

SMPG –

SRD II Task Force –

 Conference call –

18 December 2018

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**Attendees**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **NMPG** |  | **First Name** | **Last Name** | **Institution** | **🗸 = Present** |
| BE | Ms. | Véronique | Peeters | BNY Mellon |  |
| DE | Mr | Daniel | Schaefer | HSBC | **🗸** |
| DK | Mr. | Anders | Aagaard Laarsen | VP Securities A/S | **🗸** |
| DK | Ms  | Charlotte | Ravn | VP Securities A/S  | **🗸** |
| ES | Mr. | Gustavo | Bravo | Santander | **🗸** |
| ES | Mrs | Diego | Garcia | DB | **🗸** |
| FI | Ms  | Sari | Rask | Nordea Bank Plc  |  |
| FR | Mr | Ilyas | Alikoglu | BNY Mellon | **🗸** |
| FR | Ms | Chantal | Sebile | Soc Gen | **🗸** |
| IT | Ms  | Paola | DeAntoni | SGSS spa | **🗸** |
| LU | Ms. | Catarina | Marques | Clearstream | **🗸** |
| LU | Ms. | Ioulia | Petti | Clearstream | **🗸** |
| NL | Mr  | Danny  | Koenes | Rabobank | **🗸** |
| NO | Mr. | Alexander | Wathne | Nordea | **🗸** |
| PL | Mr | Leszek | Kalokowski | KDPW |  |
| SE | Ms. | Christine | Strandberg | SEB | **🗸** |
| UK & IE | Ms. | Mariangela | Fumagalli | BNP Paribas | **🗸** |
| XS | Mr.  | Jean-Paul | Lambotte | Euroclear  | **🗸** |
| SWIFT | Mr. | Jacques | Littré | SWIFT | **🗸** |

# Meeting Agenda

Proposed agenda:

1. *Review/Approval of minutes*
2. *Proxy Voting – Review of existing messages/Data elements requirements/Overall message structure*

# Review/Approval of minutes

Minutes of previous call and the original meeting are still under review. We would appreciate any comment/requests for changes are to be provided, at the latest, by the end of December.

NMPGs also to provide feedback on the proposal discussed in the kick-off meeting:

* usage of the CANO to trigger a shareholder identification request (option 2 in the below slide);
* the creation of a new message in 20022.

As explained by Jacques during the call and evidenced in the slide, please be aware that a new messages could be available in May 2020 (see option 2 in the slide below) whilst the changes to the CANO will only be available in the SR2020, thus in November 2020.



# Proxy voting – confirmation of the recording and counting of votes – table 7

We reviewed table 7 against the existing messages and agreed that the best message to match the content of this table is seev.007.001.05 – MeetingVoteExecutionConfirmation.

The elements contained in table 7 have been mapped as follows:

* + 1. field one – unique identifier of the notice of participation – SEME;
		2. field two – type of message – it’s unclear what is meant with the type of confirmation. It seems to imply that several messages are to be sent to confirm the status of the vote – possibly, they expect the same message to be used for table 6 and table 7 but sent at different points in time. To be further discussed with the EC.
		3. field three – unique identifier of the event – MeetingIdentification or Issuer MeetingIdentification (where available)
		4. field four – ISIN – FinancialInstrumentIdentification
		5. field five – date of the general meeting – MeetingDateAndTime
		6. field six – name of the issuer – to be added, possibly in the SupplementaryData
		7. field seven – name of shareholder -RightsHolder
		8. field eight – name of the third party nominated by the shareholder – to be added as a PARTY element
		9. field nine – modality – new element to be added
		10. field ten – date and time of receipt – new element to be added
		11. field eleven – unique identifier of votes – RelatedReference

# Additional questions for the SRD II team at the European Commission

During the meeting, it was agreed we need to arrange a meeting with the SRD II team at the EC to go through all our questions and also the message flows and contents.

**Action**: Paola to provide an update of the discussion with Markus Kaum.

**------------------------ End of the Meeting Minutes ---------------**