatory Sequence E</b>	<b>Mandatory Sequence E</b>
:16R:SETDET	:16R:SETDET
:22F::SETR//TRAD	:22F::SETR//TRAD
<b>Mandatory Repetitive Subsequence E1</b>	<b>Mandatory Repetitive Subsequence E1</b>
:16R:SETPRTY	:16R:SETPRTY
:95P::DEAG//SCYYJPJ1	:95P::REAG//SCYYJPJ1
:16S:SETPRTY	:16S:SETPRTY
:16R:SETPRTY	:16R:SETPRTY
:95P::SELL//BRYYXX22	:95P::BUYR//BRYYXX22
:16S:SETPRTY	:16S:SETPRTY
:16R:SETPRTY	:16R:SETPRTY
:95P::PSET//JJSDJPJT	:95P::PSET//JJSDJPJT
:16S:SETPRTY	:16S:SETPRTY
:16S:SETDET	:16S:SETDET

**MT545**

<b>Mandatory Sequence A</b>
:16R:GENL
:20C::SEME//333333
:23G:NEWM
<b>Mandatory Repetitive Subsequence A1</b>
:16R:LINK
:20C::RELA//003
:16S:LINK
:16S:GENL
<b>Mandatory Sequence B</b>
:16R:TRADDET
:98A::ESET//20230329
:35B:ISIN JP3735400008
NTT
:16S:TRADDET
<b>Mandatory Sequence C</b>
:16R:FIAC
:36B::ESTT//UNIT/3000,
:97A::SAFE//C3456
:16S:FIAC
<b>Mandatory Sequence E</b>
:16R:SETDET
:22F::SETR//TRAD
<b>Mandatory Repetitive Subsequence E1</b>
:16R:SETPRTY
:95P::DEAG//SCYYJPJ1
:16S:SETPRTY
:16R:SETPRTY
:95P::SELL//BRYYXX22
:16S:SETPRTY
:16R:SETPRTY
:95P::PSET//JJSDJPJT
:16S:SETPRTY
<b>Optional Repetitive Repetitive Subsequence E3</b>
:16R:AMT
:19A::ESTT//JPY3000000,
:16S:AMT
:16S:SETDET

**MT547**

<b>Mandatory Sequence A</b>
:16R:GENL
:20C::SEME//444444
:23G:NEWM
<b>Mandatory Repetitive Subsequence A1</b>
:16R:LINK
:20C::RELA//004
:16S:LINK
:16S:GENL
<b>Mandatory Sequence B</b>
:16R:TRADDET
:98A::ESET//20230329
:35B:ISIN JP3435000009
SONY
:16S:TRADDET
<b>Mandatory Sequence C</b>
:16R:FIAC
:36B::SETT//UNIT/4000,
:97A::SAFE//D4567
:16S:FIAC
<b>Mandatory Sequence E</b>
:16R:SETDET
:22F::SETR//TRAD
<b>Mandatory Repetitive Subsequence E1</b>
:16R:SETPRTY
:95P::REAG//SCYYJPJ1
:16S:SETPRTY
:16R:SETPRTY
:95P::BUYR//BRYYXX22
:16S:SETPRTY
:16R:SETPRTY
:95P::PSET//JJSDJPJT
:16S:SETPRTY
<b>Mandatory Repetitive Subsequence E3</b>
:16R:AMT
:19A::ESTT//JPY4000000,
:16S:AMT
:16S:SETDET

## 5. Statement of Holdings (MT535)

### 5.1 Elements

Field	Qualifier	Definition	Format	Qualifiers and codes	O or M
<b>Mandatory Sequence A - General Information</b>					
16R	GENL	Start of General Information Sequence		GENL	M
28E		Page Number / Continuation indicator	:5n/4!c	(See Notes 1)	M
13a	STAT	Statement Number		STAT	O
20C	SEME	Sender's Message Reference	:4!c//16x	SEME	M
23G	NEWM	Function of the message	:4!c/[4!c]	NEWM	M
98a	STAT	Date/ Time	A or C	STAT	M
22F		Indicator	:4!c/[8c]/4!c	(See Notes 2)	M
<b>Optional Repetitive Subsequence A1 – Linkages</b>					
16R	LINK	Start of Linkages Subsequence		LINK	M
13a	LINK	Linked Message		LINK	O
20C	RELA	Reference Number of Linked Transaction	:4!c//16x	RELA	M
16S	LINK	End of Linkages Subsequence		LINK	M
95a		Party			O
97a	SAFE	Safekeeping Account	A or B	SAFE	M
17B		Activity Flag	:4!c//1!a	(See Notes 3)	M
16S	GENL	End of General Information Sequence		GENL	M
<b>Optional Repetitive Sequence B - Sub-safekeeping Account</b>					
16R	SUBSAFE	Start of Sub-safekeeping Account Sequence		SUBSAFE	M
95a		Party			O
97a	SAFE	Account		SAFE	O
94a	SAFE	Place of Safekeeping		SAFE	O
17B		Activity Flag	:4!c//1!a	(See Notes 4)	O
<b>Optional Repetitive Subsequence B1 - Financial Instrument</b>					
16R	FIN	Start of the Financial Instrument Subsequence		FIN	M
35B		Identification of the Financial Instrument	[ISIN1!e12!c][4*35x]		M
<b>Optional Subsequence B1a - Financial Instrument Attributes</b>					
(See Notes 5)					
<b>Optional Repetitive Subsequence B1b - Sub-balance</b>					
16R	SUBBAL	Start of Sub-balance Subsequence		SUBBAL	M
93a		Balance	B or C	(See Notes 6)	M
22a	COLA	Exposure Type Indicator		COLA	O
94a	SAFE	Place			O
90a		Price			O
98a	PRIC	Price Quotation Date / Time		PRIC	O
99A	DAAC	Number of Days Accrued		DAAC	O
19A		Amount	:4!c/[N]3!a]15d		O
92B	EXCH	Exchange Rate	:4!c//3!a/3!a/15d	EXCH	O
70C	SUBB	Sub-balance Details Narrative	:4!c//4*35x	SUBB	O
<b>Optional Repetitive Subsequence B1b1 - Quantity Breakdown</b>					



(See Notes 7)					
Optional Repetitive Subsequence B1c - Quantity Breakdown					
(See Notes 8)					
Optional Repetitive Sequence C - Additional Information					
16R	ADDINFO	Start of Additional Information Sequence		ADDINFO	M
95a		Party			O
19A		Amount	:4!c//[N]3!a]15 d		O
70H	ADTX		:4!c//2500z	ADTX	O
16S	ADDINFO	End of Additional Information Sequence		ADDINFO	M

Notes 1

Code	Description
LAST	Last Page
MORE	Intermediate Page
ONLY	Only Page

Notes 2

Qualifier	M/O	Description
SFRE	M	Statement Frequency Indicator
CODE	M	Complete / Updates Indicator
STTY	M	Statement Type *Only CUST is used in Japan market.
STBA	M	Statement Basis *Only SETT is used in Japan market.

Notes3

Qualifier	M/O	Description
ACTI	M	Activity Flag
CONS	M	Sub-safekeeping Statement *Generally, CONS//Y is not used in Japan market.

Notes 4

If Sequence A:17B::CONS is N, ACTI is not allowed.

Notes 5

Optional Sub-sequence B1a for Financial Instrument Attributes is not used in Japan market .

Notes 6

Qualifier	M/O	Description
AWAS	M	Available with No Additional Status
BLCA		Blocked Corporate Action
LOAN		On Loan

In Japan, the total of sub-balances does not necessarily match the aggregate balance.

Notes 7

Optional Repetitive Subsequence B1b1 for Quantity Breakdown is not used in Japan market.

Notes 8

Optional Repetitive Subsequence B1c for Quantity Breakdown is not used in Japan market.

## 5.2 Examples

### Equities

Mandatory Sequence A - General Information
:16R:GENL
:28E:00001/ONLY
:20C::SEME//0001
:23G:NEWM
:98A::STAT//20230328
:22F::SFRE//DAIL
:22F::CODE//COMP
:22F::STTY//CUST
:22F::STBA//SETT
:97A::SAFE//A1B1
:17B::ACTI//Y
:17B::CONS//N
:16S:GENL
Optional Sequence B - Sub - safekeeping Account
:16R:SUBSAFE
Optional Sequence B1 - Financial Instrument
:16R:FIN
:35B:ISIN JP373540008
N.T.T
:90B::MRKT//ACTU//JPY531000,
:93B::AGGR//UNIT/1255,
Optional Subsequence B1b - Sub-Balance
:16R:SUBBAL
:93C::AWAS//UNIT/1245,
:16S:SUBBAL
:16R:SUBBAL
:93C::BLCA//UNIT/NAVL/10,
:16S:SUBBAL
:19A::HOLD//JPY666405000,
:16S:FIN
Optional Sequence B1 - Financial Instrument
:16R:FIN
:35B:ISIN JP3927600001
MOROZOFF
:90B::MRKT//ACTU//JPY205,
:93B::AGGR//UNIT/56028,
Optional Subsequence B1b - Sub-Balance
:16R:SUBBAL
:93C::AWAS//UNIT/56000,
:16S:SUBBAL
:16R:SUBBAL
:93C::RSTR//UNIT/28,
:16S:SUBBAL
:19A::HOLD//JPY11485740,
:16S:FIN
:16S:SUBSAFE

### Bonds

Mandatory Sequence A - General Information
:16R:GENL
:28E:00001/ONLY
:20C::SEME//0002
:23G:NEWM
:98A::STAT//20230328
:22F::SFRE//MNTH
:22F::CODE//COMP
:22F::STTY//CUST
:22F::STBA//SETT
:97A::SAFE//C1D1
:17B::ACTI//Y
:17B::CONS//N
:16S:GENL
Optional Sequence B - Sub - safekeeping Account
:16R:SUBSAFE
Optional Sequence B1 - Financial Instrument
:16R:FIN
:35B:ISIN JP373300P080
NEC(11)
:90B::MRKT//ACTU//JPY107,
:93B::AGGR//FAMT/10000000,
Optional Sequence B1b - Sub-Balance
:16R:SUBBAL
:93C::CLEN//FAMT/10000000
:16S:SUBBAL
:19A::HOLD//JPY10700000,
:16S:FIN
Optional Sequence B1 - Financial Instrument
:16R:FIN
:35B:ISIN JP579200AQ33
TURKEY REPUBLIC (8)
:90B::MRKT//ACTU//JPY98,8
:93B::AGGR//FAMT/50000000,
Optional Sequence B1b - Sub-Balance
:16R:SUBBAL
:93C::CLEN//FAMT/50000000,
:16S:SUBBAL
:19A::HOLD//JPY49400000,
:16S:FIN
:16S:SUBSAFE

**No Activity**

Mandatory Sequence A - General Information
:16R:GENL
:28E:00001/ONLY
:20C::SEME//0003
:23G:NEWM
:98A::PREP//20230328
:98A::STAT//20230328
:22F::SFRE//WEEK
:22F::CODE//COMP
:22F::STTY//CUST
:22F::STBA//SETT
:97A::SAFE//E1F1
:17B::ACTI//N
:17B::CONS//N
:16S:GENL

## 6. Statement of Transactions (MT536)

### 6.1 Elements

Field	Qualifier	Definition	Format	Qualifiers and codes	O or M
<b>Mandatory Sequence A - General Information</b>					
16R	GENL	Start of General Information Sequence		GENL	M
28E		Page Number / Continuation Indicator.	5n/4!c	(See Notes 1)	M
13A	STAT	Statement Number		STAT	O
20C	SEME	Sender's Message Reference	:4!c//16x	SEME	M
23G	NEWM	Function of the Message	4!c/[4!c]	NEWM	M
98a	PREP	Preparation Date / Time		PREP	O
69a	STAT	Statement Period	A or B	STAT	M
22F	STBA	Indicator	:4!c/[8c]/4!c	STBA	M
<b>Optional Repetitive Subsequence A1 - Linkages</b>					
16R	LINK	Start of Linkages Subsequence		LINK	M
13a	LINK	Linked Message		LINK	O
20C	RELA	Reference	:4!c//16x	RELA	M
16S	LINK	End of Linkages Subsequence		LINK	M
95a		Party			O
97a	SAFE	Account	A or B	SAFE	M
17B		Flag	:4!c//1!a	(See Notes 2)	M
16S	GENL	End of General Information Sequence		GENL	M
<b>Optional Repetitive Sequence B – Sub-safekeeping Account</b>					
16R	SUBSAFE			SUBSAFE	M
95a		Party			O
97a	SAFE	Account		SAFE	O
17B	ACTI	Flag	:4!c//1!a	ACTI	O
		(See Notes 3)			
<b>Optional Repetitive Subsequence B1- Financial Instrument</b>					
16R	FIN	Start of the Financial Instrument Subsequence		FIN	M
35B		Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]		M
90a		Price			O
94B	PRIC	Source of Price	:4!c/[8c]/4!c/[30x]	PRIC	O
98a	PRIC	Price Quotation Date / Time		PRIC	O
93B		Balance			O
<b>Mandatory Repetitive Subsequence B1a - Transaction</b>					
16R	TRAN	Start of Transaction Subsequence		TRAN	M
<b>Mandatory Repetitive Subsequence B1a1- Linkages</b>					
16R	LINK	Start of Linkages Subsequence		LINK	M
13a	LINK	Linked Message		LINK	O
20C	RELA	Reference		RELA	M
16S	LINK	End of Linkages Subsequence		LINK	M
<b>Optional Subsequence B1a2 - Transaction Details</b>					
16R	TRANSDET	Start of Transaction Details Subsequence		TRANSDET	M
94a		Place			O
36B	PSTA	Posting Quantity	:4!c//4!c/15d	PSTA	M

99A	DAAC	Number of Days Accrued	:4!c//[N]3!n	DAAC	O
19A		Amount	:4!c//[N]3!a15d		O
22a		Indicator	H or F	(See Notes 4)	M
98a	ESET	Date/Time	A,B or C	ESET	M
25D	MOVE	Movement status	:4!c/[8c]/4!c	(See Notes 5)	O
70E	TRDE	Transaction Details Narrative	:4!c//10*35x	TRDE	O
<b>Optional Repetitive Subsequence B1a2A - Settlement Parties</b>					
16R	SETPRTY	<i>Start of Settlement Parties Subsequence</i>		SETPRTY	M
95a		Party	P,R or Q	(See Notes 6)	M
97a	SAFE	Account		SAFE	O
20C	PROC	Processing Reference	:4!c//16x	PROC	O
16S	SETPRTY	<i>End of Settlement Parties Subsequence</i>		SETPRTY	M
16S	TRANSDET	<i>End of Trans. Details Subsequence</i>		TRANSDET	M
16S	TRAN	<i>End of Transaction Subsequence</i>		TRAN	M
16S	FIN	<i>End of the Financial Instrument. Subsequence</i>		FIN	M
16S	SUBSAFE	<i>End of the Sub safekeeping Account Sequence</i>		SUBSAFE	M
<b>Optional Sequence C - Additional Information</b>					
(See Notes 7)					

Notes 1

Code	Description
LAST	Last Page
MORE	Intermediate Page
ONLY	Only Page

Notes 2

Qualifier	M/O	Description
ACTI	M	Activity Flag
CONS	M	Sub-safekeeping Statement *CONS//Y is not used in Japan market.

Notes 3

If Subsequence A1 :17B::CONS is N, ACTI is not allowed.

Notes 4

Qualifier	M/O	Description
TRAN	M	Transaction Indicator
REDE	M	Receive / Deliver Indicator
PAYM	M	Payment Indicator

Notes 5

25D::MOVE//REVE may be set in case of reversing a previously reported movement, according to some local agent in Japan.

Notes 6

Qualifier	M/O	Description
PSET	M	Place of Settlement
BUYR		Buyer
RECU		Receiver's Custodian
REAG		Receiving Agent

SELL		Seller
DECU		Deliverer's Custodian
DEAG		Delivering Agent

Notes 7

Optional Sequence C for Additional Information is not used in Japan market.

## 6.2 Examples

### Equity

Mandatory Sequence A - General Information
:16R:GENL
:28E:0001/ONLY
:20C::SEME//00001
:23G:NEWM
:69A::STAT//20230329/20230329
:22F::SFRE//DAIL
:22F::CODE//COMP
:22F::STBA//SETT
:97A::SAFE//A111
:17B::ACTI//Y
:17B::CONS//N
:16S:GENL
Optional Sequence B - Sub Safekeeping Account
:16R:SUBSAFE
Optional Sequence B1- Financial Instrument
:16R:FIN
:35B:ISIN JP373540008 N.T.T
Mandatory Subsequence B1a - Transaction
:16R:TRAN
Mandatory Subsequence B1a1- Linkages
:16R:LINK
:20C::RELA//REC001
:16S:LINK
Optional Subsequence B1a2 - Transaction Details
:16R:TRANSDDET
:36B::PSTA//UNIT/1,
:19A::PSTA//JPY1240000,
:22H::TRAN//SETT
:22H::REDE//RECE
:22H::PAYM//APMT
:98A::ESET//20230329
Optional Subsequence B1a2a - Settlement Parties
:16R:SETPRTY
:95P::DEAG//SCYYJPJ2
:16S:SETPRTY
:16R:SETPRTY
:95P::SELL//BRYYCC22
:16S:SETPRTY
:16S:TRANSDDET
:16S:TRAN
Mandatory Subsequence B1a - Transaction
:16R:TRAN

### Bonds

Mandatory Sequence A - General Information
:16R:GENL
:28E:0001/ONLY
:20C::SEME//00002
:23G:NEWM
:69A::STAT//20230329/20230329
:22F::SFRE//DAIL
:22F::CODE//COMP
:22F::STBA//SETT
:97A::SAFE//A222
:17B::ACTI//Y
:17B::CONS//N
:16S:GENL
Optional Sequence B - Sub Safekeeping Account
:16R:SUBSAFE
Optional Sequence B1- Financial Instrument
:16R:FIN
:35B:ISIN JP1102221056 JGB222(10YR)
Mandatory Subsequence B1a - Transaction
:16R:TRAN
Mandatory Subsequence B1a1- Linkages
:16R:LINK
:20C::RELA//REC003
:16S:LINK
Optional Subsequence B1a2 - Transaction Details
:16R:TRANSDDET
:36B::PSTA//FAMT/100000000,
:19A::PSTA//JPY98800000,
:22H::TRAN//SETT
:22H::REDE//RECE
:22H::PAYM//APMT
:98A::ESET//20230329
Optional Subsequence B1a2a - Settlement Parties
:16R:SETPRTY
:95P::DEAG//SCYYJPJ2
:16S:SETPRTY
:16R:SETPRTY
:95P::SELL//BRYYCC22
:16S:SETPRTY
:16S:TRANSDDET
:16S:TRAN
Mandatory Subsequence B1a - Transaction
:16R:TRAN

<b>Mandatory Subsequence B1a 1- Linkages</b>
:16R:LINK
:20C::RELA//REC002
:16S:LINK
<b>Optional Subsequence B1a2 - Transaction Details</b>
:16R:TRANSDET
:36B::PSTA//UNIT/10,
:22H::TRAN//SETT
:22H::REDE//RECE
:22H::PAYM//FREE
:98A::ESET//20230329
<b>Optional Subsequence B1a2a - Settlement Parties</b>
:16R:SETPRTY
:95P::DEAG//SCYYJPJ2
:16S:SETPRTY
:16R:SETPRTY
:95P::SELL//BRYYCC22
:16S:SETPRTY
:16S:TRANSDET
:16S:TRAN
:16S:FIN
:16S:SUBSAFE

<b>Mandatory Subsequence B1a 1- Linkages</b>
:16R:LINK
:20C::RELA//DEL001
:16S:LINK
<b>Optional Subsequence B1a2 - Transaction Details</b>
:16R:TRANSDET
:36B::PSTA//FAMT/200000000,
:22H::TRAN//SETT
:22H::REDE//DELI
:22H::PAYM//FREE
:98A::ESET//20230329
<b>Optional Subsequence B1a2a - Settlement Parties</b>
:16R:SETPRTY
:95P::REAG//SCYYJPJ2
:16S:SETPRTY
:16R:SETPRTY
:95P::BUYR//BRYYCC22
:16S:SETPRTY
:16S:TRANSDET
:16S:TRAN
:16S:FIN
:16S:SUBSAFE

No Activity
<b>Mandatory Sequence A - General Information</b>
:16R:GENL
:28E:0001/ONLY
:98A::PREP//20230329
:13A::STAT//001
:20C::SEME//00003
:23G:NEWM
:98A::PREP//20230329
:69A::STAT//20230329/20230329
:22F::SFRE//DAIL
:22F::CODE//COMP
:22F::STBA//SETT
:97A::SAFE//A333
:17B::ACTI//N
:17B::CONS//N
:16S:GENL



## 7. Statement of Pending Transactions (MT537)

### 7.1 Elements

Field	Qualifier	Definition	Format	Qualifiers and codes	Swift O or M
<b>Mandatory Sequence A - General Information</b>					
16R	GENL	<i>Start of General Information Sequence</i>		GENL	M
28E		Page Number / Continuation Indicator	5n/4!c	(See Notes 1)	M
13a	STAT	Statement Number		STAT	O
20C	SEME	Sender's Message Reference	:4!c//16x	SEME	M
23G	NEWM	Function of the Message		NEWM	M
98a		Date / Time	A or C	(See Notes 2)	M
22a		Indicator	F or H	(See Notes 3)	M
<b>Optional Repetitive Subsequence A1 - Linkages</b>					
(See Notes 4)					
<b>Optional Repetitive Sequence B - Status (See Notes 5)</b>					
16R	STAT	<i>Start of Status Sequence</i>		STAT	M
25D		Status Code	:4!/[8c]/4!c	(See Notes 6)	M
<b>Optional Repetitive Subsequence B1- Reason</b>					
16R	REAS	<i>Start of Reason Subsequence</i>		REAS	M
24B		Reason Code	:4!c/[8c]/4!c	(See Notes 6)	M
70D	REAS	Reason Narrative	:4!c//6*35x	REAS	O
16S	REAS	<i>End of Reason Subsequence</i>		REAS	M
<b>Mandatory Repetitive Subsequence B2- Transaction</b>					
16R	TRAN	<i>Start of Transaction Subsequence</i>		TRAN	M
<b>Mandatory Repetitive Subsequence B2a - Linkages</b>					
16R	LINK	<i>Start of Linkages Subsequence</i>		LINK	M
13a	LINK	Linked Message		LINK	O
20C	RELA	Reference	:4!c//16x	RELA	M
16S	LINK	<i>End of Linkages Subsequence</i>		LINK	M
<b>Optional Subsequence B2b - Transaction Details</b>					
16R	TRANSDET	<i>Start of Transaction Details Subsequence</i>		TRANSDET	M
94a		Place			O
35B		Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]		M
36B	PSTA	Quantity of Financial Instrument	:4!c//4!c//15d	PSTA	M
19A	PSTA	Amount	:4!c//[N]3!a15d	PSTA	O
22a		Indicator	H or F	(See Notes 7)	M
98a		Date/Time	A,B or C	(See Notes 8)	M
70E	TRDE	Transaction Details Narrative	:4!c//10*35x	TRDE	O
<b>Optional Repetitive Subsequence B2b1 - Settlement Parties</b>					
16R	SETPRTY	<i>Start of Settlement Parties Subsequence</i>		SETPRTY	M
95a		Party	C,P,R,Q	(See Notes 9)	M
97a	SAFE	Safekeeping Account		SAFE	O
20C	PROC	Processing Reference	:4!c//16x	PROC	O
16S	SETPRTY	<i>End of Settlement Parties Subsequence</i>		SETPRTY	M
16S	TRANSDET	<i>End Transaction Details Subsequence</i>		TRANSDET	M
16S	TRAN	<i>End of Transaction Subsequence</i>		TRAN	M
16S	STAT	<i>End of Status Sequence</i>		STAT	M
<b>Optional Repetitive Sequence C - Transactions (See Notes 10)</b>					
16R	TRANS	<i>Start of Transactions Sequence</i>		TRANS	M
<b>Mandatory Repetitive Subsequence C1 - Linkages</b>					
16R	LINK	<i>Start of Linkages Subsequence</i>		LINK	M
13a	LINK	Linked Message		LINK	O
20C	RELA	Reference Number of Linked Transaction	:4!c//16x	RELA	M
16S	LINK	<i>End of Linkage Subsequence</i>		LINK	M

Optional Subsequence C2 - Transaction Details					
16R	TRANSDET	Start of Transaction Details Subsequence		TRANSDET	M
94a		Place			O
35B		Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]		M
36B	PSTA	Quantity of Financial Instrument	:4!c//4!c//15d	PSTA	M
19A	PSTA	Amount	:4!c//[N]3!a15d	PSTA	O
22a		Indicator	H or F	(See Notes 7)	M
98a		Date/Time	A,B or C	(See Notes 8)	M
70E	TRDE	Transaction Details Narrative	:4!c//10*35x	TRDE	O
Optional Repetitive Subsequence C2a - Settlement Parties					
16R	SETPRTY	Start of Settlement Parties Subsequence		SETPRTY	M
95a		Party	C,P,R,Q	(See Notes 9)	M
97a	SAFE	Account		SAFE	O
20C	PROC	Processing Reference	:4!c//16x	PROC	O
16S	SETPRTY	End of Settlement Parties Subsequence		SETPRTY	M
16S	TRANSDET	End Transaction Details Subsequence		TRANSDET	M
Optional Repetitive Subsequence C3 - Status					
16R	STAT	Start of Status Subsequence		STAT	M
25D		Status Code	:4!/[8c]/4!c	(See Notes 6)	M
Optional Repetitive Subsequence C3a - Reason					
16R	REAS	Start of Reason Subsequence		REAS	M
24B		Reason Code	:4!/[8c]/4!c	(See Notes 6)	M
70D	REAS	Reason Narrative	:4!c//6*35x	REAS	O
16S	REAS	End of Reason Subsequence		REAS	M
16S	STAT	End of Status Subsequence		STAT	M
16S	TRANS	End of Transactions Sequence		TRANS	M
Optional Repetitive Sequence D - Penalties					
(See Notes 11)					
Optional Sequence E - Additional Information					
(See Notes 12)					

Notes 1

Code	Description
LAST	Last page
MORE	Intermediate Page
ONLY	Only Page

Notes 2

Qualifier	M/O	Description
STAT	O	Preparation Date / Time
PREP	M	Statement Date / Time

Notes 3

Qualifier	M/O	Description
STST	M	Statement Structure Type Indicator
CODE	O	Complete / Updates Indicator
SFRE	O	Statement Frequency Indicator

If Qualifier is STST, Indicator must contain one of the following codes.

Code	Description
STAT	Statement is sorted by status (sequence B is to be used)
TRAN	Statement is sorted by status (sequence C is to be used)
PENA	Statement contains penalties (sequence D is to be used) *PENA is not used in Japan market.

Notes 4

Optional Repetitive Subsequence A1 for Linkages is not used in Japan market.

Notes 5

If the Activity Flag (field :17B::ACTI) in sequence A General Information indicates that there is information to be reported (Y) and Statement Structure Type Indicator is per statuses (field 22H::STST//STAT), then sequence B Status is mandatory and sequence C Transaction is not allowed.

Notes 6

As for the matrix of 23G Function of the Message, 25D Status and 24B Reason, see “7.3 Instruction MT548-NT537 Matrix”.

Notes 7

Qualifier	M/O	Description
TRAN	M	Transaction Indicator
REDE	M	Receive / Deliver Indicator
PAYM	M	Payment Indicator

Notes 8

Qualifier	M/O	Description
SETT	M	Settlement Date / Time
TRAD	O	Trade Date / Time

Notes 9

Qualifier	M/O	Description
PSET	M	Place of Settlement
BUYR		Buyer
RECU		Receiver’s Custodian
REAG		Receiving Agent
SELL		Seller
DECU		Deliverer’s Custodian
DEAG		Delivering Agent

Notes 10

If the Activity Flag (field :17B::ACTI) in sequence A General Information indicates that there is information to be reported (Y) and Statement Structure Type Indicator is per transactions (field 22H::STST//TRAN)", then sequence C Transaction is Mandatory and sequence B Status is not allowed.

Notes 11

Optional Repetitive Sequence D for Penalties is not used in Japan market.

Notes 12

Optional Sequence E for Additional Information is not used in Japan market.

## 7.2 Examples

### Reporting per status

<b>Mandatory Sequence A</b>
:16R:GENL
:28E:00001/ONLY
:20C::SEME//12345
:23G:NEWM
:98A::STAT//20230329
:22F::SFRE//DAIL
:22F::CODE//COMP
:22H::STST//STAT
:97A::SAFE//A1B1
:17B::ACTI//Y
:16S:GENL
<b>Optional Repetitive Sequence B</b>
:16R:STAT
:25D::MTCH//NMAT
<b>Optional Repetitive Subsequence B1</b>
:16R:REAS
:24B::NMAT//CMIS
:16S:REAS
:16R:TRAN
<b>Mandatory Repetitive Optional Subsequence B2a</b>
:16R:LINK
:20C::RELA//NTT 1
:16S:LINK
<b>Optional Subsequence B2b</b>
:16R:TRANSDET
:35B:ISIN JP3735400008
N.T.T
:36B::PSTA//UNIT/2,
:19A::PSTA//JPY10000,
:22F::TRAN//SETT
:22H::REDE//RECE
:22H::PAYM//APMT
:98A::SETT//20230329
:98A::TRAD//20230329
<b>Optional Repetitive Subsequence B2b1</b>
:16R:SETPRTY
:95P::DEAG//SCYYJPJ2
:16S:SETPRTY
:16R:SETPRTY
:95P::SELL//BRYYCC22
:16S:SETPRTY
:16S:TRANSDET
:16S:TRAN
<b>Mandatory Repetitive Optional Subsequence B2a</b>
:16R:TRAN
:16R:LINK
:20C::RELA//NTT 2
:16S:LINK

### Reporting per transactions

<b>Mandatory Sequence A</b>
:16R:GENL
:28E:00001/ONLY
:20C::SEME//12345
:23G:NEWM
:98A::STAT//20230329
:22F::SFRE//DAIL
:22F::CODE//COMP
:22H::STST//TRAN
:97A::SAFE//A1B1
:17B::ACTI//Y
:16S:GENL
<b>Optional Repetitive Sequence C</b>
:16R:TRANS
<b>Mandatory Repetitive Subsequence C1</b>
:16R:LINK
:20C::RELA//NTT 1
:16S:LINK
<b>Optional Subsequence C2</b>
:16R:TRANSDET
:35B:ISIN JP3735400008
N.T.T
:36B::PSTA//UNIT/2,
:19A::PSTA//JPY10000,
:22F::TRAN//SETT
:22H::REDE//RECE
:22H::PAYM//APMT
:98A::SETT//20230329
:98A::TRAD//20230329
<b>Optional Repetitive Subsequence C2a</b>
:16R:SETPRTY
:95P::DEAG//SCYYJPJ2
:16S:SETPRTY
:16R:SETPRTY
:95P::SELL//BRYYCC22
:16S:SETPRTY
:16S:TRANSDET
<b>Optional Repetitive Subsequence C3</b>
:16R:STAT
:25D::MTCH//NMAT
<b>Optional Repetitive Subsequence C3a</b>
:16R:REAS
:24B::NMAT//CMIS
:16S:REAS
:16S:STAT
:16S:TRANS
<b>Optional Repetitive Sequence C</b>
:16R:TRANS
<b>Mandatory Repetitive Optional Sequence C1</b>

<b>Optional Subsequence B2b</b>
:16R:TRANSDET
:35B:ISIN JP3735400008
N.T.T
:36B::PSTA//UNIT/3,
:19A::PSTA//JPY15000,
:22F::TRAN//SETT
:22H::REDE//RECE
:22H::PAYM//APMT
:98A::SETT//20230329
:98A::TRAD//20230329
<b>Optional Repetitive Subsequence B2b1</b>
:16R:SETPRTY
:95P::DEAG//SCYYJPJ2
:16S:SETPRTY
:16R:SETPRTY
:95P::SELL//BRYYCC22
:16S:SETPRTY
:16S:TRANSDET
:16S:TRAN
:16S:STAT
<b>Optional Repetitive Sequence B</b>
:16R:STAT
:25D::MTCH//MACH
<b>Mandatory Repetitive Subsequence B2a</b>
:16R:LINK
:20C::RELA//NTT 3
:16S:LINK
<b>Optional Subsequence B2b</b>
:16R:TRANSDET
:35B:ISIN JP3735400008
N.T.T
:36B::PSTA//UNIT/2,
:19A::PSTA//JPY10000,
:22F::TRAN//SETT
:22H::REDE//DELI
:22H::PAYM//APMT
:98A::SETT//20230329
:98A::TRAD//20230329
<b>Optional Repetitive Subsequence B2b1</b>
:16R:SETPRTY
:95P::REAG//SCYYJPJ2
:16S:SETPRTY
:16R:SETPRTY
:95P::BUYR//BRYYCC22
:16S:SETPRTY
:16S:TRANSDET
:16S:TRAN
:16S:STAT

:16R:LINK
:20C::RELA//NTT 2
:16S:LINK
<b>Optional Subsequence C2</b>
:16R:TRANSDET
:35B:ISIN JP3735400008
N.T.T
:36B::PSTA//UNIT/3,
:19A::PSTA//JPY15000,
:22F::TRAN//SETT
:22H::REDE//RECE
:22H::PAYM//APMT
:98A::SETT//20230329
:98A::TRAD//20230329
<b>Optional Repetitive Subsequence C2a</b>
:16R:SETPRTY
:95P::DEAG//SCYYJPJ2
:16S:SETPRTY
:16R:SETPRTY
:95P::SELL//BRYYCC22
:16S:SETPRTY
:16S:TRANSDET
<b>Optional Repetitive Subsequence C3</b>
:16R:STAT
:25D::MTCH//NMAT
<b>Optional Repetitive Subsequence C3a:</b>
:16R:REAS
:24B::NMAT//CMIS
:16S:REAS
:16S:STAT
:16S:TRANS
<b>Optional Sequence C</b>
:16R:TRANS
<b>Mandatory Repetitive Subsequence C1</b>
:16R:LINK
:20C::RELA//NTT 3
:16S:LINK
<b>Optional Subsequence C2</b>
:16R:TRANSDET
:35B:ISIN JP3735400008
N.T.T
:36B::PSTA//UNIT/2,
:19A::PSTA//JPY10000,
:22F::TRAN//SETT
:22H::REDE//DELI
:22H::PAYM//APMT
:98A::SETT//20230329
:98A::TRAD//20230329
<b>Optional Repetitive Subsequence C2a</b>
:16R:SETPRTY
:95P::REAG//SCYYJPJ2

:16S:SETPRTY
:16R:SETPRTY
:95P::BUYR//BRYYCC22
:16S:SETPRTY
:16S:TRANSDDET
Optional Repetitive Sequence C3
:16R:STAT
:25D::MTCH//MACH
:16S:STAT
:16S:TRANS

7.3 Instruction-MT548-MT537 Matrix

(Notes 1)

MT540-  
MT543

23G: Function	23G: Function (Notes 2)	25D: Status (Notes 3)	Code (Notes 4)	24B: Reason (Notes 5)	Code (Notes 6)			
NEWM	INST	MTCH	MACH	-	-			
			NMAT	NMAT	DMON DQUA DSEC ICAG IEXE NARR			
			PEND	PEND	CMON MONY CLAC LACK FUTU			
		SETT	PENF	PENF	MONY CLAC LACK			
			CANC	CAST	CPRC	DEND	DEND	DCAN DSET
						REJT	REJT	NRGN

MT537

23G: Function	25D: Status (Notes 7)	Code (Notes 8)	24B: Reason (Notes 9)	Code (Notes 10)			
NEWM	MTCH	MACH	-	-			
		NMAT	NMAT	DMON DQUA DSEC ICAG IEXE NARR			
		PEND	PEND	CMON MONY CLAC LACK FUTU			
	SETT	PENF	PENF	MONY CLAC LACK			
		CANC	CAST	CPRC	DEND	DEND	DCAN DSET
					REJT	REJT	NRGN

Notes 1

Applicable Reason Code should be bilaterally agreed between Account Owner and Account Servicer.

Notes 2

Code	Description
CAST	Cancellation Request Status
INST	Transaction Status

Notes 3

Qualifier	M/O	Description
CPRC	M	Instruction Processing Status
MTCH		Matching Status
SETT		Settlement Status

Notes 4

Code	Description
CAND	Cancelled
DEND	Denied
MACH	Matched
NMAT	Unmatched
PEND	Pending Settlement
PENF	Pending / Failing Settlement

REJT	Rejected
------	----------

Notes 5

Qualifier	M/O	Description
CAND	M	Cancellation Reason
DEND		Denied Reason
NMAT		Unmatched Reason
PEND		Pending Reason
PENF		Pending / Failing Reason
REJT		Rejection Reason

Notes 6

Code	Description
DCAN	Denied Since Cancelled
DSET	Denied Since Settled
DMON	Disagreement Settlement Amount
DQUA	Disagreement Quantity
DSEC	Disagreement Security
ICAG	Incorrect Agent
IEXE	Incorrect Buyer or Seller
NRGM	No Match
CLAC	Counterparty Insufficient Securities
CMON	Counterparty Insufficient Money
LACK	Lack of Securities
MONY	Insufficient Money
FUTU	Awaiting Settlement Date
NARR	Narrative Reason

Notes 7

Qualifier	M/O	Description
MTCH	M	Matching Status
SETT		Settlement Status

Notes 8

Code	Description
NMAT	Unmatched
PEND	Pending Settlement
PENF	Pending / Failing Settlement
MACH	Matched

Notes 9

Qualifier	M/O	Description
NMAT		Unmatched Reason
PEND		Pending Reason
PENF		Pending / Failing Reason

Notes 10

Code	Description
DMON	Disagreement Settlement Amount
DQUA	Disagreement Quantity
DSEC	Disagreement Security
ICAG	Incorrect Agent
IEXE	Incorrect Buyer or Seller
CLAC	Counterparty Insufficient Securities



CMON	Counterparty Insufficient Money
LACK	Lack of Securities
MONY	Insufficient Money
FUTU	Awaiting Settlement Date
NARR	Narrative Reason

## 8. MT578

### 8.1 Elements

Field	Qualifier	Definition	Format	Qualifiers and codes	Swift O or M
<b>Mandatory Sequence A - General Information</b>					
16R	GENL	Start of General Information Sequence		GENL	M
20C	SEME	Sender's Message Reference	:4!c//16x	SEME	M
23G	NEWM	Function of the Message		NEWM	M
98a	PREP	Preparation Date / Time		PREP	O
<b>Optional Repetitive Subsequence A1 - Linkages</b>					
16R	LINK	Start of Linkages Subsequence		LINK	M
22F	LINK	Linkage Type Indicator	:4!c/[8c]/4!c	LINK	O
13a	LINK	Linked Message		LINK	O
20C	RELA	Reference	:4!c//16x	RELA	M
16S	LINK	End of Linkages Subsequence			M
16S	GENL	End of General Information Sequence		GENL	M
<b>Mandatory Sequence B – Trade Details</b>					
16R	TRADDET	Start of Status Sequence		TRADDET	M
94a		Place			O
98a		Date / Time	A,B or C	(See Notes 1)	M
90a		Deal Price			O
99A	DAAC	Number of Days Accrued	:4!c//[N]3!c	DAAC	O
35B		Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]		M
<b>Optional Subsequence B1- Financial Instrument Attributes</b>					
16R	FIA	Start of Financial Instrument Attributes Subsequence		FIA	M
94B	PLIS	Price of Listing	:4!c/[8c]/4!c{/30x}	PLIS	O
22F		Indicator	:4!c/[8c]/4!c		O
12a		Type of Financial Instrument			O
11A	DENO	Currency	:4!c//3!a	DENO	O
98A		Date / Time	:4!c//8!n		O
92A		Rate	:4!c//[N]15d		O
13a		Number of Identification			O
17B		Flag	:4!c//1!a		O
90a		Price			O
36B		Quantity of Financial Instrument	:4!c//4!c/15d		O
35B		Identification of the Financial Instrument	[ISIN1!e12!c] [4*35x]		O
70E	FIAN	Financial Instrument Attribute Narrative	:4!c//10*35x	FIAN	O
16S	FIA	End of Financial Instrument Attributes Subsequence		FIA	M
22a		Indicator		(See Notes 2)	M
16S	TRADDET	End of Status Sequence		TRADDET	M
<b>Mandatory Sequence C- Financial Instrument / Account</b>					
16R	FIAC	Start of Financial Instrument Account Sequence		FIAC	M
36B		Quantity of Financial Instrument to be Settled	:4!c//4!c/15d		M
70D	DENC	Denomination choice	:4!c//6*35x	DENC	O
95a		Party			O
97a	SAFE	Account	A or B	SAFE	M
94a	SAFE	Place of Safekeeping			O
<b>Optional Repetitive Subsequence C1- Quantity Breakdown</b>					
(See Notes 3)					
<b>Optional Sequence D – Two Leg Transaction Details</b>					
(See Notes 4)					
<b>Mandatory Sequence E -Settlement Details</b>					

16R	SETDET	Start of Settlement Details Sequence		SETDET	M
22F	SETR	Indicator	:4!c/[8c]/4!c	SETR	M
<b>Mandatory Repetitive Subsequence E1 – Settlement Parties</b>					
16R	SETPRTY	Start of Transaction Details Subsequence		SATPRTY	M
95a		Party	C,P,R,Q	(See Notes 5)	M
97a		Account			O
98a	PROC	Processing Date / Time		PROC	O
20C	PROC	Processing Reference	:4!c//16x	PROC	O
70a		Narrative			O
16S	SETPRTY	End of Transaction Details Subsequence		SETPRTY	M
<b>Optional Repetitive Subsequence E2 – Cash Parties</b>					
16R	CSHPRTY	Start of Settlement Parties Subsequence		CSHPRTY	M
95a		Party	P,R or Q		M
97a	SAFE	Account		SAFE	O
70a		Narrative			O
16S	CSHPRTY	End of Settlement Parties Subsequence		CSHPRTY	M
<b>Optional Repetitive Subsequence E3 - Amounts</b>					
16R	AMT	Start of Amounts Subsequence		AMT	M
19A	SETT	Amount	:4!c//[N]3!a15d	SETT	M
98a	VALU	Value Date / Time		VALU	O
92B	EXCH	Exchange Rate	:4!c//3!a/3!a/15d	EXCH	O
16S	AMT	End of Amounts Subsequence		AMT	M
16S	SETDET	End of Settlement Details Sequence		SETDET	M
<b>Optional Repetitive Sequence F - Additional Information</b>					
16R	ADDINFO	Start of Additional Information Sequence		ADDINFO	M
95a		Party			O
16S	ADDINFO	End of Additional Information Sequence		ADDINFO	M

Notes 1

Qualifier	M/O	Description
SETT	M	Settlement Date / Time
TRAD	O	Trade Date / Time

Notes 2

Qualifier	M/O	Description
PAYM	M	Payment Indicator
REDE	M	Receive / Deliver Indicator

Notes 3

Optional Repetitive Subsequence C1 for Quantity Breakdown is not used in Japan market.

Notes 4

Optional Sequence D for Two Leg Transaction Details is not used in Japan market.

Notes 5

Qualifier	M/O	Description
PSET	M	Place of Settlement
BUYR		Buyer
RECU		Receiver's Custodian
REAG		Receiving Agent
SELL		Seller
DECU		Deliverer's Custodian
DEAG		Delivering Agent

## 8.2 Examples

<b>Mandatory Sequence A</b>
:16R:GENL
:20C::SEME//12345
:23G:NEWM
<b>Optional Repetitive Subsequence A1</b>
:16R:LINK
:13A::RELA//987654
:16S:LINK
:16S:GENL
<b>Mandatory Sequence B</b>
:16R:TRADDET
:98A::SETT//20230329
:98A::TRAD//20230329
:35B:ISIN JP3735400008
N.T.T
:22H::PAYM//APMT
:22H::REDE//RECE
:16S:TRADDET
<b>Mandatory Sequence C</b>
:16R:FIAC
:36B::SETT//UNIT/10,
:97A::SAFE//ABCD
:16S:FIAC
<b>Mandatory Sequence E</b>
:16R:SETDET
:22F::SETR//TRAD
<b>Mandatory Repetitive Subsequence E1</b>
:16R:SETPRTY
:95P::DEAG//SCYYJPJ2
:16S:SETPRTY
:16R:SETPRTY
:95P::SELL//BRYYCC22
:16S:SETPRTY
<b>Optional Repetitive Subsequence E3</b>
:16R:AMT
:19A::SETT//JPY1000000,
:16S:AMT
:16S:SETDET