

SMPG Corporate Action WG Virtual Global Meeting Detailed Agenda

- Overall Schedule -

Session	Date	Time	Link
CA WG Session 1	Monday 4 Oct.	From 12:00 to 4:00 PM CET (Coffee Break - 1:45 to 2:15 PM)	See Webex invitation sent to WG Members
CA WG Session 2	Tuesday 5 Oct.	From 12:00 to 4:00 PM CET (Coffee Break - 1:45 to 2:15 PM)	See Webex invitation sent to WG Members
SMPG Plenary Session	Wednesday 6 Oct.	From 1:00 to 5:30 PM CET	Registration
CA WG Session 3	Thursday 7 Oct.	From 12:00 to 4:00 PM CET (Coffee Break - 1:45 to 2:15 PM)	See Webex invitation sent to WG Members
CA WG Session 4	Friday 8 Oct.	From 12:00 to 3:00 PM CET (Coffee Break - 1:30 to 1:50 PM)	See Webex invitation sent to WG Members

Summary of CA WG Meeting Agenda - 4 to 8 Oct. 2021

Corporate Actions			
1	CA469	CA - Managing CA instructions in scope of CSDR when partial settlement are implemented	Mari/Christine
2	CA477	CA - CONS Vs BMET	Steve
3	CA483	CA - GMP1 Section 7.6.1 - Reporting on Standing Instructions and Default Actions	Christine
4	CA492	CA - MP for new "End of Securities Blocking Period" (CR001635)	NMPGs
5	CA497	CA - Use of EARD in CA processing – including in the case of ESOF and SOFE	Catarina
6	CA498	CA - Tax Breakdown n MP Update Review	Mari
7	CA499	CA - BMET vs CONS differences	Mari
8	CA501	CA - Amend scope of Tax on Non Distributed Proceeds Indicator (SR2022 CR 001794)	New
9	CA502	CA - Add new First and Last Bid Increment Price to CA Details and Option Level for TEND and DTCH events (SR2022 CR 001789)	New
10	CA503	CA - Add new URL and Narrative for Specific Additional Information for Next Party in Chain (SR2022 CR 001785)	New
11	CA504	CA - Add new OFFE Indicator code when Dissenters Rights are Not Applicable (SR2022 CR 001793)	New
12	CA505	CA - Add new Other Type of Income Qualifier in Movements Sequences (SR2022 CR 001791)	New
13	CA506	CA - Add new Status Reason Code for Rejections by Agent and Invalid Paperwork (SR2022 CR 001795)	New
14	CA507	CA - Add New Protect Balance to Instruction Status (SR2022 CR 001792)	New
15	CA508	CA - DateTime Format Options Consistency and Names Harmonisation (SR2022 CR 001780)	New
16	CA510	CA - Review REPL/REPE MP in MT/MX (GMP1 section 3.2.5)	
17	CA511	CA - Consistency between 22F::SELL & 69A::TRDP	Jean-Pierre
18	CA512	CA - Review DRIP CHOS with Interim template	Mari
General Meeting / SID			
19	CA473	GM - GoR TF GM templates	Catarina/Jean-Paul
20	CA475	GM / SID Market Practice Update	Mari/Christine
21	CA484	SID - MP : Exceptional Flow (p10)	Paola
22	CA491	GM - Participation method / vote instructions scenarios vs SMPG MPs on MyStandards UGs	Catarina
23	CA494	GM - seev.004 instruction and the connection with seev.007	Randi
24	CA509	GM - CMH-TF ASEG New Requirements and BJ	Christine / SMPG
Tax SG Related			
25	CA500	CA Tax - Add new Event Type for Tax Classification (SR2022 CR 001796)	Tax SG
26	CA465	CA Tax - Add VOLU to TREC in EIG+	Tax SG
27	CA470	CA Tax - DVOP - how to best reflect tax and CINL on DVOP	Tax SG
CA For Funds			
31	CA476	CA For Funds Subgroup – Common Call with IF WG ?	CA / IF WG
Market Claim			
32		CA466 MC - Handling MCs in the Cash Penalties and ECMS Context (SR2021 CR 001649) (Action: NMPGs)	Christine/Mari
		AOB	

CA WG Meeting Agenda Details

Item No	Short Description	Description and Pending Actions	Owner	Comment
1		Opening of WG Meeting	Christine/ Mari	
2	2022 Q1 & Q2 Meeting dates	Schedule Conference calls for Q1 / Q2 2022	Christine/ Mari	
3	September 14 Minutes Comments / Approval	Comments / Approval of September 14 webex / conf. call Minutes	Jacques	
Corporate Actions				
CA469	CA - Managing CA instructions in scope of CSDR when partial settlement are implemented	<p>If the client instructs on the total PENR position expecting the trade to fully settle, but on the deadline the PENR has only partially settled, then the Corporate Action instruction from the client can only be partially accepted against the partially settled portion.</p> <p>If at this stage the Custodian rejects the clients Corporate Action instruction, which was for the full PENR position, then they would be putting at risk the acceptance of the part of the PENR that has settled from participating on the Corporate Action, as the client would then have to re-instruct for the part of the PENR position that has</p>	Mari	<p><u>Virtual Meeting - April 12 - 16, 2021:</u> Not covered due to lack of time.</p> <p><u>Telco Jan. 12, 2021:</u> Change in focus/intent of the item: Can we agree on a common instruction reporting standard for account servicer in case custodians allow instructions on pending receipt partially settled ? The process will need to be bilaterally agreed, but the reporting – when the process is used – could perhaps be standardized.</p> <p><u>Telco Dec. 1, 2020:</u> Daniel reported that the German NMPG could not agree on how to process these, and hence does not believe a market practice should be created. CH agreed with DE.</p> <p><u>Telco Nov. 3, 2020:</u> The WG discussed if this could possibly be covered by global market practice, or if it should remain an SLA issue. Limited feedback from NMPGs were received, though the French NMPG commented that this is also linked to CA419.</p>



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		settled, which would be after the Corporate Action deadline. What we are trying to understand is if there is any thought around how this should be handled from a SWIFT perspective, given SWIFT protocol is to cancel the instruction in full and/or whether a partial cancellation of a Corporate Action instruction has been considered. Action: Mari and Christine to draft a first version of the MP		
CA477	CA - CONS Vs BMET	GMP 1 - section 9.22.1 & 9.22.1.3 Types of Consent: if there is a change in the terms of the underlying security, it should be a CONS but, as there is always a meeting to ratify the change, I see that often BMET is used, especially where there isn't a consent fee involved. Not clear whether the BMET applies for virtual meeting as well ? MP needs to be clarified. Action: Steve (US) to explain the meaning of the sentence.	Mari	<p>Telco Sep. 14, 2021: Based on Randi's comments, the US should clarify the wording in GMP1 section 9.22.1.2 for consent event following a tender/exchanged offer when it is said that "will therefore be subject to a second new mandatory event."</p> <p>Telco July 20, 2021: Input from Mari (see minutes).</p> <p>Telco June 22, 2021: Mari will add a column to the table in GMP1 to record ISO20022 behaviour and then circulate the wording for review/approval.</p> <p>Telco May 18, 2021: Not covered due to lack of time.</p> <p>Virtual Meeting - April 12 - 16, 2021: It is not clear today whether BMET is really a physical meeting for bondholders. Attendance criteria for BMET are not clear. The WG agrees to keep the existing action, for Mari and Christine to make the section in GMP1 clearer and that a meeting may be electronic/virtual, instead of just physical.</p> <p>Telco Mar. 9, 2021: Not covered due to lack of time.</p> <p>Telco Feb. 9, 2021: Christine introduced the topic and the necessity to clarify the distinction between CONS and BMET in GMP1 in section 9.22.1.</p>

Item No	Short Description	Description and Pending Actions	Owner	Comment
				<p>Telco Jan. 12, 2021: Not covered due to lack of time.</p>
CA483	CA - GMP1 Section 7.6.1 - Reporting on Standing Instructions and Default Actions	<p>Proposal for additional paragraph: <i>"Where provided, the Instructed Balance should include any Standing Instruction or Default Action applied and this balance should not remain as an Uninstructed Balance. For example, if the Eligible Balance of the event is 100 shares and a Standing Instruction or Default Action is applied to the entire Eligible Balance, the Instructed Balance should be 100 shares and the Uninstructed Balance zero shares."</i></p> <p>Action: Christine to amend the MP accordingly for next call.</p>	Robin	<p>Telco Sep. 14, 2021: Pending action from Christine.</p> <p>Telco July 20, 2021: Pending action from Christine.</p> <p>Telco June 22, 2021: The MP is approved with the wording slightly amended to separate the scenario where the MT567 is sent to confirm the standing instruction has been applied versus the scenario where the default has been applied.</p> <p>Telco May 18, 2021: Not covered due to lack of time.</p> <p>Virtual Meeting - April 12 - 16, 2021: Input from Robin (see minutes) The WG agrees with Robin's proposal.</p>
CA492	CA - MP for new "End of Securities Blocking Period" (CR001635)	<p>Action: Remaining NMPGs to review MP and revert.</p>	Mari	<p>Telco Sep. 14, 2021: Mari's proposed MP input based on comments from April meeting (see minutes) Not Discussed due to lack of time.</p> <p>Telco July 20, 2021: Mari's proposed MP input based on comments from April meeting (see minutes). The DK and HK NMPG's approved the market practice. Jean-Pierre mentions that in FR, there might be a case of blocking for MAND event. To be further discussed/investigated in the FR NMPG. Remaining NMPGs are requested to provide feedback by the next call.</p> <p>Telco June 22, 2021: Input received from DE: We would like to make clearer that the unblocking will not take place at a certain time, but will be confirmed separately and could also be delayed (e.g. in case of blocking until PAYD, but payment were delayed). Please find our amendment proposal in the attachment below (see doc in</p>

Item No	Short Description	Description and Pending Actions	Owner	Comment
				minutes) Not covered due to lack of time. <u>Telco May 18, 2021:</u> Mari's proposed MP input based on comments from April meeting: Not covered due to lack of time. <u>Virtual Meeting - April 12 - 16, 2021:</u> The WG discussed the need for a new MP for the new "End of Securities Blocking Period" date created for SR2021 in the MT 564. The WG agreed on the following: <ul style="list-style-type: none"> • The indicator should not be used for mandatory events and for NOAC options; • The indicator should be used for elective events, when the instructed position needs to be blocked because a position change would risk the election; • Account servicers should block the instructed position at the time of the processing/acceptance of the instruction.
CA497	CA - Use of EARD in CA processing – including in the case of ESOF and SOFE	Need to be clarified as SWIFT standards may suggest multiple RDDT. <u>Action:</u> Catarina to come back at next call with more information about the usage of EARL by XS.	XS/LU	<u>Telco Sep. 14, 2021:</u> Not Discussed due to lack of time. <u>Telco July 20, 2021:</u> Catarina reports a concern from XS about the use of the Early Response Deadline (:98a::EARD) when used with ESOF / SOFE. From a market practice perspective, there are no objections to the use of EARD. <u>Telco June 22, 2021:</u> Not covered due to lack of time.
CA498	CA - Tax Breakdown MP Update Review	Review the SR2021and ISO 20022-enabled update of the Tax Breakdown MP (Split from CA470) <u>Action:</u> Mari to update the draft MP to include ISO 20022 workflow and send to Jacques for publication as draft.	Mari	<u>Telco Sep. 14, 2021:</u> Latest Draft Tax Breakdown MP for SR2021 (see minutes). The above version includes comments received from DK (Randi). Randi's additional comments on section 3.1 questions the fact that the MP says that a mandatory TREC event is sent whilst the TREC event template shows the TREC being VOLU. <u>Telco July 20, 2021:</u> Input from mari (see in minutes). Mari will add the 20022 workflow to the above draft MP. The draft version will be published on the SMPG website. Feedback to be provided by NMPGs for the next call in September. If no feedback received, it will be considered approved.



Item No	Short Description	Description and Pending Actions	Owner	Comment
CA499	CA - BMET vs CONS differences	(Split from CA477)	Mari	
CA501	CA - Amend scope of Tax on Non Distributed Proceeds Indicator (SR2022 CR 001794)	The SMPG CA WG to adapt the existing TNDP market practice accordingly with the approved CR.	Christine/ Mari	
CA502	CA - Add new First and Last Bid Increment Price to CA Details and Option Level for TEND and DTCH events (SR2022 CR 001789)	The SMPG to create a strong market practice in order to restrict the usage of these fields (for instance for the TEND, DTCH and BIDS events only).	Christine/ Mari	
CA503	CA - Add new URL and Narrative for Specific Additional Information for Next Party in Chain (SR2022 CR 001785)	The SMPG to create a strong market practice to define clearly the usage of these new fields.	Christine/ Mari	
CA504	CA - Add new OFFE Indicator code when Dissenters Rights are Not Applicable (SR2022 CR 001793)	The SMPG creates a specific market practice for its usage.	Christine/ Mari	
CA505	CA - Add new Other Type of Income Qualifier in Movements Sequences (SR2022 CR 001791)	The SMPG to create a new strong market practice on the usage of this new qualifier.	Christine/ Mari	

Item No	Short Description	Description and Pending Actions	Owner	Comment
CA506	CA - Add new Status Reason Code for Rejections by Agent and Invalid Paperwork (SR2022 CR 001795)	The SMPG to create a market practice for the usage of the new reason codes and review globally the rejection and cancellation reason codes usage and review the presence of pending reason codes in the seev.042.	Christine/Mari	
CA507	CA - Add New Protect Balance to Instruction Status (SR2022 CR 001792)	The SMPG to create a strong new market practice to cover the usage of this new balance.	Christine/Mari	
CA508	CA - DateTime Format Options Consistency and Names Harmonisation (SR2022 CR 001780)	GMP1 Section 8.17 on Usage of DateTime with Format Option E (UTC) (inherited from CA457)	Christine/Mari	
CA510	CA - Review REPL/REPE MP in MT/MX (GMP1 section 3.2.5)		Mari/Christine	
CA511	CA - Consistency between 22F::SELL & 69A::TRDP	consistency between the presence of 22F::SELL indicator and the presence (or rather not) of 69A::TRDP in the same sequences as 22F::SELL	Jean-Pierre	
CA512	CA - Review DRIP CHOS with Interim template	Inconsistency between the DRIP CHOS without Interim and with interim templates. The DRIP CHOS with Interim does not follow the global MP in GMP1	Mari	



Item No	Short Description	Description and Pending Actions	Owner	Comment
General Meeting / SID				
CA509	GM - CMH-TF ASEG New Requirements and BJ	New Requirements on GM message to handle meeting fees Actions: 1) Christine to send answer to ECB. 2) SMPG to further discuss the target solution to process incentives and put forward a BJ based on such discussions.	Christine	Input of new GM requirements received from ECB about the possibility to submit a fast track change request on GM messages for SR2022: Decision: The CA WG agrees to not support at this stage a fast track CR from the ECB's and the request for a new meeting message without further discussions on the message flows and discussions on the message design solution with the SMPG CA WG members and stakeholders in other regions (APAC and US).
CA473	GM - GoR TF GM template review	Action: Catarina and Jean-Paul to provide feedback at the next meeting on Friday October 8.	Jacques	<p>Telco Sep. 14, 2021: Catarina will further discuss this with Jean-Paul and an update will be provided at the next call.</p> <p>Telco July 20, 2021: The main point is to understand what is the status of the CA and GM templates that were under preparation by the GOR task force in terms of what events are covered, where are they stored and how they will be maintained?</p> <p>Virtual Meeting - April 12 - 16, 2021: Input from Jacques with comments on the GoR GM template (see minutes) Jacques has performed a review of the GOR meeting template and have a few comments. See comments in the attached document. ECSDA has discussed ownership of the GOR templates.</p> <p>Telco Mar. 9, 2021: Not covered due to lack of time.</p> <p>Telco Feb. 9, 2021: Not covered due to lack of time. Input from Jacques (see minutes)</p> <p>Telco Jan. 12, 2021: Not covered due to lack of time.</p> <p>Virtual Meeting - Sept. 28 - Oct. 1, 2020 There is a need to thoroughly review the GoR TF GM Notification template to be sure it is fully in line with the SMPG CA WG MP for SRDII.</p>

Item No	Short Description	Description and Pending Actions	Owner	Comment
CA491	GM - Participation method / vote instructions scenarios vs SMPG MPs on MyStandards UGs	<p>We have been attempting to match the SMPG templates in mystandards vs the market practice and our market(s) experiences, and have concluded that in some scenarios we are unable to allocate the existing template to the use-case;</p> <p>5 cannot seem to “fit” into the existing message structures as depicted in mystandards.</p> <p>Action: CA WG to discuss outcome outlined in the document above at October meeting with the aim to finalise the update of GM MP document and UGs for SR2021.</p>	Catarina	<p>Telco Sep. 14, 2021: Input from Catarina/Jacques (outcome of the discussion between Catarina/Jacques) - see minutes</p> <p>Telco July 20, 2021: Before discussing Catarina’s comments in the WG, Jacques and Catarina’s will first review the comments bilaterally and provide feedback at next call in September.</p> <p>Telco May 18, 2021: Input from Catarina (see minutes). The result of Catarina’s and Jacques’ investigation was quickly presented, but as it seems to be mainly issues related to MyStandards GM UGs rather than to the SMPG MPs, it is difficult for the SMPG to take action.</p> <p>Decision: A call should be organised in the next couple of weeks with Jacques, Christine, Mari and Catarina to ensure GM MP and MyStandards are aligned.</p> <p>Virtual Meeting - April 12 - 16, 2021: Input from Catarina (see minutes) Catarina provided an overview of the item but there was no enough time to further discuss the options. Catarina will contact Jacques to review some of the items.</p>
CA494	GM - seev.004 instruction and the connection with seev.007	regarding the seev.004 instruction and the connection with seev.007 where you actually have to instruct in the seev.004 despite the fact that you are able to require the seev.007 even after the AGM has been held.	Randi	<p>Telco Sep. 14, 2021: Randi’s question: How can we request to get a seev.007 execution confirmation message if not done initially in the seev.004 with the appropriate indicator ? What to do if you change your mind after having initially not requested the execution confirmation ? Not discussed due to lack of time.</p> <p>Telco July 20, 2021: Randi not present. The item is postponed to next call.</p> <p>Telco June 22, 2021: Not covered due to lack of time.</p> <p>Telco May 18, 2021: Not covered due to lack of time.</p> <p>Virtual Meeting - April 12 - 16, 2021: Not covered due to lack of time.</p>
CA475	GM / SID Market Practice Update	Additional wording required to align with GMP1. A section on Pagination for	Mari/Jacques	<p>Telco Sep. 14, 2021: Input from Jean-Paul (as CA488) - (seein minutes). DK (Randi) has also provided some written comments on the SID draft</p>



Item No	Short Description	Description and Pending Actions	Owner	Comment
		seev.047 would be needed as well. Action: 1) <u>Mari/Christine</u> to amend the SID MP accordingly for next call. 2) <u>Mari</u> to review GM MP for SR2021		MP. Randi comments will be integrated in the MP, except for the changes to the flows as they would require changes in the SI market standards. Jean-Paul's comments have either been taken into account already or will be included in the next version to be published. Telco July 20, 2021: The SID MP has been updated by Mari and Jacques. The following SID MP draft version will be published on the SMPG website (see minutes). The GM MP review is on going by Mari and a draft will be circulated later in August/September. Telco June 22, 2021: Skipped due to lack of time. Virtual Meeting - April 12 - 16, 2021: The proposed market practice for pagination of the seev.047 is moved to CA485. The market practices for GM and SID need to be updated for SR2021 to include SR2021 changes + any change put forward by NMPGs now that we are live. Telco Mar. 9, 2021: Not covered due to lack of time. Telco Feb. 9, 2021: Jacques presents the proposed draft market practice (see in minutes) Telco Jan. 12, 2021: Input from Jacques: (see minutes) Not covered due to lack of time. Virtual Meeting - Sept. 28 - Oct. 1, 2020 Market practice for shareholder identification and general meetings to be reviewed to also include some additional wording currently in GMP1.
CA484	SID - MP : Exceptional Flow (p10)	The option to transmit SHID B.O. along the chain (it is an option included in the implementing act) to me is an option that been stated in the law cannot be target as NON STANDARD. This appear a process not under the regulation as the statement is	Paola	Telco Sep. 14, 2021: Paola not present. The item is postponed to next call. Telco July 20, 2021: Paola not present. The item is postponed to next call. Telco June 22, 2021: Not covered due to lack of time. Telco May 18, 2021: Not covered due to lack of time.



Item No	Short Description	Description and Pending Actions	Owner	Comment
		that all request has to follow the top down approach along the chain (ISSUER – ISSUER CSD – CSD PARTICIPANTetc)		Virtual Meeting - April 12 - 16, 2021: Not covered due to lack of time.
Tax SG Related				
CA500	CA Tax - Add new Event Type for Tax Classification (SR2022 CR 001796)	the SMPG CA WG (Tax Subgroup) to further investigate the US business case, the information sent in OTHR event today and look at what solutions exist in other countries that could be the object of a new change request to solve this case in 2023.	Tax SG	
CA465	CA Tax - Add VOLU to TREC in EIG+	Action: 1. Jacques to update MP in GMP2. -> DONE on 27-Jul-21 2. The Tax SG to discuss whether a template/sample for TREC VOLU would be useful (a template is already included in the tax breakdown MP prepared last year by Mari – could probably be reused).	Tax SG / LU	Telco June 22, 2021: UK, SE, FR, HK, CH, ES, DK, FI approved the MP. Telco May 18, 2021: Not covered due to lack of time. Virtual Meeting - April 12 - 16, 2021: VOLU entry in the EIG+: - TXRC rate made optional - Add NOAC as default option - Remove EXPI and replace with RDDT dates as optional, add VALU date - no EARL or MKDT needed As illustrated in the following file (see minutes). Telco Mar. 9, 2021: Input from Jean-Pierre (see minutes) Jean-Pierre presented the proposed TREC VOLU line to the GG in the EIG+. Christine questioned the use of EXPI deadline instead of MKDT or RDDT, and stating that TXRC is mandatory rather than optional in the notification. Telco Jan. 12, 2021: No input received and Catarina did not attend the call. Telco Dec.1, 2020: No input received.

Item No	Short Description	Description and Pending Actions	Owner	Comment
				<p>Virtual Meeting - Sept. 28 - Oct. 1, 2020 Since Catarina cannot attend the SMPG meeting this time, the discussion of this topic is postponed to the next Tax SG call on October 22 or the next SMPG CA WG call in November.</p>
CA470	CA Tax - DVOP - how to best reflect tax and CINL on DVOP	See Randi's input in minutes. Action: Randi & Jean-Pierre (Tax SG) to prepare new templates to describe the following scenarios: • Taxable SECU option for a taxable clients • Taxable SECU option for an exempt clients • Exempt SECU option for a taxable clients	Tax SG / Randi	<p>Telco June 22, 2021: Postponed to next call. Questions from Randi (see doc in Minutes). Updated Tax Breakdown MP for SR2021 by Mari/Christine/Jacques (ISO 20022 enabled) (see doc in Minutes). DE agrees with the amended MP.</p> <p>Telco May 18, 2021: Not covered due to lack of time. Questions from Randi (see minutes). Updated Tax Breakdown MP for SR2021 by Mari/Christine/Jacques (ISO 20022 enabled) (see minutes).</p> <p>Virtual Meeting - April 12 - 16, 2021: Input from Randi (see minutes) The WG agrees that in case of optional dividends, the tax rate applied to the securities option is TAXR in option E.</p> <p>Virtual Meeting - Sept. 28 - Oct. 1, 2020 Input from Randi (see minutes). The event template R/M/O should be aligned with the EIG+.</p>
CA For Funds				
CA476	CA For Funds Subgroup	Proposal by the FUNDS WG to create a temporary joint special TF with the CA WG. Action: NMPGs volunteers to be part of the joint Task Force to contact Jacques/Janice.	Janice Chapman	<p>Telco Mar. 9, 2021: Not covered due to lack of time.</p> <p>Telco Feb. 9, 2021: At this time, no new volunteers to participate to the IF/CA subgroup.</p> <p>Telco Jan. 12, 2021: Not covered due to lack of time.</p> <p>Telco Dec. 1, 2020: Janice Chapman (SWIFT Standards – SMPG Funds WG Facilitator) introduced the proposal of the SMPG CA Funds WG to form a temporary joint working group/task force with the CA WG to ensure we have a common agreement on the way CA events are to be announced when the recipient of the CA proceeds is a fund (see flow B in the attached input).</p>

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				A limited number of meetings should be scheduled. Daniel Schaefer has already volunteered as DE representative.
Market Claim				
CA466	MC - Handling MCs in the Cash Penalties and ECMS Context (SR2021 CR 001649)	what changes, if any, are needed in ISO 15022 once the ISO 20022 messages have been developed? <u>Action:</u> 1. <u>NMPGs</u> to email Mari or Christine if you would like to participate to the sub-group. <u>Current group members:</u> Mari, Christine, Matt Schill, Jean-Paul, Véronique, Frank Fuerbeth (DE) Patrick Bertram (DE), Jacques 2. <u>Mari/Christine</u> to schedule the meetings	Mari/Christine	<u>Virtual Meeting - April 12 - 16, 2021:</u> No work performed yet. Mari and Christine will try to prioritise this and start the market practice work in May. <u>Telco Mar. 9, 2021:</u> Not covered due to lack of time. <u>Telco Feb. 9, 2021:</u> Alexander will also participate to the sub-group. <u>Telco Jan. 12, 2021:</u> The new ISO 20022 MC messages are published on MyStandards and it will be published on the ISO website at end of January. A market practice for the new MC messages needs also to be created. Catarina's earlier comment about if ECMS mandate use of the MC messages, will be there a need for translation to ISO 15022? This needs to be discussed further; no action at this time. Jean-Paul does not believe though that having MPs in the context of ECMS is really necessary. A sub-group will be created to work on MC MPs: Mari, Christine, Matt Schill (Steve will ask him), Jean-Paul, Véronique, Daniel or another DE representative, Jacques volunteered. Work should start hopefully in February.

REMINDER

Virtual Global Meeting

- **Public Plenary Session – October 6, 2021 – 1:00 to 5:30 PM**

CET (Brussels Time) Held via WebEx: Click [here](#) to register.

- **WG Sessions – October 4 – 5 & 7 - 8 (on invitations for working group members)**

Detailed scheduled and WebEx Details are communicated directly to WG members.

Plenary Session Agenda – Wednesday October 6, 2021

1:00 PM (All CET Times)	Opening of the Plenary Session <i>Charles Boniver, SMPG Program Director & Jacques Littré, SMPG General Secretary</i>
1:10 – 1:15 PM	Welcome Address <i>Armin Borries – Clearstream – SMPG Chair</i>
1:15 – 1:45 PM	HKEX Synapse Integrated Settlement Platform <i>Edwin Lee – HKEX - SVP Sales and Marketing</i>
1:45 – 2:15 PM	Digital Asset Standardization – an Update <i>Tom Alaerts – SWIFT Standards</i>
2:15 – 2:45 PM	SWIFT Securities Strategy Update <i>Charifa El Otmani & Jonathan Eherenfeld – SWIFT Securities Strategy</i>
2:45 – 3:05 PM	Results from the Post Trade Research <i>Virginie O'Shea – Firebrand Research</i>
3:05 – 3:20 PM	Break
3:20 – 4:20 PM	Panel Session - T+1 the Solution for more Settlement Efficiency ? Impacts and Benefits ? <i>Moderator: Charles Boniver – SWIFT</i> <i>Panelists: Christine Strandberg, SEB - Bob Cavallo, DTCC - Takaya Hamamura, MUFG Bank, Ltd. - Jyi-Chen Chueh, Standard Chartered Bank</i>
4:20 – 4:50 PM	ISSA - Results of ISSA Corporate Action Survey <i>Karen Zeeb – ISSA Secretary & Barnaby Nelson – The Value Exchange</i>
4:50 – 5:20 PM	Regulation - Are You Ready for CSDR ?

Securities Market Practice Group

October 4 – 8, 2021 – CA WG Agenda



	<i>Mariangela Fumagalli - BNP Paribas & Armin Borries – Clearstream</i>
5:20 – 5:30 PM	Closing Plenary Session <i>Armin Borries – Clearstream – SMPG Chair</i>

This agenda will be updated with more information as they are available, and will be frequently updated on the [SMPG website](#) and on the [SMPG LinkedIn web page](#).

If you have any question, feel free to send an e-mail to info@smpg.info