**Account Management Messages: Overview of elements introduced in 2016 to acmt.001, 002 and 003**

**& Results of Review 5 April 2016 (Svein Borgersen and Andrea Milanesio)**

|  | **Sequence** | **Sub-sequence/Element** | **Element Name / Code Name** | **Code** | **CR # ISO** | **To be included in global usage guideline?** | **Status** |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | Instruction Details |  | Existing Account Identification [0.1] |  | 504 | NO | DONE |
|  | Investment Account | Type of account) |  |  | 504 + some derived from T2S | NO | DONE |
|  |  | CSDOmnibusAccount | CSDO | *NO* | DONE |
|  |  | TechnicalOffsetAccount | TOFF | *NO* | DONE |
|  |  | InterCSDAccount | ICSA | *NO* | DONE |
|  |  | CSDMirrorAccount | CSDM | *NO* | DONE |
|  |  | CSDParticipantAccount | CSDP | *NO* | DONE |
|  |  | PrivatePensionScheme | PPEN | *NO* | DONE |
|  |  | WorkplacePensionSchema | CPEN | *NO* | DONE |
|  | Investment Account | Tax Exemption |  |  | 504 | NO | DONE |
|  |  | Foreigner | FORE | *NO* | DONE |
|  |  | Incapacity | INCA | *NO* | DONE |
|  |  | Minor | MINO | *NO* | DONE |
|  |  | Association | ASSO | *NO* | DONE |
|  |  | Diplomat | DIPL | *NO* | DONE |
|  |  | Domestic | DOME | *NO* | DONE |
|  |  | OneOrBothForeign | FORP | *NO* | DONE |
|  |  | Ordinary | ORDR | *NO* | DONE |
|  |  | PensionFund | PENF | *NO* | DONE |
|  |  | Refugee | REFU | *NO* | DONE |
|  |  | RightsHolder | RIHO | *NO* | DONE |
|  |  | OneNonResident | OANR | *NO* | DONE |
|  |  | TwoNonResident | TANR | *NO* | DONE |
|  |  | Administrator | ADMI | *NO* | DONE |
|  | Investment Account | Statement Frequency |  |  | 504 | NO | DONE |
|  |  |  | None | NONE |  | *NO* | DONE |
|  | Investment Account / Reinvestment Details | Financial Instrument Details |  |  |  | AM questions why this is included in global usage guideline. It should be changed to ‘DO NOT USE’. To be discussed. |  |
|  |  | Short Name |  | 509 | *NO* | DONE |
|  |  | Pledging |  | 504 | *NO* | DONE |
|  |  | Collateral |  | 504 | *NO* | DONE |
|  |  | ThirdPartyRights |  | 504 | *NO* | DONE |
|  |  | FundOwnership |  | 520 | *NO* | DONE |
|  |  | FundIntention |  | 520 | *NO* | DONE |
|  |  | OperationalStatus |  | 520 | *NO* |  |
|  | Investment Account | Tax Withholding Method |  |  | 504 | NO | DONE |
|  |  | Exempt | EXMT | *NO* | DONE |
|  |  | Accountant | ACCT | *NO* | DONE |
|  |  | InvestmentCompany | INVE | *NO* | DONE |
|  |  | Minority InterestTax | MITX | *NO* | DONE |
|  | Investment Account | Tax Reporting |  |  | 504 | NO. (Not at account level) | DONE |
|  |  |  | TaxationCountry |  | *NO* | DONE |
|  |  |  | TaxRate |  | *NO* | DONE |
|  |  | TaxPayer |  | *NO* | DONE |
|  |  | TaxRecipient |  | *NO* | DONE |
|  |  | CashAccountDetails |  | *NO* | DONE |
|  |  | Description |  | *NO* | DONE |
|  | Investment Account | Financial Instrument Details |  |  |  | AM questions why this is included in global usage guideline. It should be changed to ‘DO NOT USE’. To be discussed. |  |
|  |  |  | Short Name |  | 509 | *NO* | DONE |
|  |  |  | Pledging |  | 504 | *NO* | DONE |
|  |  |  | Collateral |  | 504 | *NO* | DONE |
|  |  |  | ThirdPartyRights |  | 504 | *NO* | DONE |
|  |  |  | FundOwnership |  | 520 | *NO* | DONE |
|  |  |  | FundIntention |  | 520 | *NO* | DONE |
|  |  |  | OperationalStatus |  | 520 | *NO* | DONE |
|  | Investment Account | Blocked Status[[1]](#footnote-1) |  |  |  | NO | DONE |
|  |  |  | Order Type / Code / SecuritiesPurchase | BUYI | 504 | *NO* | DONE |
|  |  |  | Order Type / Code / SecuritiesSale | SELL | *NO* | DONE |
|  | Investment Account | Pledging | *Specifies whether the holdings in the account are eligible for pledging.* |  | 504 | NO | DONE |
|  | Investment Account | Collateral | *Specifies whether the holdings in the account are used as collateral.* |  | 504 | NO | DONE |
|  | Investment Account | ThirdPartyRights | *Details of third party rights.* |  | 504 | NO | DONE |
|  | Investment Account | PowerOfAttorneyLevelOfControl | *Functionality permitted to a third party in the actions that may be taken on an account on behalf of the investor.* |  | 504 | NO | DONE |
|  | Investment Account | AccountingStatus | *Specifies if the account is regarded as domestic or non-domestic for reporting purposes.* |  | 504 | NO | DONE |
|  | Investment Account | OpeningDate | *Legal opening date for the account.* |  | 504 | YES | DONE |
|  | Investment Account | ClosingDate | *Legal closing date for the account.* |  | 504 | YES | DONE |
|  | Investment Account | NegativeIndicator | *Indicates whether the account can hold a negative position in a security.* |  | 504 (T2S) | NO | DONE |
|  | Investment Account | ProcessingOrder | *Order in which securities are moved from the account.* |  | 520 | NO | DONE |
|  | Investment Account | Liability | *Specifies whether the investor assumes responsibility for the liability.* |  | 520 | NO | DONE |
|  | Investment Account | InvestorProfile | *Information used to determine fees and types of operations that can be carried out on the account.* |  | 520 | NO | DONE |
|  | Investment Account | FiscalYear | *Fiscal year, when not the same as the calendar year.* |  | 520 | NO | DONE |
|  | Account Parties / .. / | Organisation | *Short Name* |  | 504 | NO | DONE |
|  |  |  | Legal Entity Identifier |  |  | YES. LEI is becoming inportant, so it should ne allowed. | DONE |
|  | Account Parties / .. / | Organisation and Individual Person/ Postal Address | Care Of |  | 504 | YES | DONE |
|  |  |  | BuildingName |  | 504 | YES | DONE |
|  |  |  | PostBox |  | 504 | YES | DONE |
|  |  |  | SideInBuilding |  | 504 | YES | DONE |
|  |  |  | Floor |  | 504 | YES | DONE |
|  |  |  | SuiteIdentification |  | 504 | YES | DONE |
|  |  |  | Village |  | 504 | YES | DONE |
|  |  |  | State |  | 520 | YES | DONE |
|  | Parties / .. / | Organisation | *Type of Organisation* |  | 520 | NO | DONE |
|  | Parties / .. / | Individual / DeathDate | *Date of death.* |  | 504 | NO | DONE |
|  | Parties / .. / | Individual / CivilStatus | *Civil status of the individual person.* |  | 520 | NO | DONE |
|  | Parties / .. / | Individual / EducationLevel | *Highest level of education reached by the individual person.* |  | 520 | NO | DONE |
|  | Parties / .. / | Individual / FamilyInformation | *Information related to the person. (Name of father, mainden name of mother, name of paftner)* |  | 520 | NO | DONE |
|  | *Generic section of party* | Notification | *(used to be Information Distribution)* |  | 504 | NO | DONE |
|  | *Generic section of party* | Other Identification / Type |  |  |  | YES | DONE |
|  |  | NonResidentInvestor | NINV | 504 | YES | DONE |
|  | *Generic section of party* | IndustryClassification | INCL | YES | DONE |
|  | *Generic section of party* | GlobalIntermediaryIdentification Number | GIIN | YES | DONE |
|  | *Generic section of party* | Other Identification / State |  |  | 520 | NO | DONE |
|  | *Generic section of party* | Tax Exemption | *(new in the party sequence)* |  | 504 | NO | DONE |
|  | *Generic section of party* | Tax Reporting |  |  |  | YES | DONE |
|  |  |  | TaxationCountry |  | 504 | YES | DONE |
|  |  |  | TaxRate |  | *NO* | DONE |
|  |  |  | TaxPayer |  | *NO* | DONE |
|  |  |  | TaxRecipient |  | *NO* | DONE |
|  |  |  | CashAccountDetails |  | *NO* | DONE |
|  |  |  | Description |  | *NO* | DONE |
|  | *Generic section of party* | Language |  |  | 504 | NO | DONE |
|  | *Generic section of party* | MailType |  |  | 504 | NO | DONE |
|  | *Generic section of party* | CountryAndResidentialStatus |  |  | 520 | NO | DONE |
|  | *Generic section of party* | MonetaryWealth | *Annual wealth of the individual person or share capital value of the legal entity and date on which the annual wealth of the individual person was registered or declared or the date the stock value of the organisation was registered.* |  | 520 | NO | DONE |
|  | *Generic section of party* | EquityValue | *Amount of total assets minus liabilities of the individual person or the amount of the difference between assets and liabilities plus rights over obligations (net equity) of the organisation and the date on which the equity value was registered.* |  | 520 | NO | DONE |
|  | *Generic section of party* | WorkingCapital | *Resource or value owned or used by a third-party company and the date on which the working capital amount was registered.* |  | 520 | NO | DONE |
|  | *Generic section of party* | CompanyLink | *Account owner's connection with the trading party or broker.* |  | 520 | NO | DONE |
|  | *Generic section of party* | Electronic Mailing Service Reference | *Reference to be specified when a letter (for example, an order confirmation) is sent by an automated mailing system.* |  | 472 | NO | DONE |
|  | *Generic section of party* | AccountingStatus | *Specifies if the account party is regarded as domestic or non-domestic for reporting purposes.* |  | 504 | NO | DONE |
|  | *Generic section of party* | AdditionalInformation | *Additional information concerning limitations and restrictions on the account party.* |  | 504 | NO | DONE |
|  | Parties | Registered Shareholder Name | IndividualPerson |  | 504 | NO. To be discussed. | DONE |
|  | Organisation |  |
|  | Intermediaries | Legal Entity Identification |  |  |  | YES | DONE |
|  | Savings (+ Withdrawal) Investment Plan | Initial Amount | Initial Number of Instalments |  |  | NO | DONE |
|  | Amount (previously present but now on a choice) |  |  | NO | DONE |
|  | Security Details | Short Name |  | 504 | NO | DONE |
|  |  | Pledging |  | 504 | NO | DONE |
|  |  | Collateral |  | 504 | NO | DONE |
|  |  | ThirdPartyRights |  | 504 | NO | DONE |
|  |  | FundOwnership |  | 520 | NO | DONE |
|  |  | FundIntention |  | 520 | NO | DONE |
|  |  | OperationalStatus |  | 520 | NO | DONE |
|  | Cash Settlement | *This is a new structure, but the only part where new elements were introduced is in the cash account sequence* | |  |  |  |  |
|  | Cash Account Details | CreditDebit |  | 504 | YES. To be discussed | DONE |
|  | SettlementInstructionReason |  | 504 | NO (More for local use?) | DONE |
|  | CashAccountPurpose |  | 520 | NO | DONE |
|  | CashAccountDesignation |  | 520 | NO | DONE |
|  | Additional Information |  |  |  | 504 + 520 | NO | DONE |
|  | Limitation |  |  | *NO* |  |
|  | Additional Information |  |  | *NO* | DONE |
|  | Account Validation |  |  | *NO5* | DONE |
|  | Type |  |  | *NO* | DONE |
|  | Regulator |  |  | *NO* | DONE |
|  | Status |  |  | *NO* | DONE |
|  | *Period* |  |  | *NO* | DONE |

New Elements specific to Account Confirmation Details

|  | **Sequence** | **Sub-sequence/Element** | **Element Name / Code Name** | **Code** | **CR # ISO** | **To be included in global usage guideline?** | **Status** |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | Investment Account |  | Status Date [0.1] |  | 520 | NO | DONE |
|  | Investment Account |  | Ownership Type – was changed from mandory to optional in acmt.003 for SR 2016 (it was an error). Kept as ‘allowed’. |  |  | YES | DONE |
|  |  |  |  |  |  |  |  |

1. AM says Blocked Status should be allowed in the account modification. How to block an account as a global process needs to be discussed. [↑](#footnote-ref-1)