Scenario

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <CorpActnInstrStmtRpt>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Pgntn>

<PgNb>**1**</PgNb>

<LastPgInd>**true**</LastPgInd>

</Pgntn>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <StmtGnlDtls>

<StmtTp>**ALLL**</StmtTp>

<RptgTp>**MASE**</RptgTp>

<StmtId>**91853445**</StmtId>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <StmtDtTm>

<DtTm>**2017-02-28T20:47:09Z**</DtTm>

</StmtDtTm>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Frqcy>

<Cd>**DAIL**</Cd>

</Frqcy>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <UpdTp>

<Cd>**COMP**</Cd>

</UpdTp>

<ActvtyInd>**true**</ActvtyInd>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <NtfctnDdlnPrd>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <DtTm>

<FrDtTm>**2017-02-28T06:00:00**</FrDtTm>

<ToDtTm>**2017-02-28T20:00:00**</ToDtTm> CAST STATEMENT for 2/28/17

</DtTm>

</NtfctnDdlnPrd>

</StmtGnlDtls>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <AcctAndStmtDtls>

<SfkpgAcct>**XXX**</SfkpgAcct>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <CorpActnEvtAndBal>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <GnlInf>

<CorpActnEvtId>**91853445**</CorpActnEvtId>

<OffclCorpActnEvtId>**US91853445**</OffclCorpActnEvtId>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <EvtTp>

<Cd>**EXOF**</Cd>

</EvtTp>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <MndtryVlntryEvtTp>

<Cd>**VOLU**</Cd>

</MndtryVlntryEvtTp>

</GnlInf>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <UndrlygScty>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <OthrId>

<Id>**74442F107**</Id>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Tp>

<Cd>**CUSP**</Cd>

</Tp>

</OthrId>

</UndrlygScty>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Bal>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <TtlElgblBal>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <QtyChc>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <SgndQty>

<ShrtLngPos>**LONG**</ShrtLngPos>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Qty>

<Unit>**700**</Unit> 700 units are eligible for instruction at time of statement

</Qty>

</SgndQty>

</QtyChc>

</TtlElgblBal>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <UinstdBal>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Bal>

<ShrtLngPos>**LONG**</ShrtLngPos>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <QtyChc>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Qty>

<Unit>**700**</Unit> Remaining 700 units which are uninstructed

</Qty>

</QtyChc>

</Bal>

</UinstdBal>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <TtlInstdBalDtls>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <TtlInstdBal>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Bal>

<ShrtLngPos>**LONG**</ShrtLngPos>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <QtyChc>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Qty>

<Unit>**1600**</Unit> Total instructed units on Options 1 and 2

</Qty>

</QtyChc>

</Bal>

</TtlInstdBal>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <TtlProtectInstdBal> Total Protect Instructions which have not been covered

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Bal>

<ShrtLngPos>**LONG**</ShrtLngPos>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <QtyChc>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Qty>

<Unit>**400**</Unit> Total instructed units on Options 1 and 2

</Qty>

</QtyChc>

</Bal>

</TtlInstdBal>

**<DailyTotlInstdBalDtls>**

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Bal>

<ShrtLngPos>**LONG**</ShrtLngPos>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <QtyChc>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Qty>

<Unit>**1000**</Unit> Total of all instructions for 2/28/17. (options 1&2 4 instructions)

</Qty>

</QtyChc>

</Bal>

**<DailyTotlInstdBalDtls>**

**<DailyOptProtectInstdBalDtls> Daily Option Protect Balance**

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Bal>

<ShrtLngPos>**LONG**</ShrtLngPos>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <QtyChc>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Qty>

<Unit>**200**</Unit> Total of all instructions for 2/28/17. (options 1&2 4 instructions)

</Qty>

</QtyChc>

</Bal>

</TtlInstdBal>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <OptnDtls>

<OptnNb>**001**</OptnNb>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <OptnTp>

<Cd>**CASH**</Cd>

</OptnTp>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <InstdBal> **Total instructions for option 1 are 1000 units**

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Bal>

<ShrtLngPos>**LONG**</ShrtLngPos>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <QtyChc>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Qty>

<Unit>**1000**</Unit>

</Qty>

</QtyChc>

</Bal>

</InstdBal>

</**DailyOptnInstdBal> Total instructions for option 1 on 2/28 are 700 units**

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Bal>

<ShrtLngPos>**LONG**</ShrtLngPos>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <QtyChc>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Qty>

<Unit>**700**</Unit> **Sum of the two accepted instructions below**

</Qty>

</QtyChc>

</Bal>  
</**DailyOptnInstdBal>**

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <DfltActn>

<DfltOptnInd>**false**</DfltOptnInd>

</DfltActn>

<DdlnDtTm>**2017-03-02T20:00:00**</DdlnDtTm>

< ProtectDdln>2017-03-02</ProtectDdln

<CvrProtectDdln>2017-03-04<CvrProtectDdln>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <DdlnTp>

<Cd>**RDDT**</Cd>

</DdlnTp>

</OptnDtls>

</TtlInstdBalDtls>

</Bal>

</CorpActnEvtAndBal>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) **<OptnInstructionDtls>**

**</InstructionID>123456</InstructionID>**

**</InstructionStatus>Accepted</InstructionStatus>**

**</Quantity>500</Quantity>**

**</InstructionDtTm>2017-02-28T12:00:00</ InstructionDtTm >**

**</CustomerReference>For Client XYZ </ CustomerReference >**

**</InstructionID>123466</InstructionID>**

**</InstructionStatus>Accepted</InstructionStatus>**

**</Quantity>200</Quantity>**

**</InstructionDtTm>2017-02-28T11:00:00</ InstructionDtTm >**

**</CustomerReference>For Client ABC</ CustomerReference >**

**</InstructionID>123777</InstructionID>**

**</InstructionStatus>Accepted</InstructionStatus>**

**</CoverProtectIndicator>PROT**

**</Quantity>200</Quantity>**

**</InstructionDtTm>2017-02-28T12:00:00</ InstructionDtTm >**

**</ProtectDt>2017-02-28**

**</CustomerReference>For Client 111 </ CustomerReference >**

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <OptnDtls>

<OptnNb>**002**</OptnNb>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <OptnTp>

<Cd>**SECU**</Cd>

</OptnTp>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <InstdBal> **Total Instructed units on Option 2**

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Bal>

<ShrtLngPos>**LONG**</ShrtLngPos>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <QtyChc>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Qty>

<Unit>**600**</Unit>

</Qty>

</QtyChc>

</Bal>

</InstdBal>

</**DailyOptnInstdBal> Daily instructed total for Option 2 300 units**

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Bal>

<ShrtLngPos>**LONG**</ShrtLngPos>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <QtyChc>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <Qty>

<Unit>**300**</Unit> Sum of the two accepted instructions below

</Qty>

</QtyChc>

</Bal>  
</**DailyOptnInstdBal>**

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <DfltActn>

<DfltOptnInd>**false**</DfltOptnInd>

</DfltActn>

<DdlnDtTm>**2017-03-02T20:00:00**</DdlnDtTm>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) <DdlnTp>

<Cd>**RDDT**</Cd>

</DdlnTp>

</OptnDtls>

</TtlInstdBalDtls>

</Bal>

</CorpActnEvtAndBal>

[**-**](file:///\\corp\Users\SSloan\My%20Documents\SR2018\cast2.xml) **<OptnInstructionDtls>**

**</InstructionID>123477</InstructionID>**

**</InstructionStatus>Accepted</InstructionStatus>**

**</Quantity>100</Quantity>**

**</InstructionDtTm>2017-02-28T12:15:00</ InstructionDtTm >**

**</CustomerReference>For Client DEF </ CustomerReference >**

**</InstructionID>123478</InstructionID>**

**</InstructionStatus>Accepted</InstructionStatus>**

**</Quantity>200</Quantity>**

**</InstructionDtTm>2017-02-28T11:00:00</ InstructionDtTm >**

**</CustomerReference>For Client ABC</ CustomerReference >**